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¹ Biocompatible ELR-Based Polyplexes Coated with MUC1 Specific ² Aptamers and Targeted for Breast Cancer Gene Therapy

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5 Supporting Information

ABSTRACT: The search for new and biocompatible materials 6 with high potential for improvement is a challenge in gene 7 8 delivery applications. A cell type specific vector made of elastinlike recombinamer (ELR) and aptamers has been specifically 9 designed for the intracellular delivery of therapeutic material 10 for breast cancer therapy. A lysine-enriched ELR was 11 constructed and complexed with plasmid DNA to give 12 positively charged and stable polyplexes. Physical character-13 ization of these polyplexes showed a particle size of around 140 14 nm and a zeta potential of approximately +40 mV. The 15



incorporation of MUC1-specific aptamers into the polyplexes resulted in a slight decrease in zeta potential but increased cell transfection specificity for MCF-7 breast cancer cells with respect to a MUC1-negative tumor line. After showing the transfection

ability of this aptamer-ELR made vector facilitated mainly by macropinocytosis uptake, we demonstrated its application for

19 suicide gene therapy using a plasmid containing the gene of the toxin PAP-S. The strategy developed in this work about using

20 ELR as polymeric vector and aptamers as supplier of specificity to deliver therapeutic material into MUC1-positive breast cancer

21 cells shows promising potential and continues paving the way for ELRs in the biomedical field.

22 **KEYWORDS:** ELR, polyplex, aptamer, transfection, breast cancer

1. INTRODUCTION

23 Breast cancer is the principal malignancy diagnosed in women 24 in Western societies, with an estimated 230,000 new cases of 25 breast cancer being detected in the female population of the 26 USA during 2014.¹ Although different treatments, namely, 27 surgery, chemotherapy, radiation, endocrine or targeted therapy 28 using Trastuzumab, are available and all of them have been 29 shown to be effective in advanced breast tumors, they improve 30 the clinical outcome but do not increase the cure rate.² In the 31 search for alternatives, gene therapy using siRNA, toxic genes, 32 or pro-apoptotic genes, among others, has emerged as one of 33 the most promising strategies for cancer treatment.^{3–5} 34 Moreover, the combination of gene therapy with radio- or 35 chemotherapy has shown excellent results.⁶⁻ ⁹ One successful 36 approach for gene therapy involves making use of the natural 37 killing ability of toxic agents such as ribosome-inactivating 38 proteins (RIPs). RIPs are RNA N-glycosidases that inactivate 39 by way of a site-specific deadenylation in the large rRNA of 40 eukaryotic and prokaryotic ribosomes.^{10,11} Specifically PAP-S 41 (Pokeweed Antiviral Protein from the seeds of Phytolacca 42 americana), which is a type I RIP, is a basic monomeric enzyme 43 of approximately 30 kDa lacking a lectin chain.^{12,13} Unlike type 44 II RIPs, which are able to penetrate living cells using their cell 45 recognition domain, PAP-S is not cytotoxic. For this reason, it 46 has been used as toxic element in the construction of 47 immunotoxins in different studies.^{14–16}

48 Advanced anticancer therapies require the development of 49 targeted biological strategies in order to maximize efficacy while reducing toxicity. The glycoprotein MUC1 is a membrane- 50 bound glycoprotein containing a variable number of PST 51 domains rich in proline, serine, and threonine, with these 52 residues serving as sites for post-translationally O-linked 53 glycosylation.^{17,18}MUC1 is overexpressed in epithelial tumors 54 such as primary and metastatic breast cancer; thus, the O- 55 glycosylation and distribution of this mucin on the cell surface 56 is also known to be altered. Moreover, the overexpression of an 57 under-glycosylated form of MUC1 is reported to have the 58 worst prognosis for breast carcinomas.¹⁹⁻²¹ As MUC1 59 glycoprotein is considered to be a marker for the poor $_{60}$ prognosis of breast cancer, 22 it could also be used as a $_{61}$ therapeutic target. Increased cell specificity is a constant goal in 62 gene therapy, and in this regard, cell-specific elements such as 63 the short peptide cRGD have been linked to a nonrecombinant 64 polymeric carrier in order to improve the transfection efficiency 65 for vascular diseases.²³ In addition, other targeting agents, 66 including aptamers, have formed a new generation of targeting 67 molecules.²⁴ Aptamers, which are short (<100 nt of single- 68 stranded DNA or RNA) oligonucleotide sequences, can bind 69 small molecules, proteins, nucleic acids, and even cells and 70 tissues, with high affinity and selectivity.²⁵ Indeed, aptamers 71 have demonstrated low immunogenicity, good tumor pene-72

Received: September 16, 2015 Revised: December 14, 2015 Accepted: January 27, 2016 ⁷³ tration, and fast uptake and clearance, thus allowing them to be ⁷⁴ used to deliver cytotoxic or labeled molecules.²⁶ There are two ⁷⁵ interesting aptamers among the list of published MUC1-specific ⁷⁶ molecules: the S2.2 and STR1 (both are 25-base oligonucleo-⁷⁷ tide). The aptamer S2.2 binds to the core of MUC1 ⁷⁸ glycoprotein with relatively high affinity and specificity. This ⁷⁹ aptamer has been studied for diagnostic imaging and ⁸⁰ radiolabeling in *in vivo* studies.^{27,28} In contrast, the aptamer ⁸¹ STR1MUC1 is directed against the underglycosylated PST ⁸² domains of MUC1 and was previously designed and used for ⁸³ molecular targeting.^{29,30}

With regard to gene therapy-based strategies, nonviral 84 85 vectors have been widely studied over the past few years and 86 constitute the most promising alternative for overcoming the 87 immunogenicity problems inherent to viral vectors.^{31,32} Thus, 88 complexation of cationic polymers to the genetic material via 89 electrostatic interactions to form polyplexes has been 90 extensively used for gene transfer. PEI (polyethylenimine), 91 PEG (polyethyleneglycol), PLL (poly-L-lysine), chitosan, 92 PLGA (polylactic-coglycolic acid), and PDMAEMA (poly-93 dimethylaminoethyl methacrylate) are some of the most widely 94 used nonviral gene-delivery vehicles.³³⁻³⁶ PEI, considered as 95 the gold standard of a polycationic polymer with a high density 96 of amine groups, has been proven to be an efficient nonviral 97 vector due to its ability to bind to the cell surface, its high 98 uptake, and endosomal escape.³⁷ However, depending on its 99 molecular weight and its branched or linear configuration, this 100 polymer may be toxic.³⁸

Among other biopolymers, elastin-like recombinamers 101 102 (ELRs) are protein-based polymers that are becoming ¹⁰³ increasingly important in different fields of biomedicine.³⁹⁻ 104 The recent development of advanced genetic-engineering 105 techniques allows absolute control over both the architecture 106 of ELRs and their physical and chemical features, especially 107 surface charge, polydispersity, aggregation, and biocompati-108 bility. ELR chains are randomly extended and under hydro-109 phobic hydration below the characteristic transition temper-110 ature (T_t) , whereas above this temperature the ELR partially 111 loses its hydration to form an ordered and phase-separated 112 state⁴⁴ Nano-, micro- or macro-aggregation phenomena occur 113 above this T_t depending on the architecture and composition of 114 the ELR. Consequently, changing the amino acid composition 115 to include positively charged ones results in ELRs that are more 116 stable at physiological pH and temperature. ELRs have 117 previously been used as oligolysine carriers by the Furgerson 118 group⁴⁵ to deliver an EGFP-plasmid inside cells in *in vitro* 119 assays. However, their results showed cytotoxicity effects 120 attributed to the oligolysine. Recently, we have obtained 121 promising results in the use of specifically designed ELRs joined 122 to functional peptides as agents for delivering genes in vitro.⁴⁶ Cellular transfection can be improved by using positively 123 124 charged polyplexes that can interact with negatively charged 125 components on the cell membrane, such as proteoglycans and 126 cell-surface receptors.^{47,48} Such polyplexes can be internalized 127 by endocytosis and/or direct diffusion processes.⁴⁹ Herein, 128 lysine enriched ELR has been specifically design to complex 129 and protect the therapeutic DNA forming stable polyplexes. 130 Moreover, to the best of our knowledge, this is the first time 131 that cancer cell specific aptamers have been incorporated into 132 ELR polyplexes. In this work we reported the design of a 133 specific gene-delivery system for breast cancer based on ELR-134 pDNA polyplexes with MUC1-specific aptamers adsorbed and 135 applied to suicide therapy using PAP-S expression.

