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1 **Carbon sequestration for different management alternatives in sweet chestnut**
2 **coppice in northern Spain**

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24

25 **Abstract**

26 This paper provides an innovative approach to assessing carbon sequestration in sweet
27 chestnut coppice taking into account the importance of carbon fluxes in the whole
28 forest-industry value chain in the mitigation of climate change. The goals of this study
29 were: to evaluate the baseline carbon capture of sweet chestnut forest in the north of
30 Spain; to assess the effect of thinning and extending the rotation period on carbon
31 storage; and to evaluate the substitution effect of using sweet chestnut products as an
32 alternative to other materials. The CO2FIX model was used to estimate carbon content
33 in different forest components: aboveground and belowground biomass, soil and wood
34 products, under five different thinning and rotation scenarios. Model parameterization
35 as a function of stand age was carried out using growth data, climate data, litterfall rates,
36 sawmill processing data, and data on the lifespan of products and their final end.
37 Sawmill efficiency was measured *in situ* using the Lumber Recovery Factor.

38 The scenarios in which only one thinning was made resulted in more total carbon
39 accumulating than the baseline, especially when the 40 years rotation was increased by
40 20 years. In contrast, scenarios involving two thinning did not even reach the baseline
41 value of total carbon. Additionally, a positive impact on GHG emissions was found for
42 using wood to substitute other materials, i.e. cement and fossil fuel. Taken together,
43 these results highlight the sustainability of thinning and rotation treatments in terms of
44 carbon storage in sweet chestnut coppice, and quantifiably supports the environmental
45 benefits of the substitution effect of sweet chestnut wood products. As such, it provides
46 valuable information for forest managers and policy makers who wish to address
47 climate change mitigation in forest management planning.

48 **Keywords:** CO2FIX, *Castanea sativa* Mill., Thinnings, Forest management, Carbon,
49 Wood products.

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50 Introduction

51 Tackling climate change has become a major concern at an international level because
52 despite efforts to create mitigation policies, greenhouse gas (GHG) emissions have
53 continued to rise (IPCC, 2014). As a consequence, forest management has become a
54 political priority, due to its potential influence in this respect (Lippke et al., 2011).
55 Currently, about 90% of forests in industrialized countries are managed. In Europe more
56 than 80% of forests are sustainably managed (FAO, 2010), meaning that forest
57 management can make a significant contribution to reducing the effect of carbon
58 emissions (Groen et al., 2006).

59 While the principal forest management technique for storing carbon and thus mitigating
60 atmospheric CO₂ involves afforestation or reforestation (Machado et al., 2015), it is also
61 important to take into account the management of existing forests. Forests are highly
62 complex systems and are influenced by numerous external and internal factors which
63 need to be considered when developing different sustainable management strategies in
64 different forest types and regions. Knowledge of the carbon cycle in forest dynamics
65 facilitates an understanding of forest carbon pools (living and dead biomass, soil and
66 wood products) and enables the estimation of the carbon stocks and stock changes in
67 and between carbon pools (Pérez- Cruzado et al., 2012; Ruiz-Peinado et al., 2013). At
68 the same time, there exists a variety of possible silvicultural management alternatives,
69 and the suitability of each in a given situation depends on many variables, such as type
70 of harvesting, length of rotation period or tree species composition (Alvarez et al.,
71 2014). Thus it is essential to evaluate the effect of each alternative, in various situations,
72 on carbon storage and so achieve a practical and realistic assessment of each
73 alternative's (potential) role in mitigating climate change.

74 As regards the forest carbon pools mentioned above, the storage of carbon in wood
75 products is the least studied aspect of this field. Despite this, some authors have
76 highlighted the fact that the carbon stored in wood and wood products offers a valuable
77 strategy for mitigating climate change (Bravo et al., 2008a), particularly when it is not
78 only the forest system but rather the whole forest-industry value chain which is
79 considered. Carbon stored in wood products is held until the end of the item's useful
80 life, but at the same time, sustainably managed forests regenerate and thus, through the
81 increase in forest biomass, they go on to sequester more carbon (Karjalainen et al.,
82 1994). The length of time the carbon is stored depends on the type of wood product
83 (short-, medium- or long-term), its disposal (landfill, recycling or energy production)
84 and the efficiency of the sawmill processes. The production of more long-term products
85 can help increase the global amount of carbon stored (Hennigar et al., 2008), and hence
86 in recent years, some researchers have begun to focus on wood products in this respect
87 (Martel, 2010; Fortin et al., 2012; Proft et al., 2009). Furthermore, if the “substitution
88 effect” of wood as a material is taken into consideration (i.e., using wood products in
89 place of other materials which are more energy intensive to produce, like concrete or
90 fossil fuel), the amount of GHGs emitted into the atmosphere could be considerably
91 reduced (Gustavsson and Sathre 2011). However, neither approach is included within
92 the Kyoto Protocol, despite the fact that almost 80% of wood removals correspond to
93 roundwood, according to the Food and Agriculture Organization of the United Nations
94 (FAO) report on the evaluation of the Global Forest Resources (2010).

95 To explore how the different forest management alternatives influence carbon stores in
96 a forest, researchers have relied on model projections of the biomass-soil-product chain
97 in managed forests for different broadleaf and conifer species (Alvarez et al., 2014;
98 Bravo et al. 2008b; Lizarralde et al., 2008; Masera et al., 2003; Nabuurs and Schelhaas,

99 2002; Pérez-Cruzado et al., 2012), and thus provide appropriate tools to assist managers
100 in decision making and policy development. However, most of these studies focus
101 principally on conifers and not on hardwood species. Furthermore, despite the latter
102 having wide distribution ranges in the area, they have been little studied in Europe. A
103 good example is the sweet chestnut (*Castanea sativa* Mill.) (EUFORGEN, 2009), which
104 has long played an important economic role in many European countries (Conedera et
105 al., 2004). In fact, in France, improved forest management practices were recently
106 evaluated to estimate the carbon balance and the carbon storage (both in the forest and
107 wood products) of this species (Martel, 2010). In Northern Spain, sweet chestnut is
108 particularly important in construction due to its good characteristics as a structural
109 material and there is currently considerable interest in improving its management as a
110 forestry resource. Hence, the study and evaluation of new forest management strategies
111 is essential, not only to improve the management and economic potential of sweet
112 chestnut, but also to quantify its role in mitigating climate change through its storage of
113 carbon in long- and medium-term products.

