

Prediction of single salt rejection in nanofiltration membranes by independent measurements.

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Abstract

In this work a method is proposed to predict salt rejection by nanofiltration. The procedure starts from the steric, electric and dielectric exclusion model with charge (and permittivity) depending on the concentration along the pore, SEDE-VCh, for membrane characterization, and substitutes all fitting parameters by values obtained by independent methods. These parameters are the relative permittivity inside the pores and the two constants of the Freundlich isotherm for the volumetric charge density, which can be obtained by impedance spectroscopy techniques. Moreover, the pore size and shape and the active layer thickness are required to complement the model. The pore size was obtained by using a neutral solute rejection test and the active layer thickness was estimated by SEM. Therefore, the model also requires pore shape as input. AFM measurements suggest the assumption of a slit shape for the pores.

A Desal-HL membrane has been structurally, electrically and functionally characterized. These data allowed the testing of the predictive model that was subsequently demonstrated; as far as results are good enough considering the complexity of the mechanisms involved. Consequently, it seems clear that once the model parameters have been obtained by independent methods, it can be used as a predictive tool.

Keywords: Impedance Spectroscopy, Nanofiltration, Membrane Potential, Transport numbers, Dielectric properties

1. Introduction

Nanofiltration (NF) membranes possess some special characteristics that distinguish them from ultrafiltration (UF) and reverse osmosis (RO) ones. Firstly, they keep relatively high permeate flux at low pressure operation compared with conventional RO [1], and secondly, most of them are electrically charged with the subsequent effect on the solute separation mechanism.

Due to the clear interest of NF, it is desirable to have a way to estimate the performance of NF membranes for different solutes and/or combinations of solutes in order to have a predictive understanding of their behavior. As a consequence, there have been many efforts, with this aim in mind, focusing on the development and optimization of mathematical models to predict the separation properties of NF membranes. Firstly based on irreversible thermodynamics (Kedem, Katchalsky and Spiegler works) [2, 3], continuing with the hydrodynamic model or pore model introduced by Ferry [4], and the development of hydrodynamic approach models based on the extended Nernst-Planck equation such as the steric hindrance pore, SHP, [5], Teorell-Meyer Sievers, TMS, [6, 7], the space charge model, SCPM, by Wang et al.[8] and more recently the Donnan steric partitioning model, DSPM, which combines the steric and Donnan exclusion effects [9].

Nowadays the most complete models include dielectric exclusion effect [10], including steric, Donnan and dielectric partitioning effects in the interfaces and convective, diffusive and electromigrative transport effects in the inner part of the membrane. The mass transfer through the membrane is described using the extended Nernst-Planck equation modified by hydrodynamic coefficients to reflect the influence of the pore constriction on both convection and diffusion. The equilibrium partitioning relation takes into account electric and dielectric effects to describe the distribution of species at the pore inlet and outlet

1 [1]. These effects are the Donnan exclusion and the dielectric exclusion, being the later
2 composed by two terms, the Born effect and the image forces one. The Born effect is
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4 connected with the low values of the relative permittivity of a liquid inside a pore of
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6 nanometer dimensions. The image forces effect correspond to the interaction between the
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8 ions and the polarization charges induced by them at the pore wall.
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13 Bandini in 2001-2002 firstly presented the Donnan steric partitioning model with
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15 Dielectric exclusion model, DSPM&DE, [11]. It is a model in which the ionic partitioning at
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17 the interfaces between the membrane and the external phase takes into account the three
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19 separation mechanisms: steric, Donnan equilibrium and dielectric exclusion. Bandini's model
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21 introduced the idea of the dielectric exclusion as an additional cause of partitioning to those
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23 of bare Donnan steric pore model (DSPM) initially proposed by Bowen [9, 12, 13]. We refer
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25 to the reading of the work of Bandini for a more extensive explanation of the model [14].
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32 In 2005, Szymczyk and Fievet proposed another model, the steric, electric and
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34 dielectric exclusion, SEDE, model [15]. The volume charge density of a NF membrane was
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36 determined from tangential streaming potential measurements (TSP) and the model was used
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38 to assess the rejection rate of the membrane with a single adjustable parameter: the relative
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40 permittivity of the solution filling the pores. In a later work [1] Lanteri et al. proved that the
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42 SEDE model is able to reproduce both experimental rejection rates and membrane potentials
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44 by using several fitting parameters: effective pore size, effective thickness-to-porosity ratio,
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46 $\Delta x_a / A_{ka}$, effective volume charge density, X , and relative permittivity inside the pores, ε_p ,
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48 all them being considered constant through the membrane. Unfortunately, it was observed
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50 that there are different couples of values (X , ε_p) that lead to the same membrane potential
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52 value, between all of them, true values of X and ε_p are difficult to obtain by any fitting
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54 procedure with reasonable physical meaning for both the parameters. In a continuing work
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1 [16], Déon et al. assumed the model proposed by Silva et al. [17] that considered that the
2 charge density within the pore varies with concentration. Déon et al. did not included the
3 image force term into the dielectric effect. However they assumed that this effect would be
4 indirectly included in the "effective" estimated value for ε_p that can be obtained by fitting but
5 that sometimes lead to weird values.
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13 This article presents a novel method to predict the salt rejection developed by a NF
14 membrane. The model includes three parameters: the relative permittivity inside the pores,
15 ε_p , and the R and F parameters of a Freundlich charge isotherm of the volumetric charge
16 density, $X = Rc^F$. Unlike the papers presented so far, in the present work these three
17 parameters are obtained by independent methods or experimental techniques.
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27 In this case, an estimation of the thickness of the active membrane layer, Δx_a , is
28 obtained from scanning electron microscopy, SEM, allowing the evaluation of membrane
29 porosity, A_k , from water permeability measurements. The membrane porosity of the active
30 layer is necessary to link the relative permittivity of the wet membrane with the
31 corresponding value for the solution inside the pores and the dry membrane material, as it
32 will be explained later. Transport numbers are obtained from membrane potential
33 measurements. The viscosity inside the pore is calculated by using only the pore radius and
34 the bulk value. In the present work, as it was done previously [17], the volumetric charge
35 density and the relative permittivity inside the pores were considered as variable along the
36 pores and depending on concentration, $\varepsilon_p = f(c)$ $X = f(c)$. These two magnitudes are
37 obtained from Impedance Spectroscopy (IS) measurements using a similar method to that
38 described previously [10]. The model can be called SEDE-VCh model because it uses steric,
39 electric and dielectric exclusion with X (and ε_p) assumed as depending on concentration (an
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1 consequently on distance along the pore). The changes of charge along the pore, within this
2 model, can be as large as to span over an order of magnitude, depending on the operation
3 conditions and leads better fitting to the experimental results [17].
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7 The main objective of the present work is to evaluate the predictive capacity of the
8 model to foretell NF performances. The predictive character of the model consists in its
9 ability to obtain retention from independently known morphological and electrical properties
10 of the membrane. This permits securing the proposed model as to get membrane retention by
11 easier and faster procedures than the simple measurement of observed retention followed by a
12 careful concentration-polarization through mass transfer models. With this aim, the
13 experimental volume flux and intrinsic retention of aqueous NaCl solutions through a flat
14 sheet Desal HL, a polyamide NF membrane made by GE-Osmonics, will be compared with
15 the corresponding predictions obtained from independently measured ε_p and X . It will be
16 shown that fair accordance is found for the concentrations range studied.
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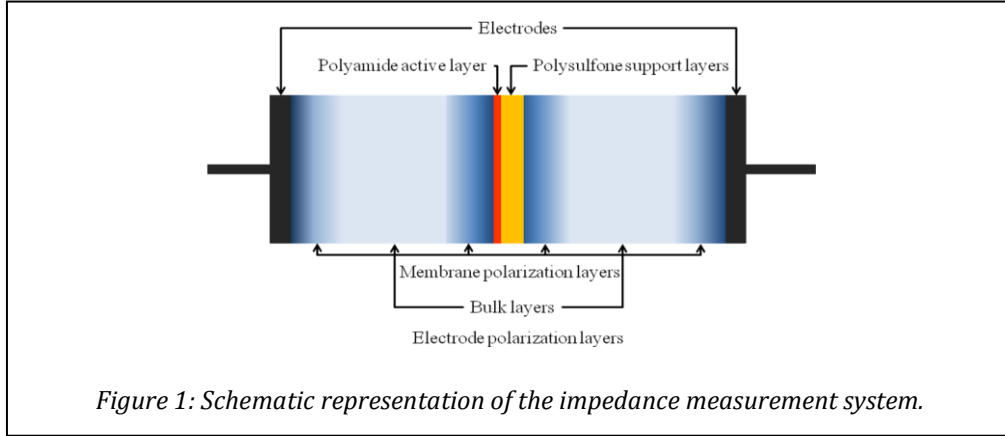
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33 Desal HL is a typical composite membrane, it consists of three layers: a thin top
34 selective polyamide layer of a few hundred nanometers in thickness (poly(piperazine-
35 amide)), an asymmetric microporous polysulfone support layer, and a polyester non-woven
36 fabric layer for mechanical strength [18, 19]. This membrane has been studied in our previous
37 work [10, 20-22] and others authors [18, 19, 23, 24] so there is a good reservoir of knowledge
38 on its characteristics and functionality that can help to assess the models and its predictive
39 capacity.
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49 **2. THEORY**

50 **2.1. Dielectric Analysis**

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56 Impedance spectroscopy measurements determine the electrical impedance of a
57 system as a function of frequency. When the objective is the electrical characterization of a
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membrane, the system is formed by five elements or layers: electrode + electrolyte + membrane + electrolyte + electrode. The system corresponds to three phases: electrode, membrane and electrolyte. In such a system it is possible to recognize a scheme of series resistance as shown in Figure 1. Evidently similar layers can be characterized by a unique set of electrical parameters or elements.



For the dielectric analysis, we follow the same procedure than in our previous work, in order to analyse the Impedance Spectroscopy results [10, 21]. A summary of the procedure followed can be found in the Appendix A.

2.2. Relative permittivity and conductivity inside NF pores

The relative permittivity of the wet membrane, $\varepsilon_{\text{memb}}$ (as obtained from Equation (A.8) in Table A.1) can be split as a linear combination of two terms, the permittivity inside the pores, ε_p , and the membrane dry material permittivity, ε_d , as,

$$\varepsilon_{\text{memb}} = \varepsilon_p A_{ka} + \varepsilon_d (1 - A_{ka}) \quad (1)$$

The same relation is applicable for the overall or wet conductivity, κ_{memb} :

$$\kappa_{\text{memb}} = \kappa_p A_{ka} + \kappa_d (1 - A_{ka}) \quad (2)$$

Where A_{ka} is the porosity of the membrane active layer. A_{ka} can be estimated from $\Delta x_a / A_{ka}$ if the mean membrane thickness is known. This ratio can be obtained from the Hagen-Poiseuille equation assuming slit pores and viscosity correction [25] as,

$$\frac{\Delta x_a}{A_{ka}} = \frac{r_p^2}{3\eta_{p,slit}L_w} \quad (3)$$

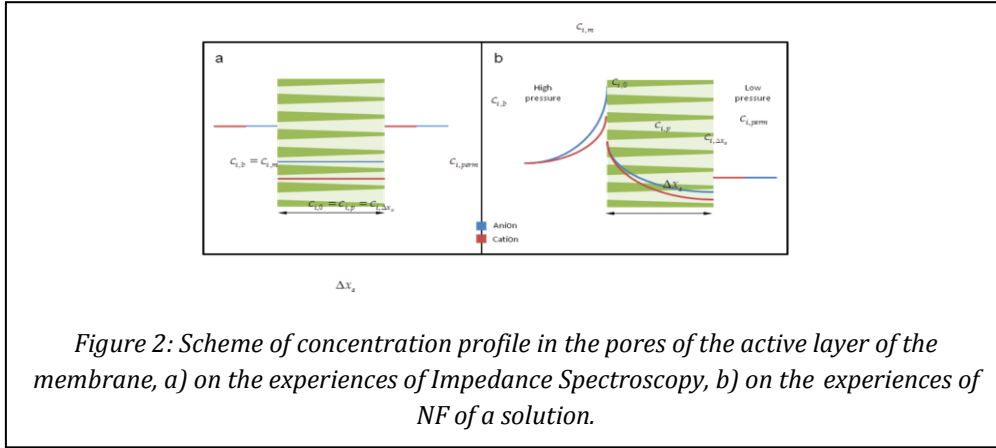
Where $\eta_{p,slit}$ is the viscosity inside pores and L_w is the water permeability. r_p is the pore radius (for slit pores $r_p = h/2$, with h the thickness of the slit). It is known that viscosity inside very narrow pores is enhanced due to the effects of confinement, here this effect has been calculated for slit-shaped pores as proposed by Wesolowska [25]:

$$\eta_{p,slit} = \frac{10\eta_b}{1+9(1-d/r_p)^3} \quad (4)$$

η_b is the bulk water viscosity and d is the thickness of the layer of water fully oriented towards the walls of the pores with a viscosity ten times the value for the bulk [10]. The existence of such a high viscosity on the pore walls may seem improbable but seems to be experimentally confirmed as discussed by Bowen and Welfoot [26, 27].

2.3. Thermodynamic equilibrium at the interfaces

Due to the nature of the impedance spectroscopy measurements, there is neither any applied pressure nor any concentration gradient between both sides of the membrane. The corresponding profiles in both impedance spectroscopy and permeation experiments are shown in Figure 2.



Consequently the only mechanisms causing separation of the electrolyte are those related to the thermodynamic equilibrium in both interfaces which are can be calculated as [26, 27]:

$$\frac{c_{i,0}}{c_{i,m}} = \phi_i \frac{\gamma_{i,m}}{\gamma_{i,0}} \exp(-z_i \Delta \Psi) \exp(-\Delta W'_{i,Born}) \exp(-\Delta W'_{i,im}) \quad (5)$$

were $c_{i,0}$ and $c_{i,m}$ are the concentration inside and outside the membrane, respectively, $\gamma_{i,0}$ and $\gamma_{i,m}$ are the corresponding activity coefficients, ϕ_i , the steric factor, takes into account the steric effect, $\Delta \Psi$ represents the normalized Donnan potential, and the dielectric effects are considered thorough the Born term, $\Delta W'_{i,Born}$ [28], and the image forces effects $\Delta W'_{i,im}$ [27, 29, 30]. The expressions for these dielectric effects are shown in appendix B (Table B.1). Since we are working with dilute solutions, the activity coefficients are considered approximately 1. Note that, for slit pores: $\phi_i = (1 - \lambda_i)$, with $\lambda_i = r_{i,Stokes} / r_p$, being $r_{i,Stokes}$ the Stokes radius of i -ion.

Changes in conductivity from inside to outside the membrane can be correlated with the equilibrium conditions as done in a previous work [10] through the following relation:

$$\frac{\kappa_p}{\kappa_b} = \frac{U_{1,p} t_{1,b}}{U_{1,b} t_{1,p}} \left(\sqrt{\left(\frac{X}{2c_b}\right)^2} + \theta_1 \theta_2 + (2t_{1,p} - 1) \frac{X}{2c_b} \right) \quad (6)$$

Where θ_i is a coefficient grouping the influence of steric and dielectric effects and can be written as:

$$\theta_i = \phi_i \exp(-\Delta W'_{i,Bom}) \exp(-\Delta W'_{i,im}) \quad (7)$$

The subscripts 1 and 2 refer to cation and anion respectively and p and b indexes refer to the concentration just inside and outside the membrane interface respectively (p: pores, b: bulk). Parameters $U_{1,p}$, $t_{1,p}$ and $U_{1,b}$, $t_{1,b}$ are the cation mobilities and transport numbers inside and outside the membrane, respectively. Transport numbers are known to represent the fraction of the total current carried by the positive and negative ions.