2. MATERIALS AND METHODS

2.1. Chemicals and Cell Lines. pCMVGaussia Luciferase 136 was purchased from Thermo Scientific (USA). The gene of 137 PAP-S⁵⁰ was acquired from NZYTECH (Portugal) and 138 inserted into a pCMV plasmid from Clontech (USA). 139 Paraformaldehyde was purchased from Sigma-Aldrich. 140 Twenty-five kDa of branched PEI, polyclonal anti-MUC1 141 antibody, chloroquine, filipin, amiloride, and monodansylcada- 142 verine were purchased from Sigma-Aldrich (Germany). 143 Turbofect and Lipofectamine LTX were purchased from 144 Thermo Scientific (USA). 145

The aptamers S2.2 (5'GCAGTTGATCCTTTGGATA- 146 CCCTGG'3), 5TR1 (5'GAAGTGAAAATGACAGAACACA- 147 ACA'3) and thiol-modified 5TR1 (5'thiol (C6–S–S)- 148 GAAGTGAAAATGACAGAACACAACA'3) were purchased 149 from Metabion (Germany). Plasmid DNA was prepared 150 using the Endofree Plasmid Giga Kit (Qiagen, Germany). 151

Normal Human Adipose-Derived Mesenchymal Stem Cells 152 (MSCs, ref R7788-115), basal medium Dulbecco's modified 153 Eagle's medium (DMEM), fetal bovine serum (FBS), 154 penicillin-streptomycin solution, trypsin-EDTA, DPBS, 155 LIVE/DEAD Viability/Cytotoxicity Kit for mammalian cells, 156 and Alamar Blue were supplied by Invitrogen (USA). Human 157 umbilical vein endothelial cells (HUVECs cc-2517) and 158 endothelial growth medium (EGM) were purchased from 159 Lonza (Lonza Walker). Human foreskin fibroblasts (HFF-1, ref 160 SCRC-1041) were purchased from the American Type Culture 161 Collection (ATCC, USA). Human breast cancer (MCF-7, ref 162 86012803) and liver hepatocellular carcinoma (HepG2, ref 163 85011430) cell lines were supplied by Sigma-Aldrich. Eagle's 164 minimum essential medium (EMEM), 2 mM glutamine, and 165 1% NEAA were purchased from Invitrogen. 166

2.2. Synthesis and Characterization of the Cationic 167 ELR. The cationic ELR was created using standard molecular 168 biology techniques and bacterial biosynthesis. This recombi- 169 nant polymer was based on the pentapeptide Val-Pro-Gly-Xaa- 170 Gly (VPGXG) present in elastin, where X is lysine: (VPGKG) 171 \times 72. The multimeric gene was constructed using the recursive 172 directional ligation method and then inserted into a modified 173 version of pET25b expression vector (Novagen, Germany).⁵¹ 174 The polymer with a controlled length and molecular weight was 175 produced in Escherichia coli BLR (DE3) strain (Stratagene, 176 USA) as reported previously.⁵¹ To produce the polymer, the 177 expression vector was transformed into Escherichia coli BLR 178 (DE3) strain (Stratagene, USA). Various inverse transition 179 cycles (ITC) were performed to purify the expressed 180 polymer.⁵¹ Endotoxins were removed from the ELR by way 181 of additional NaCl and NaOH treatments.⁵² Finally, the 182 polymer was dialyzed against deionized water and freeze-dried. 183 The expected molecular weight and number of amino acids was 184 32 368 Da and 367 amino acids, respectively.

The ELR was characterized by sodium dodecyl sulfate 186 polyacrylamide gel electrophoresis (SDS-PAGE), amino-acid 187 composition analysis, mass spectrometry (MALDI-TOF), 188 proton nuclear magnetic resonance analysis (¹H NMR), and 189 dynamic light scattering (DLS). Endotoxin levels were 190 measured using the Endosafe-PTS test (Charles River, USA). 191

Samples for SDS-PAGE, MALDI-TOF, and DLS were 192 prepared at 1 mg/mL in ultrapure water. For SDS-PAGE, 20 193 μ L of solution with 5 μ L of loading buffer was loaded on a 194 polyacrylamide gel. For DLS, polymer solution was filtered 195 before measurement using 0.45 μ m PVDF syringe filter. 196 For amino acid analysis after addition of a known quantity of $_{198}$ α-aminobutyric acid as internal pattern, the polymer sample $_{199}$ was hydrolyzed (6 M HCl, 1% phenol, and 2.5 h at 155 °C) $_{200}$ and evaporated. The powder was resuspended in 1 mL of 20 $_{201}$ mM HCl and a 1/10 dissolution was prepared. The $_{202}$ quantification of the less represented amino acids was made $_{203}$ from the most concentrated sample and the quantification of $_{204}$ the most represented amino acids from the 1/10 dissolution.

²⁰⁵ For ¹H NMR, 10 mg of polymer was solved in 600 μ L of ²⁰⁶ (CD₃)₂SO.

2.3. Preparation and Nomenclature of ELR Com-207 208 plexes. To prepare the polyplexes, the ELR was dissolved in 209 ultrapure water to a concentration of 1 mg/mL, and they were 210 left at 4 °C overnight. Complexes were formed in aqueous 211 solution by mixing the pDNA with the ELR solution in the $_{212}$ appropriate N/P ratios, where N corresponds to the number of 213 amine groups from the polymer and P to the phosphate groups $_{214}$ from the plasmid DNA. The mixtures were vortexed at 25 $^\circ C$ for 1 min and incubated at 25 °C for 30 min for nanocomplex 215 216 formation. For experiments involving polyplexes and aptamers, 217 a solution of each aptamer (S2.2 or 5TR1) in ultrapure water 218 was added to the ELR/pDNA polyplex and the mixture left for 219 a further 30 min at room temperature. The complexes were 220 formed and designated according to the different N/P/Papt 221 ratios, where Papt corresponds to the number of phosphate 222 groups in the aptamers, maintaining the N/P ratio constant and 223 increasing Papt by adding more aptamer.

224 2.3.1. Formation of ELR–Aptamer Polyplexes Conjugated 225 with Au Nanoparticles. ELR polyplexes were formed as 226 described in section 2.3 at a 50/1/4 N/P/Papt ratio, although 227 in this case the 5'-thiol-modified 5TR1 aptamer was complexed 228 with the polyplex and incubated for 30 min at room 229 temperature. Gold nanoparticles (Sigma-Aldrich, USA) were 230 mixed with the polyplex solution to a final concentration of 5 231 nM and incubated for 5 min at room temperature.

2.32 2.4. Physical Characterization of ELR–pDNA Poly-233 plexes. 2.4.1. Calculation of T_t for the ELR in the Presence of 234 pDNA. ELR–pDNA complexes were formed at three different 235 N/P ratios (5/1, 10/1, and 50/1). After complexation, the pH 236 was adjusted with NaOH 1 M or HCl 1 M, and dynamic light 237 scattering (DLS) measurements (Zetasizer NanoZs from 238 Malvern Instruments Ltd., UK) were performed at pH 5.0, 239 7.5, and 11.5 in the temperature range 30–65 °C in order to 240 calculate the corresponding T_t .

24.1 2.4.2. Gel Retardation Assay and pDNA Protection. The 242 ELR–pDNA complexes were prepared at different N/P ratios 243 (0.5, 1, 2, 3, 5, and 10/1). For the DNA protection experiment, 244 polyplexes and pDNA were additionally treated with the 245 restriction enzyme Dpn I (Thermo Scientific, USA) for 90 min. 246 All preparations were loaded onto a 0.8% agarose gel, and 247 electrophoresis was carried out in 1× TAE buffer at 60 mV for 248 120 min, staining with SimplySafe (EURx, Poland). The 249 complexed pDNA was visualized by exposure to UV light in a 250 transilluminator (Vilber, Germany).

