

19 **Abstract**

20 ~~L~~ignocellulosic biomass ~~coming from holm oak (holm oak)~~ was fractionated, solubilizing ~~two~~
21 ~~of their major constituents:~~ hemicellulose, ~~and~~ cellulose. This procedure was performed in two
22 stages of temperature, lower one (180 °C) optimizing the hemicellulose extraction and higher one
23 (260 °C) aiming to extract the major proportion of cellulose and the hard hemicellulose remaining
24 in the insoluble lignin structure. Three different flows of water ~~was-were~~ employed, reaching
25 sugar yields from 71 to 75% mainly in oligomer form and low amount of subproducts (eg. 5.9%
26 retro aldol product, 0.8% acetic acid and 2.5% 5-HMF). This stream was feed together with a
27 near and supercritical water stream in a sudden expansion microreactor ~~in which the time of~~
28 ~~residence~~where the residence time could be precisely controlled. Temperature, pressure and
29 ~~residence time~~time of residence was-were modified in order to maximize the yield of ~~the products~~
30 ~~from~~ retro aldol condensation products-pathways. The main products of this further hydrolysis
31 were pyruvaldehyde and lactic acid, reaching yields of 26% (at 350°C, 160 bar and 8.3 s) and 27%
32 (at 400°C, 245 bar and 0.23 s) respectively. A discussion based on the known reaction pathway is
33 proposed. This combined process, performs the valorization of real lignocellulosic biomasses
34 avoiding the costly process of extreme grinding needed for their fluidization in a continuous
35 process.

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36 Introduction

37 Even if it is reasonably assumed that ~~plant~~-biomass from plants will be the main carbon source
38 in the future, the choice about which reaction medium should be used to depolymerize and
39 valorize biomass has not been taken yet. Pressurized fluids, especially sub and supercritical water
40 ($T_c=374^\circ\text{C}$ and $P_c= 22.1$ MPa), can be pointed as a promising alternative to depolymerize and
41 valorize biomass (Akiya & Savage, 2002; Cantero et al., 2014; Peterson et al., 2008; Ragauskas
42 et al., 2006; Savage et al., 1995). Physical and chemical properties of water can be modify by
43 adjusting pressure and temperature around the critical point. Around the critical point of water it
44 is possible to modify the physical and chemical properties of water by adjusting pressure and
45 temperature making water a reaction medium ~~which is~~ able to favor different kind of reactions
46 (Akiya & Savage, 2002).

47 For this reason, pressurized water has been used as reaction medium for fractionation (Cantero
48 et al., 2015; Gullón et al., 2012; Kim & Lee, 2006; Sasaki et al., 2003) , hydrolysis (Cantero et
49 al., 2013b; Fang & Fang, 2008; Sasaki et al., 2004) and valorization of biomass (Chen et al., 2015;
50 Holgate et al., 1995; Wang et al., 2013; Yan et al., 2010).

51 The average composition of lignocellulosic biomass is: cellulose ($\approx 40\%$ wt.), hemicellulose
52 ($\approx 25\%$ wt.), lignin ($\approx 25\%$ wt.), extractives and ashes (10% wt.) (Bobleter, 1994). Although
53 biomass is composed ~~of~~-by diverse and complex molecules, it can be fractionated
54 principally~~mainly~~ into C-6 sugars (mainly glucose), C-5 sugars (mainly xylose) and lignin
55 (Cantero et al., 2014). These three fractions can be further modified to produce a wide range of
56 products like: ethanol, hydrogen, glycolaldehyde, pyruvaldehyde, lactic acid and 5-HMF among
57 others (Bicker et al., 2005a; Bicker et al., 2005b; Cantero et al., 2014; Kabyemela et al., 1997a;
58 Kabyemela et al., 1999; Kabyemela et al., 1997b; Lü & Saka, 2012; Román-Leshkov et al., 2010;
59 Sasaki et al., 2002).

60 The fractionation of biomass can be defined as the ~~obtaining~~-selective separation of C-5 sugars,
61 C-6 sugars and lignin from the original biomass in separate streams from biomass. This process
62 was studied under hydrothermal conditions in different ways of operation: batch, semi batch and

63 continuous (Cantero et al., 2014; Elliott et al., 2015). ~~The s~~Semi batch and continuous processes
64 allow to obtain higher yields of sugars and chemical compounds respect to than batch reactors,
65 because it is possible to control the temperature (T) and the residence time ~~of residence~~-(tr) more
66 accurately ~~e~~-than ~~it is achieved in the~~ batch processes. ~~The e~~CContinuous processes are the most
67 appropriate to control the reaction conditions (T and tr), however, in most cases it is necessary to
68 apply some expensive pretreatments to the raw material ~~prior before~~ the fractionation – hydrolysis
69 process, for example: exhaustive size reduction (Yu & Wu, 2011). In addition, the continuous
70 process ~~should can be set performed~~ at different operating conditions ~~if it is desired to in order to~~
71 separate the C-5 sugars from the C-6 sugars.

72 In a first step, carried out at temperatures between 180°C and 260°C, and reaction times between
73 0.1 min and 1 min, hemicellulose is extracted and hydrolyzed.

74 ~~One way to achieve a continuous fractionation of biomass is to hydrolyze hemicellulose first at~~
75 ~~temperatures between 180°C and 260°C with reaction times between 0.1 min and 1 min.~~

76 After this ~~first hydrolysis~~ process two products are obtained: ~~a liquid and a solid. The a~~ liquid ~~will~~
77 ~~be~~ composed mainly ~~of by~~ C-5 sugars ~~while the and a~~ solid ~~will be~~ composed ~~of by~~ C-6 sugars
78 and lignin. These two products can be ~~further separated splitted~~ by operations of liquid-solid
79 separation, like filtration. Then, the solid can be hydrolyzed at supercritical conditions to obtain

80 ~~the a water solution of~~ C-6 sugars ~~in the liquid product and a solid enriched in and~~ lignin ~~as solid.~~

81 This process ~~is can be~~ carried out in two reactors with a filtration operation in between-, ~~but can~~

82 ~~be intensified by using only A similar fractionation can be done in one reactor if it is used a one~~

83 fixed bed reactor. In such a case the ~~plant~~ biomass is ~~fed to loaded in~~ the reactor and the hydrolysis
84 temperature is changed in order to hydrolyze ~~the~~ C-5 or C-6 sugars (Kilambi & Kadam, 2013).

85 The semi batch process allows high performances on the yields of C-5 sugars hydrolysis.

86 However, when the reaction temperature ~~for the hydrolysis should be is~~ increased to hydrolyze

87 recalcitrant cellulose and hemicellulose, the yield of sugars ~~recovery recovered~~ decreases because

88 of the increment of the sugars degradation reactions (Cantero et al., 2013b; Sasaki et al., 2004).

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89 The continuous reactors have been employed in many applications for the valorization of sugars
90 streams allowing a precise control over the reactions (Kabyemela et al., 1997a; Kabyemela et al.,
91 1999; Kabyemela et al., 1997b). These reactions can be managed using pressurized water by
92 choosing the adequate reaction conditions. For example, at temperatures between 200°C and
93 300°C (25 MPa) the water molecules ~~will beare~~ highly dissociated favoring the ionic reactions,
94 like the production of 5-HMF from fructose and glucose (Akiya & Savage, 2002). On the other
95 hand, at 400°C (25 MPa) the water molecules ~~will beare~~ highly associated favoring the non-ionic
96 reactions, like the retro aldol condensation reactions (Akiya & Savage, 2002).