It is well-known that the adsorption process of ions in NF membranes can be successfully described by a Freundlich isotherm [31, 32].

$$X = \gamma c^\Gamma \quad (8)$$

Substituting this isotherm into Equation (6), we get:

$$\frac{\kappa_p}{\kappa_b} = \frac{U_{1,p} t_{1,b}}{U_{1,b} t_{1,p}} \left(\sqrt{\left(\frac{\gamma c_b^\Gamma}{2c_b}\right)^2} + \theta_1 \theta_2 + (2t_{1,p} - 1) \frac{\gamma c_b^\Gamma}{2c_b} \right) \quad (9)$$

In this equation there are three parameters to fit which can be assumed as independent of concentration: γ , Γ and the ratio $U_{1,p}/U_{1,b}$. Note that in order to use Equation (9), transport number should be known inside the pores.

2.4. SEDE-VCh model for NF experiments

The SEDE-VCh model is the most complete approach based on the Nernst-Planck extended equation [12, 33-35] and is our aim here, as mentioned, to test its predictive features. In previous works, we demonstrated that the SEDE-VCh model can be used for the electrical characterization (X, ε_p) of NF membranes immersed in single salt solutions [17] and also for multi-component mixtures with a common ion [22].

One of the major uncertainties in the characterization of a NF membrane is the geometry of the cross section of the active layer pores. In most papers, authors assume two ideal situations: pores with cylindrical section or pores with slit shape [22, 36]. In other cases, authors go for only one of the two geometries based on preceding knowledge from several characterization techniques [37, 38] or by fittings when mass transfer models are used [15, 39]. The model can be applied for cylindrical and slit pore geometries however, when cylindrical geometry was assumed, some unusual values of ε_p were found in the literature (bigger than $\varepsilon_w = 78.5$) [17, 40] (both effects, Born and “images forces”, were taken into account). When slit pore geometry is assumed, most of the results found in literature are in better agreement with what could be expected giving, in particular, dielectric constants inside pores below the water bulk value ($\varepsilon_p < \varepsilon_w$). However, ε_p values bigger than 78.5 have also been found when the charge density is evaluated from other techniques [41]. In the present work, the use of slit geometry is supported also by the AFM results in the membrane Surface, as will be explained in section 4.1.

To summarize the SEDE-VCh, we listed below the basis of the model together with the list of equations involved presented in appendix B (Table B.1).

- i. Slit-shapes pores
- ii. Volumetric charge density and permittivity depend on concentration

- iii. Variable volumetric charge density along the pores.
- iv. Image charges forces effects and Born effects are considered.
- v. No dielectric effects are considered due to dispersion interaction occurring between ions within pores and the membrane material [42], following most of the authors who use these models [43].

In Figure 3, a scheme of the procedure of evaluating the predictive power of the model is shown.

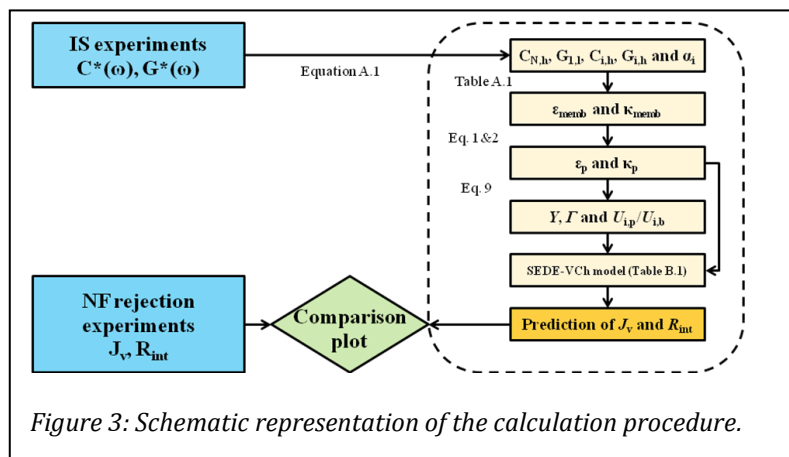


Figure 3: Schematic representation of the calculation procedure.

3. EXPERIMENTAL

3.1. Membrane.

A flat sheet commercial NF membrane Desal HL has been used. This is a polyamide membrane manufactured by GE-Osmonics (Minnetonka, MN, USA). According to the manufacturers, it has a MWCO between 150 and 300 g/mol, and it can be used in a pH range of 3-9 and up to a maximum temperature of 50°C.

3.2. Atomic Force Microscopy (AFM).

Atomic Force Microscopy has been performed with a Nanoscope Multimode IIIa scanning probe microscope from Digital Instruments (Veeco Metrology Inc., Santa Barbara, CA, USA). As phase images show surface features with greater clarity than the height image

1 alone, tapping mode was used [44, 45]. This technique allows the mapping of different
2 components in polymeric materials.
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4 For the tapping mode (intermittent contact), an electron beam deposited and
5 sharpened tip was used; made by Nanotools (Nanotools, Munich, Germany) with a length of
6 1000 nm, a point angle less than 10° and sharpened with a radius of curvature always less
7 than 5 nm, according to the manufacturer specifications. Images have been obtained in
8 ambient air with dry samples (as supplied by the manufacturer), and with samples previously
9 wetted with water or ethanol.
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22 **3.3. Water Permeability, Permeate Flux and Solute Retention**

23 Membrane permeability has been determined from experimental measures by the
24 slope of the linear fit of the volumetric flux versus pressure data for the range from 1 to 5
25 MPa. The HP4750 stirred cell from Sterlitech (Sterlitech co, Kent, WA, USA) was used.
26 Previously, the membrane was stabilized being immersed in water at 5 MPa for one hour.
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34 The neutral solutes retention measurements were performed with a 1g/L solution of
35 tetraethylene glycol in water using the dead-end method. The same Sterlitech cell, used to
36 determine the hydraulic permeability of the membrane, has been used for the retention
37 experiments. The detailed procedure was previously described [20].
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44 Retention measurements for charged solutes were performed using sodium chloride
45 solutions. These experiments of retention and permeate flux were carried out in a flat sheet
46 cross flow cell, Sepa CF from GE-Osmonics; fed with concentrations between 5 and 500
47 mol/m³ and applied pressure difference from 10-50 bars. Retention results for the NaCl
48 solutions have been published in a previous work [22], and those data are here used as
49 published.
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3.4. Impedance Spectroscopy

Electrical characterization of the membrane was carried out by impedance spectroscopy technique. A circular membrane sample was placed between two flat and circular Ag/AgCl electrodes of 32 mm of diameter. The holder cell has two identical methacrylate hemi-cells of 10.18 cm² of active area. These two hemi-cells allow the continuous flow of identical solutions at both sides of the membrane, assuring the complete equilibrium between their faces. All components are located inside a stainless steel vessel that behaves like a Faraday shield and isolates the system from any external electromagnetic field. The cell and the whole arrangement were designed and built by us; and a more detailed description can be found in already published works [10, 21].

The non-woven support of the membrane has been removed from the membrane by mechanical peeling. Before the measurements, the membrane was conditioned during 24 hours inside the cell with Milli-Q (Millipore, Subsidiary of Merck KGaA, Billerica, MA, USA) deionized water, in order to remove air and impurities. With the membrane placed in the holder system, the solution has been kept flowing on each side of the membrane at the same flux (0.6 L/min) and pressure, during a few minutes, to stabilize the system.

During the measurements, the solution was continuously flowing tangentially on both sides of the membrane, at the same rate of 0.6 L/min and thermostated at 298 ± 1 K by using a thermostatic bath.

Impedance measurements were taken using a Solartron 1260 (Ametek, Berwyn, PA, United States) in a frequency range from 10 MHz to 10 mHz and 10 mV of applied AC voltage. The equipment is controlled by the commercial acquisition and control software from Solartron Analytical. Sampling was fixed at 7 points per decade, which gives 64 points per sample. This number of points is enough to appreciate all relaxation times and each measurement was finished in a reasonable period of one hour. These IS measurement was

1 repeated using increasing concentrations of salt solutions, for a wide range between 0.01 and
2 10 mol/m³ prepared from Milli-Q deionized water.
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7 **3.5. Membrane potential.**

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9 The Membrane Potential was determined by using the same membrane holder used
10 for the impedance spectroscopy technique. Both sides were properly stirred by the
11 recirculation of the solution with a water flux of 0.6 L/min in order to reduce the
12 concentration polarization effect. A Cl⁻ selective membrane electrode, ISE 9652 from Crison
13 (Hach-Lange, Danaher Corporation, Washington, D.C., United States) has been placed at
14 each side of the cell and connected to a high impedance voltmeter. The hydrostatic pressure
15 has been kept equal in both sides of the cell by placing the solution reservoirs at the same
16 height. The temperature has been kept at 298±1 K by using a thermostatic bath.
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29 In a previous work it was experimentally demonstrated that the transport number for
30 KCl solutions is practically constant in the concentration range under analysis [10].
31 Moreover, it was found that the transport number, for the same membrane used here, Desal
32 HL, was not influenced by the membrane support. In order to test this assumption,
33 membrane potential experiments were carried out with the active layer of the membrane
34 facing the lowest concentration solution after immersion in the higher concentration solution
35 and compared with measurements performed with the active layer facing the highest
36 concentration solution after immersion in the lower concentration solution [10].
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49 Assuming that for NaCl, these two factors are also accomplished, a process for
50 membrane potential measurements was designed, keeping constant the concentration in
51 contact with the active layer of the membrane ($c_{\text{high}} = 10 \text{ mol/m}^3$) and varying the
52 concentration values in contact with the support ($0.01 \text{ mol/m}^3 < c_{\text{low}} < 5 \text{ mol/m}^3$).
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1 The electric potential difference measured by the electrodes through the membrane
2 system is called cell potential, E_{cell} . This potential is related to the membrane potential as
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5 $E_{\text{memb}} = E_{\text{cell}} - E_{\text{Nernst}}$ [46]. The E_{Nernst} term is the potential difference between the solution of
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7 high concentration, c_{high} , and that of low concentration, c_{low} ; and it corresponds to the
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9 Nernstian contribution due to the concentration differences in both the electrode-solution
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11 interfaces. This potential drop, E_{Nernst} , has been previously determined by measuring against
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13 a commercial Ag/AgCl reference electrode (Ref. 5044 of Crison). Each electrode has been
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15 placed alternatively in the high and low concentration compartments united by a saline bridge
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17 to the other compartment containing the reference electrode. An average of both readings has
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23 been used in order to avoid effects of asymmetry.
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28 4. RESULTS AND DISCUSSION

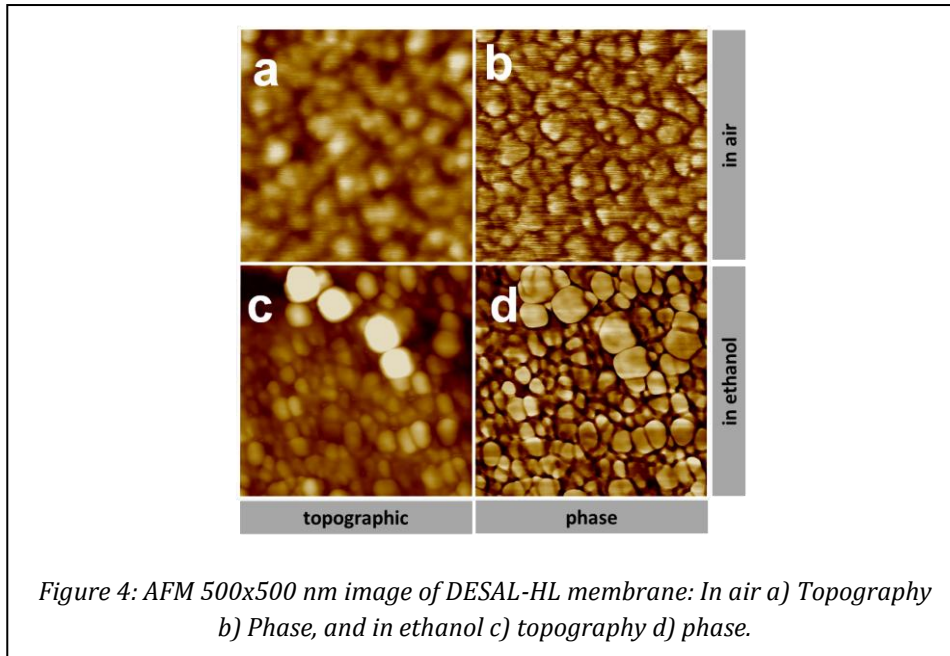
29 4.1. Membrane Parameters.

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33 As mentioned, AFM can enlighten on the average pore section geometry and on the
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35 question on should be assumed circular or slit-shaped. As said in section 3, AFM in tapping
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37 mode was carried on membrane samples surrounded by air, first on absolutely dry surfaces,
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39 as supplied by the manufacturer, and afterwards on wet. They have been dipped in water and
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41 alcohol. Although ethanol is not involved in filtration experimental, it has been used in AFM
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43 characterization because its low surface tension facilitates the AFM measurements. Ethanol
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45 surface tension is $22.51 \text{ mN}\cdot\text{m}^{-1}$ (at 25°C), much lower than water's, $72.01 \text{ mN}\cdot\text{m}^{-1}$ [47].
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50 Figure 4 is an example of the so obtained AFM images. The two in the top row have
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52 been taken with the membrane as supplied by the manufacturer in air. The left image (Figure
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54 4.a) displays the topography and the right picture (Figure 4.b) corresponds to the phase
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56 image. The two images in the bottom row were taken after the membrane was drenched in
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58 ethanol. Images obtained with water are very similar to those obtained with ethanol, but of
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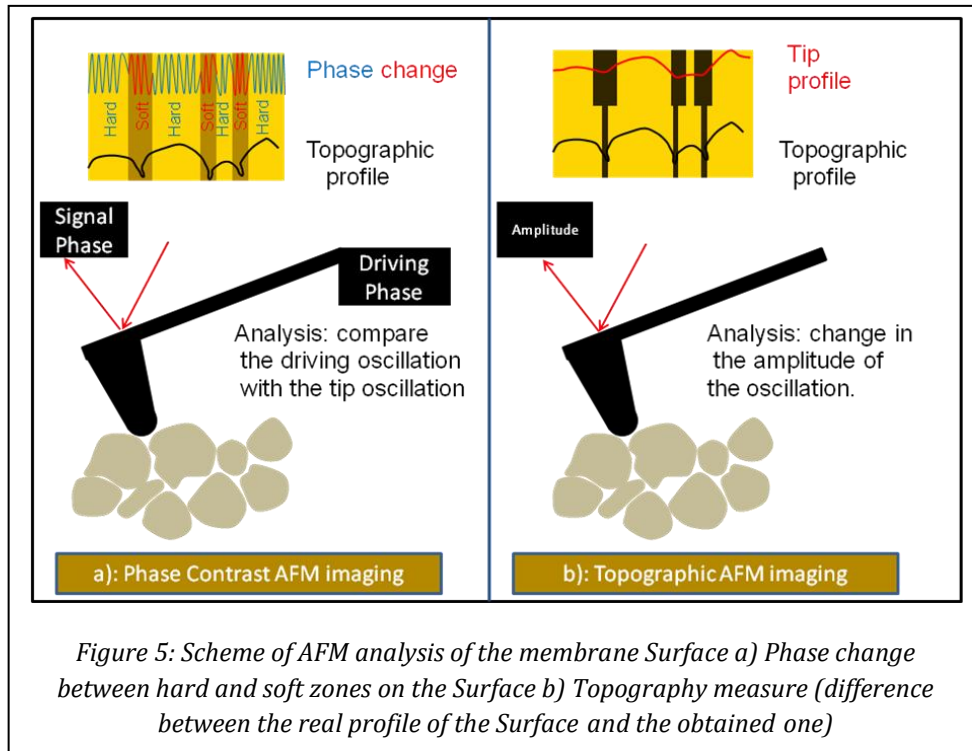
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lower quality; for that reason they are not shown here. Images of Figure 4.a and c compare the topography in both samples. The two images show a granular structure of the polymeric surface, which is a usual structure for this kind of membranes [48, 49].