251 2.4.3. Particle Size and Zeta Potential. The particle size and 252 zeta potential of the ELR/pDNA/aptamers at different ratios 253 were measured using the Zetasizer NanoZs at a temperature of 254 37 °C. Z-average mean (nm) and zeta potential (mV) were 255 used for data analysis. Experiments were repeated three times. 256 2.4.4. Transmission Electron Microscopy (TEM). TEM 257 measurements were performed using a JEOL JEM-1230 258 electron microscope operating at 120 kV. The polyplex solution was dropped onto carbon-coated copper grids and 259 images recorded after drying for 4 h at 37 °C. 260

2.5. Cell Culture. Human breast cancer (MCF-7) and liver 261 hepatocellular carcinoma (HepG2) cell lines were maintained 262 in EMEM supplemented with 10% FBS, 2 mM glutamine, 1% 263 NEAA, and antibiotics (culture media) at 5% CO₂ and 37 °C. 264 Human mesenchymal stem cells (hMSC) and human 265 fibroblasts (HFF-1) were cultured in DMEM supplemented 266 with 100 U·mL⁻¹ penicillin, 0.1 mg·mL⁻¹ streptomycin, and 267 10% or 15% FBS, respectively. Human umbilical vein 268 endothelial cells (HUVEC) were grown in complete 269 endothelial growth medium. Cells were incubated at 37 °C 270 under 5% CO₂, and their medium was replaced every 2 days. 271

2.6. Transfection Assays. 2.6.1. Effect of Polyplex– 272 Aptamer System on Cell Viability. MCF-7 and HepG2 cells 273 were plated at 3×10^4 cells per cm² and incubated overnight. 274 Afterward, both cell lines were incubated with the ELR–pDNA 275 polyplexes formed with 0.5 μ g of pCMV-Gaussia Luciferase 276 plasmid at different ratios and 1 μ g pf PEI, used as reference 277 polymer, under the same conditions for 5 h in FBS-free culture 278 medium. After this time, the medium was replaced with a 279 medium supplemented with 10% FBS and the cells incubated 280 for a further 43 h at 37 °C. 281

The relative number of metabolically active cells was 282 evaluated using the Alamar Blue assay according to the 283 manufacturer's guidelines. Thus, the culture was incubated in 284 10% Alamar Blue solution in minimum medium for 4 h at 37 285 °C and under a 5% CO₂ atmosphere. Subsequently, 80 μ L of 286 the reduced medium was transferred to a 96-well plate. 287 Untreated cells were considered to represent 100% viability. 288 The fluorescence intensity of test samples and controls was 289 measured at an emission wavelength of 590 nm after excitation 290 at 560 nm using a SpectraMax M2 microplate reader 291 (Molecular Devices, USA).

2.6.2. Analysis of Transfection Efficiency by Luciferase 293 *Expression*. A total of 3×10^4 cells per cm² of MCF-7 or 294 HepG2 was seeded onto 96-well plates and grown at 37 °C, 5% 295 CO2 overnight. Cells were incubated in a serum-free medium 296 for 5 h in the presence of the polyplexes (ELR: pCMV Gaussia 297 luciferase) at specific N/P ratios with gradually increasing Papt 298 ratio for both S2.2 and 5TR1 aptamers. The medium was then 299 replaced by fresh serum-containing medium, and the cells 300 cultured for a further 43 h. One microgram of PEI and 3 μ L of 301 Lipofectamine LTX or 1 μ L of Turbofect to complex the 302 pDNA were used as positive control. Additional transfection 303 experiments with the cells preincubated with 50:1 anti-MUC1 304 for 1 h at 37 °C, and experiments with incubation of polyplexes 305 in the presence of 10% of serum enriched medium for 5 h, 306 replaced by a fresh one, and cultured for a total of 48 h were 307 accomplished. At this point a 20 μ L aliquot was removed from 308 the culture medium and mixed with the luciferase substrate 309 (Thermo Scientific, USA). The light produced was measured 310 using a SpectraMax L luminometer (Molecular Devices, USA). 311 The protein content of the lysate was determined by a Bradford 312 assay using a microplate reader. Luciferase expression is given 313 as relative light units (RLU) per milligram of total protein. 314

2.6.3. Cell Uptake Assays. 2.6.3.1. Polyplex Internalization 315 Measured by Flow Cytometry. MCF-7 was seeded at 3×10^5 316 cells per well onto six-well plates and left to grow at 37 °C, 5% 317 CO₂ overnight. Subsequently, cells were incubated with the 318 polyplexes containing fluorescein-labeled pCMVGaussia luci- 319 ferase (purified using LabelIT Tracker, Mirus Bio LLC, USA) 320 and the 5TR1 aptamer (N/P/Papt 50:1:4) in serum-free 321

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322 medium for 3 h. After this time the medium was replaced, and 323 cells were harvested with 0.05% trypsin–EDTA, washed with 324 D-PBS 1× twice, fixed with 4% paraformaldehyde and washed 325 again with D-PBS 1×. Flow cytometry analysis was performed 326 to assess the fluorescein internalized in cells (Gallios flow 327 cytometer. Beckman-Coulter).

2.6.3.2. Polyplex Uptake Visualized by Fluorescence. The 328 329 same protocol from 2.6.3.1 section was followed and an 330 additional treatment with trypan blue was performed for the Z-331 series in order to visualize the internalized labeled polyplexes. 332 Samples for confocal, phase-contrast, epifluorescence, and 333 differential interference contrast (DIC) microscopy were fixed 334 in 4% paraformaldehyde for 10 min. Internalization of the 335 polyplex-aptamer into cells was analyzed using a Leica SP5 336 confocal microscope (Leica Microsystems, Heidelberg). Zseries were performed with a Z-step of 0.45 μ m. Fluorescein 337 fluorophore and phase-contrast images were overlaid to obtain 338 multilayer image using ImageJ (provided by NIH, version 339 a 340 1.47v).

341 Bright-field and fluorescence microscopy were performed 342 using a NIKON Eclipse Ti fluorescence microscope equipped 343 with a digital camera system (Digital sight DS-2MBWc) 344 (Nikon, Japan).

Additionally, counterstaining of nucleus with 300 nM of 346 DAPI was performed, and a *z*-stack composed by four images 347 with a *z* focal difference of 0.2 μ m was performed. Further 348 orthogonal projection of *z*-*y* planes showing the pDNA 349 nuclear collocation was accomplished.

2.6.4. Assessment of Internalization Pathway. The same 351 protocol as 2.6.2. Section was applied for MCF-7 cells 352 pretreated with 25 μ M of chloroquine, 1 μ g mL⁻¹ of filipin, 353 5 μ g mL⁻¹ of amiloride, and 100 μ M of monodansylcadaverine 354 in media without serum. After inhibitors treatment, media was 355 replaced by fresh ones containing polyplexes.

2.6.5. Assays of Cell Transfection by PAP Expression. MCF-357 7, HepG2, MSC, HUVEC, and HFF-1 cells were seeded onto 358 96-well plates in a quantity of 3×10^4 cells per cm² for tumor 359 cells and 1×10^4 cells per cm² for primary cells, in order to 360 maintain the same levels of confluence for all the cell lines and 361 allowed to grow at 37 °C, 5% CO₂ overnight prior to the 362 polyplex treatment.

For these experiments, the polyplexes ELR-pDNA (N/P 363 364 50/1) and ELR-pDNA-aptamer (N/P/Papt 50/1/4) were 365 formed using the pCMV-PAP plasmid. Cells were incubated 366 with the nanocomplexes at 37 °C, 5% CO₂ for 5 h. After this time, the medium was replaced with fresh medium containing 367 10% FBS, and the cells incubated at 37 °C, 5% CO₂ for a 368 further 43 h. Cell death was quantified using the LIVE/DEAD 369 assay following the manufacturer's instructions and measured at 370 an emission wavelength of 620 nm after excitation at 525 nm 371 372 using a SpectraMax M2 microplate reader (Molecular Devices, USA). 373

2.7. Statistical Analysis. The RLU/mg in transfection of ELR–pDNA polyplexes was analyzed using Student's *t* test. All results with p < 0.05 for three independent experiments were rousidered to be statistically significant.

