1	Online Integrated Fractionation-Hydrolysis of Lignocellulosic
2	<b>Biomass using Sub- and Supercritical Water</b>
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17	Oak Wood
18	

### 19 Abstract

20 A novel process coupling the fractionation and hydrolysis reactors is presented. Holm oak was 21 used as real lignocellulosic biomass to be treated. In the fractionation reactor, hemicellulose and 22 cellulose were solubilized and partially hydrolyzed in different stages with the aim of feeding 23 the hydrolysis reactor with high C5 concentrations or C6 concentrations. The fractionation was 24 performed in two stages: at 180°C optimizing the hemicellulose extraction and at 260°C 25 extracting cellulose and hard hemicellulose remaining in the biomass structure. Three water 26 flows were tested: 11, 17 and 26 cm<sup>3</sup>/min. Sugar yields from 71 to 75% were reached, mainly 27 composed of xylose and glucose oligomers and lower amounts of other chemicals, like retro-28 aldol products, acetic acid or 5-HMF. The outlet stream from the fractionation reactor was 29 directly mixed with sub or supercritical water at the inlet mixer of a SHR where the reaction 30 time was precisely controlled. The temperature, pressure and reaction time were modified to get 31 an insight of their effect on the yield of retro-aldol condensation products. Yields of 24% for 32 glycolaldehyde, and pyruvaldehyde were found at 8.3 s, 350°C and 162 bar (hydrolysis reactor 33 conditions). In other hand, 25% of lactic acid was found at 0.23 s, 396°C and 245 bar. A 34 discussion based on a known reaction pathway is proposed. Moreover, a kinetic model for the 35 hydrolysis reactor was proposed, being able to reproduce the experimental data with deviations 36 lower than 10 % for sugars and other products extracted. This combined process performs a 37 selective valorization of real lignocellulosic biomass, avoiding the costly process of extreme 38 grinding needed for the fluidization in a continuous hydrothermal process.

### 40 Introduction

41 Even if it is reasonably assumed that biomass from plants will be the main carbon source in the 42 future, the choice of which reaction medium should be used to depolymerize and valorize 43 biomass has not been taken yet. Pressurized fluids, especially sub and supercritical water 44 (Tc=374°C and Pc= 221 bar), can be pointed as a promising alternative to depolymerize and 45 valorize biomass [1-5]. Physical and chemical properties of water can be modified by adjusting 46 pressure and temperature around the critical point, making water a reaction medium able to 47 favor different kind of reactions [1]. Because of this reason, hot pressurized water has been used 48 as reaction medium for fractionation [6-9], hydrolysis [10-12] and valorization of biomass [13-49 16].

50 The composition of lignocellulosic biomass is highly dependent on the plant species and 51 growth conditions. However, it can be considered that the average composition of 52 lignocellulosic biomass is approximately: cellulose (40% wt.), hemicellulose (25% wt.), lignin 53 (25% wt.), extractives and ashes (10% wt.) [17]. Although biomass is composed by diverse and 54 complex molecules, it can be fractionated principally into C6 sugars (mainly glucose), C5 55 sugars (mainly xylose) and lignin [3]. These three fractions can be further modified to produce a 56 wide range of products like: ethanol, hydrogen, glycolaldehyde, pyruvaldehyde, lactic acid and 57 5-HMF among others [3, 18-25].

58 The fractionation of biomass can be defined as the selective separation of C5 sugars, C6 sugars 59 and lignin from the original biomass matrix. This process was studied under hydrothermal 60 conditions in different ways of operation: batch, semi batch and continuous [3, 26]. Semi batch 61 and continuous processes allow obtaining higher yields of sugars and chemical compounds than 62 batch reactors, because it is possible to control the temperature (T) and the residence time  $(t_r)$ 63 more accurately than in batch processes [27]. Continuous processes are the most appropriate to 64 control the reaction conditions (T and  $t_r$ ), however, in most cases it is necessary to apply 65 expensive pretreatments to the raw material before the fractionation+hydrolysis process, for 66 example: exhaustive size reduction [28]. On the other hand, the continuous process can be

67 performed at different operating conditions in order to separate the C5 sugars from the C668 sugars.