In Figure 4.a it is seen that the dried membrane shows less defined granules. This can be attributed to the presence of preservatives in the membrane and other residues of the manufacture process. In Figure 4.c, these possible manufacturing conditioning should probably have been removed and the granules should be enhanced by a swelling effect of ethanol absorption. A computerized image analysis of the wetted samples shows a granule size distribution with an average size of 32 ± 12 nm. The granules must correspond to areas with higher density of polymer. In this sense, it could be presumed that the pores could be associated to zones of lower polymer density between the granules, or equivalently to the larger inter granular interstices.

Figure 5.a shows a scheme of the phase change process when the tip moves from hard to soft areas. Images b and d of Figure 4 show the phase change (in tapping mode) associated to the change in viscoelastic properties of the surface, providing additional information to the topographical projections [49].



In the phase contrast images, especially for the wet sample, the polymer granules and the resulting interstices can be seen with higher definition. This may be associated (ignoring the possible topographic effect) to lower polymer densities (higher free volume) of these interstices in support of the probable presence of slit-like pores within these gaps. AFM, at least directly, is not able to determine pore sizes in membranes having this structure.

From the analysis of our images, we could get slit pore sizes of 6.7 ± 1.8 nm in thickness and lengths of 42 ± 12 nm. These sizes are bigger than those that would be expected in a NF membrane. This could be explained because these sizes correspond to the entrance of the pore formed by the granules that would be narrowed inside the membrane, and because they were actually observed with a tip larger than the pore. Really, the size

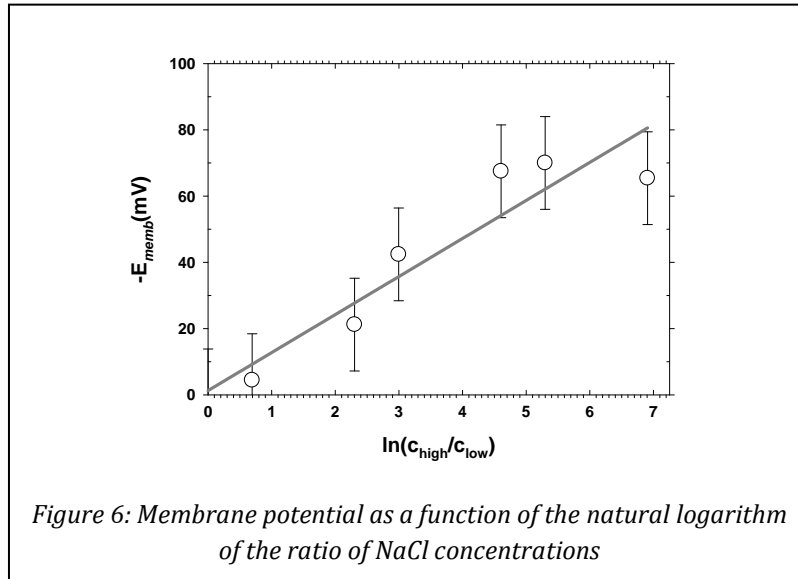
1 visualized in the image depends on the curvature and the size of the granules and on the tip
 2 geometry. The size observed is always larger than the real size of the pores. Figure 5.b
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 4 schematizes the difference between the real profile of the surface and that provided by the tip,
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 6 with the consequent increase of the estimated pore size. However, AFM analysis clearly
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 8 confirms that the pores of our membrane should have a more slit-like section.
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11
 12 Some more accurate morphological characteristics including the mean pore
 13 radius, r_p , and the active layer thickness, Δx_a , must be known to be used as inputs for the
 14
 15 model resolution presented above. A mean pore radius of $0.46 \pm 0.08\text{nm}$ was obtained
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 17 supposing slit pores in retention measurement. In the experimental measurements,
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 19 tetraethylene glycol was used, taking concentration polarization into account and following a
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 21 procedure exposed elsewhere by us [20] in order to obtain the true retention coefficient and
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 23 the corresponding pore-size distribution. This result is close to the 0.48 nm obtained for this
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 25 membrane by Hussain et al. [23] using uncharged solute rejection measurements and has
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 27 been used in previous works [10, 20, 21]. The validity of the neutral solute retention method
 28
 29 to get information on pore size has been previously tested by us [38]. The thickness of the
 30
 31 active layer, Δx_a , was measured in our previous work [10] by Environmental Scanning
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 33 Electron Microscopy images of transversal sections ($\Delta x_a = 90 \pm 30\text{ nm}$).
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 43 The transport number of ions inside the membrane was determined from
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 45 measurements of membrane potential. Figure 6 shows membrane potential versus the
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 47 logarithm of the concentrations ratio. The transport number of the Na^+ cation inside the pores
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 49 of the active layer, $t_{1,p}$, can be determined from the slope of the straight fitted according to
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 51 [50]:
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 53

$$54 E_{\text{memb}} = (1 - 2t_{1,p}) \frac{RT}{F} \ln \left(\frac{c_{\text{high}}}{c_{\text{low}}} \right) \quad (10)$$

where R is the gas constant, T the absolute temperature and F the Faraday constant. In Equation (10) we have assumed that, for low concentrations, the activities ratio is approximately equal to the concentrations ratio. Calculating the transport number from the slope of the line implies that it is independent of concentration. This behavior has already been previously studied for the same membrane and KCl solutions [10].



The value obtained for the cation transport number was $t_{1,p} = 0.72 \pm 0.03$, which is bigger than the free solution one: $t_{1,b} = 0.39 \pm 0.01$ [51]. This means that there is a clear increase in the portion of transport carried by cations through the pores. The isoelectric point for this membrane is less than 3.3, as found in literature [52]. This means that the membrane is negatively charged when working with these ionic solutions.

The value obtained for water permeability was: $L_w = (2.78 \pm 0.05) \cdot 10^{-11} \text{ m/s} \cdot \text{Pa}$. From Equation (4) and taking $\eta_b = 8.9 \cdot 10^{-4} \text{ Pa} \cdot \text{s}$ for the bulk viscosity [53], the pore viscosity $\eta_{p,\text{slit}}$ obtained is $(5.4 \pm 0.6) \cdot 10^{-3} \text{ Pa} \cdot \text{s}$. And with Equation (3) we can obtain the value of the porosity- thickness ratio: $(4.7 \pm 0.8) \cdot 10^{-7} \text{ m}$. All the membrane properties are summarized in Table 1.

Table1: Modeling fixed parameters.

MEMBRANE PARAMETERS	
L_w	$(2.78 \pm 0.05) \cdot 10^{-11} \text{ m/s} \cdot \text{Pa}$
ε_d	3
Δx_a	$90 \pm 30 \text{ nm}$
$t_{1,p}$	0.72 ± 0.03
r_p	$0.46 \pm 0.08 \text{ nm}$
$\eta_{p \text{ slit}}$	$(5.4 \pm 0.6) \cdot 10^{-3} \text{ Pa} \cdot \text{s}$
$\Delta x_a / A_{ka}$	$(4.7 \pm 0.8) \cdot 10^{-7} \text{ m}$
A_{ka}	0.19 ± 0.09

4.2. Impedance Spectroscopy results and modeling

Results obtained with impedance spectroscopy for NaCl solutions for this membrane were shown previously [21]. The Nyquist's plot has a very similar behavior to the results obtained for KCl solutions with the same concentration values [10]. An example of a Nyquist plot for the studied concentrations is shown in Figure 7. The first lobe, corresponding to high frequency (low real impedance) represents the solution outside the membrane flowing through the cell and inside the membrane support where there are no restrictions. The second lobe (lower frequency) is attributable to the really restrictive part of the membrane; i.e. to the

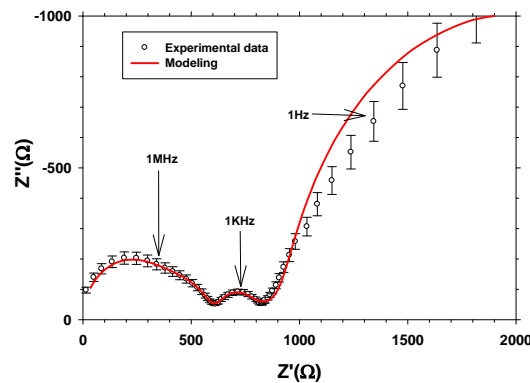


Figure 7: Nyquist plot for NaCl solution 1 mol/m^3 . The best fitting according to Equation (A.1) is shown as a solid line. Some frequencies are superimposed.

pores of the active layer of the membrane. The last lobe, for the lowest frequencies (high real impedances) corresponds to the relaxation of the polarization layer in contact with the electrodes and membrane (see Figure 1). The second lobe only appears when the membrane is present [10], (see supplementary Figure, S-1). It can be seen that the central lobe is where experimental data best fit the model described by Equation (A.1).

Following the procedure outlined in section 2.1, dielectric parameters of our system are obtained. In Table 2 the conductance and capacitance of the active layer of the membrane are shown, for NaCl concentrations studied.

Table 2: Capacities and conductances of the active layer of the membrane.

	Concentration (mol/m ³)						
	0.01	0.02	0.1	0.2	1	2	10
C_{memb} (nF)	990±30	990±30	790±20	700±20	600±18	610±18	610±18
G_{memb} (μS)	345±10	374±11	513±15	840±30	4590±160	9600±300	49700±1500

4.3. Permittivity and conductivity inside NF pores

The permittivity inside the pores, ϵ_p , can be obtained from capacities (table 2) and Equation (1). In this equation, a value of 3.0 has been assumed for the relative permittivity of the dry polymer (polyamide), ϵ_d , [54-55]. In Figure 8.a, the permittivity inside the pores, ϵ_p , is plotted against the concentration for slit geometries and also the wet membrane permittivity is presented, ϵ_{memb} (according Equation (A.8)). The membrane permittivity is obviously lower than that of the pores. Solid lines in Figure 8.a correspond to the fittings to a three parameter exponential decay approximation, which was later used for the extrapolation of ϵ_p values at higher concentrations.

The dependence of permittivity with membrane thickness is shown by including two dashed curves representing the variations in ϵ_p due to the variations in the estimation of Δx_a of ± 30 nm.

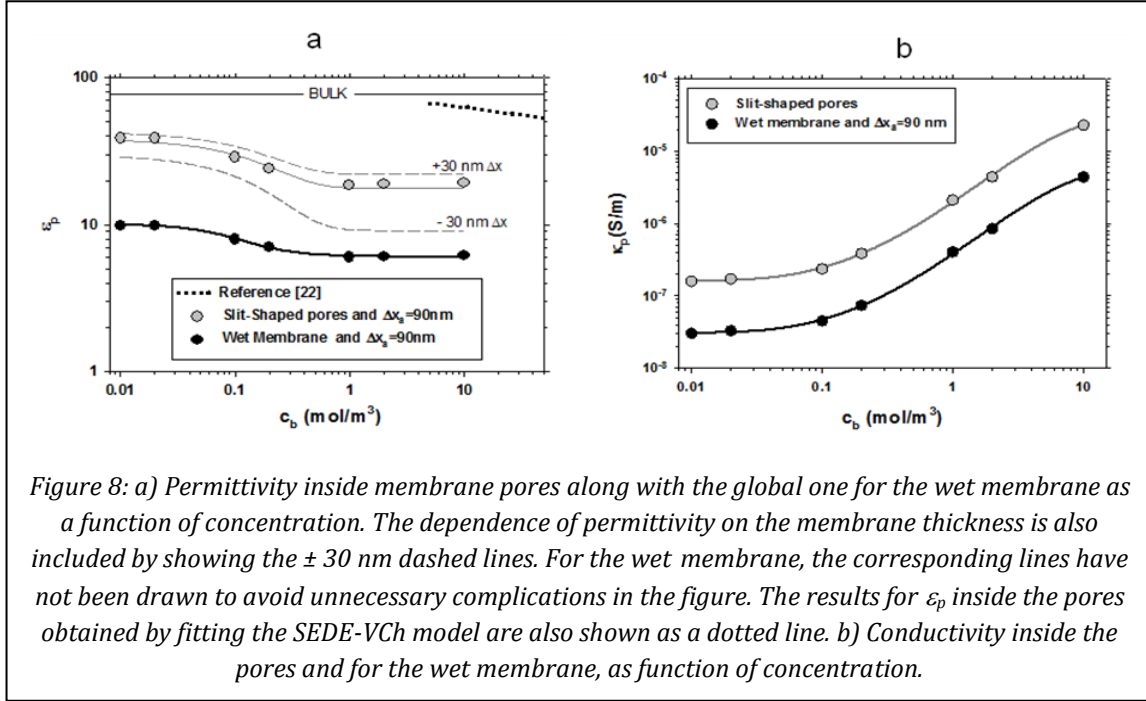


Figure 8: a) Permittivity inside membrane pores along with the global one for the wet membrane as a function of concentration. The dependence of permittivity on the membrane thickness is also included by showing the ± 30 nm dashed lines. For the wet membrane, the corresponding lines have not been drawn to avoid unnecessary complications in the figure. The results for ϵ_p inside the pores obtained by fitting the SEDE-VCh model are also shown as a dotted line. b) Conductivity inside the pores and for the wet membrane, as function of concentration.

The results for permittivity inside the pores obtained from the flow and retention data for NaCl solutions, fitted to the SEDE-VCh model in a previous work [22] is also included in Figure 8.a versus the concentration, as a dotted line. In this case, the free parameters in the fit were the permittivity inside the pore and the two Freundlich isotherm constants. The discrepancy in the permittivity values inside the pores obtained by impedance spectroscopy and from retention data confirms the possibility that different pairs of values (X, ϵ_p) give a good fit to the data retention as demonstrated by Lanteri et al.[1].

The ϵ_p values obtained here for NaCl solutions are very similar to those obtained for KCl and the same membrane in a previous work [10]. The differences between the two salts are well within the experimental error. This has also been recently confirmed by other authors [56] for other membrane and salts. It seems that the changes in ϵ_p are due to the confinement effects and to the concentration more than to the type of salt, at least for simple salts of a 1:1 type.