3. RESULTS

3.1. Synthesis and Characterization of the Cationic **ELR.** The obtained ELR gene corresponding with the amino acid sequence MESLLP (VPGKG)₇₂V where the pentapeptide VPGKG is repeated 72 times, was corroborated by gene sequencing. The main property of this polymer is its high number of lysine amino acids, which confer it a positive charge, 383 together with its temperature- and pH-responsive behavior. 384 The efficiency of the ELR production process was assessed by 385 several physical and chemical techniques; SDS-PAGE, amino- 386 acid composition analysis, MALDI-TOF, ¹H NMR (Figure S1). 387 The overall results displayed a high purity polymer with suitable 388 chemical compositions (Figure S1). The amino-acid analysis 389 showed an appropriate composition, and the differences can be 390 attributed to the experimental error of the technique. As 391 reflected in the MALDI-TOF spectrum, the experimental 392 molecular weight of the ELR was 32 314.1 Da (SD: ±1.7), 393 whereas the expected value was 32 368 Da. Additionally, the 394 production yield of the bioprocess was 90 mg/L cell culture 395 and the final endotoxin levels were less than 10 EU/mg, which 396 is adequate for *in vitro* transfection assays.^{52,53} In addition, the 397 $T_{\rm t}$ of the ELR was assessed by DLS at different pH values. 398 Thus, T_t for the ELR at 10.5 μ M in aqueous solution was above 399 60 °C for all the pH tested.

3.2. Physical Characterization of ELR–pDNA Poly- 401 plexes. The ability of the ELR to complex pDNA was 402 previously confirmed by electrophoresis mobility of DNA, 403 where the pDNA was fully retained from the N/P ratio of 2/1 404 (Figure S2A). Additionally, the stability of the complex in the 405 presence of endonuclease restriction enzyme was probed 406 confirming the ability of ELR to protect the plasmid DNA 407 (Figure S2B). 408

3.2.1. Transition Temperature for the ELR in the Presence $_{409}$ of pDNA. Since a change of 50% in the scattering intensity is $_{410}$ considered as the transition temperature, ^{S4} the T_t of ELR in $_{411}$ aqueous solution was found to be close to 60–70 °C for pH $_{412}$ 11.5 (Figure S3).

When ELR was complexed with pDNA, and polyplexes were 414 kept at physiological conditions of pH (5–7) and temperature 415 (37 °C), the complexes remained stable at all the N/P ratios 416 tested (Figure S3). However, when the pH was increased to 417 11.5, the presence of pDNA decreased this T_t as can be 418 appreciated for 50/1 ratio polyplexes (Figure 1). An increase in 419 fl pH led to a decrease in T_v with a striking variation in light 420 scattering at pH 11.5 for all N/P ratios tested. The 421 destabilization of polyplexes upon increasing the pH to 11.5 422



Figure 1. Normalized scattered light intensity as a function of temperature at pH 11.5 for ELR–pDNA polyplexes at different N/P ratios. Arrows indicate the decreasing trend in light scattering as a result of the presence of microaggregates with increasing temperature.



Figure 2. Size, polydispersity index (PdI), and zeta potential for the ELR–pDNA polyplexes. Size (A) and zeta potential (B) for ELR–pDNA polyplexes with an N/P ratio ranging from 2/1 to 50/1. Size (C) and zeta potential (D) for ELR–pDNA–aptamer complexes with an N/P/Papt ratio ranging from 5/1/0 to 5/1/4. Size (E) and zeta potential (F) for ELR–pDNA–aptamer complexes with an N/P/Papt ratio ranging from 50/1/ 0 to 50/1/49 (n = 3, mean \pm SD).

423 became more evident in the decrease in normalized light scattering to around 0.1 for all N/P ratios at lower temperatures 424 and by the presence of two size-distribution groups. One of 425 these groups corresponds to the free ELR, with a size of around 426 427 10-20 nm, and the other to the ELR polyplex, with a size of around 100-200 nm (Figure S4). Additionally, nano- and 428 microaggregation process between polyplexes occurred. Fur-429 thermore, an increase in the N/P ratio from 5/1 to 50/1 also 430 resulted in an increase in T_t (Figure 1). Consequently, herein 431 we provide evidence that the ELR-pDNA polyplexes are stable 432 complexes at physiological conditions in all the ratios tested, 433 and they are not influenced by the smart behavior inherent to 434 ELRs, which is present at the highest pH. 435

436 3.2.2. Particle Size and Zeta Potential. The size of these 437 ELR–pDNA complexes was determined by DLS (Figure 2) 438 and found to range from about 100 nm at an N/P ratio of 2/1 439 to 200 nm at an N/P ratio of 5/1, with a polydispersity index

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(PdI) ranging from 0.11 to 0.20 (Figure 2A). The progressive 440 increase in size and polydispersity observed was found to be 441 correlated with an increase in the zeta potential up to an N/P $_{442}$ ratio of 5/1. At an N/P ratio of 10/1, and despite having the 443 highest PdI, the size decreased. From ratios of 10/1 to 50/1, 444 the size and zeta potential remained constant at about 140 nm 445 and +40 mV, respectively (Figure 2A,B). Both 5/1 (size of 446 about 200 nm) and 50/1 (size of about 140 nm) ratios with a 447 similar zeta potential but different size, were chosen to assess 448 the effect of addition of the aptamer 5TR1 on polyplex size and 449 stability by adding increasing amounts of aptamer (Papt) once 450 the polyplexes had formed. A similar concentration-dependent 451 effect was observed at both ratios, with polyplex size increasing 452 with aptamer ratio up to a value at which charge-neutralization 453 occurred (50/1/49 or even earlier at 5/1/2; Figure 2E,C). 454 Similarly, there was a striking increase in PdI at the N/P/Papt 455

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Figure 3. Transmission electronic microscopy images showing the morphology and shape of polyplexes in aqueous solution. ELR–pDNA polyplexes at a 50/1 N/P ratio (A). ELR–pDNA–aptamer polyplexes at a 50/1/4 N/P/Papt ratio with aptamer 5TR1 (B). ELR–pDNA–aptamer polyplexes at a 50/1/4 N/P/Papt ratio with thiol-modified aptamer 5TR1 and gold nanoparticles (C). Scale bars correspond to 100 nm in A and C and 50 nm in B.

 $_{\rm 456}$ ratio corresponding to the highest size for ratios of both 5/1 $_{\rm 457}$ and 50/1.

Additionally, a further study of polyplex surface charge was 458 459 performed using the zeta potential. The results of this study 460 showed a progressive increase in zeta potential from -23 mV(N/P 2/1) to nearly +40 mV (N/P 10/1), with the value being 461 462 dependent on polymer concentration (Figure 2B). At N/P $_{463}$ ratio of 2/1 the polyplex exposes the negative charges from the 464 plasmid outward. At this low ratio, the positive charge from the 465 amine groups in the ELR seems to be strongly affected by the 466 presence of phosphate groups from the pDNA and the 467 hydrophobic environment derived from the ELR polymer structure. A striking increase from negative to positive values 468 was observed on going from a ratio of 2/1 to 3/1, and 469 470 stabilization of the surface charge was observed from 10/1 to 50/1 ratio. However, the addition of higher aptamer 471 concentrations initially resulted in a slight decrease in the 472 473 zeta potential at the low N/P ratio of 5/1, with a marked drop $_{474}$ being observed at 5/1/2 (Figure 2D). In contrast, the behavior of the high ratio polyplex (50/1) showed the formation of a 475 $_{476}$ stable positively charged particle (+40 mV) up to a ratio 50/1/ $_{477}$ 24, with a striking drop for the neutralization ratio 50/1/49478 (Figure 2F).