69 The extraction of hemicellulose from woody biomass can be carried out at temperatures 70 between 130°C and 260°C, solid reaction times between 20 and 60 min and liquid residence 71 times inside the reactor between 0.1 min and 1 min. At those conditions, hemicellulose can be 72 both extracted and hydrolyzed [29, 30]. After the extraction at 180°C, two products are usually 73 obtained: a liquid composed mainly of C5 sugars and a solid composed of C6 sugars and lignin. 74 These two products can be separated by filtration. Then, the cellulose in the solid can be 75 hydrolyzed at supercritical conditions to obtain a water solution of C6 sugars and a solid 76 enriched in lignin. These processes can be carried out in two reactors with a filtration operation 77 between them. Another option which allows the intensification of the process is using one fixed 78 bed reactor. In such a case, the biomass is loaded in the reactor and the hydrolysis temperature 79 is changed in order to hydrolyze C5 or C6 sugars [31]. The semi batch process allows high 80 performances on the yields of C5 sugars hydrolysis. However, when the reaction temperature is 81 increased to hydrolyze the recalcitrant cellulose and hemicellulose, the yield of recovered sugars 82 decreases because of the increment of the sugars further reactions [10, 11, 32].

The continuous reactors have been employed in many applications for the valorization of sugar streams allowing a precise control over the reactions [19-21]. These reactions can be managed using pressurized water and choosing the adequate reaction conditions. For example, at temperatures between 200°C and 300°C (250 bar) the water molecules are highly dissociated favoring the ionic reactions, like the production of 5-HMF from fructose and glucose [1]. On the other hand, at 400°C (250 bar) the water molecules are highly associated favoring the non-ionic reactions, like the retro aldol condensation reactions [1].

90 In this article, a novel integrated fractionation-valorization process was designed and built using 91 wooden biomass as raw material and water (subcritical and supercritical) as reaction medium. 92 The wooden biomass was fractionated in a fixed bed reactor at different temperatures. The 93 solubilized products were directly injected to a continuous near critical water reactor to 94 efficiently convert C5 and C6 sugars into valuable products, like glycolaldehyde,
95 pyruvaldehyde and lactic acid avoiding a further hydrolysis to organic acids. In addition, a
96 kinetic analysis of the biomass hydrolysis was done in order to study the differences in the
97 process when subcritical and supercritical conditions were used.

98 The objective of this research paper was to design a novel process capable of converting 99 lignocellulosic biomass into valuable products eluding the excessive milling of biomass and 100 decreasing the number of reactors.

101

# 102 **1. Experimental**

#### 103 2.1 Materials

Deionized water produced by Elix<sup>®</sup> Advantage purification system was used as reaction 104 105 medium to run the experiments. The standards used in a High Performance Liquid 106 Chromatography (HPLC) analysis were: cellobiose ( $\geq$ 98%), glucose ( $\geq$ 99%), xylose ( $\geq$ 99%), 107 galactose ( $\geq$ 99%), mannose ( $\geq$ 99%), arabinose ( $\geq$ 99%), glyceraldehyde ( $\geq$ 95%), glycolaldehyde 108 dimer (>99%), lactic acid (>85%), formic acid (>98%), acetic acid (>99%), acrylic acid (>99%), 109 furfural (99%) and 5-hydroxymethylfurfural (≥99%) purchased from Sigma. 0.01 N solution of sulfuric acid (HPLC grade) in Milli-Q<sup>®</sup> grade water was used as the mobile phase in the HPLC 110 111 analysis. Sulfuric acid (>96%) and calcium carbonate (>99%) supplied by Panreac, Spain, were 112 used as reagents for the quantification procedure of structural carbohydrates and lignin [33]. 113 Also, Milli-Q<sup>®</sup> water was used in this determination. Holm oak wood employed as raw material 114 was collected in Spanish forests. The wood was milled obtaining chips with average width of 2 115 mm and average length of 5 mm, as it is shown in Figure S1 of Supplementary Material.