97 In this article, ~~a novel integrated fractionation-valorization process~~ was designed and built ~~as~~
98 ~~novel integrated fractionation-valorization process consuming using plant wooden~~ biomass as raw
99 material and ~~water using (subcritical and supercritical) water~~ as reaction medium. The ~~plant~~
100 ~~wooden~~ biomass was fractionated in a fixed bed reactor at different temperatures. The solubilized
101 products were directly injected to a continuous near critical water reactor to efficiently convert
102 C-5 and C-6 sugars into valuable products, like glycolaldehyde, pyruvaldehyde and lactic acid
103 avoiding a further hydrolysis to organic acids. The objective of this research paper was to design
104 a novel process capable of converting ~~plant lignocellulosic~~ biomass into valuable products eluding
105 the excessive milling of biomass and decreasing the number of reactors. ~~Optimum conditions for~~
106 ~~the fractionation of holm oak in a fixed bed reactor were found, followed by a valorization process~~
107 ~~of C-5 and C-6 sugars in the continuous reactor.~~
108 ~~It was optimized: (1) the fractionation process for Holm Oak as a model biomass in the fixed bed~~
109 ~~reactor and; (2) the valorization process of C-5 and C-6 sugars in the continuous reactor.~~

110

111 1. Experimental

112 2.1 Materials

113 Type 2 water ~~from distilled with~~ Elix® Advantage purification system, was used as the reaction
114 medium to run the experiments. The standards used in a High Performance Liquid
115 Chromatography (HPLC) analysis were: cellobiose (≥98%), glucose (≥99%), xylose (≥99%),

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116 galactose ($\geq 99\%$), mannose ($\geq 99\%$), arabinose ($\geq 99\%$), glyceraldehyde ($\geq 95\%$), glycolaldehyde
117 dimer ($\geq 99\%$), lactic acid ($\geq 85\%$), formic acid ($\geq 98\%$), acetic acid ($\geq 99\%$), acrylic acid ($\geq 99\%$),
118 furfural (99%) and 5-hydroxymethylfurfural ($\geq 99\%$) purchased from Sigma. 0.01 N solution of
119 sulphuric acid (HPLC grade) in Type 1 Milli-Q® ~~water and were was~~ used as the mobile phase in
120 the HPLC analysis. Sulfuric acid ($\geq 96\%$) and calcium carbonate ($\geq 99\%$) supplied by Panreac,
121 Spain, were used as reagents for the quantification procedure of structural carbohydrates and
122 lignin (Sluiter, 2011). Also, Milli-Q® water was used in this determination. Holm oak wood used
123 as raw material came from ~~Turku and surrounding area, Finland~~ Spain. The wood was milled to
124 ~~obtain fibers shape with average wide of 2 mm and average length of 5 mm, wide and length~~
125 ~~average sizes, respectively~~, as it is shown in Figure S1 of Supplementary Material.

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129 2.2 Analytical methods

130 The composition of the holm oak ~~wood raw material, exhausted solid and the liquefied~~
131 ~~biomass extracted liquor~~ were determined ~~by means of trough through~~ two Laboratory Analytical
132 Procedures (LAP) from NREL (Sluiter, 2011; Sluiter, 2006). The procedure for solid sample
133 consists in quantifying the structural carbohydrates and lignin in the biomass. ~~A brief description~~
134 ~~is as follow as follow:~~ a) biomass ~~was weighted before and after being~~ is dried in an air driven
135 oven at 105 °C for 24 hours in order to ~~obtain calculate~~ the moisture content, b) ~~exhaustive~~
136 ~~extraction is performed~~ ~~dried biomass was treated~~ in a Soxhlet equipment ~~with using~~ n-hexane,
137 leaving a solid free of oils and other ~~substances extractives~~, c) ~~a first acid hydrolysis is performed~~
138 ~~to~~ 300 mg of ~~free- extractives solid~~ from step (b) ~~were hydrolyzed~~ in ~~a with~~ 3 ml of 72% wt
139 sulphuric acid solution at 30 °C for 30 min, in order to break the bonds between biopolymers ~~with~~
140 ~~and the~~ main solid structure, d) the mixture ~~of oligomers obtained in of~~ step (c) is diluted using
141 84 ml of deionized water ~~for a second acid hydrolysis and warm~~ at 120 °C for 60 min with the aim
142 to break internal bonds in hemicellulose and cellulose oligomers to obtain their correspondent
143 monomers ~~in solution~~, e) the solid is separated from the solution by vacuum filtration, f) the total

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144 ~~mass of solubilized sugars content are was~~ quantified ~~by weight as the~~ difference in weight with
145 ~~between~~ the original solid ~~and the exhausted solid~~ after oven drying at 105 °C in oven for 24
146 hours, g) ~~exhausted solid is placed in a muffle at 550 °C for 24 h and the remaining residue was~~
147 ~~weighted to calculate the insoluble lignin and the ash content of the sample, insoluble lignin and~~
148 ~~the ash content is determined when the sample is placed in a muffle at 550 °C for 24 h and the~~
149 ~~remaining residue is weighted obtaining both values by weight difference, h) a liquid aliquot was~~
150 ~~analyzed with UV-Vis spectrophotometer at 320 nm with extinction coefficient of 34 Lg⁻¹cm⁻¹~~
151 ~~(S.-N. Sun, 2014) to calculate the amount of soluble lignin— is obtained by UV-Vis~~
152 ~~spectrophotometer at 320 nm with extinction coefficient of 34 Lg⁻¹cm⁻¹ (S. N. Sun, 2014) from~~
153 ~~a liquid aliquot;~~ i) another liquid aliquot is neutralized to pH range 6 to 7, then it is filtered using
154 a 0.2 µm membrane and analyzed by HPLC determining the carbohydrates composition. This
155 procedure is performed using a column SUGAR SH-1011 (Shodex) with a 0.01 N of sulfuric acid
156 solution as a mobile phase. To identify the ~~hemicelluloses, celluloses and reduced sugars~~ soluble
157 products, two detectors were used: Waters IR detector 2414 (210 nm) and Waters dual λ
158 absorbance detector 2487 (254 nm). In order to calculate the amount of carbohydrates, each
159 chromatogram was decomposed into a sum of 9 to 13 Gaussian peaks by means of a commercial
160 software, minimizing chi squared function of a Levenberg-Marquardt-Fletcher algorithm
161 (Fletcher, 1971). Pyruvaldehyde and Glycolaldehyde ~~could not be resolved by this~~
162 ~~configurations resulted to be overlapped~~, since the retention time of their standards are extremely
163 close (11.99 vs 12.24 minutes, respectively). ~~From the analysis (Sluiter, 2011),~~ the raw material
164 contained 1.6 % wt. extractives, 1.8% wt. moisture, 0.2% wt. ashes, 24.2% wt. Klason lignin
165 (from which 4.0% corresponds to soluble lignin), 45.7% wt. of hexoses, 23.9% wt. pentoses, the
166 ~~sumation~~ of all the components represents the 97.4% of total weight, ~~the discrepancy of 2.6%~~
167 ~~is due to experimental errors like the loss of solid material after the recovery at the end of the~~
168 ~~experiments, or the inhomogeneity of the material which can have slightly different compositions~~
169 ~~depending on the analyzed aliquot.~~
170 The amount of C6 was calculated as the sum of glucose, cellobiose and fructose concentrations;
171 the only C5 detected was xylose, acetic acid was considered to come from the ~~acetyl groups~~