114 The present study, therefore, evaluates the effect of different silvicultural management
115 alternatives on *C. sativa* Mill. in Northern Spain. The current chestnut coppice stands in
116 the area are the result of cultural and economic changes in the late XVIII century
117 (Miguellez Menendez et al., 2013). Traditionally, chestnut has been widely used for
118 construction (houses, traditional grain stores), carpentry and furniture, as well as for
119 fruit and firewood. However, the abandoning of these stands in recent decades
120 (Martínez-Alonso and Berdasco, 2015), along with the absence of sprout selection in
121 many of the remaining stands, has resulted in degraded, extremely dense, over-mature
122 and thus unstable stands. To address this problem, the regional government of Asturias
123 (Northern Spain) has launched management initiatives for this species (Álvarez-Vergel

124 et al., 2011) based primarily on performing thinnings at different ages. It is therefore of
125 great interest to investigate how different regimes and rotations affect both growth and
126 timber production, and hence carbon storage.

127 Using the CO2FIX v 3.1 model (Maser et al., 2003; Schelhaas et al., 2004), the main
128 aims of this study are (1) to quantify the baseline for carbon stored in biomass, soil and
129 wood products (short-, medium- and long-term) for an important forestry species in
130 Northern Spain i.e. sweet chestnut coppice, (2) to evaluate the effect of different forest
131 management alternatives (thinning intensities and rotation lengths) on the carbon stored,
132 compared to the baseline, and (3) estimate the substitution effect of sweet chestnut
133 products against alternative materials.

134

135 **Materials and Methods**

136 *Study Area*

137 The study was conducted in sweet chestnut coppice stands (*Castanea sativa* Mill.) in
138 the north of the Iberian Peninsula, in Asturias, Spain (Fig. 1). These stands are located
139 between 176 and 880 m.a.s.l., with different orientations and with a slope of between 19
140 and 75%. The average annual temperature is 10-11° C, and the annual rainfall ranges
141 from 818 to 1380 mm, with 525-821 mm falling throughout the growing season (March
142 to October). The soil humidity regime is Udic with sufficient soil moisture in the
143 growing season, except for one month in summer when there is drought. The soil has a
144 sandy loam and/or sandy clay loam texture. In the study area, this species occupies
145 123,549 ha, mainly as coppice (DGCONA, 2003), with an annual total harvested
146 volume of 24,664 m³ (SADEI, 2011). Although a single large local company transforms

147 the great majority of the sweet chestnut wood produced in Asturias, about 26% of the
148 harvested volume is processed by a considerable number of small sawmills, the
149 destination and use of the wood depending on the size reached by the tree. Only a few
150 of the stands studied had been subjected to management, which was of low intensity and
151 consisted solely of a final cutting at the end of the rotation (R=40 years).

152 To carry out this study, 15 circular plots (15 m radius) were used (Table 1). These plots
153 are part of the long-term *C. sativa* permanent network established and maintained by
154 CETEMAS (Forest and Wood Technology Research Centre) in Asturias (Miguélez
155 Menendez et al., 2013).

156 *CO2FIX Model*

157 The CO2FIX v 3.1 model (Maser et al., 2003; Schelhaas et al., 2004) quantifies the
158 carbon stored in a forest stand, providing information about carbon fluxes and balances
159 over time. The model also allows simulations for multiple rotations. Its applicability has
160 been previously demonstrated for a wide range of typologies of European forests
161 (Nabuurs and Schelhaas, 2002), tropical forests (Groen et al., 2006), plantations and/or
162 monocultures (Schelhaas et al., 2004) and coppice (Schelhaas et al., 2004). CO2FIX v
163 3.1 (<http://www.efi.fi/projects/casfor/>) converts volumetric net annual increment data,
164 allocation data, turnover rates and forest management and wood products data to annual
165 carbon stocks and fluxes. It consists of six modules: biomass, soil, products, bioenergy,
166 carbon finance and carbon accounting. In this study, only the first three modules were
167 used for the evaluations.

168 *Biomass module*

169 The biomass module estimates the carbon stored in biomass using the annual volume
170 increment of stems, branches, leaves and roots, natural mortality, competition, forest
171 management mortality (thinning) and silvicultural characteristics to simulate treatments.
172 The biomass module was parameterized as a function of stand age. Stem production
173 (current annual increment, CAI, $\text{m}^3\text{ha}^{-1}\text{year}^{-1}$) was obtained from the yield models
174 developed for sweet chestnut coppice in the study area (Cabrera, 1998). The calculation
175 of the carbon stored in stems was carried out using specific sweet chestnut data and
176 considering a wood density of 0.584 Mg m^{-3} at 12% moisture (Vega, 2013) and a
177 carbon content of 48.4% (Montero et al., 2005). These values were used for all biomass
178 fractions (stem, branches, leaves and roots) because no specific data exists for values of
179 carbon in each individual biomass fraction. The biomass growth of foliage, of branches
180 and of roots were expressed as fractions relative to the growth rate of the stem biomass
181 (Schelhaas et al., 2004). In the case of leaves and branches, the proportion of each was
182 calculated with the biomass equations developed by Menéndez-Miguélez et al. (2013)
183 for this species in Northern Spain. However, due to the absence of root biomass
184 equations for sweet chestnut coppice, the model developed for sweet chestnut high
185 forest by Ruiz-Peinado et al. (2012) in Spain was used to estimate belowground
186 biomass. Natural mortality was assessed in all plots two years after carrying out the
187 initial inventory by counting the number of trees which had died since the inventory
188 was conducted, and a value of 0.03% obtained (this value was established as a constant
189 for the entire rotation length). Management mortality (thinning) and competition,
190 considered in the CO2FIX as factors that modify current annual increment competition,
191 were not included in this study due to lack of data.