116 2.2 Analytical methods

The composition of the holm oak wood raw material, exhausted solid and extracted liquor was determined through two Laboratory Analytical Procedures (LAP) from NREL [33, 34]. The procedure for solid samples consists in quantifying the structural carbohydrates and lignin in the biomass as follows. A) The biomass was weighted before and after being dried in an air driven oven at 105 °C for 24 hours in order to calculate the moisture content. B) Dried biomass was 122 treated in a Soxhlet equipment with n-hexane, leaving a solid free of oils and other extractives. 123 C) 300 mg of dried and free-extractives solid from step (b) were hydrolyzed in 3 ml of 72% wt 124 sulfuric acid solution at 30 °C for 30 min, in order to break the bonds between biopolymers and 125 the main solid structure. D) The mixture of oligomers obtained in step (c) is diluted using 84 ml 126 of deionized water and heated at 120 °C for 60 min with the aim of hydrolyzing hemicellulose 127 and cellulose to obtain their correspondent monomers [35]. E) The solid is separated from the 128 solution by vacuum filtration. F) The total mass of solubilized sugars was quantified as the 129 difference in weight between the original solid and the exhausted solid after oven drying at 105 130  $^{\circ}$ C in oven for 24 hours. G) The exhausted solid is placed in a muffle at 550  $^{\circ}$ C for 24 h and the 131 remaining residue was weighted before and after this step to calculate the insoluble lignin and 132 the ash content of the sample. H) A liquid aliquot was analyzed with UV-Vis spectrophotometer 133 at 320 nm with extinction coefficient of 34  $Lg^{-1}cm^{-1}$  [36] to calculate the amount of soluble 134 lignin. I) Another liquid aliquot was neutralized to pH range 6 to 7, then it was filtered using a 135 0.2 µm membrane and analyzed by HPLC determining the carbohydrates composition. This 136 procedure is performed using a column SUGAR SH-1011 (Shodex) with a 0.01 N of sulfuric 137 acid solution as a mobile phase. To identify the soluble products, two detectors were used: 138 Waters IR detector 2414 (210 nm) and Waters dual  $\lambda$  absorbance detector 2487 (254 nm). In 139 order to calculate the amount of carbohydrates, each chromatogram was integrated numerically 140 by decomposing it into a sum of 9 to 13 Gaussian peaks, minimizing chi squared function of a 141 Levenberg-Marquardt-Flecher algorithm [37]. Glycolaldehyde and Pyruvaldehyde resulted to be 142 overlapped, since the retention time of their standards is extremely close (11.99 vs 12.24 143 minutes, respectively). So we refer to them as glycolaldehyde-pyruvaldehyde.

The raw material contained 1.6 % wt. extractives, 1.8% wt. moisture, 0.2% wt. ashes, 24.2% wt. Klason lignin (from which 4.0% corresponds to soluble lignin), 45.7% wt. of hexoses, 23.9% wt. pentoses. The sum of all the components represents the 97.4% of total weight, the discrepancy is due to experimental errors like the loss of solid material after the recovery at the end of the experiments, or the inhomogeneity of the material which can have slightly different 149 compositions depending on the analyzed aliquot; in any case, it is inside the acceptable150 experimental error.

151 The amount of C6 was calculated as the sum of glucose, cellobiose and fructose concentrations. 152 Xylose was the only C5 detected. Acetic acid was considered to come from the deacetylation of 153 xylan during the extraction process or, as explained in the next sections, from the hydrolysis of 154 pyruvaldehyde. The hydrolysis products from hexoses and pentoses were mainly 155 glyceraldehyde, glycolaldehyde, pyruvaldehyde, lactic acid, 5-hydroxymethylfurfural and in 156 some cases acrylic acid were detected in very low concentration.