172 ~~bonded to~~acetylation of xylanes forming hemicellulose, ~~during in~~ the fractionation process;
173 ~~however or~~, as ~~is~~ explained in the next sections, ~~it could be produced from an exhaustive the~~
174 ~~hydrolysis~~hydrolysis of piruvaldehyde. Hydrolysis products from hexoses and pentoses were
175 mainly glyceraldehyde, glycolaldehyde, piruvaldehyde, lactic acid 5-hydroxymethylfurfural and
176 ~~in~~ some cases ~~acetic~~acrylic acid were detected in very low concentration.
177 The procedure followed ~~for to analyze~~ liquid samples consist in the steps (c), (d) and (i) ~~above~~
178 described ~~above~~. Furthermore, the carbon content ~~of this fraction~~liquid solutions is determined
179 by total organic carbon (TOC) analysis using a Shimadzu TOC-VCSH equipment. Each sample
180 ~~is was~~ previously filtered using a 0.2 µm syringe filter and ~~is~~ diluted 1:10 times with Type 2
181 Millipore water.

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185 2.3 Experimental setup and procedure

186 The setup used in this work is shown in Figure 1. The ~~coupled~~ system consisted in two reactors
187 integrated: a) the fractionation process, from which the ~~liquefied~~ biomass ~~liquid stream solution~~
188 is produced, b) the supercritical reactor hydrolysis, which converts all the ~~biomass streams~~soluble
189 ~~compounds~~ into added value products. The fractionation line is composed by a water deposit
190 (D.1), downstreams an American Lewa EK6 2KN high pressure pump (P.1, maximum flow rate
191 1.5 kg/h) propels water through a pre-heater (H.1, 200 cm of 1/8" SS 316 pipe, electrically heated
192 by means of two resistors of 300 W) which ensures an uniform temperature at the reactor inlet.
193 The reactor (R.1), a tube of SS 316, 40 cm length, 1.27 cm O.D., is heated by three flat resistors
194 of ~~500-300~~ W each, placed axially along a machined aluminum bar with 5.08 cm O.D. Both,
195 preheater and the reactor are located inside ~~an oven of a former~~ chromatographic oven HP5680
196 for security reasons. The ~~out out~~-flow stream ~~of from~~ the fractionation line is mixed with the
197 supercritical water stream, ~~entering in a sudden expansion directly at the inlet of the sudden~~
198 ~~expansion~~ micro-reactor (SEMR) (R.2). The supercritical water line, ~~is~~ composed by a heater
199 (H.2), a tube of 20 m, 1/8 in O.D. SS316 wrapped around a brass cylinder and heated by two

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200 cartridges and two flat resistors, which provided adjustable power of up to 10 kW, in order to
201 control the temperature of this stream. The water flow is generated by a Milton Roy XT membrane
202 pump (P.2, maximum flow rate 6 kg/h). ~~The main advantages of the SEMR are: allows a fast the~~
203 heating of the biomass stream, which is mixed almost instantaneously with the supercritical water
204 stream, and ~~the a cooling rapid cooling process~~ of the products, which takes place ~~by through~~
205 ~~means~~ a sudden expansion which efficiently stops the hydrolysis. In this way, the reaction time
206 could be precisely calculated, ~~because as~~ the reactor works isothermally. Pressure is generated by
207 closing a Micro Metering valve 30VRMM4812 from Autoclave Engineering (V.4). ~~A detailed~~
208 ~~description of both s~~Setups of the two reactors were presented in detail in previous works
209 ~~19,24,32~~ (Cantero DA 2013; Gallina et al., 2016).

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210 6.12±0.03 gr of holm oak biomass ~~are were~~ fed into the fractionation reactor. Two metallic filters
211 ~~are were~~ used, located ~~in on~~ the top and bottom of the reactor, ~~avoiding the removal of the solid~~
212 ~~during the experiments to keep the raw material in place. A pressure test with cold pressurized~~
213 ~~water was carried out. Cold water is pressurized into the system~~ before each experiment, with the
214 aim to check the presence of leaks in ~~fractionation line the system~~.

215 Then, the supercritical line ~~is was~~ heated ~~in order to ensure the functioning of the system at~~
216 ~~required operating conditions. ensure the temperature, pressure and water flow with cold water~~
217 ~~flowing through fractionation line~~. Once this conditions ~~are were~~ stable, the ~~flow pumps were~~
218 ~~momently switched off is stopped~~ and both, the preheater and the fractionation reactor, ~~are were~~
219 heated up ~~until the~~. ~~When the control temperatures reached the the respective~~ set values, ~~then~~
220 both pumps ~~are were~~ switch on again ~~started and~~ the flow and pressure ~~are were~~ adjusted ~~with~~
221 ~~V.4~~ to the desired conditions. ~~Zero time was defined at that moment then, zero time is considered.~~

222 Six experiments were performed varying the temperature in the ~~supereritical-micro~~-reactor from
223 subcritical (350°C) up to supercritical (400°C) conditions, maintaining the pressure at 25.0±1.0
224 MPa. ~~Also, the reactions idence time of liquefied biomass in this reactor was varied from 0.25~~
225 ~~s up to 12 s, which was obtained modified by modifying varying~~ the water flow ~~rate~~ and changing
226 the reactor volume (2.2 or 12.4 cm³); ~~reaction times between 0.25 s and to 12 s were tested. In~~
227 ~~this sense, two reactors with 2.2 and 12.4 cm³ were utilized.~~ Three different water flows (11, 17,

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228 26 cm³/min) ~~in the fractionation line~~ were tested in the fractionation line, maintaining constant
229 the ratio with the flow of supercritical water stream, ~~in order~~ to get the desired conditions during
230 the further hydrolysis.

231 ~~The feed composition to the SEMR was analyzed by carrying out Also,~~ three fractionations
232 without the second hydrolysis stage, at the same conditions of temperatures, flow-rates and
233 pressure tested with the coupled reaction were performed in the same conditions, ~~with the aim to~~
234 ~~know the feed composition to the SEMR.~~

235 Fractionation in fractionation the fixed bed reactor, was performed in two stages marked by two
236 distinct temperatures were run at different temperature, 180°C to extract the hemicellulose and
237 260°C to remove most of the cellulose fraction from the ~~raw~~ biomass. Heating time between both
238 temperatures was between 5-10 min, ~~where when the flow was temporarily stopped during this~~

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239 period for the experiment at 26 cm³/min. In order to follow the reaction evolution, the pH of the
240 ~~product-outlet~~ stream was measured online ~~between periods with intervals~~ of 1 min, using an
241 electronic pH-meter (Nahita model 903). Liquid samples (30-40 cm³) were taken following the
242 pH variations, between 5-20 min for the experiment at 11 cm³/min, and every 2-8 min for the
243 other experiments. The final time varies from 110, 60 and 45 min for the experiments at 11, 16,

244 26 cm³/min, respectively (named here as (1), (2) and (3)). After the last sample was withdrawn,
245 the heating was shut off and the fractionation reactor was ~~let to gradually cooled~~ down to room
246 temperature ~~with air flux~~. Both pumps were set to zero flow and the system was depressurized.