192 *Soil module*

193 This module describes the decomposition and carbon dynamics in well-drained soils
194 following the Yasso model which is used in the CO2FIX model (Liski et al. 2005).
195 Briefly, decomposition of litter and harvesting residues is simulated using basic climate
196 and litter quality information, and which has been shown to adequately describe the
197 effects of climate on decomposition rates of several litter types in a wide range of
198 ecosystems from arctic tundra to temperate forests and tropical. The model depends on
199 the climatic data of the site studied (sum of the daily temperatures during the year that
200 are above 0°C, precipitation and potential evapotranspiration in the growing season),
201 litterfall rates and turnover (annual rate of mortality of the biomass component) of the
202 biomass fractions (stems, branches, leaves and roots) (Schelhaas et al 2004). The
203 fractionation rates of woody litter and decomposition rate are determined by
204 temperature and water availability. The average climate data used here were obtained
205 from the digital climate Atlas of the Iberian Peninsula (Ninyerola et al., 2005).

206 Leaf turnover was estimated considering that all leaves fall in 1 year because sweet
207 chestnut is a deciduous species (value equal to 1). Branch turnover was calculated
208 considering that a value of 0.40 Mg ha⁻¹ of carbon was provided to the soil (Patricio et
209 al., 2012). Stem fraction was evaluated directly and the trees which had fallen between
210 the taking of the inventory and the census of dead trees were also included. The
211 contribution of roots to soil was calculated with the equation proposed by Dahlman and
212 Kucera (1965) and tested by Gill and Jackson (2000) for different climatic gradients and
213 functional plant groups, due to the lack of specific data for sweet chestnut in the
214 literature (Equation 1):

$$\text{Root turnover} = \frac{\text{Annual belowground production (kg ha}^{-1}\text{ year}^{-1})}{\text{Maximum belowground biomass (kg ha}^{-1}\text{)}} \quad (1)$$

215 The annual belowground production of root biomass was estimated using the annual
216 difference in root production from 0-40 years (rotation age). This required fitting a
217 model (Equation 2) that related plot age (t , years) with root biomass (W_{root} , kg ha^{-1}),
218 estimated with the equation of Ruiz-Peinado et al. (2012). Equation 2 was fitted by non-
219 linear regression with the NLIN procedure of SAS/STAT[®] (SAS Institute Inc., 2004).
220 The initial parameters for running the non-linear regression had been previously
221 obtained by linearizing the non-linear regression. In addition, the coefficient of
222 determination (R^2) was calculated. The maximum belowground root biomass value used
223 was that corresponding to the maximum found across all plots. All data were taken from
224 the permanent plots used to carry out this study.

$$W_{root} = b_0 * \exp^{(t*b_1)} \quad (2)$$

225 In the soil module, decomposition of litter and harvest residues was simulated using
226 basic climate and litter quality information.

227

228 *Product module*

229 This module tracks the carbon in wood from harvesting to processing into various
230 products to their disposal (Karjalainen et al., 2002; Masera et al., 2003) and it is based
231 on a model developed and used before by Karjalainen et al. (1994). Data were obtained
232 from the largest local sawmill in the area, mentioned previously, which processes 74%
233 of the total chestnut sawn timber production in Asturias (SADEI, 2011). The products
234 manufactured from chestnut logs at the sawmill were beams, planks, poles and
235 firewood, depending on log size. To evaluate the percentage of each product produced,

236 the methodology proposed by Martínez-Alonso and Berdasco (2015) was used. The
237 logs were painted and numbered to ensure traceability during the sawmill processing
238 (sawing, drying, debarking, planing, optimizing, grading and sorting). At each stage
239 products and co-products were weighed and the volume of each log was calculated. In
240 the drying process the contraction of the wood after drying was taken into account (4%
241 in thickness and 7% in width) (Fernández-Golfín and Álvarez 1998).

242 The product module distinguishes three categories for the different usage of wood
243 products and their possible later re-use, each with a different lifespan (options: long-,
244 medium- and short-term). The lifespan considered for long-term products (beams) was
245 40 years (Eggers, 2002; Fortin et al., 2012), 15 years for medium-term products (poles
246 and planks) and 1 year for short-term products (firewood) (Schelhaas et al., 2004).

247 *Total carbon*

248 The CO2FIX model calculates the total carbon as the sum of the carbon stored in the
249 soil and that stored in wood products, making the assumption that the carbon stored in
250 biomass is subsumed within the category of wood products.

251 *Simulated management alternatives*

252 After model parameterization, five different silvicultural alternatives (scenarios) were
253 simulated for sweet chestnut coppice (Table 2). The first scenario (baseline scenario)
254 was the current management of this species in the study area (baseline carbon
255 sequestration), which consisted of one single harvesting, set at 40 years, with no
256 previous silvicultural interventions. The other scenarios simulated were: A) selection of
257 sprouts at 10 years, one thinning at 15 years and harvest at 40 years (A-Th₁R₄₀), or at 60
258 years (A-Th₁R₆₀); and B) selection of sprouts at 10 years, one thinning at 15 years,

259 another thinning at 26 years and harvest at 40 years (B-Th₂R₄₀) or at 60 years (B-
260 Th₂R₆₀). Scenarios A-Th₁R₆₀ and B-Th₂R₆₀ were based on those proposed by Martel
261 (2010). The relative percentages of harvested wood and slash were measured in the field
262 following thinnings (72% and 28%, respectively) and following the final harvest (70%
263 and 30%, respectively) when rotation was 40 years. When rotation was 60 years, these
264 data were obtained through consultation with experienced forestry experts. For all
265 scenarios it was assumed that the harvest fraction was 0 when selection of sprouts was
266 made because the tree remained in the forest and the slash fraction was left on the
267 ground.