The conductivity inside the pores is calculated with conductance values (table 2) and Equation (2). We assume that the polymer conductivity is much lower than inside the solution filled pores [57]. Thus, the second term on the right of Equation (2) can be neglected. Taking into account Equation (A.10), the conductivity inside the pores is:

$$\kappa_p = G_{\text{memb}} \left(\frac{\Delta x_a}{A_{\text{ka}} S} \right) \quad (11)$$

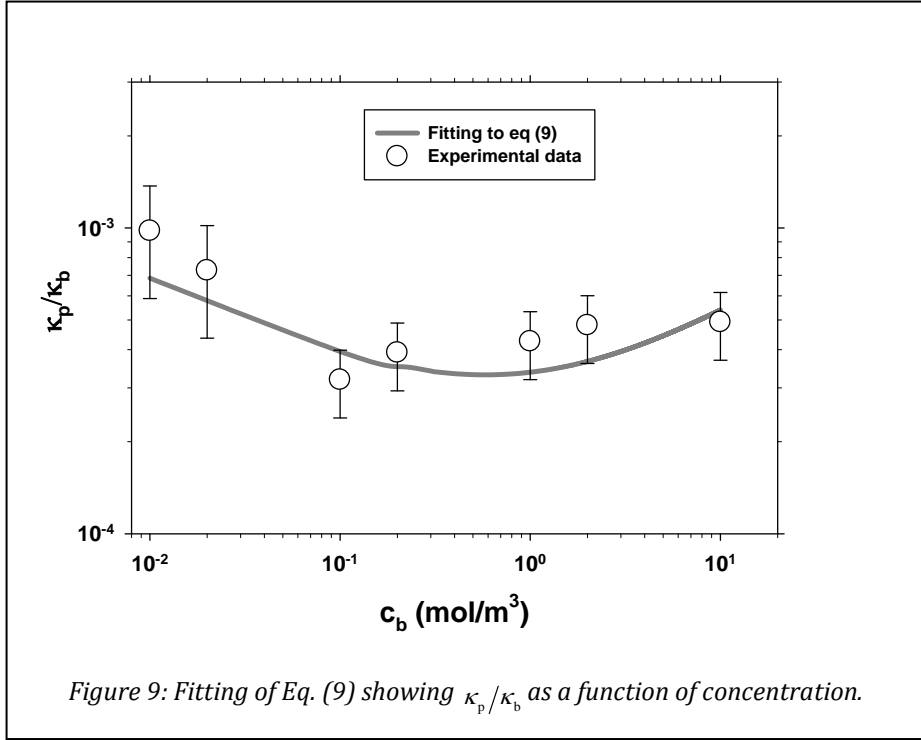
Note, that in this case it is not necessary to know the thickness of the active layer because the thickness to porosity ratio is obtained directly from the measurements of water permeability by using Equation (3).

Figure 8.b compares the conductivity into the pores with that of the active layer of the membrane, which is almost an order of magnitude higher. This value is reasonable taking into account that the porosity of the active layer, for slit pores, is only 19%. The conductivity inside the pores is more than three orders of magnitude lower than in free solution (not presented in Figure 8.b but in the $2.2 \cdot 10^{-4}$ S/m to $1.2 \cdot 10^{-1}$ range. This fact corresponds to an entirely predictable effect of confinement into the pores reducing ionic mobility.

4.4. Volumetric charge density and ionic mobility inside NF pores

However, in Figure 9 it can be seen, that the ratio of pore to bulk conductivity varies only slightly, and fits fairly well to Equation (9), for bulk concentrations between 0.01 and 10 mol/m^3 . Here $\Delta x_a = 90 \text{ nm}$ has been assumed.

For the fitting of Equation (9), the transport number obtained from the membrane potential measurement has been used. Because the transport numbers are essentially independent of concentration the ratio of mobilities, $U_{i,p}/U_{i,b}$, should also be almost concentration-independent. Then, the results on κ_p / κ_b as a function of bulk concentration for



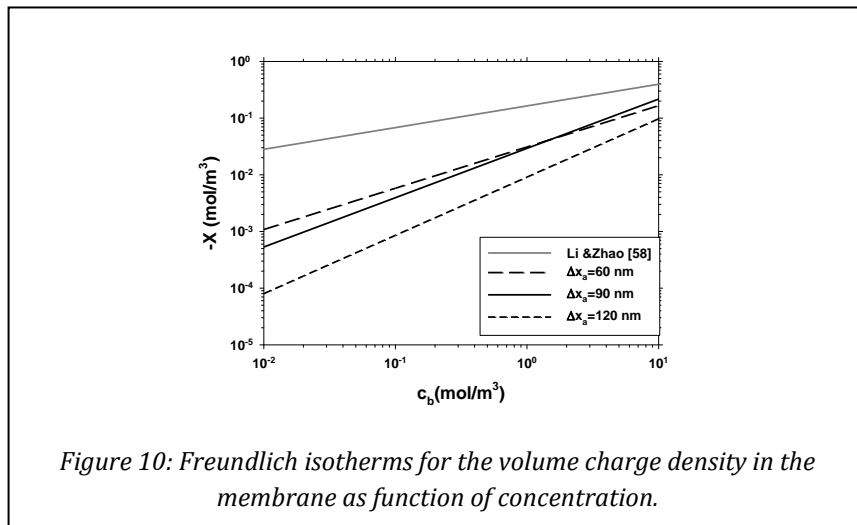
slit like pores can be fitted to get $U_{1,p}/U_{1,b}$ and the parameters of the charge isotherm: Υ , Γ .

Table 3 summarizes the values of the Freundlich isotherm constants (Equation (8)) with the values of the ratio of mobilities. We note a substantial reduction in ionic mobilities inside the pore, as reasonable, because the cation confinement inside the pores leads to a considerable reduction of its mobility.

Table 3: Mobilities ratio and Freundlich isotherm parameters as a function of active layer thickness.

Δx_a (nm)	$(U_{1,p}/U_{1,b}) \cdot 10^2$	$\Upsilon \cdot 10^3$ (mol/m ³)	Γ
120	10.3 ± 0.2	9.10 ± 0.05	1.02 ± 0.06
90	2.43 ± 0.08	29.3 ± 0.1	0.870 ± 0.009
60	1.48 ± 0.06	30.9 ± 0.1	0.738 ± 0.008

Figure 10 shows the absorbed charge inside the pores of the membrane as a function of NaCl concentration. The results obtained by using the model proposed by Li and Zhao, where dielectric effects were not considered [58] are also shown. It is seen that, if these effects are not taken into account, the model overestimates the membrane charge, because it has to give the barrier effects on ionic mobilities otherwise contributed by the dielectric effects.



4.5. SEDE-VCh model predictions

In order to obtain predictions on NaCl rejection, the isotherm presented in Figure 10 and the ε_p correlation in Figure 8.a were used as input of SEDE-VCh model (see appendix B (Table B.1)). As already mentioned in section 3.3, the rejection experimental values and the intrinsic rejection were taken from a previous work [22]. Both, theoretical and experimental results, are shown in Figure 11 as a function of the flux of permeate.

In this figure it can be seen that the goodness of the prediction decreases when concentration increases. There are several reasons for this behavior; firstly, the SEDE-VCh model should be applied to solutions relatively well diluted, where this assumption is still applicable. On the other side, the values of ε_p , γ and Γ , used for the highest concentration, are the result of extrapolation, which probably indicates that these values can be slightly

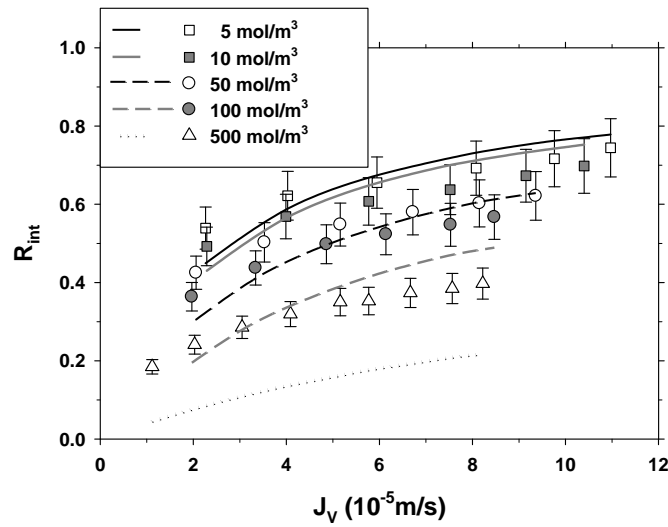


Figure 11: Intrinsic retention as a function of permeate flux for different feed concentrations: Experimental data (symbols) and model predicted values (lines).

different. Actually the extrapolation of the charge density (Γ and Γ values) for wide concentration ranges could be justified since it is based on the Freundlich model of heterogeneous adsorption, very commonly used to explain the equilibrium of ionic solutions with polymer surfaces, although high concentrations could introduce adsorbate-adsorbate interactions that would be not included within the Freundlich adsorption mechanism [31]. Moreover, ε_p is extrapolated according to the phenomenological curve (three parameter exponential decay) fitted to the experimental data (Figure 8.a) that can lose accuracy out of the range where it was evaluated.

In order to compare the accuracy of the prediction, Figure 12 shows the deviation in percentage between intrinsic retention values predicted by the model and experimental ones, as a function of feed concentration, defined as:

$$D = \frac{1}{n} \sum_{j=1}^n \frac{R_{int,j}(\text{exp}) - R_{int,j}(\text{cal})}{R_{int,j}(\text{exp})} \quad (12)$$

Here $R_{int,j}(exp)$ is the intrinsic rejection evaluated from the experimental observed retention for each $J_{V,j}(exp)$. $R_{int,j}(cal)$ is the intrinsic retention predicted by the model and n is the number of values evaluated for each concentration. It can be seen that the concentration range where there is not any data extrapolation (concentrations lower than 10 mol/m^3 , dark shaded area in Figure 12) the deviations are less than 3%. For extrapolated concentrations, until 50 mol/m^3 (light shaded area in Figure 12), deviations are less than 5%, whereas for higher concentrations, the model underestimates the retention values with higher deviations. As we have already mentioned, the main cause of this deviation should be the phenomenological extrapolation of permittivity, conductivity and transport numbers, however other factors such as the concept of dilute solutions in the model must also influence this discrepancy.

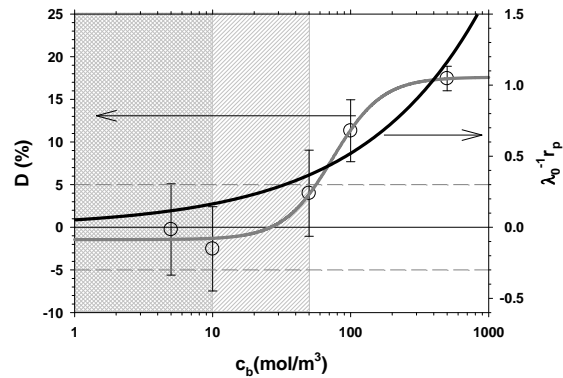


Figure 12: Left axis: Deviation in percentage between experimental retention values and intrinsic ones predicted by the model versus the concentration. The line is only an eye guide. Right axis: Product of the inverse Debye length and the pore radius versus concentration.

The method used in this study has some difficulty to determine accurately the permittivity, for concentrations above 10 mol/m^3 , since the second lobe of the Nyquist plot (see Figure 7) is too small leading to the necessity of extrapolating over this concentration. However, recently Efligenir and co-workers [56] designed an experimental method to determine the permittivity by impedance spectroscopy, isolating the active layer of the

1 membrane. Possibly, this technique could provide values of permittivity at higher
2 concentrations for NF membranes.
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5 In any case, to predict retention with high and moderately high concentrations, not
6 only the activity coefficients in Equation (5), should be considered but also the influence of
7 shielding, which increases with concentration, as pointed out by Dukhin et al. [29].
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9 According to these authors, for membranes with moderately high charge, the simultaneous
10 dielectric and charge mechanisms produce not simple multiplication of the Donnan and
11 dielectric factor but a quadratic increase in the intensity of dielectric exclusion. It seems
12 instructive to plot ratio of the pore radius to the Debye`s lengths, r_p/λ_D , that represent
13 somehow the charge density. On the right axis of Figure 12 the evolution of r_p/λ_D as a
14 function of concentration is shown. It can be seen that the region where the deviation between
15 retentions is higher than 5%, correspond to $r_p/\lambda_D \geq 0.3$. If for high values of concentration,
16 Equation (5) were changed by introducing this quadratic dependence of dielectric exclusion
17 energy, the retention values calculated would be higher, and probably would approach to the
18 experimental data.
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38 Of course some aspects of the model itself could be improved. For instance the
39 parallel pore approximation, used to calculate the dielectric constant inside the pore, that
40 assumes that the pores are an array of homogeneous equally sized slits, could be improved by
41 an adequate, but difficult to assess, distribution of pore sizes on the surface and along the
42 pores themselves. Nevertheless as a consequence of the dielectric behavior of porous walls,
43 the overall dielectric permeability and conductivity are more trustable than the actual pore
44 size and thickness values. Other possible source of errors could be due to the assumption of a
45 independence and separability of the effects of active and support layers that although tested
46 by us could not be total and especially for high concentrations.
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Another quite important expansion of the work presented here could be in the direction of including multi-ionic salts. Actually, the knowledge of competitive adsorption isotherms in systems containing several anions remains the main challenge for assessing the predictive abilities of the methodology proposed, although the transport modeling is relatively easy to generalize [22]. Of course in such cases impedance spectroscopy and membrane potential contributions to the methodology studied here have to be modified, and are being improved, too."

5. Conclusions

From measurements of impedance spectroscopy and applying the model of interfacial equilibrium we can conclude that: The Impedance Spectroscopy method allows a separation of the different relaxation processes appearing in a complex membrane system; although certainly, it is worth taking into account that some aspects of the model could be still improved as mentioned. Experimental results can be fitted to obtain the conductivity and permittivity inside the membrane pores, and by modeling, the charge inside the pores. The confinement of the ions inside the pores reduces both the ionic mobility and the relative permittivity. The increase in concentration only slightly reduces the value of the permittivity inside the pores, whereas the ion mobility varies similarly to that of the ions in the free solution.

Regarding the application of SEDE-VCh model, we can say that, obtaining the model parameters by independent methods, the model can be used in a predictive way for NF process. The results are good enough considering the complexity of the mechanisms involved. The best prediction was found for the diluted concentration according with the assumption of the model and the area where the parameters used have been calculated.

6. Appendix A

The electric and dielectric characteristics can be obtained, by means of the conductance G and capacitance C . The whole system can be modelled by an extension of the Maxwell-Wagner theory, in which the overall complex capacitance is obtained by a method similar to that used by Kita [59] and Asami [60] according to our adaptation [10] and as

$$C^*(\omega) = C_{N,h} + \sum_{i=1}^{N-1} \frac{(C_{i,l} - C_{i,h})}{1 + (j\omega\tau_i)^{1-\alpha_i}} - j \frac{G_{1,l}}{\omega} \quad (\text{A.1})$$

Where N is the total number of phases, $\tau_i = (1/2)\pi f_i$ is the relaxation time and f_i is the frequency at the maximum value in the Nyquist plot (the complex plane plot of impedances) for the i -th phase, ω is the angular frequency, $\omega = 2\pi f$ (of course also $\omega_i = 2\pi f_i$), $C_{N,h}$ is the capacitance at infinite frequency, $C_{i,l}$ and $C_{i,h}$ are capacitances for each relaxation time, at low and high frequencies respectively, and $G_{1,l}$ is the conductance of the system at low frequency. The α_i parameters are distribution factors characterizing the spread of relaxation times [60]. α_i is 0, or very near to 0, when the process has a single relaxation time (Debye type) and the corresponding curve in the Nyquist plot is a perfect semicircle with its center over the real axis. When the curve presents deviations from a semicircle, the value of α_i is nonzero.