247 The solid ~~was removed from inside of the reactor reactor was collected~~, filtered and dried 24 hs at
248 105°C ~~for further analysis~~. After cleaning, the ~~fractionation fixed bed reactor~~ reactor was

249 ~~reconnected-replaced~~ and the system was washed out with Type 2 water ~~flow~~. 10 experiments
250 were performed (3 fractionations and 7 coupled reactions), ~~characterizing obtaining~~ a total of 130
251 liquid and 10 solid samples, ~~characterized with by~~ the methods ~~above~~ described above.

Comentado [u1]: Falta 1

252

253 3. Results and Discussion

254 3.1. Biomass fractionation

255 The total amount of soluble ~~materials compounds of unmodified holm oak biomass in the raw~~
 256 ~~material, quantified as sugars and other products obtained from their further hydrolysis,~~ was equal
 257 to 4.65±0.03g, corresponding to the 72.1% of the raw biomass weight. ~~From this soluble~~
 258 ~~material.~~In details, 3.02±0.02g are hexoses (C6), 1.58±0.01g are pentoses (C5). ~~The residence~~
 259 time of the liquid (τ), ~~depends is determined~~ mainly ~~on-by~~ the liquid flow rate and ~~on-by~~ the
 260 averaged bed porosity of the bed ($\epsilon_{avb}=0.71\pm0.05$). ~~This last is calculated based on~~The last was
 261 calculated as in equation 1, by considering the initial and the final fraction of void volume of the
 262 bed due to the shrinking size of the biomass particles, ~~and~~ considering constant the density of
 263 the water (Gallina et al., 2016) (since its variation with temperature is less than 2%) and the
 264 density of the holm oak wood (800 kg/m³, dry based average for holm oak species) (Gallina et
 265 al., 2016). Residence time for the liquid inside the fixed-bed reactor was in the range of 1.0 min
 266 2.6 min $\tau > 2.6 \text{ min} < 4.0 \text{ min}$.

$$\epsilon_f = \epsilon_0 + (1 - \epsilon_0) \frac{(m_0 - m_f)}{m_f} \quad (1)$$

267 Figure 2 shows the cumulated mass quantified by TOC and HPLC techniques of: total soluble
 268 materials quantified by TOC and HPLC techniques, the amounts of oligomers and monomers of
 269 C5 and C6 ~~as, well as the mass of the~~ products deriving obtained from the dehydration the
 270 hydrolysis of these sugars, ~~all of them in cummulative values for different~~ obtained by changing
 271 flows of water flow-rates in the fractionation line.

272 Break points in the curves, signals indicate the transition between the two temperature stages. The
 273 total mass of soluble compounds detected products from by TOC were was calculated by dividing
 274 the value of total organic carbon concentration recognized detected by the equipment by a factor
 275 0.42 (equation 2).

276 This factor is the sum of the mass fractions of every compound in the raw material multiplied by
 277 the ratio between the molar weight of carbon and the molar weight of the compound.

$$r = \sum r(i) = \sum \frac{m(i) (RM)}{m_{sol tot} (RM)} \frac{Mw(i)}{Mw \sum C(i)} = 0.42 \quad (2)$$

280 The factor r indicates the ratio between the molecular weight of the soluble compounds extracted
 281 from holm oak, and the molecular weight of the atoms of carbon of the same compounds. It allows

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282 to compare values directly obtained by TOC analysis (total amount of C) with values obtained by
283 HPLC (total amount of soluble compounds).

284 This number results from the sum of the mass fractions of every compound in the raw material
285 multiplied by the ratio between the molar weight of carbon and the molar weight of the compound,
286 in each of the components detected by HPLC. The mass balance calculated by summing of the
287 mass of the solid recovered from the reactor at the end of the experiment added to the mass of
288 the soluble material quantified from by TOC analysis and to the mass of insoluble ligninglignin
289 flushed in by the water stream was equal to 103.8, 93.7 and 84.9 % of the total biomass fed to the
290 reactor, respectively.

Comentado [u3]: No se entiende bien de cuales experimentos

291 In the first row of graphs in Figure 2 are compared the mass of soluble material obtained by TOC
292 with the same values obtained by HPLC for each sample. The number in the figure is the

293 percentage of the soluble material in the water stream at the end of the experiment respect to the
294 same value in the raw material yield. From the graphs is clear that the difference discrepancy

Comentado [u4]: Hacer una tabla con todos los rendimientos

295 between the values obtained withbetween both the two techniques is reduced when the water
296 flow rate through the fractionation reactor is augmented-increased (23.6, -4.1 and -3.2% for the

Comentado [u5]: Unidades de medida

297 three flows, respectively). This could indicate that there are sub-products from the sugars

Comentado [u6]: Especificar los caudales (for.....respectly)

298 hydrolysis (mainly organic acids) not identified by HPLC. In fact, some peaks identified were not
299 completely resolved, then, the calculation of the area was not a trivial task. The Ssecond line of

300 graphics in Figure 2, shows the sugars and soluble oligomers of C5 and C6 obtained from
301 fractionation in the two temperature stages. The mayor fractionMost of the hemicellulose is

302 hydrolyzed from lignin matrix and comes out from the reactor in the form of oligomers-, in fact

303 Hhemicellulose is highly soluble in water because of it has a lot of the abundance of acetyl groups
304 in their-its structure as well as it is amorphous structure (Miller-Chou, 2003), and after the first

305 breaking leads to the production of-. So, it would be expected that produce-soluble oligomers
306 ((which size would be around 200 monomers)) after the first breaking.