268 In each scenario, five rotations were simulated, in order to compare how the carbon
269 content in the stands evolves over time, considering each of the different proposed
270 management alternatives in turn. Hence, when rotation was 40 years the simulation
271 period was 200 years and when the rotation was 60 years the simulation period was 300
272 years.

273 *Substitution effect: wood as alternative material*

274 One important carbon impact is that resulting from the use of wood products in place of
275 other materials. Hence in this work, material substitution was calculated by comparing
276 the lifecycle inventory of the sweet chestnut wood products evaluated in the simulated
277 scenarios with those of the most usual alternative materials (Fortin et al. 2012). In this
278 study, 1 kg CO_{2e} m⁻³ of wood product was evaluated and compared with 1 kg CO_{2e} m⁻³
279 of the alternative material.

280 For building products it was assumed that wood would substitute concrete, and for
281 heating purposes that wood biomass would replace fossil fuel. The fossil fuel emissions
282 related to the processing of products were estimated using available lifecycle

283 inventories. The lifecycle inventories for wood products of sweet chestnut used in this
284 study were obtained by Martinez-Alonso & Berdasco (2015), focusing on forestry
285 (harvesting practices), haulage and sawmill processing. Those related to the substitute
286 material were taken from European LCIs.

287 **Results**

288 *Carbon stored in biomass, soil and products*

289 For the baseline scenario, the carbon stored in the aboveground biomass was 119.75 Mg
290 C ha⁻¹, of which 79% was stored in stems (95.08 MgC ha⁻¹), 20.5% in branches (24.61
291 MgC ha⁻¹), and less than 1% in leaves (0.06 MgC ha⁻¹). The carbon stored in
292 belowground biomass was 48.42 MgC ha⁻¹ and that in soil was 131 MgC ha⁻¹. The
293 turnover considered to establish the soil carbon content was 0.06 for stems, 0.021 for
294 branches and 0.024 for roots. In the latter case, the model obtained for the calculation of
295 root biomass as a function of age had an R² of 0.82, and both b₀=29369.63 and b₁=0.038
296 were significant with a confidence interval of 95%.

297 The carbon stored in the aboveground and belowground biomass remained constant
298 over time in all scenarios. However, with one exception, compared to the baseline, in
299 the alternative scenarios the carbon stored in all fractions of biomass decreased as the
300 number of silvicultural interventions increased, the trend being much more pronounced
301 in stems than in other components. The exception was scenario A-Th₁R₆₀, where carbon
302 stored in stems increased significantly compared to both the baseline and scenario A-
303 Th₁R₄₀ (Fig. 2).

304 The proportion of wood destined for each product type entering the sawmill depended
305 on the timing and type of silvicultural intervention performed. The largest products
306 were beams and small beams, which were only obtained after final harvesting. Poles
307 were obtained as a result of thinnings, while firewood was obtained from both
308 harvesting and thinning operations. Planks were obtained after final harvesting and in
309 some cases also as a result of thinnings. For the products evaluated, the lumber recovery
310 factor (LRF) decreased in the following order: firewood>pole>small
311 beam>plank>beam>small plank (Table 3). Note that sometimes the co-products
312 produced in one stage are the actual products produced in another stage, for example, a
313 co-product of beam production is planks, which is in itself a medium-term product.

314 In all the alternative scenarios considered, the percentage of wood designated for better
315 quality and larger-sized products increased in the final harvesting compared to the
316 baseline. As a result, the amount of firewood decreased in the following way; baseline >
317 A scenarios (one thinning) > B scenarios (two thinnings). More specifically, in
318 scenarios A-Th₁R₄₀ and B-Th₂R₄₀ the percentage of wood suitable for the manufacture
319 of long- and medium-term products increased by 7% and 9.5 %, respectively, compared
320 to the baseline. In the extended rotation scenarios (60 years), the increase was 8.25%
321 and 10.5%, for one thinning and two thinning scenarios, respectively.

322 In terms of long-term products, the carbon stored was highest in scenario A-Th₂R₆₀ (29
323 MgC ha⁻¹), values for the rest of the scenarios evaluated being 25.27 for the baseline,
324 and 27.59, 23.49 and 25.34 MgC ha⁻¹ for scenarios A-Th₁R₄₀, B-Th₁R₄₀ and B-Th₂R₆₀,
325 respectively. The same tendency was observed for medium-term products, with A-
326 Th₂R₆₀ being the highest with 37.39 MgC ha⁻¹ compared to the baseline with 28.37
327 MgC ha⁻¹ and scenarios A-Th₁R₄₀, B-Th₁R₄₀ and B-Th₂R₆₀ having 31.76 MgC ha⁻¹,

328 29.70 MgC ha⁻¹ and 32.96 MgC ha⁻¹, respectively. However, carbon storage in short-
329 term products was higher in the baseline than in any of the alternative scenarios
330 evaluated (Table 4).