157 The procedure followed to analyze liquid samples consists in the steps (C), (D) and (I) 158 described above. In this case, the carbon content liquid solutions was determined by total 159 organic carbon (TOC) analysis using a Shimadzu TOC-VCSH equipment. Every sample was 160 previously filtered using a 0.2 µm syringe filter and diluted 1:10 times with Millipore water.

161 The pH of the outlet stream was measured online using an electronic pH-meter (Nahita model162 903).

# 163 2.3 *Experimental setup and operation procedure*

164 The setup used in this work is shown in Figure 1. The system consisted in two reactors online 165 integrated: 1) the fractionation reactor (R.1), where the C5 and C6 are solubilized and partially 166 hydrolyzed; 2) the supercritical hydrolysis reactor (SHR), which converts the soluble 167 compounds into added value products. The fractionation line is composed of a water deposit 168 (D.1), downstream an American Lewa EK6 2KN high pressure pump (P.1, maximum flow rate 169 1.5 kg/h) propels water through a pre-heater (H.1, 200 cm of 1/8" SS 316 pipe, electrically 170 heated by means of two resistors of 300 W) which ensures an uniform temperature at the reactor 171 inlet. The reactor (R.1), a tube of SS 316, 40 cm length, 1.27 cm O.D., is heated by three flat 172 resistors of 300 W each, placed axially along a machined aluminum bar with 5.08 cm O.D. 173 Both, preheater and the reactor are located inside a former chromatographic oven HP5680. The 174 out-flow stream from the extraction line is mixed with the supercritical water stream, entering in 175 a second reactor (SHR) (R.2). The supercritical water line is composed of a heater (H.2), a tube 176 of 18 m, 1/8 in O.D. SS316 wrapped around a brass cylinder and heated by two cartridges and 177 two flat resistors, which provided adjustable power of up to 10 kW, in order to control the 178 temperature of this stream. The water flow was generated by a Milton Roy XT membrane pump 179 (P.2, maximum flow rate 6 kg/h). The SHR allows a fast heating of the biomass stream, which 180 is mixed almost instantaneously with the supercritical water stream, and a rapid cooling of the 181 products, which takes place through a sudden expansion which efficiently stops the hydrolysis. 182 In this way, the reaction time could be precisely calculated, as the reactor works isothermally. 183 Pressure was controlled Micro Metering valve 30VRMM4812 from Autoclave Engineering 184 (V.4). The setups of the two reactors were presented in detail in previous works [32, 38].

185 An average amount of  $6.12\pm0.03$  gr of holm oak biomass was placed inside the reactor R.1 for 186 each experiment. Two metallic filters were used (pore diameter  $\approx 200 \ \mu m$ ), located on the top 187 and bottom of the reactor, avoiding the release of the solid during the experiments. A pressure 188 test with cold pressurized water was carried out before every experiment, with the aim to check 189 the presence of leaks in the system. Then, the supercritical line was heated ensuring the 190 functioning of the system at required operating conditions. Once these conditions were stable, 191 the pumps were switched off and both, the preheater and the reactor R.1, were heated up until 192 the temperatures reached the respective set values. Afterwards, both pumps were switch on 193 again and the flow and pressure were set to the desired conditions, zero time is considered when 194 pressure reached the desired value.

195 A total of 11 experiments were performed (3 fractionations and 8 coupled reactions), obtaining 196 a total of 130 liquid and 11 solid samples, characterized with the methods described above. Six 197 experiments were performed varying the temperature in the SHR from subcritical (350°C) up to 198 supercritical (400°C) conditions, maintaining the pressure at  $250\pm10$  bar. The reaction time in 199 this reactor was modified by varying the water flow-rate and changing the reactor volume (2.2 200 or 12.4 cm<sup>3</sup>); reaction times between 0.25 s and to 12 s were tested. Three different water flows 201  $(11, 17, 26 \text{ cm}^3/\text{min})$  were tested in the fractionation line, maintaining constant the ratio with the 202 flow of supercritical water stream, to get the desired conditions during the further hydrolysis. 203 The feed composition to the SHR was analyzed by carrying out three fractionations without the second hydrolysis stage, at the same conditions of temperatures, flow-rates and pressure testedwith the coupled reaction.