For multilayer systems some constraints in Eq. (A.1) must be fulfilled. These are:

$$C_{i,h} = C_{(i+1),l} \quad \text{for } i = 1, \dots, N-1 \quad (\text{A.2})$$

$$G_{i,h} = G_{(i+1),l} \quad \text{for } i = 1, \dots, N-1 \quad (\text{A.3})$$

Using experimental results (left side in Eq. (A.1)) it is possible to identify the dielectric parameters $G_{i,h}$, $G_{1,l}$, $C_{N,h}$, $C_{i,h}$ and α_i for all i . The relation between these

parameters and those of the layers was presented by Li and Zhao [58] and confirmed by us [10]. This description is similar to assuming an equivalent circuit of a number of elements formed by the combination of a capacitance and a conductance in parallel. In the frequency range corresponding to the time relaxation of the solution inside the membrane, the phase parameters can be obtained according to the equations presented in Table A.1. In particular, permittivity and conductivity in the active layer of the membrane are given by Equations (A.8) and (A.10) in Table A.1 respectively.

Table A-1. Relation between phase and dielectric parameters [58].

$$\omega = \frac{G_h - G_l}{C_l - C_h} = 2\pi f \quad (\text{A.4})$$

$$B = \omega + \frac{G_h}{C_h} \quad (\text{A.5})$$

$$D = \sqrt{B^2 - 4 \frac{G_l \omega}{C_h}} \quad (\text{A.6})$$

$$A = \frac{B - D}{2} \quad b = B - A \quad (\text{A.7})$$

$$C_{\text{memb}} = C_h \frac{D}{\omega - A} \quad \epsilon_{\text{memb}} = C_{\text{memb}} \frac{\Delta x_a}{S \epsilon_0} \quad (\text{A.8})$$

$$C_b = C_h \frac{D}{b - \omega} \quad \epsilon_b = C_b \frac{L}{S \epsilon_0} \quad (\text{A.9})$$

$$G_{\text{memb}} = A C_{\text{memb}} \quad \kappa_{\text{memb}} = G_{\text{memb}} \frac{\Delta x_a}{S} \quad (\text{A.10})$$

$$G_b = b C_b \quad \kappa_b = G_b \frac{L}{S} \quad (\text{A.11})$$

The sub-indexes h and l refer to the high and low frequencies and memb and b refer to inside and outside of each membrane system layer.

7. Appendix B

In Table B.1 the relationships used within the SEDE-VCh model are shown. These equations include: transport equations inside the slit shaped pores, partitions at interfaces, dielectric energies and intrinsic retention, are shown.

Table B.1: SEDE-VCh equations for slit shaped pores.

TRANSPORT EQUATIONS INSIDE PORES

$$\frac{dc_i}{dx} = \frac{J_V}{K_{i,d} D_{i,\infty} A_{ka}} (K'_{i,c} c_i - c_{i,perm}) - \frac{z_i F}{RT} \frac{d\psi}{dx} \quad (\text{B.1})$$

$$\sum_i c_i z_i + Y c_2^r = 0 \quad (\text{B.2})$$

$$\frac{d\psi}{dx} = \frac{\sum_i \left(\frac{z_i J_V}{K_{i,d} D_{i,\infty} A_{ka}} \right) (K'_{i,c} c_i - c_{i,perm}) + \left(\frac{J_V \Gamma Y c_2^{r-1}}{K_{i,d} D_{2,\infty} A_{ka}} \right) (K'_{2,c} c_2 - c_{2,perm})}{\frac{F}{RT} (\sum_i c_i z_i^2 + z_2 Y c_2^r)} \quad (\text{B.3})$$

$$\begin{aligned} \text{Boundary conditions} \quad c_i(x=0) &= c_{i,0} \\ c_i(x=\Delta x) &= c_{i,\Delta x_a} \end{aligned} \quad (\text{B.4})$$

$$K_{i,d} = \frac{1 + \frac{9}{16} \lambda_i \ln \lambda_i - 1.19358 \lambda_i + 0.4285 \lambda_i^3 - 0.3192 \lambda_i^4 + 0.08428 \lambda_i^5}{1 - \lambda_i} \quad (\text{B.5})$$

$$K_{i,c} = \frac{1 - 3.02 \lambda_i^2 + 5.776 \lambda_i^3 - 12.3675 \lambda_i^4 + 18.9975 \lambda_i^5 - 15.2185 \lambda_i^6 + 4.8525 \lambda_i^7}{1 - \lambda_i} \quad (\text{B.6})$$

$$K'_{i,c} = K_{i,c} + (2 - \phi_i) K_{i,d} \left(\frac{2\lambda_i}{3} \right) \quad (\text{B.8})$$

PARTITIONING EQUATIONS

$$\frac{c_{i,0}}{c_{i,m}} = \theta_i \exp(-z_i \Delta \Psi) (m \square 0) \quad \text{and} \quad \frac{c_{i,\Delta x_a}}{c_{i,p}} = \theta_i \exp(-z_i \Delta \Psi) (perm \square \Delta x_a) \quad (\text{B.9})$$

$(m \square 0)$ and $(perm \square \Delta x_a)$ correspond to the feed side and permeate side, respectively, of the active layer of the membrane.

THE DIELECTRIC BORN ENERGY

$$\Delta W'_{i,Born} = \frac{(z_i e)^2}{8\pi k_B T \epsilon_0 a_s} \left(\frac{1}{\epsilon_p} - \frac{1}{\epsilon_b} \right) \quad (\text{B.10})$$

Where a_s is the cavity radius defined by [61] as the distance from the center of the ion to the point where the relative permittivity becomes different than the vacuum one, ε_0 .

THE DIELECTRIC IMAGE FORCE ENERGY

$$\Delta W'_{i,im} = -\frac{r_B}{r_p} \ln \left[1 - \left(\frac{\varepsilon_p - \varepsilon_d}{\varepsilon_p + \varepsilon_d} \right) \exp(-2\mu) \right] \quad (\text{B.11})$$

$$r_B = \frac{(z_i F)^2}{8\pi\varepsilon_0\varepsilon_p RTN_A} \quad (\text{B.12})$$

$$\mu = \frac{r_p}{\lambda_0} \sqrt{\frac{I_b}{I_p}} \quad (\text{B.13})$$

Where r_B is the Bjerrum radius, λ_0 is the Debye length. I_b and I_p are the ionic strength outside and inside the pores respectively.

THE INTRINSIC RETENTION

$$R_{i,int} = 1 - \frac{c_{i,perm}}{c_{i,m}} \quad (\text{B.14})$$

8. Acknowledgements

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17 Symbol lists

18		
19		
20		
21	A, b, B, D	Parameters defined in Table A.1
22		
23		
24	A_k	Porosity
25		
26		
27	a_s	Cavity radius
28		
29		
30	C	Capacitance
31		
32	C^*	Complex capacitance
33		
34		
35	c	Concentration
36		
37		
38	d	Thickness of the layer in Equation (4)
39		
40	E_{cell}	Cell potential
41		
42		
43	E_{memb}	Membrane potential
44		
45		
46	E_{Nernst}	Solution potential
47		
48		
49	e	Elementary charge
50		
51	F	Faraday constant
52		
53		
54	f	Frequency
55		
56		
57	G	Conductance
58		
59	h	thickness of the slit
60		
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1	I	Ionic strength
2		
3	J_V	Volumetric flux per unit of membrane area
4		
5	j	Imaginary number $\sqrt{-1}$
6		
7		
8	$K_{i,c}$	Hindrance factor for convection
9		
10		
11	$K'_{i,c}$	Hindrance factor for convection with pressure gradient effect
12		
13		
14	$K_{i,d}$	Hindrance factor for diffusion
15		
16		
17	k_B	Boltzmann constant
18		
19	L	Thickness of layer
20		
21		
22	L_w	Water permeability
23		
24		
25	N	Layer number, pores number or Cl^- adsorbed number.
26		
27		
28	N_A	Avogadro's number
29		
30	R	Universal constant of gases
31		
32		
33	R_{int}	Intrinsic retention
34		
35		
36	r_p	Pore radius
37		
38		
39	r_{Stokes}	Stokes radius
40		
41	S	Membrane area
42		
43		
44	T	Temperature
45		
46	t	Transport numbers
47		
48		
49	U	Mobility
50		
51	x	Coordinate
52		
53		
54	z	Ion valence
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Greeks letters

1		
2		
3	α	Distribution factor of relaxation times
4		
5	X	Volume charge density
6		
7	$\Delta W'_{i,Born}$	Free energy difference due to Born effects
8		
9		
10	$\Delta W'_{i,im}$	Free energy difference due to images forces effects
11		
12		
13	Δx	Layer thickness
14		
15	$\Delta \Psi$	Normalized Donnan potential
16		
17		
18	ε	Relative permittivity
19		
20		
21	ε_0	Vacuum permittivity
22		
23		
24	ε_w	Relative permittivity of the water
25		
26	ϕ	Steric coefficient
27		
28		
29	Γ	Parameter in Equation (8)
30		
31		
32	γ	Activity coefficient
33		
34	η	Viscosity
35		
36		
37	κ	Conductivity
38		
39	λ	Ratio of ion radius to pore radius
40		
41		
42	λ_0	Debye length
43		
44		
45	μ	Parameter defined in Equation (B.13)
46		
47	θ	Coefficient grouping the influence of steric and dielectric effects.
48		
49		
50	τ	Time relaxation
51		
52	ω	Angular frequency
53		
54	ψ	Electric potential
55		
56		
57	Y	Parameter in Equation (8)
58		
59		
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Sub index

1		
2		
3	0	Just inside of membrane in the feed side
4		
5	a	Active layer of the membrane.
6		
7	b	Bulk
8		
9		
10	c	Convection in Equations (B.6) and (B.7)
11		
12	d	Dry membrane or diffusion in Equation (B.5)
13		
14		
15	h	High frequency
16		
17	high	Side of high concentrations in the membrane potential measurements
18		
19		
20	<i>i</i>	Number of relaxation time $i=1, \dots, N-1$ or ion (1 for the cation and 2 for the
21		
22		anion)
23		
24	l	Low Frequency
25		
26		
27	low	Side of low concentrations in the membrane potential measurements
28		
29		
30	m	Just offside of membrane in the feed side
31		
32	memb	Membrane
33		
34		
35	p	Pore
36		
37	perm	Permeate or just offside of active layer of the membrane in the permeate side
38		
39		
40	s	Porous support
41		
42	Δx_a	Just inside of active layer of the membrane in the permeate side.
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Figure List

1
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5 Figure 1: Schematic representation of the impedance measurement system.
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7 Figure 2: Scheme of concentration profile in the pores of the active layer of the membrane, a)
8 on the experiences of Impedance Spectroscopy, b) on the experiences of NF of a solution.
9

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11 Figure 3: Schematic representation of the calculation procedure.
12

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14 Figure 4: AFM 500x500 nm image of DESAL-HL membrane: In air a) Topography b) Phase,
15 and in ethanol c) topography d) phase.
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19 Figure 5: Scheme of AFM analysis of the membrane Surface a) Phase change between hard
20 and soft zones on the Surface b) Topography measure (difference between the real profile of
21 the Surface and the obtained one)
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23

24
25 Figure 6: Membrane potential as a function of the natural logarithm of the ratio of NaCl
26 concentrations
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29
30 Figure 7: Nyquist plot for NaCl solution 1mol/m³. The best fitting according to Equation
31 (A.1) is shown as a solid line. Some frequencies are superimposed.
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33

34
35 Figure 8: a) Permittivity inside membrane pores along with the global one for the wet
36 membrane as a function of concentration. The dependence of permittivity on the membrane
37 thickness is also included by showing the ± 30 nm dashed lines. For the wet membrane, the
38 corresponding lines have not been drawn to avoid unnecessary complications in the figure.
39
40 The results for ϵ_p inside the pores obtained by fitting the SEDE-VCh model are also shown as
41 a dotted line. b) Conductivity inside the pores and for the wet membrane, as function of
42 concentration.
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53 Figure 9: Fitting of Equation (9) showing κ_p/κ_b as a function of concentration.
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56 Figure 10: Freundlich isotherms for the volume charge density in the membrane as function
57 of concentration.
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Figure 11: Intrinsic retention as a function of permeate flux for different feed concentrations:

Experimental data (symbols) and model predicted values (lines).

Figure 12: Left axis: Deviation in percentage between experimental retention values and intrinsic ones predicted by the model versus the concentration. The line is only an eye guide.

Right axis: Product of the inverse Debye length and the pore radius versus concentration.

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Tables Caption

Table 1: Modeling fixed parameters.

Table 2: Capacities and conductances of the active layer of the membrane.

Table 3: Mobilities ratio and Freundlich parameters as a function of active layer thickness.

Table A.1: Relation between phase and dielectric parameters[58].

Table B.1: SEDE-VCh equations for slit shaped pores.

Tables

Table 1: Modeling fixed parameters.

MEMBRANE PARAMETERS	
L_w	$(2.78 \pm 0.05) \cdot 10^{-11} \text{ m/s} \cdot \text{Pa}$
ε_d	3
Δx_a	$90 \pm 30 \text{ nm}$
$t_{1,p}$	0.72 ± 0.03
r_p	$0.46 \pm 0.08 \text{ nm}$
$\eta_{p \text{ slit}}$	$(5.4 \pm 0.6) \cdot 10^{-3} \text{ Pa} \cdot \text{s}$
$\Delta x_a / A_{ka}$	$(4.7 \pm 0.8) \cdot 10^{-7} \text{ m}$
A_{ka}	0.19 ± 0.09

Table 2: Capacities and conductances of the active layer of the membrane.

	Concentration (mol/m ³)						
	0.01	0.02	0.1	0.2	1	2	10
C_{memb} (nF)	990±30	990±30	790±20	700±20	600±18	610±18	610±18
G_{memb} (μS)	345±10	374±11	513±15	840±30	4590±160	9600±300	49700±1500

Table 3: Mobilities ratio and Freundlich isotherm parameters as a function of active layer thickness.

Δx_a (nm)	$(U_{1,p}/U_{1,b}) \cdot 10^2$	$\gamma \cdot 10^3$ (mol/m ³)	Γ
120	10.3 ± 0.2	9.10 ± 0.05	1.02 ± 0.06
90	2.43 ± 0.08	29.3 ± 0.1	0.870 ± 0.009
60	1.48 ± 0.06	30.9 ± 0.1	0.738 ± 0.008

Table A.1. Relation between phase and dielectric parameters [58].

$$\omega = \frac{G_h - G_l}{C_l - C_h} = 2\pi f \quad (\text{A.4})$$

$$B = \omega + \frac{G_h}{C_h} \quad (\text{A.5})$$

$$D = \sqrt{B^2 - 4 \frac{G_l \omega}{C_h}} \quad (\text{A.6})$$

$$A = \frac{B - D}{2} \quad b = B - A \quad (\text{A.7})$$

$$C_{\text{memb}} = C_h \frac{D}{\omega - A} \quad \varepsilon_{\text{memb}} = C_{\text{memb}} \frac{\Delta x_a}{S \varepsilon_0} \quad (\text{A.8})$$

$$C_b = C_h \frac{D}{b - \omega} \quad \varepsilon_b = C_b \frac{L}{S \varepsilon_0} \quad (\text{A.9})$$

$$G_{\text{memb}} = A C_{\text{memb}} \quad \kappa_{\text{memb}} = G_{\text{memb}} \frac{\Delta x_a}{S} \quad (\text{A.10})$$

$$G_b = b C_b \quad \kappa_b = G_b \frac{L}{S} \quad (\text{A.11})$$

The sub-indexes h and l refer to the high and low frequencies and memb and b refer to inside and outside of each membrane system layer.