Comentado [u7]: Referencia

307 The yield of C5 at the end of second stage of temperature was 87.3, 89.8 and 93.1%, respectively.

Comentado [u8]: Tabla (ver comentario al final)

308 At-On the contrary, cellulose is insoluble in water due to its cryistallinity-and its low acetylation
309 degree, so only oligomers with a very low molecular weight would be water soluble (e.g. 10

310 glucose units). In this sense, the amount of hexoses in form of oligomers is similar to the
311 monomers at lower flow (experiment 1) and this difference is enlarged with the flow increase (2
312 and 3). This distribution could be related to the difference in the activation energy of the cleavage
313 of the hydrogen-hydrogen bonds between celluloses and the α 1-4 glycosidic bond, which is known
314 that is favored at subcritical conditions (Cantero DA 2013; Sasaki et al., 2004). The last row of
315 graphs in Figure 2 displays different amounts of products from hydrolysis of xylose, -glucose and
316 fructose. These amounts are ~~despreciabledepreciable~~ in the first stage and ~~are enlargedincreased~~
317 ~~to in the second stage of after increasing~~ temperature, however, they are one order of magnitude
318 lower than ~~to~~ the soluble sugars. ~~An example is 5 HMF, which takes places mainly in the second~~
319 ~~temperature stage where conditions makes water highly ionic medium in the fractionation reactor.~~
320 The main components in this graphs are pyruvaldehyde-glycolaldehyde and lactic acid. The
321 decrease of the residence time ~~of sugars of liquid~~ inside the reactor diminish their further
322 hydrolysis or transformation. ~~An example is 5 HMF, which takes places mainly in the second~~
323 ~~temperature stage where conditions makes water highly ionic medium in the fractionation reactor.~~
324

Comentado [u9]: Referencia

Comentado [u10]: Low flow-rates, so high residence time enhance the hydrolysis of oligomers to monomers

Comentado [u11]: No entiendo bien la frase

325 3.2 Biomass valorization with sub and supercritical fast hydrolysis

326 The ~~output outlet~~ stream ~~of from~~ the ~~fractionator-fixed bed reactor~~ was feed to the SEMR together
327 with ~~the a distillate~~ water stream at temperature ~~and pressure around their critical point at near~~
328 ~~critical conditions of temperature and pressure~~, with the aim to obtain a fast and selective
329 hydrolysis ~~of the oligomers extracted from the biomass. Time of residence~~The reaction time (t_r)
330 was ~~modified-varied~~ in order to ~~find a major yield to some~~ modify the selectivity to obtain different
331 valuable chemicals.

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333 ~~Optimum temperatures and flow-rate for the extraction, have been identified in experiment 1, as~~
334 ~~they lead to the maximum yield; for this reason, a flowrate of... and temperatures of... were~~
335 ~~chosen for most of the experiments; only experiments 10 and 11 were performed with the same~~
336 ~~liquid flow-rates used in the fractionation experiments 2 and 3 (...and ...respectively).~~
337 ~~This approach, moreover, allows to know the composition of the stream entering in the SEMR.~~

Comentado [u12]: Flujo y temperatura

Comentado [u13]: flujos

338 ~~In this sense, e~~Eight experiments were performed, ~~as shown in -and their working conditions are~~
339 ~~summed up in~~ Table 1. Three temperatures ~~(...)~~ and 6 reaction times ~~(...)~~ were tested in the
340 SEMR, ~~keeping constant the temperatures of sub-critical water through the fixed bed reactor, and~~
341 ~~of super-critical water to hydrolyze the oligomers.~~
342 ~~at the same flow in the fractionation reactor than experiment (1), 350 °C (5 and 8), 380 °C (4 and~~
343 ~~7) and 400 °C (9), and other two experiments (10 and 11) were performed at same temperature~~
344 ~~but at the same flows than experiments 2 and 3.~~ Two reactions (7 and 9) were carried out ~~in a~~
345 ~~longer reactor at longer tr,~~ with the aim to ~~increase the reaction time~~ observe the effect of deeper
346 conversions. A lower ~~pressure~~ was used in ~~Only one condition at lower pressure were tested~~
347 ~~(reaction 6, see Table 1) with the aim~~ to observe the influence of ~~the variation of the~~ density
348 ~~variation~~ in the product distribution.
349 Global mass balance, calculated as ~~was commented described~~ in section 3.1, -is presented ~~in the~~
350 ~~fourth column of~~ Table 1. The values (avg=96.9%, sd=6.5 %) indicates that no significant
351 gasification takes place in the supercritical reactor.
352 First row of graphs in ~~the~~ Figure 2 (b), (c) and (d) ~~are presents~~ the mass balance between the
353 soluble materials in the raw biomass and the mass quantified in the output stream of SEMR by
354 ~~means of~~ TOC and HPLC techniques. Some difference between these ~~two~~ analytic procedures is
355 observable, mainly at the second stage of ~~temperarute~~ temperature of the fractionation or at high
356 sugars conversions. This findings could be due to the productions of small organic acids, ketones
357 and aldehydes (levulinic and acrylic acids, dihydroxyacetone, formaldehyde) and other
358 compounds not identified in the HPLC analysis, see Figure S2 in Supplementary Material. This
359 hypothesis agree with the decrease of the mass difference indentified by both techniques when at
360 high water flow is ~~involved~~ (see first row of graphs in Figure 2 (d)).

361
362
363
364

3.2.1 Oligomers and sugars conversion

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Comentado [u14]: temperaturas

Comentado [u15]: tiempos

Comentado [u16]: Especificar flujo

Con formato: Fuente: Sin Cursiva

Comentado [u17]: Indicar Presión

Comentado [u18]: Especificar: less residence time → less degradation.

365 ~~Oligomer conversion to C5 and C6 monomers was calculated by difference between the stream~~
366 ~~entering to the SEMR (composition obtained in experiment 1), and the stream leaving the reactor~~
367 ~~after the hydrolysis.~~

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368 ~~T-By mass balance between the inlet (all the products from the fractionation) and outlet stream,~~
369 ~~the conversions of oligomers of to C5 and C6 monomers were calculated and their values are~~
370 ~~reported in the upper left corner of each graph in second row of Figure 2 (b), (c) and (d). In all~~
371 ~~the runs, oligomers conversion was higher than 85%, the exception seems to be experiment (11),~~
372 ~~in which the small *tr* and high dilution of the inlet stream could be the cause of the low conversion.~~

Comentado [u19]: Poner in tabla

373 Sugars in C5 (xylose) and C6 (cellobiose, glucose and fructose) are intermediary compounds in
374 the reaction pathway. From Figure 2, comparing the amount of sugars C6 and C5 in experiments
375 4 and 5, it is shown that the conversion of sugars to other products is faster than the oligomers
376 hydrolysis to monomers in at subcritical temperatures, even at temperatures higher than the water
377 critical point (380 °C), however near to 400 °C, like in experiment 9, oligomers conversion is
378 faster than sugars hydrolysis, in agreement with the observations reported in the literature for
379 oligomers originating from microcrystalline cellulose (Peterson et al., 2008)(Cantero et al.,
380 2013b). ~~Surprisingly~~ Surprisingly the time needed for complete conversion of sugars is quite
381 ~~greater larger~~ than the pure cellulose hydrolysis at the same temperature (eg. 350°C: 2 s vs 12 s),
382 ~~which could be related with the hydrolysis of fluidized biomass microparticles whitening the~~
383 ~~fractionation stream, which contain an insoluble lignin structure where C5 and C6 were contained~~
384 ~~inside of it. Also -concentration of the biopolimers and the presence of other ions or substances~~
385 ~~could be linked to this atenuation.~~

Comentado [u20]: referencia

Con formato: Sin Resaltar

387 3.2.2 Added value products (AVP) produced from the sugars hydrolysis

388 Thirrh row of Figure 2 (b), (c) and (d) displays the added value chemicals produced from
389 further hydrolysis ~~from of~~ cellobiose, glucose, fructose and xylose. Reaction pathway of
390 cellulose hydrolysis involving oligomers and cellobiose as intermediaries was reported in the
391 literature (Cantero et al., 2013a; Kabyemela et al., 1997a; Kabyemela et al., 1999; Kabyemela
392 et al., 1997b; Lü & Saka, 2012; Sasaki et al., 2004; Sasaki et al., 2002). Xylose hydrolysis in