3.2.3. Microscopic Analysis of Polyplexes Morphology. The 479 480 morphology of the polyplex was further characterized by TEM at a ratio of 50/1 in the absence (Figure 3A) and presence (Figure 3B,C) of aptamer 5TR1 adsorbed on the polyplex at an 482 483 N/P/Papt ratio of 50/1/4. As can be seen from these figures, $_{484}$ the 50/1 polyplexes possess a rounded shape with a size (123.8 $_{485}$ nm \pm 12.1 SD) that correlates with the values obtained by DLS $_{486}$ (134.1 nm ± 2.5 SD). The pDNA appears to be located inside 487 the polyplex with the polymer surrounding it, as can be seen 488 from the different density of the particle nucleus (Figure 3A). 489 Additionally, there appears to be no difference in the 490 morphology of these two kinds of polyplexes (Figure 3A,B). 491 A further study that showed the noncovalent absorption of the 492 aptamer to the polyplex surface was performed. To this end, 493 gold nanoparticles (5 nm) were mixed to a polyplex previously 494 made with a thiol-modified 5TR1 aptamer. The resulting 495 complexes (Figure 3C) possess a homogeneous shape and a 496 size in agreement with the TEM (Figure 3B) and DLS values 497 (Figure 2E), indicating that the gold nanoparticles mainly cover

the surface of the polyplexes where the thiolated aptamer 498 should be present. 499

3.3. Transfection Assays. In light of the previous studies, 500 cell viability and transfection experiments were performed in 501 order to assess the suitability of the polyplex complexes for 502 breast cancer gene therapy. The human cell lines used for the 503 transfection assays were two tumoral cell types, namely, MCF- 504 7, which is a breast cancer line overexpressing the MUC1 505 glycoprotein⁵⁵ as cell model for gene therapy, and HepG2, a 506 hepatocarcinoma cell line with no MUC1 expression.⁵⁶ Given 507 the physical characterization results for the ELR-pDNA 508 polyplexes discussed above, N/P ratios of 5/1 and 50/1 were 509 chosen to test transfection in these human cell lines. At a 5/1 510 ratio the polyplex has a size of about 200 nm and a zeta 511 potential of about +30 mV, whereas at a 50/1 ratio the 512 nanoparticle has a size of around 150 nm and a zeta potential of 513 almost +40 mV, which confers more stability on the complex 514 and should therefore increase the transfection efficiency. 515

3.3.1. Effect of the Polyplex–Aptamer System on Cell 516 Viability. As a requisite for gene therapy applications, we 517 evaluated the cytotoxicity of ELR-pDNA polyplexes formed 518 with the innocuous pCMV-Gaussia Luciferase plasmid DNA at 519 different N/P/Papt ratios along with the aptamers S2.2 and 520 5TR1 in MCF-7 and HepG2 cell lines. Since the viability levels 521 at ratio of 5/1/0 were essentially 100%, only the effect of the 522ratio with higher amounts of polymer and increasing quantities 523 of aptamer is shown (Figure 4). No polyplex-induced 524 f4 cytotoxicity was observed for any of the cell lines from a 525 ratio of 50/1/0 to 50/1/49, whereas the value of cell viability 526 reached nearly 150% in the breast cancer line when a higher 527 proportion of aptamers was used. The viability of cells 528 incubated with ELR polyplexes was compared with PEI 529 polyplexes formed with the same innocuous plasmid but 530 without aptamers. In our experiments, this polymer exhibited 531 marked cytotoxicity in MCF-7 cells, for which the viability 532 decreased to about 20%, in contrast to the results shown by 533 ELR polyplexes. 534

3.3.2. Luciferase Expression. Once the lack of toxicity from 535 the ELR-pDNA-aptamer complexes had been confirmed, it 536 was necessary to assess their suitability as nonviral gene carriers 537 with cancer cell line specificity conferred by the MUC1 538 aptamers (5TR1 and S2.2) by using the pDNA encoding for a 539 luciferase enzyme. To this end, MCF-7 (MUC1+) and HepG2 540 f5



Figure 4. Viability of MCF-7 and HepG2 cell lines at different N/P/ Papt ELR–pDNA ratios for aptamers S2.2 and STR1. Cells were coincubated with the polyplexes, and a viability assay was performed for all samples. Untreated cells were considered as 100% viability control and PEI polyplexes as a reference transfection system (n = 3independent experiments, mean \pm SD). *p < 0.05, **p < 0.01.

541 (MUC1-) cells were transfected with ELR–pDNA polyplexes 542 with both N/P ratios and including the aptamers.

The effect of both types of polyplexes was first studied using 543 544 MUC1+ cells in order to determine the best candidate. As 545 shown in Figure 5A, there were important differences in 546 luciferase expression in MCF-7 cells between the two ELR polyplexes. Thus, in the absence of aptamers, the 50/1 polyplex 547 was found to be more efficient than the 5/1 ratio, exhibiting a 548 549 10-fold higher luciferase expression. However, the most marked 550 differences were observed after incorporation of the aptamers. $_{551}$ Thus, the use of different ratios of the two aptamers with the 5/ 552 1 polyplex had little effect on MCF-7 transfection, whereas the 553 incorporation of both oligonucleotides into the 50/1 polyplex 554 produced an aptamer-dependent amplification of its trans-555 fection efficiency. Five different aptamer concentrations were 556 used in the test, and in both cases, higher aptamer 557 concentrations resulted in lower transfection. At low ratios

(N/P/Papt 50/1/4) the transfection efficiency increased at 558 least 6-fold with respect to the nude polyplexes. However, 559 transfection was also annulled when the aptamers neutralized 560 their charge (50/1/49), as can be seen from Figure 2E,F. As 561 regards the effect of each aptamer, clear differences were also 562 seen when used with the 50/1 polyplex. Thus, in the positively 563 charged polyplexes (50/1/4 to 50/1/24), the aptamer STR1 564 was found to be significantly more efficient for MCF-7 565 transfection than S2.2, with a maximum increase of 2.5-fold. 566 This feature was dramatically affected when the aptamer 567 concentration neutralized the polyplexes (50/1/49 ratio), 568 thereby annulling the MUC1 specificity of the aptamers.

In light of these results, the 50/1 polyplex was chosen for the 570 remaining experiments concerning the transfection effect in 571 several human cell lines. In an initial study, the specificity 572 induced by the presence of MUC1 in cancer lines was studied 573 using the HepG2 hepatocarcinoma cell line lacking MUC1 574 expression. As can be seen from Figure 5B, significant 575 differences in luciferase expression (p < 0.001) were found 576 between both cell lines at this ratio, which was higher for the 577 MUC1 overexpressing MCF-7 cells irrespective of the aptamer 578 used. Since the best results of transfection were obtained for 579 50/1/4 using 5TR1 aptamer, following studies will be focused 580 on this condition. Blockade of MUC1 was performed by the 581 pretreatment of cells with anti-MUC1 antibody for 1 h at 37 °C 582 (Figure S5). After that time, transfection with 50/1/0 and 50/ 583 1/4 polyplexes was performed. The result showed a total 584 inhibition of 5TR1 specificity in which the transfection levels of 585 50/1/4 lowered up to 50/1/0 levels. By contrast, 50/1/0 586 transfection was not affected by the presence of the antibody, 587 which support the evidence of the interaction between 5TR1 588 and MUC1. In addition commercially available agents like PEI, 589 Lipofectamine, or Turbofect were tested, and comparable levels 590 of transfection were found for MCF-7 cells (Figure S6), being 591 the transfection for ELR-pDNA-aptamer polyplexes at 50/1/5924 ratio slightly higher than Turbofect and in the same order (1 593 \times 10⁸ RLU mg⁻¹ protein) than PEI. Further studies of 594 transfection in the presence of 10% of serum were 595 accomplished (Figure S7) as well. A reduction of gene 596 expression was found for both ELR without (2.7 times) and 597 with aptamer (1.6 times). The highest decrease corresponded 598



Figure 5. Luciferase expression by pCMV-Gaussia Luciferase contained in ELR–pDNA–aptamer polyplexes. (A) Polyplexes at a 5/1 N/P ratio with different P*apt* ratios for aptamers S2.2 and STR1 in MCF-7 cells. (B) Polyplexes at a 50/1 N/P ratio with different P*apt* ratios for aptamers S2.2 and STR1 in MCF-7 cells. (B) Polyplexes at a 50/1 N/P ratio with different P*apt* ratios for aptamers S2.2 and STR1 in MCF-7 cells. (B) Polyplexes at a 50/1 N/P ratio with different P*apt* ratios for aptamers S2.2 and STR1 in MCF-7 cells. (B) Polyplexes at a 50/1 N/P ratio with different P*apt* ratios for aptamers S2.2 and STR1 in MCF-7 cells. (B) Polyplexes at a 50/1 N/P ratio with different P*apt* ratios for aptamers S2.2 and STR1 in MCF-7 and HepG2 cells. Luciferase activity is expressed in RLU/mg protein lysate. The polyplex without aptamers and pDNA were used as controls. The results are expressed in logarithmic scale as mean ± standard error of three independent experiments. **p* < 0.05,***p* < 0.01, ****p* < 0.001.