206 The fractionation in the fixed bed reactor was performed in two stages marked by two distinct 207 temperatures: 180°C to extract the hemicellulose and 260°C to remove most of the cellulose 208 fraction from the biomass. The heating time between both setpoints was in the range of 5-10 209 min, while the flow was temporarily stopped for the experiment running at  $26 \text{ cm}^3/\text{min}$ . In order 210 to follow the reaction evolution, the pH of the outlet stream was measured online sampling 211 every 1 minute. Liquid samples (30-40 cm<sup>3</sup>) were taken according the pH variations every 5 to 212 20 min for the experiment at 11 cm<sup>3</sup>/min, and every 2 to 8 min for the other experiments. The 213 overall experiment time varied from 110, 60 and 45 min for the runs at 11, 17, 26 cm<sup>3</sup>/min, 214 respectively (called here as (1), (2) and (3)). After the last sample was grabbed, the heating was 215 turned off and the reactor R.1 was let to cool down to room temperature with air flux. Both 216 pumps were set to zero flow and the system was depressurized. The solid was removed from the 217 reactor, filtered and dried 24 h at 105°C for further analysis. After cleaning, the fixed bed 218 reactor was placed back, tightened and the system was washed out with deionized water.

219

#### 220 **3. Results and Discussion**

## 221 3.1. Biomass fractionation

222 From the analysis of the raw holm oak, the amount of soluble material was  $4.65 \pm 0.03$ g, 223 corresponding to 72.1% of the biomass weight.  $3.02 \pm 0.02g$  of this soluble mass were 224 composed of hexoses (C6) and  $1.58 \pm 0.01$  g of pentoses (C5). The spatial time of the liquid  $(\tau_i)$ , 225 is determined using the liquid flow rate, the reactor volume and the average porosity of the bed  $(\varepsilon_{i0}=0.457\pm0.01, \varepsilon_{f}=0.948\pm0.019)$ . The latter was calculated by means of Eq. (1), taking into 226 227 account the initial and the final fraction of void volume in the bed, due to the shrinking size of 228 the biomass particles, and also considering a constant density for water [38] (since its variation 229 with temperature is less than 2%) and a constant density of the holm oak wood ( $800 \text{ kg/m}^3$ , dry based for holm oak species). In this sense, residence time for the liquid inside the fixed-bed reactor was in the range of 1.0 min  $<\tau_1 < 2.1$  min.

232 
$$\varepsilon_f = \varepsilon_0 + (1 - \varepsilon_0) \frac{(m_0 - m_f)}{m_0}$$
(1)

233

234 Figure 2 shows the cumulated mass of total soluble materials, oligomers and monomers of C5 235 and C6, as well as products deriving from the further reaction of sugars. These values were 236 determined using TOC and HPLC analysis of the products. The different conditions were 237 obtained by changing water flow-rates in the fractionation line for the experiments 1, 2 and 3. 238 The break points shown in the curves and dashed lines signals present the time when transition 239 between the two temperature stages takes place. The mass of soluble compounds detected by 240 TOC was calculated by dividing the value of total organic carbon concentration recognized by 241 the equipment by a factor 0.42 (Eq. (2)).

242 
$$r = \sum_{1}^{c} r(i) = \sum_{1}^{c} \left( \frac{m(i)}{\sum_{1}^{c} m(i)} \right) \left( \frac{n(i)Mwc}{Mw(i)} \right) = 0.42; \qquad i = 1..c$$
 (2)

The factor r is the sum of the ratio between the molecular weight of carbon atoms in the soluble compounds extracted from raw material to the molecular weight of the compounds itself. This value is an approximation that allows comparing the mass obtained by TOC analysis (total amount of C) with the mass quantified by HPLC (total amount of soluble compounds).