393 near critical and supercritical water was analyzed by several authors (Aida T.M. et al., 2010;
394 Sasaki M. et al., 2003). The combined reaction pathway is presented in Figure 3. Not all the
395 products involved in this scheme was identified in the liquid chromatography. The cellulose
396 pathway shown in Fig. 3 involves two main steps: 1st step in which the oligosaccharides are
397 hydrolyzed to glucose and xylose; 2nd step in which glucose and xylose are involved in two
398 possible pathways: isomerization and dehydration or retro-aldol condensation (Aida T.M. et
399 al., 2010; Sasaki et al., 2002). Glucose can follow a reversible isomerization to produce
400 fructose, however, the reverse reaction, is almost inhibited at the same conditions (Kabyemela
401 et al., 1999; Kabyemela et al., 1997b). Glucose can also be transformed into 1,6
402 anhydroglucose and fructose can be transformed into 5-hydroxymethylfurfural through a
403 dehydration reaction (Kimura et al., 2011). The other alternative of glucose conversion is the
404 retro-aldol condensation reaction that produces glycolaldehyde and erythrose (Cantero et al.,
405 2013a). Erythrose is further transformed into glycolaldehyde by the same reaction mechanism
406 (Sasaki et al., 2002). The other important reaction of fructose is the retro-aldol condensation
407 to produce glyceraldehyde and dihydroxyacetone. These molecules are further isomerized into
408 pyruvaldehyde (Kabyemela et al., 1997a) that is considered as a lactic acid precursor. From
409 the hemicellulose hydrolysis, depolymerization takes place up to xylose, and after that, xylose
410 can be isomerized to D-xylulose, assuming that D-xylulose as an intermediate for furfural and
411 retro-aldol products (glyceraldehyde, pyruvaldehyde, lactic acid, glycolaldehyde,
412 dihydroxyacetone, formaldehyde) (Aida T.M. et al., 2010; Sasaki M. et al., 2003). This
413 reaction pathway consists, a Lobry de Bruyn-Alberta van Ekenstein (LBET) a retro-aldol
414 reaction from D-xylose and D-xylulose similar to that involving D-glucose and fructose.

415 In all the ~~eases the products distribution is complex experiments, though majora considerable~~
416 amount of pyruvaldehyde/~~glycolaldehyde~~ and lactic acid is observed. ~~From Figure 2 (a) and~~
417 ~~(b) reactions 4 and 5 the product distribution is similar, but a little difference in the amount of~~
418 pyruvaldehyde is perceived principally in the first stage of temperature of the fractionation
419 step. Different density and Kw of water ~~prevails~~ at both temperatures (352 vs 383°C, 614.7 vs
420 319.7 kg/m³ and 5 10⁻⁶ vs 1 10⁻⁸, respectively) (Akiya N. & Savage P.E., 2002), which means

Comentado [u21]: No se entiende bien, 5 está en c)

Comentado [u22]: Que entiendes?

421 different concentration of H^+ and OH^- (1.2 vs 22.810^{-3} M) coming from water dissociation are
422 involved. However, under such ~~disimilar~~ ~~disimilar~~ conditions, the product distribution after
423 the hydrolysis of the fractionation stream seems to be not so different. This behavior could be
424 explained by the presence of large amounts of H^+ coming from the fractionation in addition to
425 that produced in the water ionization, since the autohydrolysis produces acetic acid and other
426 ions. Under such conditions, isomerization of pure cellulose to fructose is favored and
427 dehydration reactions could be favored too (Kimura et al., 2011). In spite of this, retro aldol
428 pathways seems to take place as well, which is evidenced by the high yields of
429 pyruvaldehyde/glycolaldehyde and lactic acid. The reactions (4) and (7) were performed at
430 similar temperature and pressure but involving deeper conversion in the last case ($t_r = 1.06$ vs
431 11.15 s, respectively). For this one, the acetic acid amount is highly increased, mostly in the
432 first stage of temperature of the fractionation reactor. This amount of acetic acid, exceeds the
433 amount produced in the hemicellulose hydrolysis process. This finding could indicate that the
434 retro aldol pathway coming from Xylose by means of Glyceraldehyde route, must contribute
435 mainly to the acetic acid production obtained directly from lactic acid decarbonylation (Jin et
436 al., 2005). The contribution to the acetic acid could not only be considered from the
437 hemicellulose source, since there is also a large concentration of C6 in monomer and oligomer
438 form in the first fraction of the inlet stream (see Figure 2 (a)), which could also contribute to
439 the glyceraldehyde route. Figure 4 displays the pH of the output stream after the Fractionation
440 (1) and the -Fractionation+Hydrolysis coupled process (4),(5),(7) and (8). The pH after a
441 further hydrolysis is lower than the outcoming from the fractionation during the first stage,
442 and after this, the pH values becomes similar, in accord to the fact that extra amounts of acetic
443 acids are produced when a deeper hydrolysis is performed (7 and 8). Similar result is observed
444 from reactions 5 and 8, however in this case large amount of formic acid is observed than the
445 above mentioned (see Figure 2 (c)).
446 The pressure change has no great effect on the products distribution (see reactions 5 and 6).
447 This observation means that the density as well as K_w variation, do not modified largely the
448 selectivity between isomerization-dehydration and retro aldol pathways like it does in the pure

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449 cellulose hydrolysis, where isomerization of glucose to fructose is highly inhibited by
450 decreasing density (Cantero DA 2013). The ~~major—highest~~ yield of
451 pyruvaldehyde/glycolaldehyde was obtained at this conditions- (24.4%). ~~A Different different~~
452 product distribution is observed in the reaction (9), where lactic acid is the most abundant
453 product and the acetic acid amount is depleted compared to the reactions above mentioned
454 (see Figure 2 (d)). This finding could be explained by short time of residence of the mixture
455 at high temperature condition in which the reactions are stopped at lactic acid stage in the
456 glyceraldehyde route, inhibiting the acetic acid formation. This selectivity seems to take place
457 principally during the first stage of temperature in the fractionation reactor, because after that,
458 the production of lactic acid and pyruvaldehyde is lower. The major yield of lactic acid was
459 found at this conditions -(25.5%). The ~~augment-increase~~ of water flow-rate in the fractionation
460 reactor has no clear effect on the product distribution (see reactions 10 and 11 in Figure 2 (d)).
461 In both cases the retro aldol pathways are followed equitatively in both stages of temperature
462 producing pyruvaldehyde and lactic acid, ~~however more acetic acid is formed in reaction 10~~
463 ~~for which we have no explanation.~~

465 Conclusions

468 **Acknowledgements**

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475 **Abbreviations and symbols**

476 \mathcal{E} : Porosity of the bed, dimensionless.

477 \mathcal{E}_f : Porosity of the bed, calculated at the end of the experiment, dimensionless.

478 \mathcal{E}_{av} : Average porosity of the bed, between the beginning and the end of the experiment,
479 dimensionless.