331 At the end of the simulated period, it can clearly be seen that in three of the four
332 scenarios the application of thinnings provoked a decrease in the amount of carbon
333 stored in total biomass with respect to the baseline of 168 (i.e. amounts of 155, 115 and
334 145 MgC ha⁻¹ for scenarios A-Th₁R₄₀, B-Th₂R₄₀ and B-Th₂R₆₀, respectively). The
335 exception was scenario A-Th₁R₆₀, where 178 MgC ha⁻¹ was stored. This trend was not
336 however observed in the soil carbon, where the baseline accumulated more carbon than
337 in any of the scenarios (131 MgC ha⁻¹ compared to 125, 119, 108 and 107 for scenarios
338 A-Th₁R₄₀, A-Th₁R₆₀, B-Th₂R₄₀ and B-Th₂R₆₀, respectively). The amount of carbon
339 stored in wood products was higher in the A-Th₁R₄₀, A-Th₁R₆₀ and B-Th₂R₆₀ scenarios
340 (208, 241 and 225 MgC ha⁻¹, respectively) than in the baseline (197 MgC ha⁻¹) but this
341 was not the case for scenario B-Th₂R₄₀ (195 MgC ha⁻¹).

342

343 *Total Carbon*

344 The total carbon stored (above and belowground biomass, soil and products) (Table 5)
345 was 328 MgC ha⁻¹ in the baseline scenario and 334 and 303 MgC ha⁻¹ in scenarios A-
346 Th₁R₄₀ and B-Th₂R₄₀, respectively (at 200 years) and 361 and 333 MgC ha⁻¹ in
347 scenarios A-Th₁R₆₀ and B-Th₂R₆₀ (at 300 years). Regardless of the management
348 involved, total carbon stock increased over time in each of the five scenarios evaluated,
349 scenario A-Th₁R₆₀ at 300 years being that which stored most carbon. There were
350 however considerable differences between scenarios. With respect to the 40 year

351 rotation scenarios: in A-Th₁R₄₀, the carbon stored in the baseline was higher during the
352 two first rotations but in the third rotation (120 years) the amounts were similar, while
353 in the fourth and fifth rotation (160 and 200 years) the carbon stored in scenario A-
354 Th₁R₄₀ exceeded the baseline; Meanwhile in the extended rotation scenarios, less carbon
355 was stored in scenario B-Th₂R₄₀ at the end of each rotation than in either the baseline or
356 scenario A-Th₁R₄₀, while, in contrast, the single thinning scenario, A-Th₁R₆₀, was that
357 which stored the most carbon at the end of every rotation (60, 120 and 180 years), due
358 to the fact that the carbon stored in products was always higher when only one thinning
359 was made.

360

361 *Substitution effect*

362 Emissions avoided corresponded to 879.83 kg CO_{2e} m⁻³ in the case of wood replacing
363 concrete as a building material, and 2711.92 kg CO_{2e} m⁻³ when it replaced gas or oil as a
364 heating material (Fig 3). The sweet chestnut products considered with respect to
365 building materials were: pole, small beam, plank, beam and small plank, and with
366 respect to heating material, firewood was the only product considered.

367 **Discussion**

368 The comparison of the different simulated scenarios for sweet chestnut coppice showed
369 that thinnings modified carbon distribution in the different elements evaluated: biomass,
370 soil and products. When one thinning was applied, there was a slight increment in
371 carbon stock in biomass compared to the baseline. However, in the scenarios with two
372 thinnings there was a decrease in biomass carbon stock decreased. This has also been

373 observed in other species, both softwood and hardwood (Mund and Schulze, 2006;
374 Ruiz-Peinado et al., 2014). One factor that could account for this decrease in biomass
375 carbon relates to the site index, whereby better quality sites imply greater growth, which
376 in turn means more carbon storage and hence an increased mitigation potential of the
377 stand (Profft et al., 2009). The effect of thinning on the carbon accumulated in the
378 biomass therefore has less impact in stands with better site indexes due to their higher
379 productivity (Perez-Cruzado et al., 2012), making the relatively costly silvicultural
380 intervention of thinnings in such sites more economically viable. In stands with lower
381 site indexes, meanwhile, one way to incentivize silvicultural intervention, and thus
382 increase carbon storage capacity, could be through the carbon being considered as an
383 ecosystem service (Bravo et al., 2008a). Future research is needed to study how the
384 influence of the site index affects the carbon stored in sweet chestnut coppice in the
385 region.

386 This study carries out a full characterization of wood products in the forest-industry
387 value chain for sweet chestnut coppice. Thinnings showed a positive influence on
388 carbon storage in products, with the exception of scenario B-Th₂R₆₀. The results of the
389 present study demonstrate that long- and medium-term products store more carbon than
390 short-term ones. This may be due to thinning interventions providing better quality
391 roundwood at final harvesting which can be used for long-term products (Profft et al.,
392 2009) to meet potential market demand, and is thus an important issue to consider in
393 future research. Another factor which influences and is key to the results of the products
394 module is the lifespan assigned to each of the products. The globalization of markets
395 makes the traceability of wood products difficult, meaning that in many cases there is an
396 absence of data on their longevity (Larson et al., 2012). There is great variation in the
397 lifespan periods which can be attributed, e.g., the definition of long-term products may

398 range from 20 to 50 years, medium-term products from 10 to 20, and short-term
399 products from 1 to 2 (Karjalainen et al., 1994; Profft et al., 2009; Perez-Cruzado et al.,
400 2012). Moreover, since silviculture also affects the lifespan of harvested products, the
401 further development of this type of study is essential for the correct characterization of
402 products (Miner, 2006). The results presented here indicate that for the long-term
403 products considered (beams) the most favourable scenario in terms of carbon stored was
404 A-Th₂R₆₀ followed by A-Th₁R₄₀. The same tendency was observed for medium term
405 products (poles and planks) with A-Th₂R₆₀ being the most favourable scenario.
406 However, for short-term products (firewood) the baseline was found to be the scenario.