Table B.1: SEDE-VCh equations for slit shaped pores.

TRANSPORT EQUATIONS INSIDE OF THE PORE

$$\frac{dc_i}{dx} = \frac{J_V}{K_{i,d} D_{i,\infty} A_{ka}} (K'_{i,c} c_i - c_{i,\text{perm}}) - \frac{z_i F}{RT} \frac{d\psi}{dx} \quad (\text{B.1})$$

$$\sum_i c_i z_i + \gamma c_2^r = 0 \quad (\text{B.2})$$

$$\frac{d\psi}{dx} = \frac{\sum_i \left(\frac{z_i J_V}{K_{i,d} D_{i,\infty} A_{ka}} \right) (K'_{i,c} c_i - c_{i,\text{perm}}) + \left(\frac{J_V \gamma \gamma c_2^{r-1}}{K_{i,d} D_{2,\infty} A_{ka}} \right) (K'_{2,c} c_2 - c_{2,\text{perm}})}{\frac{F}{RT} (\sum_i c_i z_i^2 + z_2 \gamma c_2^r)} \quad (\text{B.3})$$

$$\begin{aligned} \text{Boundary conditions} \quad c_i(x=0) &= c_{i,0} \\ c_i(x=\Delta x) &= c_{i,\Delta x_a} \end{aligned} \quad (\text{B.4})$$

$$K_{i,d} = \frac{1 + \frac{9}{16}\lambda_i \ln \lambda_i - 1.19358\lambda_i + 0.4285\lambda_i^3 - 0.3192\lambda_i^4 + 0.08428\lambda_i^5}{1 - \lambda_i} \quad (\text{B.5})$$

$$K_{i,c} = \frac{1 - 3.02\lambda_i^2 + 5.776\lambda_i^3 - 12.3675\lambda_i^4 + 18.9975\lambda_i^5 - 15.2185\lambda_i^6 + 4.8525\lambda_i^7}{1 - \lambda_i} \quad (\text{B.6})$$

$$K'_{i,c} = K_{i,c} + (2 - \phi_i)K_{i,d} \left(\frac{2\lambda_i}{3} \right) \quad (\text{B.8})$$

PARTITIONING EQUATIONS

$$\frac{c_{i,0}}{c_{i,m}} = \theta_i(m \square 0) \text{ and } \frac{c_{i,\Delta x_a}}{c_{i,p}} = \theta_i(\text{perm} \square \Delta x_a) \quad (\text{B.9})$$

$(m \square 0)$ and $(\text{perm} \square \Delta x_a)$ correspond to the feed side and permeate side, respectively, of the active layer of the membrane.

THE DIELECTRIC BORN ENERGY

$$\Delta W'_{i,\text{Bom}} = \frac{(z_i e)^2}{8\pi k_B T \epsilon_0 a_s} \left(\frac{1}{\epsilon_p} - \frac{1}{\epsilon_b} \right) \quad (\text{B.10})$$

Where a_s is the cavity radius defined by [61] as the distance from the center of the ion to the point where the relative permittivity becomes different than the vacuum one, ϵ_0 .

THE DIELECTRIC IMAGE FORCE ENERGY

$$\Delta W'_{i,\text{im}} = -\frac{r_B}{r_p} \ln \left[1 - \left(\frac{\epsilon_p - \epsilon_d}{\epsilon_p + \epsilon_d} \right) \exp(-2\mu) \right] \quad (\text{B.11})$$

$$r_B = \frac{(z_i F)^2}{8\pi \epsilon_0 \epsilon_p R T N_A} \quad (\text{B.12})$$

$$\mu = \frac{r_p}{\lambda_0} \sqrt{\frac{I_b}{I_p}} \quad (\text{B.13})$$

Where r_B is the Bjerrum radius, λ_0 is the Debye length. I_b and I_p are the ionic strength outside and inside the pores respectively.

THE INTRINSIC RETENTION

$$R_{i,\text{int}} = 1 - \frac{c_{i,\text{perm}}}{c_{i,\text{m}}} \quad (\text{B.14})$$

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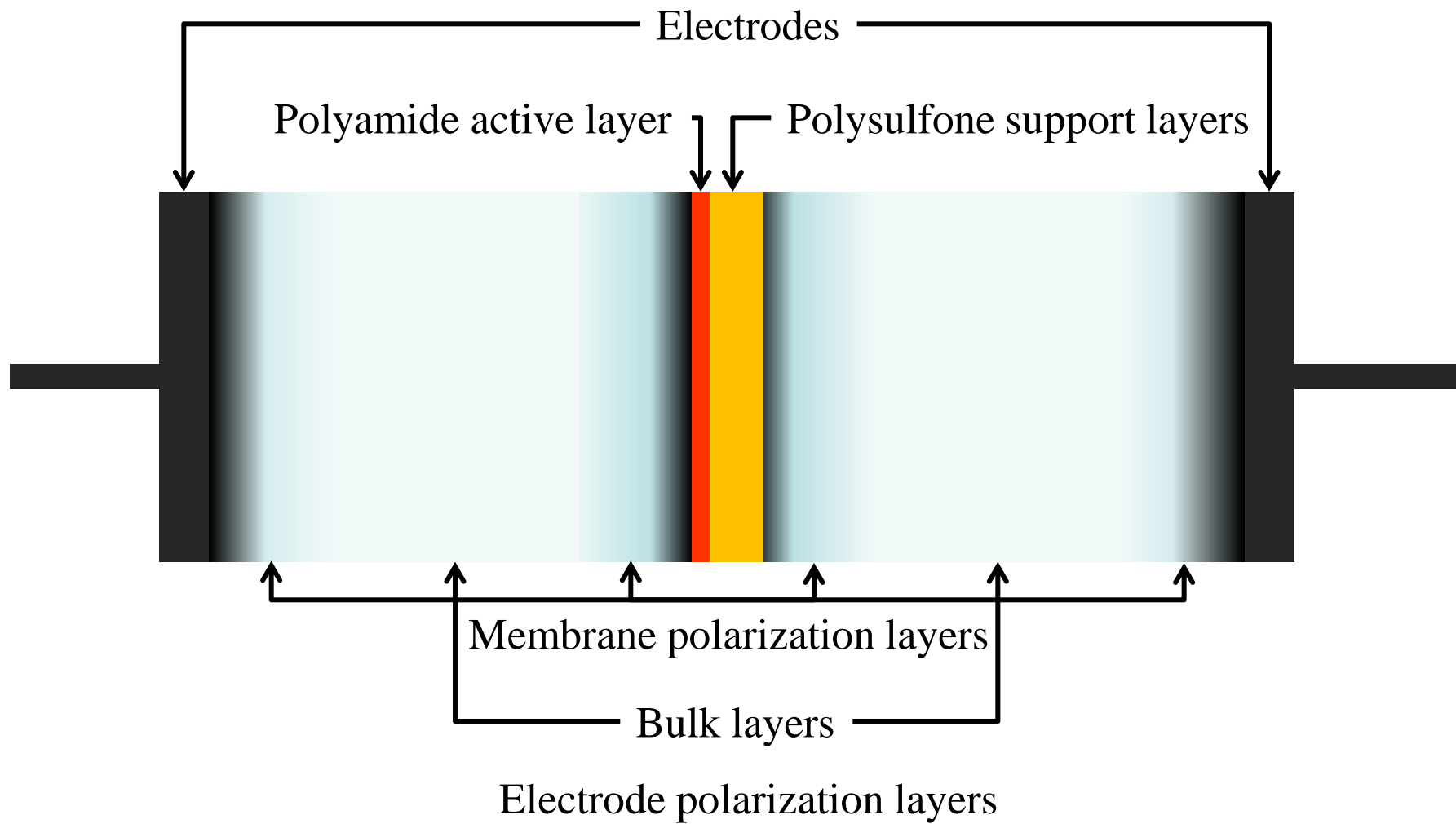