Figure 6. Cellular uptake of ELR–pDNA–5TR1 polyplexes with fluorescein-labeled pDNA. (A) Fluorescence microscopy image of MCF-7 treated with ELR–pDNA–5TR1 nanoparticles for 3 h (merged image of the phase-contrast and FITC channels). The scale bar corresponds to 50 μ m. (B) Flow cytometry analysis of MCF-7 cells incubated with polyplexes. Gate A, which corresponds to FITC+ cells, was set on the side-scatter vs forward-scatter histogram. The cell count was plotted as a function of FL1, which corresponded to the FITC channel for pDNA and ELR–pDNA–5TR1 transfected cells. (C,D) Confocal microscopy images of MCF-7 containing fluorescein-labeled polyplexes. Merged bright- and fluorescence-field images of different focal planes have been analyzed. The nuclear areas of cells with the bright green dots of polyplexes are indicated by arrows. Cells from C and D were treated with trypan blue in order to discriminate fluorescent polyplexes inside the cell. Scale bars correspond to 10 μ m.

599 for the polyplex alone showing the retention of the specificity 600 by the system ELR-pDNA-aptamer in the presence of serum. 3.3.3. Cellular Uptake of Polyplexes. The intracellular 601 602 uptake of these ELR-pDNA-5TR1 polyplexes was confirmed 603 using various techniques. To this end, MCF-7 cells were 604 incubated with 50/1/4 polyplexes containing fluorescein-605 labeled pCMV-Gaussia Luciferase plasmid for 3 h, and the 606 resulting internalization process was initially visualized by 607 fluorescence microscopy (Figure 6A). Although a few 608 polyplexes were observed on the surface of the tissue culture 609 plaque, a broad distribution of nanoparticles associated with the 610 cells could also be seen. The labeled cells were then detached 611 with trypsin, in order to remove the external binding 612 fluorescence signal that could interfere with the detection of 613 the intracellular polyplexes, 57,58 washed with PBS and analyzed 614 by flow cytometry. The results were compared with cells 615 transfected using the fluorescent plasmid alone (Figure 6B). 616 The data showed a marked internalization of polyplexes of $_{617}$ 85.76 \pm 12.35% (mean \pm SD) for all cells, in comparison with $_{618}$ cells treated with fluorescein-labeled pDNA alone (1.70 \pm 619 1.68%). Finally, trypan blue treated cells were visualized by 620 confocal microscopy in a z-series succession explained in Figure 621 S8 where the two focal planes confirmed the presence of 622 polyplexes inside the cells (Figure 6C,D) and the likely 623 presence of fluorescent plasmid inside the nucleus (indicated by 624 arrows). An additional visualization with the nucleus stained 625 with DAPI evidenced the presence of pDNA inside the nucleus 626 (Figure S9).

This group of assays demonstrated the cellular internalization and nuclear localization of ELR–pDNA polyplexes equipped with cell specificity provided by the MUC1-directed aptamers.

3.3.4. Assessment of the Internalization Pathway. Once 630 the uptake of ELR-pDNA-5TR1 polyplexes was visualized, 631 the investigation of the relation between the internalization 632 pathway and subsequent gene expression was accomplished. 633 MCF-7 cells were treated with a variety of endocytosis 634 inhibitors for 30 min at 37 °C and incubated with polyplexes 635 for 5 h at 37 °C. After this time, polyplexes were removed, cells 636 were incubated up to 48 h and luciferase activity was measured. 637 Inhibition of Na⁺/H⁺ exchange required for macropinocytosis 638 by means of amiloride decreased the luciferase expression to 639 26% after transfection with ELR-pDNA-5TR1 polyplexes. 640 Moreover, inhibition of caveolae-mediated endocytosis by 641 filipin decreased the expression to 44%, and inhibition of 642 clathrin mediated endocytosis by dansylcadaverine resulted to 643 inhibit the expression to 60%. Additionally, the inhibition of 644 acidification in acidic vesicles by chloroquine did not affect 645 significantly the luciferase expression. This data showed 646 evidence about the primary influence of macropinocytosis 647 and in a lesser extent of caveoline and clathrin-endocytosis 648 pathways over the gene expression (Figure 7). 649 f7

3.3.5. Effect of Toxin Transfection on Human Breast 650 Cancer Cells. Since we have previously shown that ELR 651 polyplexes are able to reach the nucleus and express their 652 genetic material in a specific manner, now polyplexes with the 653 construct pCMV-PAP as pDNA and surrounded by STR1 654 aptamer at a 50/1/4 ratio (5 μ g pDNA mL⁻¹) were used to 655 transfect five different human cell lines, namely, the tumor cell 656 lines MCF-7 (MUC1+) and HepG2 (MUC1-) and three 657 human primary cell types (fibroblasts (HFF-1), endothelial 658 (HUVEC), and mesenchymal stem cells (MSC)), to assay the 659 effects of polyplexes on their viability. 660



Figure 7. Luciferase expression of ELR–pDNA–5TR1 polyplexes at 50/1/4 ratio in the presence of different inhibitors in MCF-7 cells. Polyplexes were incubated with cells after treatment with 25 μ M of chloroquine, 1 μ g/mL of filipin, 5 μ g/mL of amiloride, and 100 μ M of monodansylcadaverine. The results expressed as % of control are mean \pm standard error of three independent experiments. *p < 0.05, **p < 0.01.

f8

The viability of the five cell lines subjected to the different acquired their typical morphology in all cases. The endothelial, mesenchymal, and fibroblast cell cultures appeared to have the lowest cell death (Figure 8, MSC, HUVEC, and HFF1 columns). By contrast, the human liver (Figure 8, HepG2 column) and breast (Figure 8, MCF-7 column) cancer cell lines were both affected by coincubation with the ELR/pCMV-PAP polyplex containing aptamer or not. However, a higher number of dead cells was found for MCF-7 in comparison with the MUC1-HepG2, and an improved cytotoxic effect was visualized for MCF-7 cells treated with the polyplex STR1.

In order to quantify the results observed by fluorescence 673 674 microscopy, the effect of PAP-S expression was quantified in 675 terms of percentage of dead cells in both HepG2 and MCF-7 676 tumor cells (Figure 9). ELR-PAP-5TR1 polyplexes were found to efficiently kill MUC1+ breast cancer cells with a 95% 677 of death rate after incubation for 48 h. The incorporation of 678 5TR1 aptamer at the surface of the ELR-PAP polyplexes 679 680 resulted in a significant increase of nearly 25% in dead MCF-7 681 cells. In contrast, no differences in percentage of dead cells for 682 polyplexes with aptamer (33%) and polyplexes alone (40%) 683 were found for the tumoral (MUC1-) HepG2 cells. Addition-684 ally, no significant differences were observed between any of the 685 polyplex-aptamer conditions tested in human primary cells 686 (HUVEC, MSC, and HFF-1) with levels of cell death similar to 687 those for untreated cells. These results highlight the selective 688 effect of the transfected plasmid in breast cancer cells 689 presenting MUC1 in comparison with other tumor and 690 primary cells.

4. DISCUSSION

691 A variety of strategies have been applied in order to design 692 biomaterials with application in gene therapy. Herein we report 693 the design of a specific gene-delivery system for breast cancer 694 based on ELR—pDNA polyplexes and complexed with MUC1-695 specific aptamers. The ELR used in this study was constructed 696 using recombinant techniques, thus giving them a high level of versatility and allowing the incorporation of positively charged 697 amino acids, such as lysine in a simple manner. The main 698 property of this ELR is its high number of lysines, which are 699 responsible for its temperature- and pH-responsive behavior at 700 physiological conditions. 701