407 Wood products can in fact have a double mitigating effect: on the one hand, through
408 carbon sequestration in the raw material and on the other, by substituting alternative
409 products (e.g. steel, concrete, fossil fuels etc.) (Gustavsson and Sathre, 2011; Lippke et
410 al., 2011) which brings about a sustainable reduction in atmospheric carbon. This first
411 consideration of the substitution effect of sweet chestnut wood products shows their
412 potential use, particularly the use of firewood to substitute gas or oil in heating. There
413 are other studies that have also found a positive impact on carbon fluxes, albeit with
414 other species (Fortin et al., 2012; Lippke, 2011; Murphy et al. 2015; Perez-García,
415 2005; Petersen and Solberg, 2005; Røyne et al. 2016). A full assessment of the impact
416 of forests on climate change mitigation should consider the carbon stored in wood
417 products harvested from stands which are sustainably managed, as well as the
418 substitution effect so as not to underestimate the potential of the forest sector in the fight
419 against climate change (Karjalainen et al., 1994; Ståhls et al.2011).

420 The goal of a silvicultural treatment might be for timber exploitation, conservation,
421 recreational use or storage of carbon, as in this work. Our results indicate that as far as

422 total carbon accumulation is concerned scenario B-Th₂R₆₀ is the least viable. Moreover,
423 the two A- scenarios considered (i.e. a single thinning) were clearly the best
424 management option, particularly when the rotation was extended to 60 years (ATh₁R₆₀).
425 Silviculture actions which encourage the increment of biomass have also been proposed
426 by entities such as the Verified Carbon Standard (VCS, 2013) as a way to extend the
427 mitigation potential of forestry exploitations. Different European forests have been
428 analysed to see how the application of long rotations affect carbon accumulation, results
429 indicating that an increment of 20 years in the rotation age increases total carbon stored
430 in pine forests by between 6 and 13% and in spruce forests by 14-67% (Kaipainen et al.,
431 2004). Our finding that increasing the rotation period in sweet chestnut coppice in
432 Northern Spain is an effective silvicultural management strategy supports observations
433 for the same species in France (Martel, 2010), and for softwood species (*Pinus*
434 *sylvestris*, *Picea abies*) in other European regions like Finland (Liski et al., 2001).

435 This work therefore demonstrates the validity of the CO2FIX model as a tool to allow
436 the identification of the mean differences in forest carbon stock according to the
437 different silvicultural managements (thinnings and rotation length) implemented for
438 sweet chestnut coppice, in line with its proven success in other ecosystems (Alvarez et
439 al., 2014). This study contributes to assessing and detecting differences in carbon stocks
440 under different forest management operations and therefore it will help to incorporate
441 the carbon sequestration issue in the forest management agenda. Forest managers and
442 policy makers interested in mitigating climate change should be considering: (1)
443 lengthening the rotation period by 20 years; (2) reducing the number of thinnings
444 implemented; and (3) promoting the use of sweet chestnut wood products, especially as
445 woodfuel. These actions will also bring about co-benefits in terms of rural development,

446 especially in those areas where sweet chestnut coppices have been abandoned because
447 of their low forestry profitability.

448 **Conclusions**

449 The estimations of carbon sequestration by sweet chestnut coppice under five
450 alternative management scenarios (including baseline) in this work shed new light on
451 the effect of different silvicultural management alternatives on carbon storage in
452 biomass, soil and wood products. The results reveal that the application of thinnings
453 altered the total carbon of the system. When the forest management was intense (more
454 than one thinning), a loss of carbon was observed with respect to the baseline. However,
455 in scenarios where only one thinning was considered, a small increase in the total
456 carbon compared to the baseline was observed, principally in terms of the carbon stored
457 in wood products. Also, extending the rotation from 40 to 60 years under this
458 silvicultural regime would provide a 9.14% increase in total carbon by allowing greater
459 growth in biomass and therefore increasing the carbon stock of sweet chestnut coppice.
460 Moreover, a positive effect on carbon storage was noted when more wood was available
461 for the manufacture of long-term products. The positive effect on GHG emissions of
462 substituting materials such as concrete and fossil fuel with sweet chestnut is an addition
463 plus in terms of the mitigation effect of this species. Taken as a whole, the information
464 in this work with respect to growth and the carbon storage capabilities of this species (in
465 soil, biomass and products) under different silvicultural interventions, and the
466 evaluation of the substitution effect, provides valuable information about sweet chestnut
467 management and carbon sequestration which will help forest managers in their planning
468 and decision making, taking into account the important mitigation option.

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Table 1. Stand characteristics of the 15 plots of *Castanea sativa* Mill. studied.

	Site index (SI=19 m) ¹			
	Av.	Max.	Min.	SD
Age (years)	35	55	14	12.4
N (stems ha⁻¹)	1903	4315	608	1193
G (m² ha⁻¹)	38.9	52.7	16.3	11.2
dg (cm)	18.5	30.9	8.4	6.6
Total biomass (Mg ha⁻¹)	168.2	279.0	58.3	65.8

¹Reference age=30 years. *N*=density; *G*=Basal area; *dg*=quadratic mean diameter.

Table 2. Simulated management scenarios (baseline and A-Th₁R₄₀, A-Th₁R₆₀, B-Th₁R₄₀ and B-Th₂R₄₀) in sweet chestnut coppice.

	Age (years)	Management operations	N _b (stems/ha)	N _a (stems/ha)	Harvested Wood (% in volume)	Slash (% in volume)
Baseline scenario	40	Final harvesting	1903	-	70	30
Scenario A-Th₁R₄₀	10	Selection of sprouts	1903	700	-	100
	15	Thinning	700	325	72	28
	40	Final harvesting	325	-	70	30
Scenario A-Th₁R₆₀	10	Selection of sprouts	1903	700	-	100
	15	Thinning	700	325	72	28
	60	Final harvesting	325	-	70	30
Scenario B-Th₂R₄₀	10	Selection of sprouts	1903	700	-	100
	15	Thinning	700	325	72	28
	26	Thinning	325	180	72	28
	40	Final harvesting	180	-	70	30
Scenario B-Th₂R₆₀	10	Selection of sprouts	1903	700	-	100
	15	Thinning	700	325	72	28
	26	Thinning	325	180	72	28
	60	Final harvesting	180	-	70	30

N_b=density before harvesting, *N_a*=density after harvesting.