Figure 1

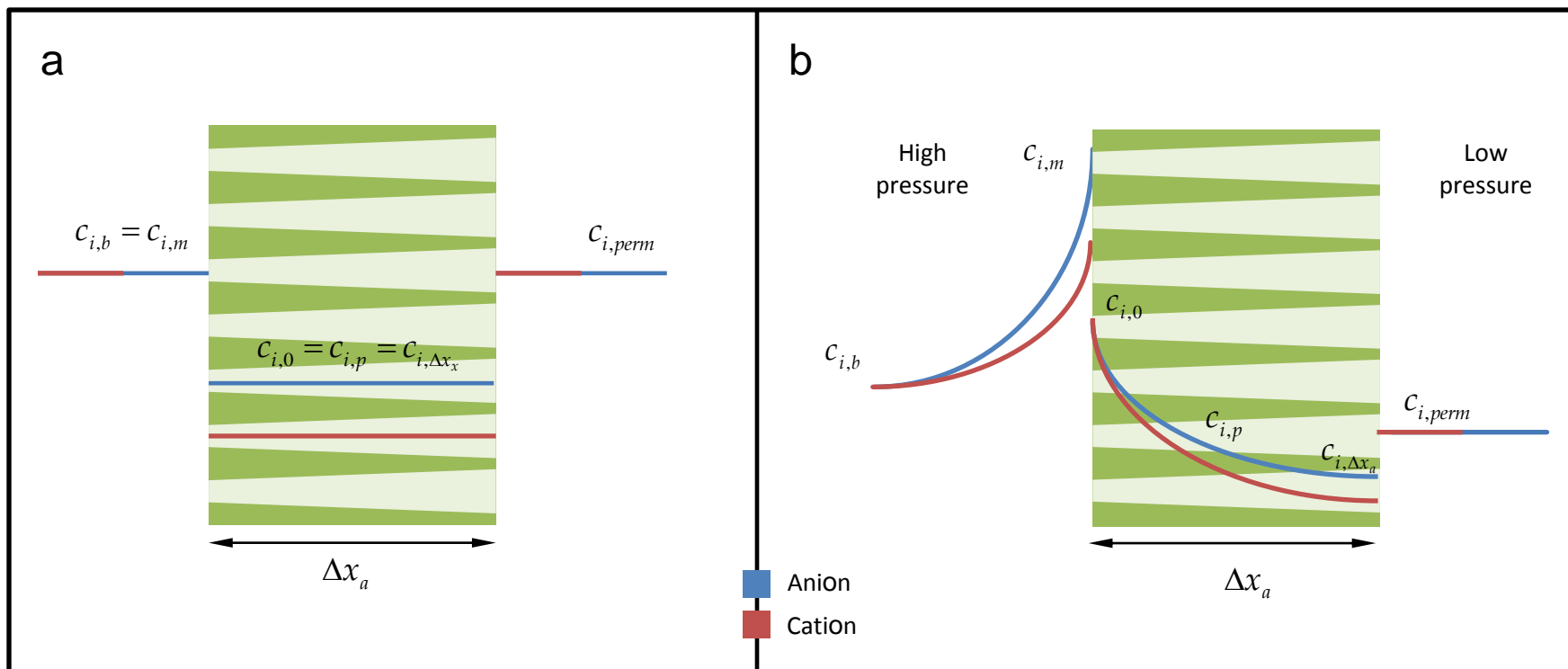


Figure 2

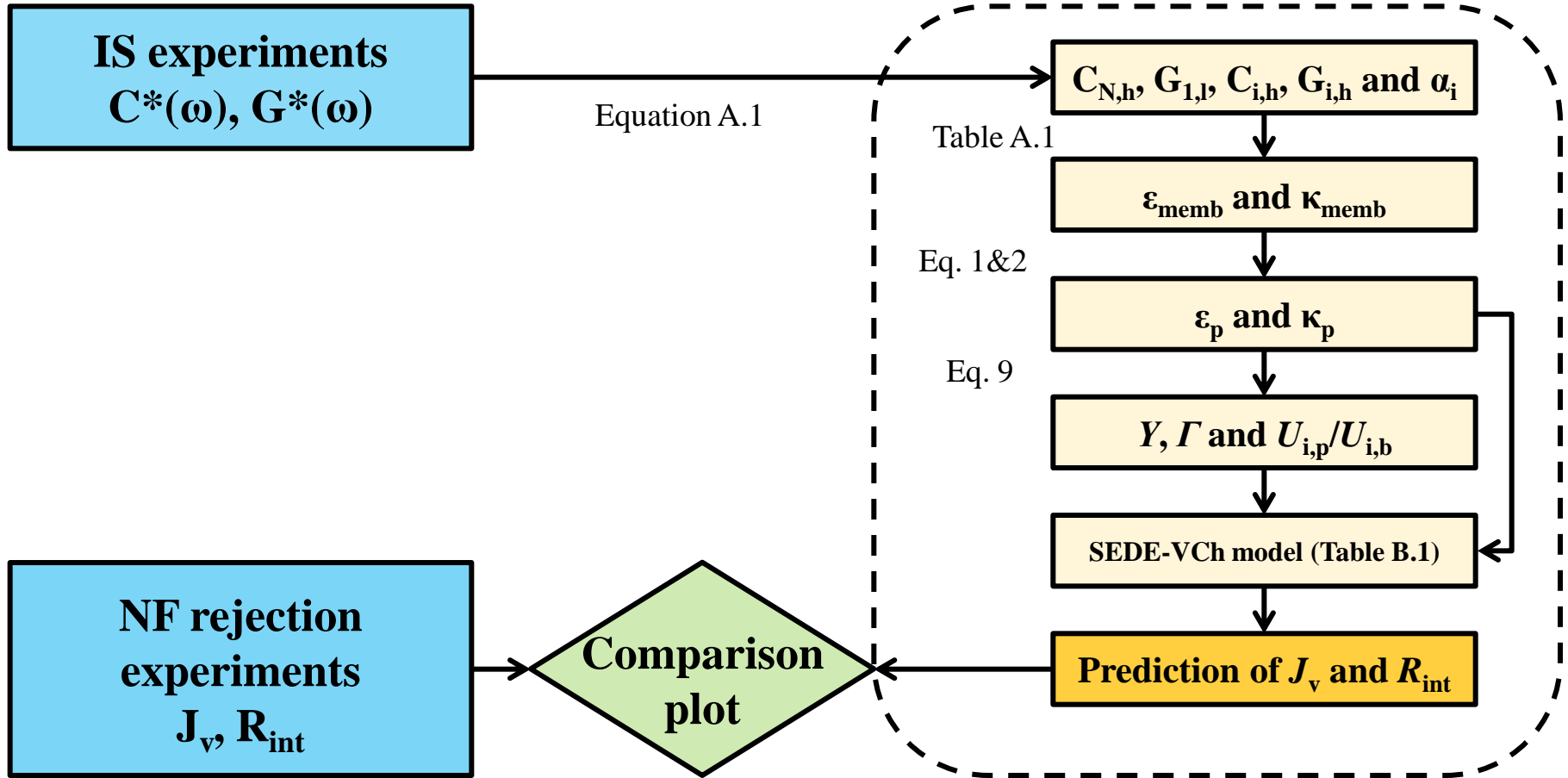


Figure 3

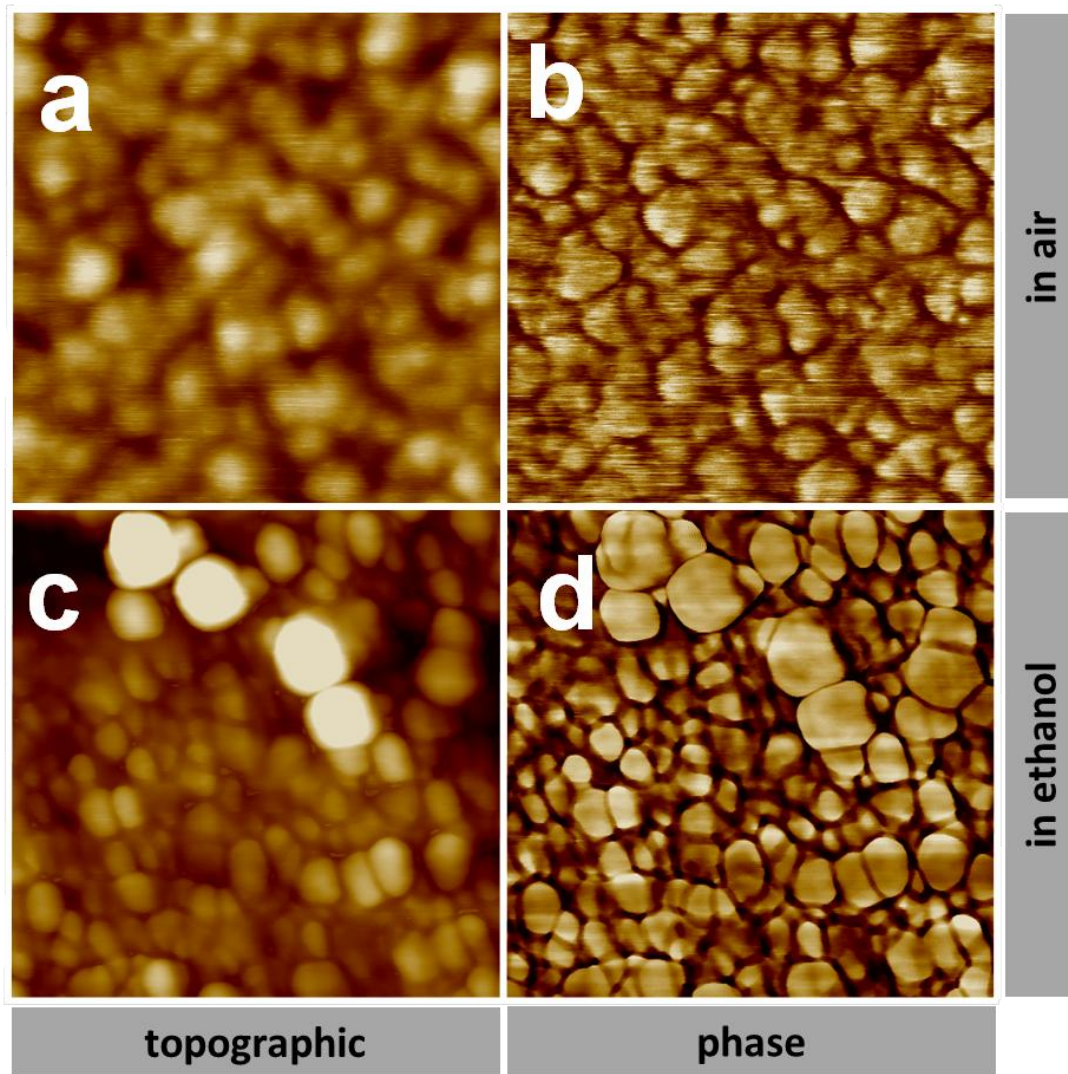
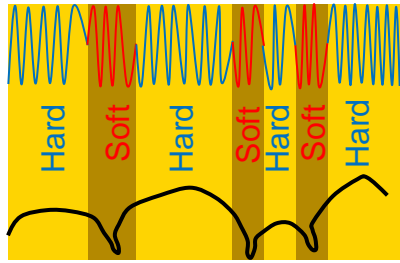


Figure 4



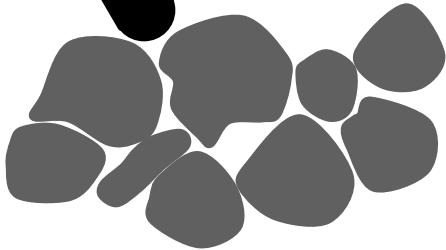
Phase change

Topographic profile

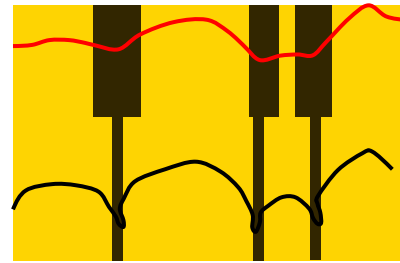
Signal Phase

Driving Phase

Analysis: compare the driving oscillation with the tip oscillation



a): Phase Contrast AFM imaging

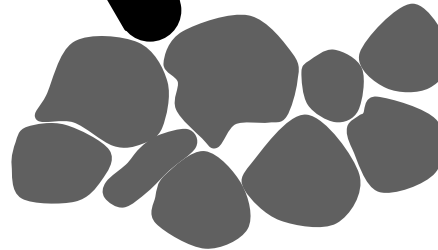


Tip profile

Topographic profile

Amplitude

Analysis: change in the amplitude of the oscillation.



b): Topographic AFM imaging

Figure 5

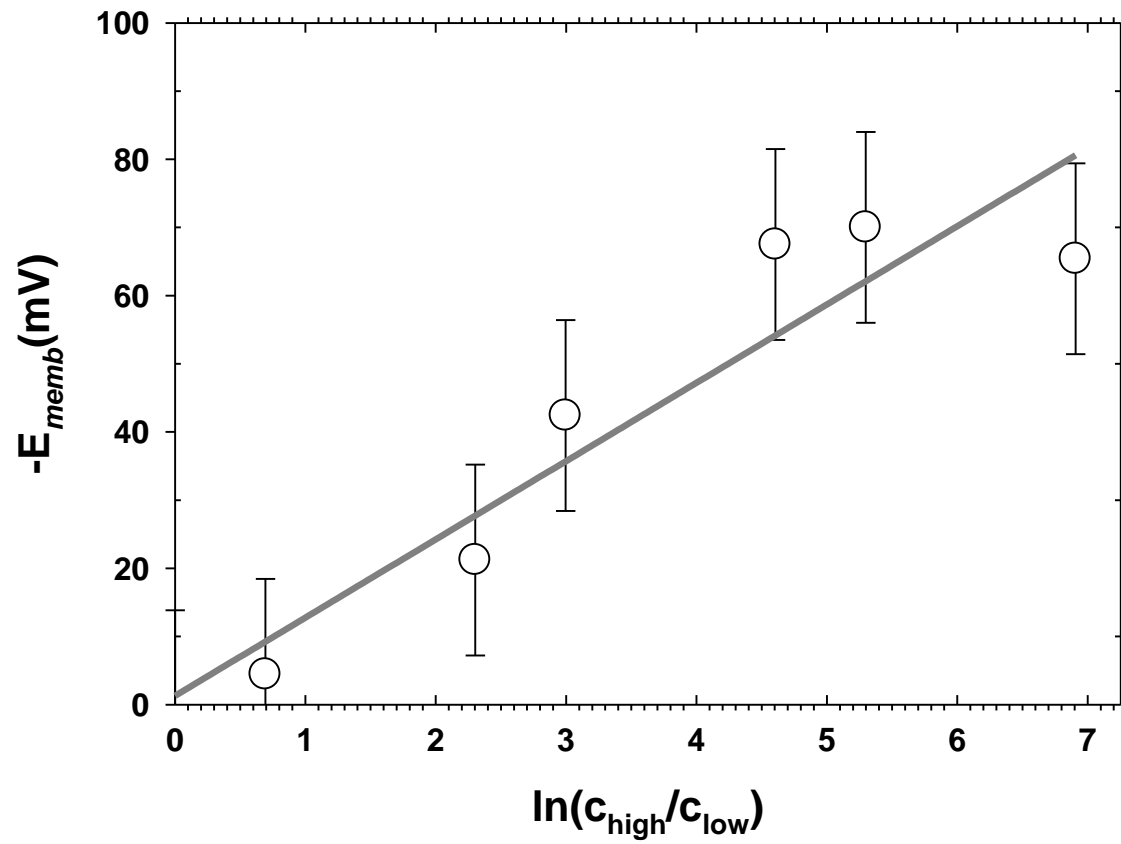


Figure 6

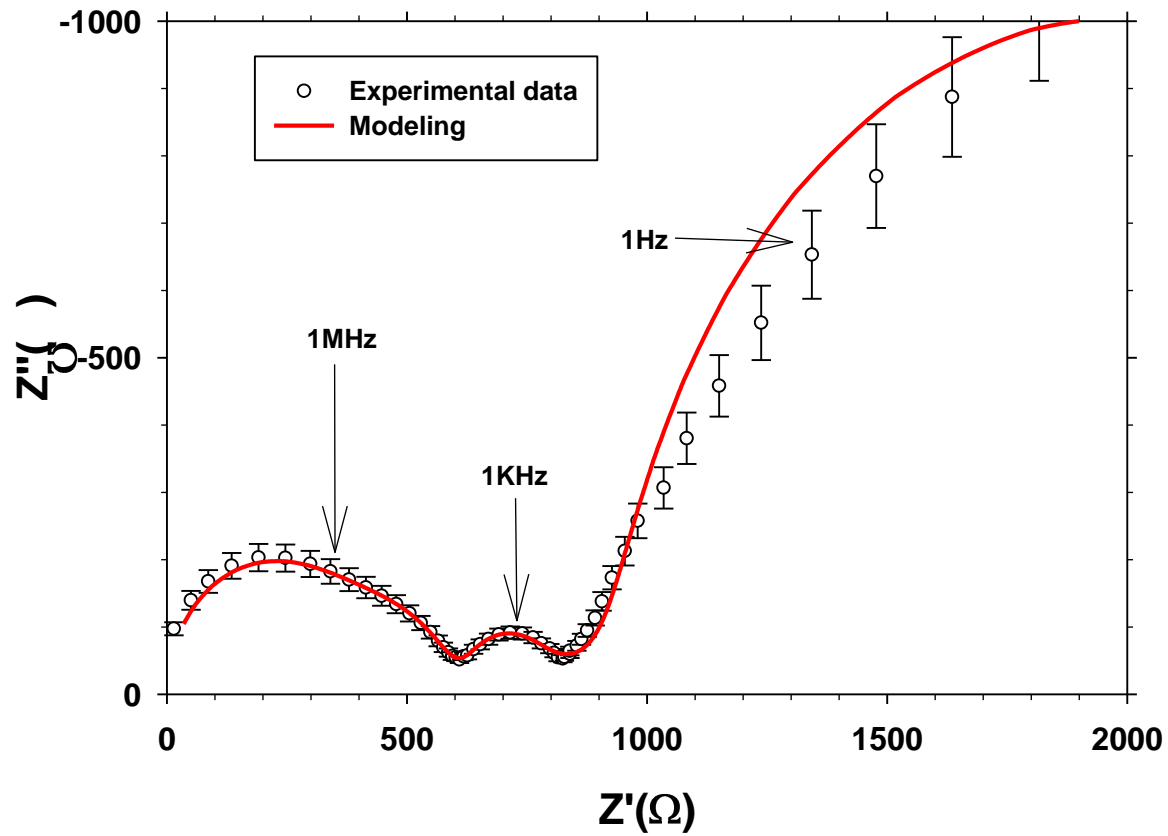


Figure 7

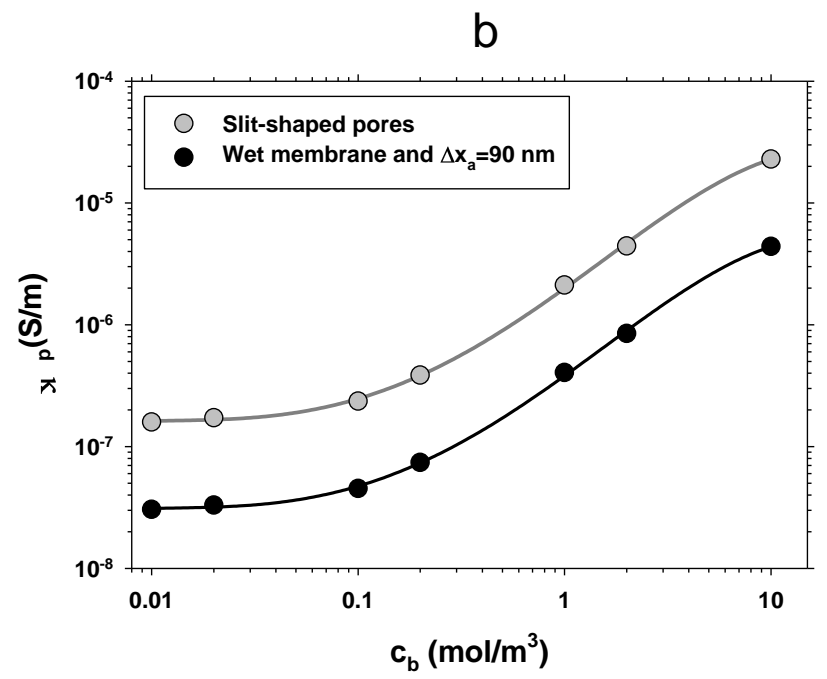
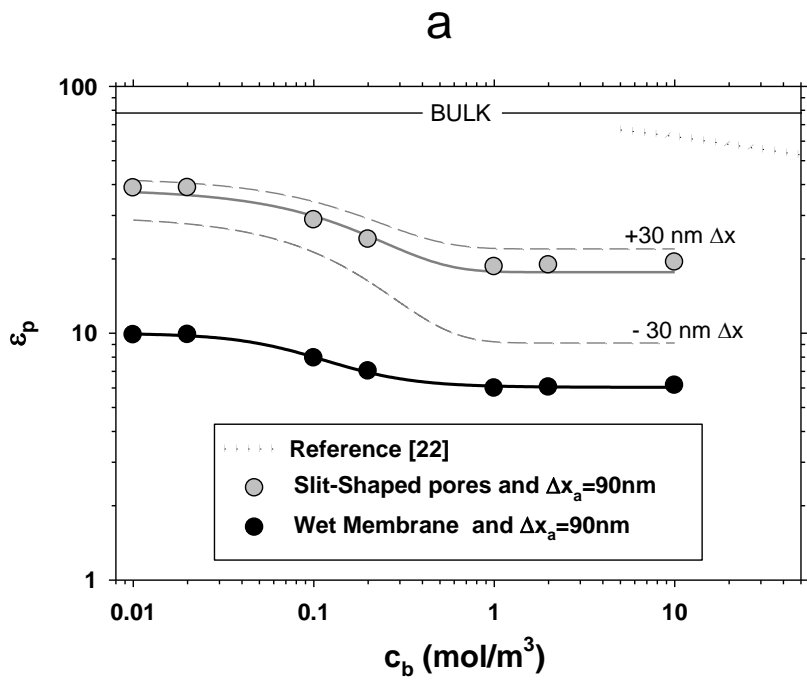