In order to verify that the designed ELR based polyplexes 702 were stable despite of the ELR smart temperature-responsive 703 behavior, an initial study analyzing the effect of different 704 conditions of pH and temperature over the T_t was conducted. 705 Most of the polymers used for transfection are positively 706 charged, thus allowing them to complex with the negatively 707 charged pDNA. Considering the T_t as an intrinsic characteristic 708 of ELRs, this results in a double effect for the polymer, namely, 709 an electrostatic interaction between the polymer and pDNA via 710 the lysines, which leads to polyplex formation, and the smart 711 behavior of the ELR, which triggers its aggregation and 712 conformational change above T_t . This T_t can be changed by 713 extrinsic factors such as the addition of negatively charged 714 phosphate groups. For these ELR based polyplexes, it was 715 possible to observe variation in the T_t only at pH 11.5. Thus, 716 the presence of negatively charged phosphates due to 717 incorporation of the pDNA into the ELR triggered polyplex 718 formation and, consequently, a change in the polarity of the 719 polymer, decreasing the T_t at pH 11.5 (Figure 1). Additionally, 720 the variation in pH and N/P ratio showed marked effects on 721 the T_t . Thus, a decrease in T_t occurred for all ratios when the 722 pH was increased at pH 11.5 (Figure S3). The free ε -amino 723 groups from the L-lysines (pKa 10.5) in the polyplex are largely 724 deprotonated at this pH, thereby decreasing the net polarity of 725 the complex and promoting destabilization of the polyplexes. 726 The lower light scattering at lower temperatures and the 727 presence of free ELR corroborated this process (Figure S4). 728 Two consecutive effects, namely, initial incorporation of free 729 ELR to the polyplex and a consecutive drop in the scattered 730 intensity as a result of a cooperative increase in the 731 hydrophobicity of the polymer and aggregation into micron-732 and nanoscale particles between the ELR on the outside of the 733 polyplexes, were perceived upon increasing the temperature at 734 pH 11.5 (Figure 1). Similarly, an increase in T_{t} was observed 735 upon increasing the amount of polymer. It is remarkable to 736 highlight that polyplexes at physiological pH of 7.5 and 5 are 737 stable due to the absence of transition by the ELR, which make 738 them useful for gene delivery applications (Figure S3). 739

Besides the requirement of stable polyplexes, an appropriate 740 particle size is necessary to obtain positive results in cell 741 transfection. Particles with a size of about 200 nm are known to 742 be internalized by endocytosis.^{59,60} The polyplexes obtained 743 ranged from 100 to 200 nm, which is appropriate for cellular 744 uptake. A progressive increase in size, polydispersity, and zeta 745 potential was found up to a 5/1 ratio when increasing the N/P 746 ratio. From 10/1 to 50/1 significant constant values were 747 observed, thereby suggesting a higher stability of the polyplex 748 compared with the previous ratios (Figure 2A,B). The influence 749 of addition of the aptamer 5TR1 was evaluated with 5/1 and 750 50/1 polyplex ratios: the result was a concentration-dependent 751 effect. Additionally, a 50/1 ratio produced more stable 752 polyplexes than 5/1 ratio due to the increase in polydispersity 753 and charge neutralization at higher ratios (50/1/49). The 754 gradual incorporation of aptamer provided more negatively 755 charged phosphates to interact with, and neutralize, the free ε - 756 amino groups from L-lysines, thereby affecting the charge and 757 stability of the polyplex. In contrast the presence of aptamers 758 did not affect the shape or size of the particles (with exception 759



Figure 8. Representative fluorescence microscopy images for MSC, HUVEC, HFF1, MCF-7, and HepG2 cells after 5 h of coincubation with minimum medium (untreated), pDNA, ELR–pDNA (polyplex), and ELR–pDNA conjugated with STR1 aptamer (polyplex STR1) where the pDNA contained PAP-S transgene under the CMV promoter. The coincubation was followed by 48 h of incubation under standard culture conditions.

 $_{760}$ of 50/1/49 ratio), which is in accordance with the DLS results (Figures 2E and 3A,B). The surface charge density studied as 761 762 zeta potential is directly related to the stability of many colloidal 763 systems, and it is thought that a positive zeta potential should 764 favor interaction with the cell membrane.⁴⁸ However, the 765 incorporation of aptamers that provide cell specificity may decrease the surface charge of the polyplex. This hypothesis was 766 corroborated in the assays conducted in this work. Initially, the 767 768 location of the polymer on the periphery of the polyplex where 769 it exposes its positively charged ε -amino groups to the exterior while maintaining a neutralized charge inside was shown from 770 771 N/P ratio of 3/1. The subsequent incorporation of increasing 772 amounts of aptamer resulted in a marked decrease in the 773 surface charge of the ELR-pDNA due to neutralization of the 774 system and a widespread distribution of the aptamers on the

polyplex surface, with polyplexes at a 50/1 ratio being more 775 stable than those at a 5/1 ratio. The decrease in the surface 776 charge density of polyplexes at higher concentrations of 777 aptamer suggests the location of these aptamers on the 778 polyplex surface. By contrast, at lower ratios of aptamer the 779 surface charge density of the polyplex is highly positive pointing 780 the location of amino groups from polymer outward. The 781 outside arrangement of amino groups favors the interaction of 782 aptamers with the polyplex surface. Additionally, the presence 783 of aptamers in the polyplex was supported by the visualization 784 of gold nanoparticles bound to thiol-containing aptamers 785 (Figure 3C) what are thought to be located on the polyplex 786 surface despite of this technique does not allow to confirm this 787 distribution, and some may be also entrapped. The polyplexes 788 obtained in this work represent an improvement with regard to 789



Figure 9. Targeted killing ability of ELR–PAP–5TR1 polyplexes. Percent dead cells was calculated by fluorescence quantification. Cells were preincubated for 5 h with minimum medium (untreated), pCMV-PAP plasmid alone (pDNA), ELR–pDNA (polyplex), and ELR–pDNA conjugated with aptamer 5TR1 (polyplex 5TR1). Additional incubation under standard conditions up to 48 h was then carried out. Mean ± standard error of three independent experiments. A statistical analysis showed significant differences (**p* < 0.05, ***p* < 0.01).

790 previous studies⁴⁶ concerning the zeta potential and stability of
791 particles besides the incorporation of breast cancer cell specific
792 aptamers.

ELRs as naturally inspired recombinant polymers are 793 794 characterized by their biocompatibility in terms of lack of 795 cytotoxicity and immunogenicity. This has been assessed in 796 both in vitro and in vivo assays thus making them appropriate 797 for local and systemic administration.^{52,61} Similar results were 798 obtained in *in vitro* studies involving positively charged ELRs.⁴¹ 799 In light of these findings and the physical characterization of the 800 polyplexes, their cytotoxicity with S2.2 and 5TR1 aptamers was 801 evaluated using both cancer lines. The results showed that the 802 cell viability of MCF-7 cells incubated in the presence of polyplexes with different ratios was clearly higher than 100% 803 804 and about 100% for HepG2 (Figure 4). A similar increase in viability was previously observed in a murine myoblastoma cell 805 ⁶² human breast cancer cell line, ⁶² and mesencityman seems 807 cells, ^{64,65} when treated with several ELRs and, recently, when ¹¹ receiver coincubated with ELR polyplexes, ⁴⁶ ² human breast cancer cell line, 63 and mesenchymal stem 808 C6 rat glioma cells were coincubated with ELR polyplexes,⁴ 809 thereby demonstrating the friendly nature of these recombinant 810 polymers as well as the supplemental specificity conferred by 811 the aptamers on MUC1+ cells. In contrast, a high level of 812 cytotoxicity was found for cells treated with PEI, probably due 813 to the presence of free PEI after formation of polyplexes, which 814 disrupts the plasmatic membrane and causes necrosis.^{66,67} 815 Although PEI is commonly used in transfection for in vitro 816 assays, it often has a strong cytotoxic effect, depending on the 817 cell line, as reported elsewhere.^{66,68} Nowadays research is focusing in decreasing this toxicity by the use of biocompatible 818 molecules such as chitosan or employing PEG as shell.^{69,70} In 819 820 contrast, previous studies with positively charged ELRs 821 incubated with blood components showed the innocuous 822 character of these polymers⁴⁶ making them useful for 823 intravenous administration.