Table 3. The Lumber Recovery Factor (LRF) of the products studied.

	LRF (%)	Co-product (Plank) (%)	Co-product (Firewood) (%)	Residues (%)
<i>Long-term products</i>				
Beam	37.29	34.19	4.5	24.02
Small beam	40.02	4.32	5.3	50.36
<i>Medium-term products</i>				
Plank	38.38	-	12.89	43.46
Board	20.89	26.85	6.91	37.55
Pole	57.76	-	42.24	-
<i>Short-term products</i>				
Firewood	96.43	-	-	3.57

Table 4. Percentage (%) of wood designated to each type of product at each stage (beams, planks, pole and firewood) depending on the management scenario.

	Beam (%)	Small beam (%)	Plank (%)	Small plank (%)	Pole (%)	Firewood (%)
<i>Baseline scenario</i>						
Final harvesting	32	6	32	6	-	24
<i>Scenario A-Th₁R₄₀</i>						
Thinning	-	11	10	-	52	27
Final harvesting	39	6	39	6	-	10
<i>Scenario A-Th₁R₆₀</i>						
Thinning	-	11	10	-	52	27
Final harvesting	40.25	6	40.25	6	-	7.5
<i>Scenario B-Th₂R₆₀</i>						
1^a Thinning	-	11	10	-	52	27
2^a Thinning	-	17	20	-	48	15
Final harvesting	41.5	6	41.5	6	-	5
<i>Scenario B-Th₂R₄₀</i>						
1^a Thinning	-	11	10	-	52	27
2^a Thinning	-	17	20	-	48	15
Final harvesting	42.5	6	42.5	6	-	3

1 Table 5. Evolution of the carbon content in each scenario by rotations and components.

	Years	MgC/ha			
		Biomass	Soil	Products	Total
Baseline scenario	40	168	131	88	220
	80	168	132	122	255
	120	168	131	152	284
	160	168	131	176	308
	200	168	131	197	328
Scenario A-Th1R40	40	155	126	83	209
	80	155	126	122	248
	120	155	125	156	282
	160	155	125	185	310
	200	155	125	208	334
Scenario A-Th1R60	60	178	123	106	230
	120	178	122	154	277
	180	178	121	191	313
	240	178	120	220	340
	300	178	119	241	361
Scenario B-Th2R40	40	115	109	70	180
	80	115	109	110	219
	120	115	108	143	252
	160	115	108	171	280
	200	115	108	195	303
Scenario B-Th2R60	60	145	111	93	205
	120	145	110	140	251
	180	145	109	177	286
	240	145	108	205	313
	300	145	107	225	333

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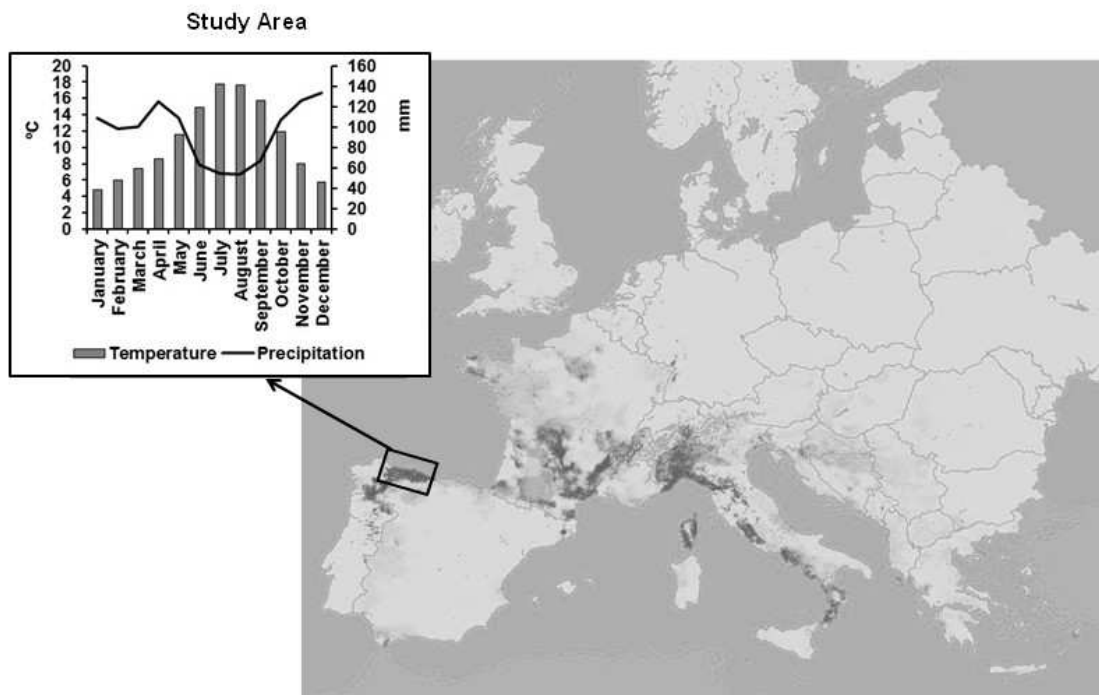


Fig 1. Distribution of sweet chestnut in Europe and location of the study area.