480 \mathcal{E}_0 : Porosity of the bed, calculated at the end of the experiment, dimensionless.

481 m_0 initial mass of the solid in the reactor.

482 m_f final mass of the solid in the reactor.

483 $m(i)$ (RM) total amount of component (i) in the raw material, extracted by acid hydrolysis and
484 detected by HPLC analysis, g.

485 $M_w(i)$ molecular weight of component i, g/mol.

486 $M_w \sum C(i)$ molecular weight of the sum of the atoms of carbon in component i, g/mol.

487 $m_{sol\ tot}$ (RM) total amount of soluble compounds in the raw material, extracted by acid hydrolysis
488 and detected by HPLC analysis, (g)

489 r ratio between the molecular weight of the soluble compounds extracted and the molecular
490 weight of the atoms of carbon, dimensionless.

491 $r(i)$ ratio between the molecular weight of the soluble compounds extracted and the molecular
492 weight of the atoms of carbon for compound i, dimensionless.

493

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608 hot-compressed water. *AIChE Journal*, **57**(3), 793-800

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616 List of Figures

617 **Figure 1.** Experimental setup coupling Fractionation-Hydrolysis reactors.

618 D.1, D.2: Type II Millipore water deposits, P.1: American Lewa EK6 2KN High pressure piston
619 pump. P.2: Milton Roy XT membrane pump, V.1, V.2: Parker check valve. H.1: Electric low
620 temperature heater, 100 cm of 1/8 in SS316 piping and 2 kW resistor. H.2: 1800 cm of 1/8 in
621 SS316 piping and, high temperature heater and 5 kW resistor. R.1: Fractionation reactor, 40 cm
622 length, 1/2 in O.D. SS316 piping. V.2: Parker relieve valve. R.2: Sudden Expansion Micro-Reactor
623 (SEMR) built with 1/4 in O.D. SS316 tubing. Two reactore sizes were used 11 cm and 100 cm of
624 length. V.3: Parker relief valve. V.4: high temperature valve Autoclave Engineers
625 30VRMM4812, IE: 200 cm of concentric tube heat exchanger 1/2 in- 1/4 in. V5: Three way Parker
626 valve, D.3: Falcon® flasks. D.4: 25 L polyethylene products deposit.

627

628 **Figure 2.** Product distribution and mass balance in the biomass valorization.

629 (a) Results from the fractionation without ~~supercritical-further~~ hydrolysis for different water
630 ~~flow-rates~~ in the fractionation line. ~~The F~~first line of graphs ~~corresponds-represent~~ to the
631 percentage of soluble sugars ~~liquefied-identified~~ by total organic carbon (TOC) and
632 HPLC techniques. Second row of graphs in Figure 2 shows the amount of carbohydrates

Comentado [u23]: •Falta lista tablas.

•Se podría poner otra tabla con los rendimientos de todos los compuestos después del fraccionamiento, después de la primera temperatura, y después de la segunda.

•Otra tabla con los rendimientos de los compuestos después de la hidrólisis.

Comentado [u24]: Especificar los caudales de supercrítico y las temperaturas del fraccionamiento.

633 in the form of -sugars and oligomers. The last row displays the time evolution of the
634 products derived from the hydrolysis of sugars in the fractionation reactor.

635 (b) Products distribution around at 380°C

636 (c) Product distribution around at 350°C

637 (d) Product distribution around at 400°C and short residence times

638 **Figure 3.** Combined reaction pathway of oligomers C5 and C6 including the glucose and xylose
639 further reactions.

640 **Figure 4.** pH vs time comparison of the reactions at low (4 and 5) and high conversions (7 and 8)
641 at sub and supercritical conditions of water.

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Figure 1.

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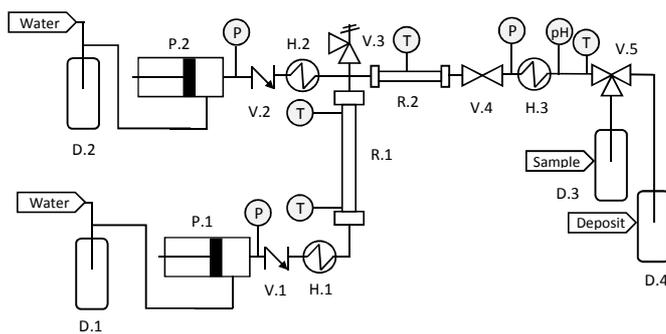
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Comentado [u25]: •Poner la incertidumbre de las temperaturas.
•Yo pondría las figuras en orden de temperatura (a 350, b 380, c 400 °C).
•Especificar los caudales de fraccionamiento
•Una línea vertical cuando hay el cambio de temperatura.

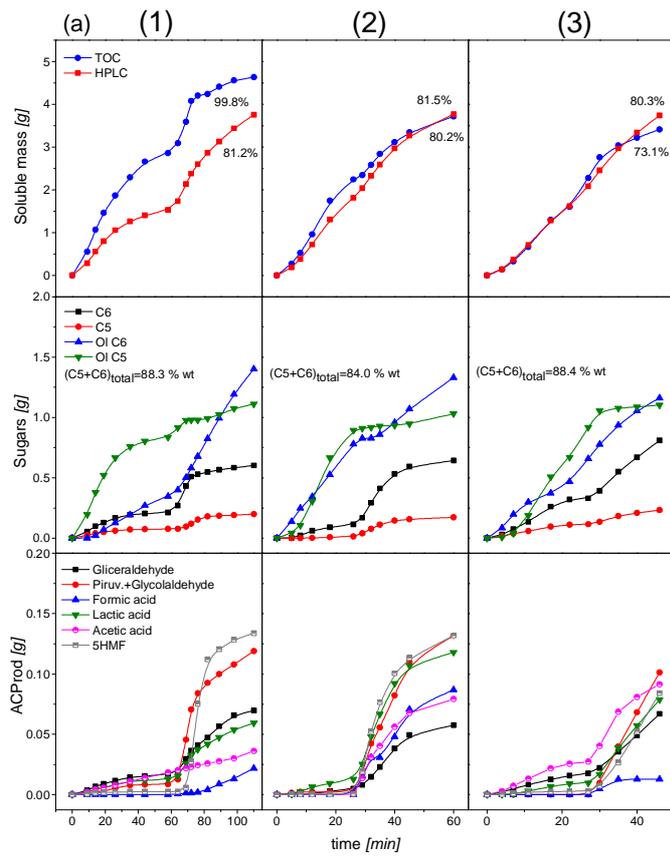
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Figure 2.