The next step was the evaluation of transfection level and cell ses specificity provided by the MUC1 aptamers. Since the binding sec specificity of both aptamers had been previously studied for MCF-7 cells^{29,71} we focused on evaluating the improvement 827 provided by the aptamers with respect to the ELR-pDNA 828 system. Figure 5 shows the marked improvement in trans- 829 fection when using a 50/1 ratio, thus indicating that the 830 physical characteristics of the nanoparticles (150 nm and +40 831 mV in 50/1 versus 200 nm and +27 mV in 5/1) have a clear 832 influence on transfection efficiency. The most striking differ- 833 ences were found when aptamers were incorporated, also being 834 higher for a 50/1 ratio. An aptamer-dependent amplification of 835 transfection was observed for 50/1 ratio, with the lower 50/1/4 836 ratio being the best of those ratios tested with comparable 837 transfection levels to PEI and no toxic effects. In contrast, the 838 transfection values achieved in the presence of aptamers were 839 lower when the latter were used in excess. This indicates that 840 the positive effect of aptamers is blocked, probably, according 841 to the physical results (Figure 2C,D), due to the formation of 842 unstable and inefficient nanoparticles in which increasing 843 quantities of aptamers produce, first bigger and more 844 polydisperse polyplexes and second negatively charged surfaces. 845 These effects over the transfection levels together with 846 potential zeta values and TEM reinforce the hypothesis of 847 polyplexes coated with MUC1 aptamers. Differences were also 848 found between both aptamers, with the 5TR1, which targets the 849 underglycosylated PST domains of MUC1, being more efficient 850 than S2.2, which targets the MUC1 core. In light of these 851 results, the 50/1 ratio was chosen for the remaining 852 transfection experiments with MCF-7 and HepG2 cell lines 853 (Figure 5B). Initially, the specificity of the polyplex-aptamer 854 system was evaluated using the MUC1-HepG2, with significant 855 differences in luciferase expression being found between both 856 cell lines. These differences were higher for the MUC1 857 overexpressing MCF-7 cells irrespective of the aptamer used. 858 Consequently, the intracellular uptake of ELR-pDNA- 859 aptamer polyplexes is MUC1-dependent and is more efficient 860 when a rational quantity of the 5TR1 aptamer is used. The 861 influence of serum in transfection was also evaluated, and 862 showed a reduction for the polyplex with and without aptamer 863 (Figure S7). By contrast, this reduction was higher for the 864 polyplex alone; this circumstance can be explained by the 865 general reduction in transfection activity in polymeric 866 polyplexes. Other commercially available systems such as PEI 867 possess a decrease in transfection when serum is present in 868 several cell lines such as fibroblasts and BMSCs.⁷² However, it 869 is remarkable to note that the specificity of the system ELR- 870 pDNA-aptamer was retained despite of the presence of serum. 871 This situation points the possibility of using this system for 872 local or even systemic administration. 873

The uptake of ELR–pDNA–5TR1 polyplexes by the 874 targeted breast cancer cells was visualized by fluorescence 875 microscopy and quantified by flow cytometry. The results 876 showed marked internalization of the polyplexes with 877 cytoplasmic and even nuclear location of pDNA in MUC1 878 overexpressing MCF-7 cells (Figure 6 and S9). Consequently, 879 the overall results allow us to establish the basis for their use as 880 a plasmid carrier in gene therapy in a safe and specific manner. 881

The internalization pathway was assessed by the effect of 882 different inhibitors over the luciferase expression. As shown in 883 Figure 7 the higher inhibitory effect was observed when cells 884 were pretreated with amiloride, which inhibit the Na⁺/H⁺ 885 exchange required for macropinocytosis. It suggests the primary 886 uptake of ELR–pDNA–5TR1 polyplexes by this macro- 887 pinocytosis pathway. In the same manner, polyplexes appeared 888 to be internalized in a lower proportion by caveolin and 889

890 clathrin-dependent endocytosis. In fact MUC1 glycoprotein 891 uptake by macropinocytosis and clathrin-dependent pathways 892 has been previously reported,^{18,29} which evidence the 893 interaction of 5TR1 aptamer with the MUC1 located on the 894 cell surface. Further study with chloroquine was performed in 895 order to evaluate the effect of the inhibition of endosome-896 lysosome maturation over the gene expression. Chloroquine 897 basifies the acidic vesicles and accumulates in them; this fact did 898 not involve a significant decrease in luciferase expression. 899 Herein we can suggest the independence on acidic pH for 900 ELR-pDNA-5TR1 polyplexes endosomal escape. We hy-901 pothesize that their interaction with MUC1 would somehow 902 favor the escape from endosome since it is known the existence 903 of high levels of MUC1-C in cytoplasm and even the nuclear presence of both MUC1-C and MUC1-N.73,74 904

Since one of the major challenges in gene therapy is to use 905 906 the natural killer ability of cytotoxic agents or cell death 907 inducers to selectively eliminate undesirable cells,⁷⁵ the 908 expression of a ribosome inactivating protein was studied in 909 this work. As described previously, PAP-S has a potent 910 cytotoxic activity but only once it reaches the cytoplasm of 911 the cell. Hence, when the plasmid containing PAP-S gene is 912 delivered inside of cells by the ELR device and expressed by the 913 cell machinery it should be able to permanently disable the 914 ribosomes by arresting the function of elongation factors EF-1 915 and EF-2 thereby causing cell death.¹² Since we used a plasmid 916 codifying the PAP-S toxin and bearing in mind their potential 917 use for in vivo therapy, the transfection effect of ELR-PAP-918 5TR1 polyplexes was tested in five different human cell lines 919 including nontumoral primary cells. The tumoral cell lines 920 MCF-7 (MUC1+) and HepG2 (MUC1-) and three human 921 primary cell types (HFF-1, HUVEC, and MSC) were chosen. 922 Fibroblasts were selected as the most representative cell type of 923 connective tissue.⁷⁶ MSCs are pluripotent cells that have been 924 reported to have both stimulatory and suppressive effects in 925 breast cancer." HUVEC allowed us to study the influence of 926 our transfection system in a model of endothelial function due 927 to the likely intravenous application of gene therapy treatments. 928 As shown in Figure 7, incubation of cells with the different 929 treatments did not affect the cell morphology for any of the 930 lines. Additionally, MCF-7 and HepG2 tumor cells were the only ones clearly affected by the presence of the polyplex. 931 932 Similarly, there was a remarkably higher sensitivity of MCF-7 933 cells to PAP-S in comparison with other nonoverexpressing 934 MUC1 cell lines. A significant increase in the percentage of 935 death in breast cancer cells was found reaching nearly 95% 936 (Figure 9) when the 5TR1 aptamer was incorporated into the polyplex thereby suggesting an efficient expression of functional 937 938 PAP-S in the transfected cells. However, no increase was 939 observed between polyplex and polyplex-5TR1 treatment for 940 MUC1-HepG2 cells. Indeed, the lowest cytotoxic effects were 941 observed when primary cells were incubated with polyplexes. 942 The fact that the effects observed were similar to those for 943 untreated cells suggests a possible protective effect on them. It interesting to note that the limited effect on MSCs, 944 is 945 endothelial and fibroblasts under conditions in which tumor 946 cells have been shown to be extensively affected, is remarkable 947 as it allows us to hypothesize that either local or systemic 948 intravenous route of administration could be used without 949 damage to the bloodstream lineage. These results corroborate 950 the suitability of ELR-5TR1 aptamers for a specific suicide 951 therapy with a novel use of PAP-S as transfecting agent 952 affecting only target breast cancer cells.

968

969

5. CONCLUSION

In summary, we have developed a nonviral gene-delivery 953 system comprising tailor-made elastin-like recombinamers and 954 MUC1 aptamers with potential application in the treatment of 955 breast cancer. When bound to plasmid DNA, this polymer is 956 able to self-assemble and form a polyplex stable at physiological 957 conditions and possesses a positively charged surface, a suitable 958 particle size for cell internalization, and cell line specificity 959 provided by the aptamers. This system selectively delivers 960 plasmids bearing luciferase and PAP-S genes into tumor cells 961 while protecting normal human cells. Accordingly, the use of 962 this ELR-aptamer system is a promising strategy in the 963 delivery of therapeutic genes of interest that target breast tumor 964 cells even more considering the high potential of ELRs for 965 improvement. Therefore, further research focusing on in vivo 966 experiments will be conducted. 967

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the 970 ACS Publications website at DOI: 10.1021/acs.molpharma- 971 ceut.5b00712. 972

Characterization of the ELR by MALDI-TOF, NMR, 973 SDS-PAGE, and amino-acid analysis; assays performed to 974 determine the influence of pDNA, temperature, and pH 975 on the ELR–pDNA polyplexes in terms of condensation 976 ability, transition temperature (T_t), particle size, and 977 DNA protection; transfection assays and a scheme for *z*- 978 series and *z*-stack figures (PDF) 979

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Author Contributions 983 The manuscript was written through contributions of all 984 authors. All authors have given approval to the final version of 985 the manuscript. 986

Notes 987

The authors declare no competing financial interest. 988

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