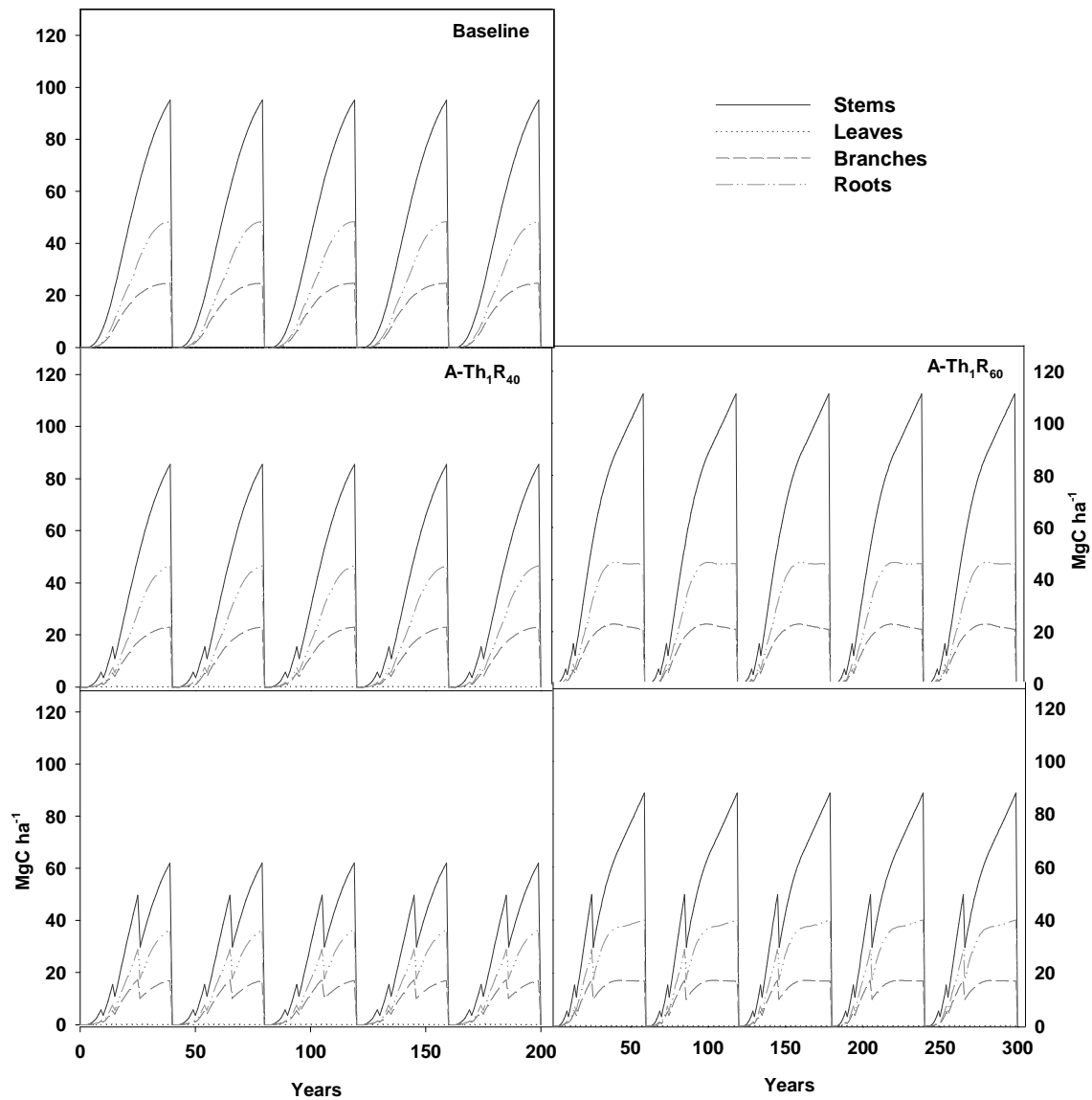


Fig 2. Carbon stored in each biomass fraction in each scenario simulated. Homogenous comparisons of the results considering mean values for each alternative evaluated; when rotation was 40 years, the simulation period was 200 years and when the rotation was 60 years, the simulation period was 300 years.

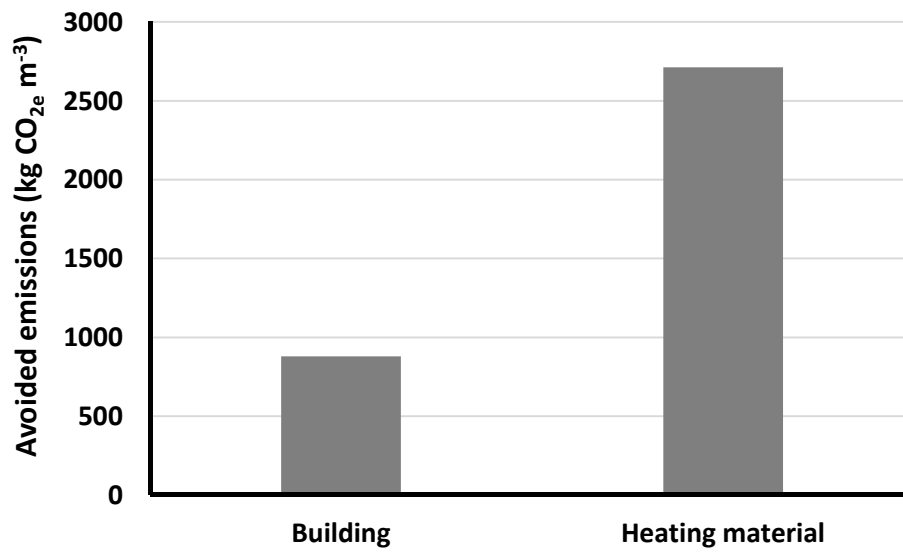


Fig 3. Substitution effect on GHG emissions when replacing traditional materials (concrete for building and fossil fuel for heating) by sweet chestnut wood products.

Highlights

- Establishment of the baseline of carbon capture in sweet chestnut coppice.
- Assessment of the effect of thinnings intensities and rotation lengths on carbon storage.
- Simulation of different silvicultural management alternatives (scenarios).
- Evaluation of the substitution effect of sweet chestnut products against alternative materials.