This approximation is based only on the sugar contents and it is used as a general value for all the experiments. It does not consider the effect in the carbon ratio of the condensation and dehydration reactions happening during the extraction and hydrolysis. In addition, it does not take into account the amount of soluble lignin since it is relatively low (only a 4% in the raw material, see section 2.2). However, in all the experiments, the mass balance matched with a maximum error around 20%.

The overall material balance was calculated by summing the mass of the solid recovered from the reactor R.1 at the end of the experiment to the mass of the soluble material estimated using the quantified amount by TOC and the assumed factor showed in equation 2; and the mass of insoluble lignin flushed by the water stream. For experiments 1, 2 and 3, this mass balance wasequal to 103.8, 93.7 and 84.9% related to the amount of biomass fed to the reactor.

258 The mass of soluble material obtained by TOC with the same values obtained by HPLC for each 259 sample are compared in the first row of graphs in Figure 2 (a). The values plotted in Figure 2 260 are the yields of soluble compounds obtained from each technique related to the same amount in 261 the raw biomass (calculated as observed soluble compounds [g]/4.65 g). The discrepancy 262 between both values is reduced when the water flow-rate through the extraction reactor is 263 increased from 11 to 26 cm<sup>3</sup>/min (23.6, -4.1 and -3.2% for the three flows, respectively). This 264 fact could be explained by the increasing production of compounds derived from the sugars 265 hydrolysis (mainly organic acids) not identified by HPLC or whose value is so low that it 266 cannot be detected. From HPLC chromatographs of experiment 1, some peaks do not fit with 267 the retention time of the 17 standard compounds identified in this column (e.g. Figure S2 in 268 Supplementary Material). Besides, some other peaks were not completely resolved. The amount 269 of sugars and soluble oligomers of C5 and C6 obtained from fractionation are displayed in the 270 second line of graphics in Figure 2 (a). Most of the hemicellulose is hydrolyzed to oligomers, in 271 fact hemicellulose is highly soluble in water because of the abundance of acetyl groups in its 272 amorphous structure [39], and after the first breaking leads to the production of soluble 273 oligomers.

274 The yield of C5 at the end of second stage of temperature was 87.3, 89.8 and 93.1%, for 275 experiments 1, 2 and 3, respectively. On the contrary, the crystalline nature confers to cellulose 276 a water insoluble character, so, the oligomers with only very low molecular weight would be 277 water soluble [40]. In this sense, when the flow rate increases in R.1 (decreasing  $\tau_i$ ), cellulose is 278 hydrolyzed mainly to hexoses in oligomer form, giving rise to lower amounts of monomers. 279 This result is observed by comparing the amounts of C6 oligomers (Oligo C6) to monomers 280 (C6) in experiment 1 respect to experiments 2 and 3. This selectivity to oligomers occurs mostly 281 during the first stage of temperature in R.1 (see second row of graphs in Figure 2 a). The 282 oligomers quantification was obtained from the difference between glucose, celobiose, fructose 283 and xylose of the liquid containing all the soluble sugars and the same compounds obtained 284 from the total acid hydrolysis of this liquid [38]. So, high liquid spatial times enhanced the 285 hydrolysis and solubilization of hexoses. This distribution could be related to the difference in 286 the activation energy of the cleavage of the hydrogen bonds between celluloses and the  $\alpha$ 1-4 287 glycosidic bond hydrolysis, which is known that is favored at subcritical conditions [10, 32]. 288 The last row of graphs in Figure 2 (a) displays different amounts of products from the 289 hydrolysis of xylose, glucose and fructose. These amounts are depreciable at the first stage and 290 are increased after temperature is raised. However, these compounds are one order of magnitude 291 lower than the soluble sugars during the extraction. An example is 5-HMF, which is produced 292 mainly in the second stage of fractionation temperature where the conditions make the water a 293 highly ionic medium in the reactor R.1. The main components in the output stream were 294 pyruvaldehyde, glycolaldehyde and lactic acid. The decrease of the reaction time of liquid 295 inside the reactor diminished the further transformation of sugars.