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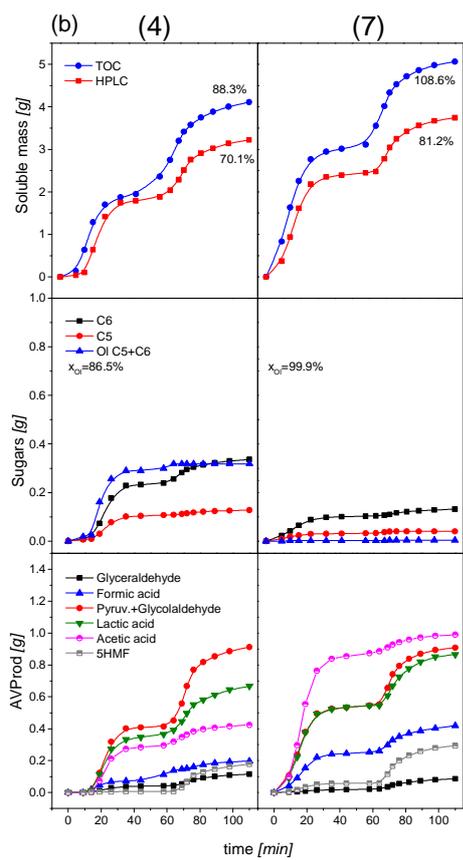
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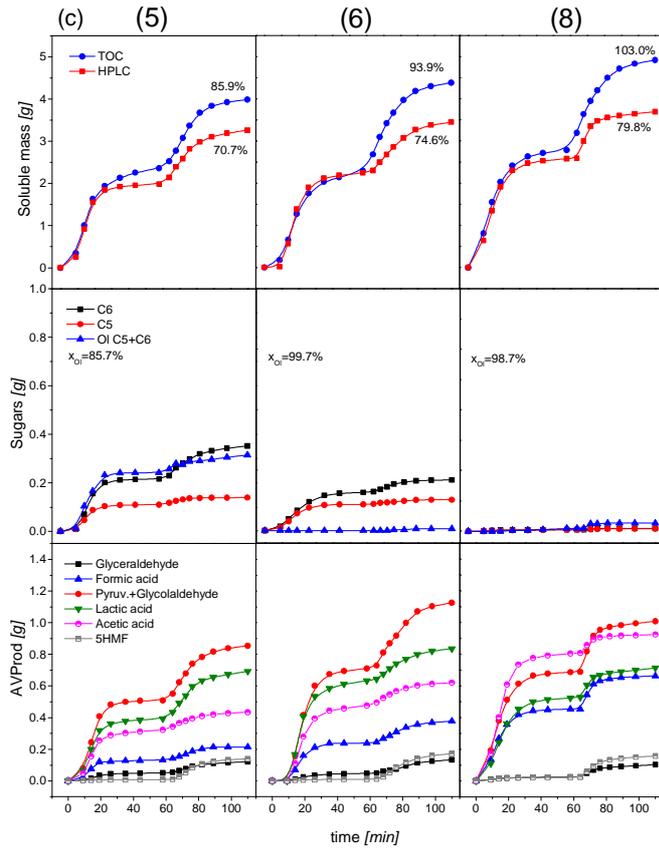


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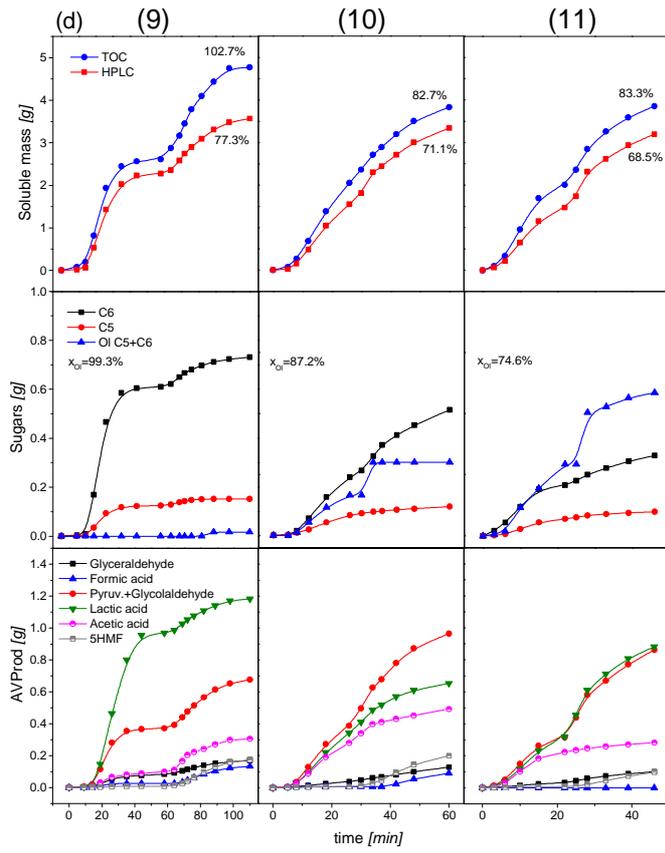
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Figure 3.

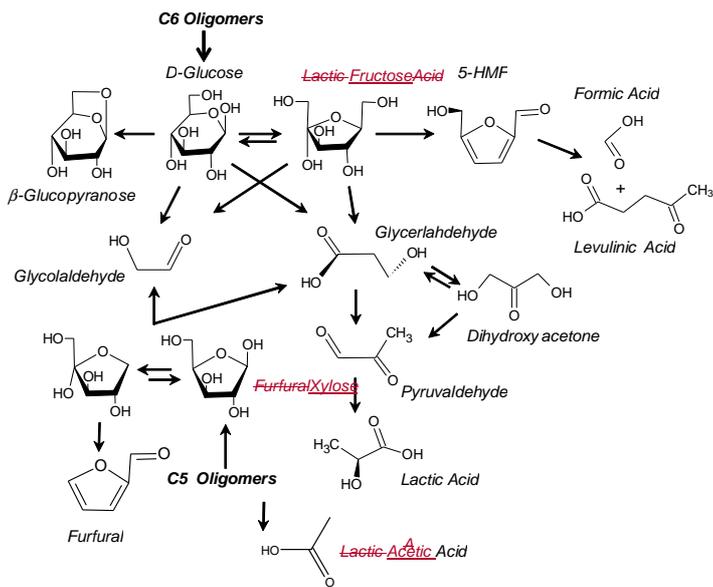
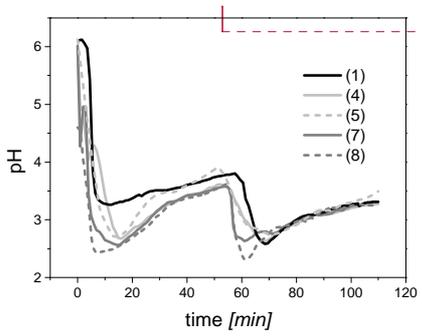


Figure 4.



Comentado [u26]: Poner misma formatacion en todas las graficas

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Table 1.

Comentado [u27]: Juntar longitud reactor y caudales (sub y supercr)

Experiment	T [°C]	P [MPa]	t_r [s]	MB_{roc}[%]
4	383.7 ± 5.1	245.7 ± 4.6	1.06	92.2
5	352.5 ± 4.4	241.3 ± 3.7	2.10	89.3
6	355.9 ± 5.7	161.8 ± 1.1	8.31	97.3
7	377.2 ± 3.5	251.9 ± 5.9	11.15	105.9
8	349.9 ± 2.4	239.6 ± 4.2	12.50	103.1
9	396.1 ± 3.6	249.1 ± 5.1	0.23	103.6
10	401.2 ± 2.8	252.2 ± 3.9	0.24	93.0
11	398.3 ± 3.0	259.9 ± 3.4	0.24	91.2

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