Figure 8

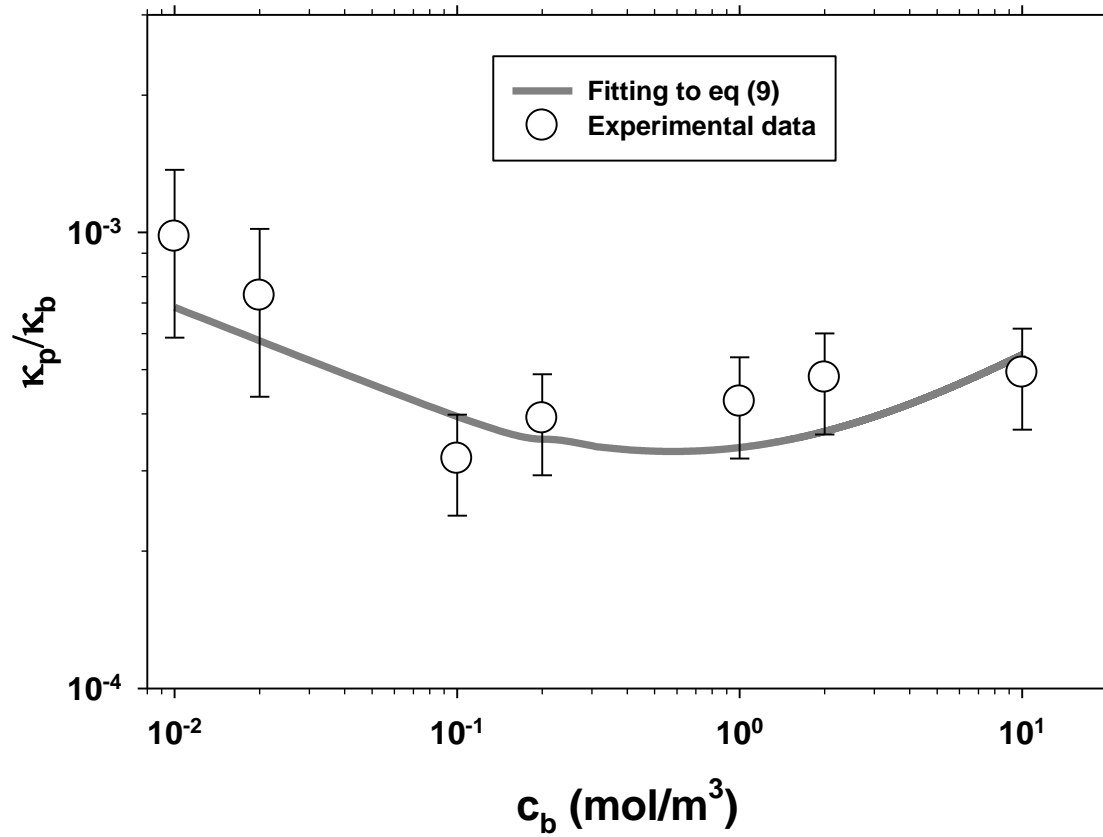


Figure 9

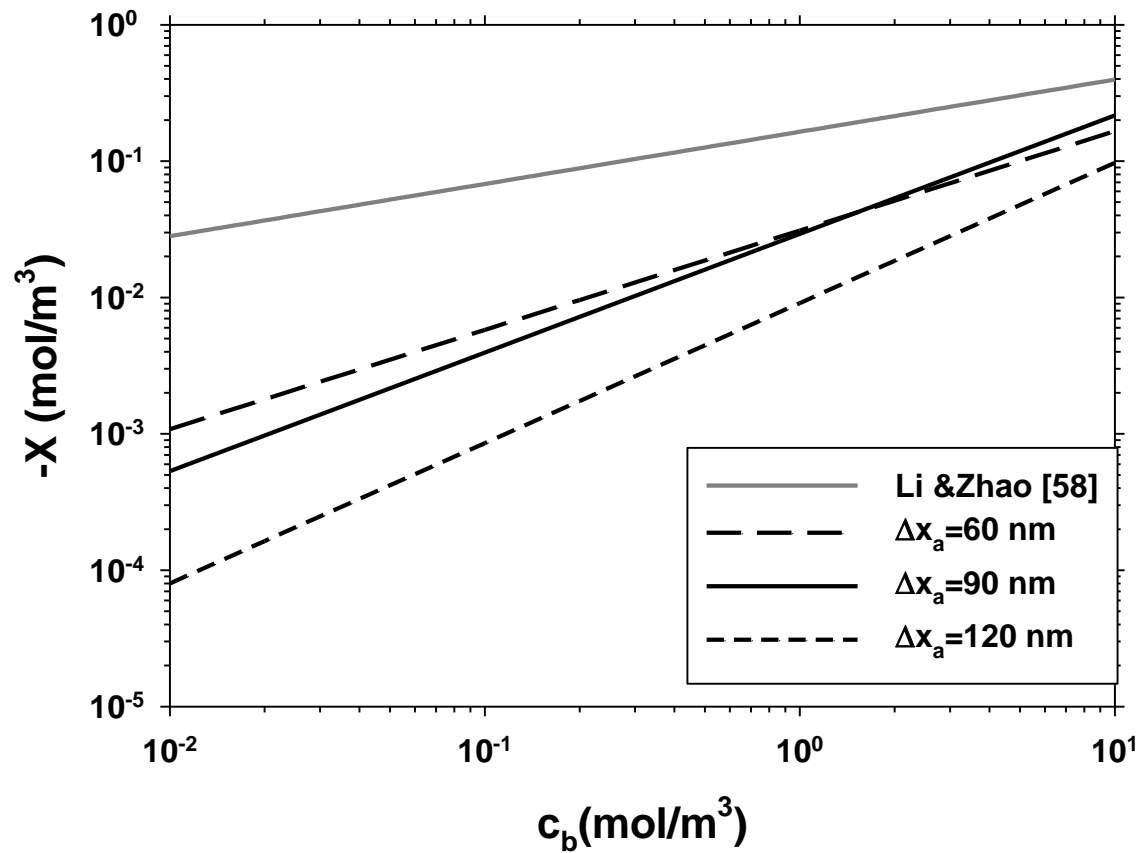


Figure 10

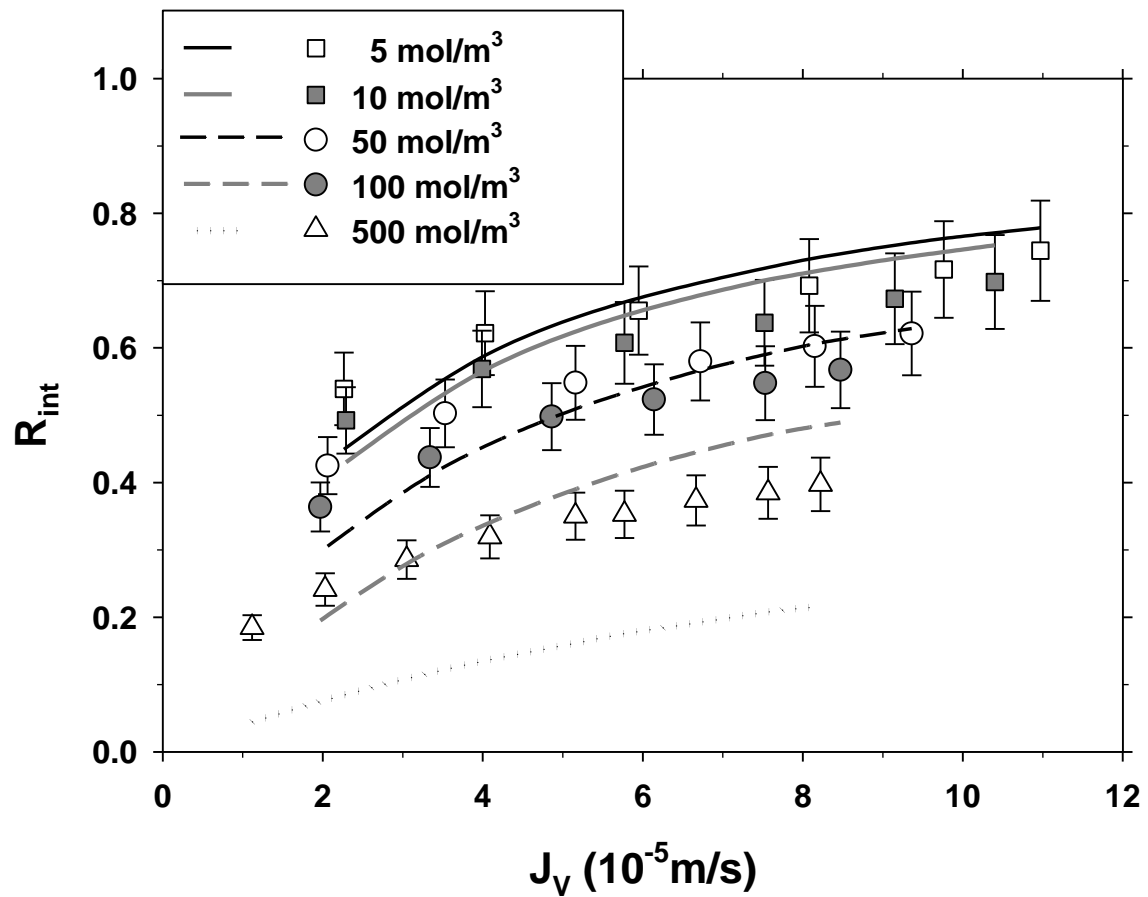


Figure 11

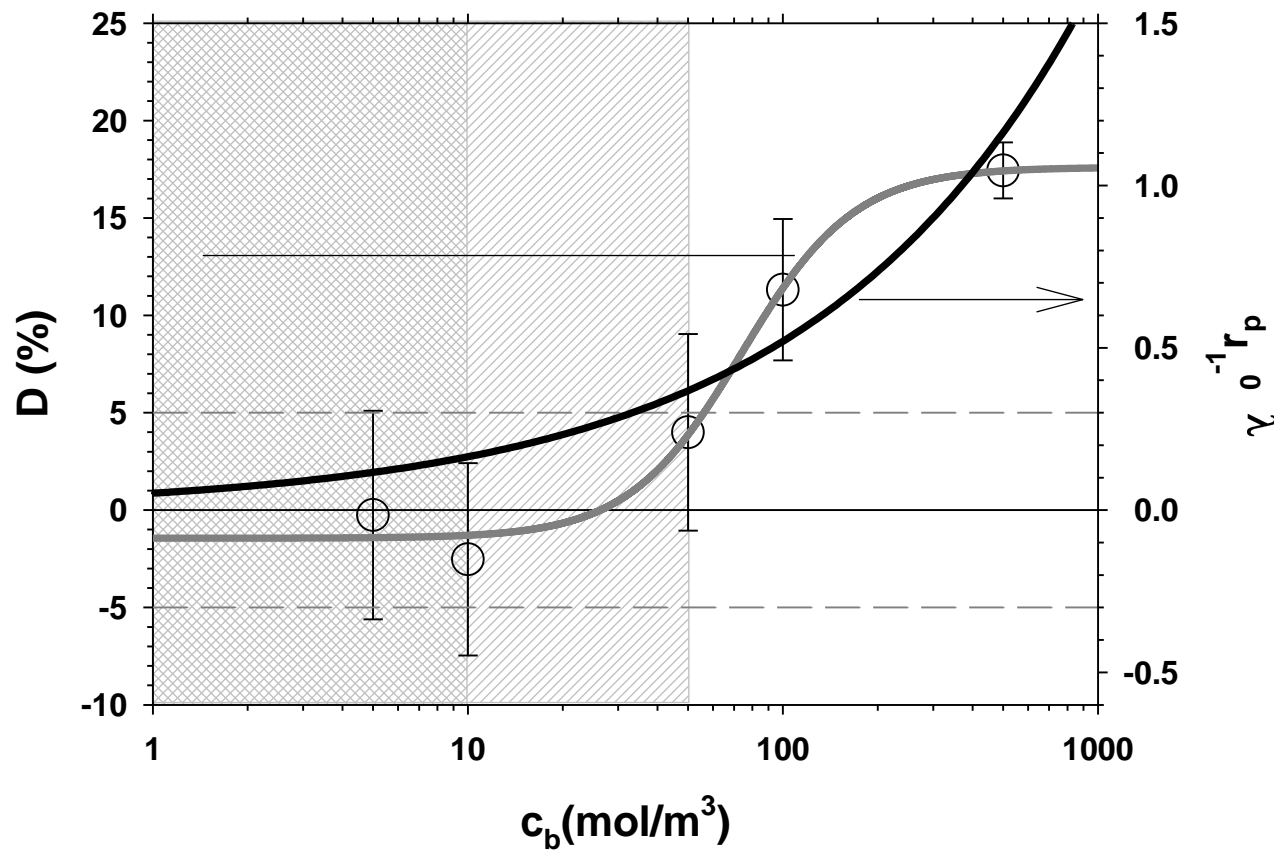
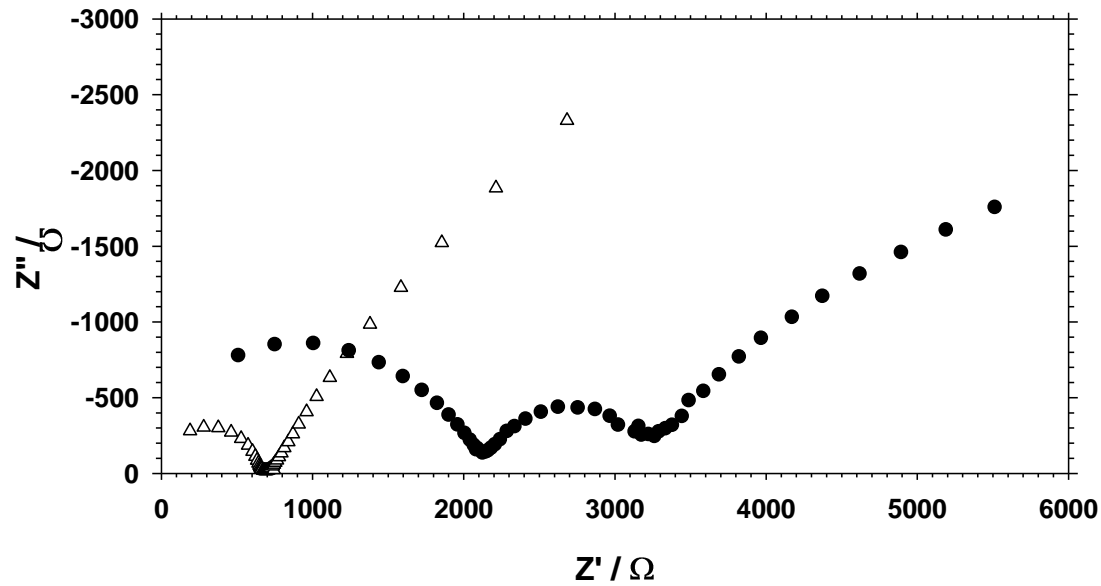


Figure 12



S-1: Nyquist diagram for 0.1 mol/m³ of NaCl solution. Black dots represent the measurements with membrane while white dots correspond to the measurements without membrane.