296 3.2 Biomass valorization with sub and supercritical water hydrolysis

The outlet stream from the fixed bed reactor was fed to the SHR together with a water stream at temperatures and pressures above to its critical point. The aim was to obtain a fast and selective hydrolysis of the oligomers and sugars extracted from the biomass. The reaction time in the supercritical reactor (*t*) was varied in order to modify the selectivity to different chemicals.

301 The optimum temperatures and flow-rates in R.1 have been identified in extraction step, as they 302 lead to the maximum yield of soluble material. For this reason, a flow rate of 11 cm<sup>3</sup>/s and 303 temperatures of 180° and 260°C (for the two stages, respectively) were chosen for most of the 304 experiments. Only the experiments 10 and 11 were performed with the same liquid flow-rates 305 used in the fractionation experiments 2 and 3 (17 and 26 cm<sup>3</sup>/s, respectively).

306 This approach also allows knowing the composition of the stream entering in the second reactor.

307 Eight experiments were performed, as shown in Table 1. Three temperatures and 6 reaction

308 times were tested, keeping constant the temperatures of water through the first reactor.

309 Three reactions (5, 7 and 8) were performed in a longer reactor (100 cm), aiming to increase the

310 residence time in SRH (t). A lower pressure was used in reaction 6 (162 bar) to observe the

311 influence of water density in the products distribution.

312 Overall mass balance for each experiment, calculated as described in section 3.1, is presented 313 Table 1. Mass balances indicate that no significant gasification takes place in the supercritical 314 reactor. First row of graphs in Figure 2 (b), (c) and (d) presents the mass of the soluble materials 315 quantified in the outlet stream of SHR by TOC and HPLC. The percentage yield of each 316 experiment respect to the soluble mass in the raw holm oak is presented as the number in the 317 each graph. Some differences between these two procedures are observable, mainly increasing  $t_e$ 318 in the second stage of fractionation or at higher sugars conversion. These findings could be 319 related to the production of small organic acids, ketones and aldehydes (levulinic and acrylic 320 acids, dihydroxyacetone, formaldehyde) and other compounds not identified by HPLC, see 321 Figure S2 in Supplementary Material. This hypothesis agree with the decrease of the mass 322 difference observed by both techniques when a high water flow is involved (as commented in 323 section 3.1), indicating an over breaking and oxidation of the products of interest at higher t.

324

#### 325 *3.2.1 Oligomers and sugars conversion*

Oligomer conversion to C5 and C6 monomers was calculated by difference between the stream entering to the SHR (composition obtained in experiment 1 corresponding to reactions 4 to 9 and composition of experiment 2 and 3 for experiments 10 and 11, respectively), and the stream leaving the reactor after the hydrolysis.

330 The conversion of oligomers to C5 and C6 monomers are reported in the seventh column of 331 Table 1. In all the runs, oligomers conversion was higher than 85%. The exception was 332 experiment 11, in which the small t and high dilution (four times lower than in the experiments 333 4 to 8) could be the cause of the low conversion. C5 Sugars (xylose) and C6 (cellobiose, glucose 334 and fructose) are intermediate compounds in the reaction pathway. Conversion of sugars is 335 faster than cleavage of oligomers to monomers at subcritical temperatures and even at a 336 temperature a little higher than the critical point of water (e.g. 380°C). This is showed by 337 comparing the amount of Oligo C6 and Oligo C5 with C6 and C5 in experiments 4 and 6 (see 338 Figure 2 (b) and (c)). A different behavior is detected near to 400°C, like in experiment 9, where 339 oligomers conversion seems to be faster than sugars hydrolysis, in agreement with the observations reported in the literature for oligomers originating from microcrystalline cellulose [5]<sup>-</sup>[11]. Surprisingly the time needed for a complete conversion of sugars is quite larger than the pure cellulose hydrolysis at the same temperature (eg. 350°C: 2 s in ref. [29] vs 12 s in this work). This could be related to the hydrolysis of C5 and C6 contained inside the porous structure of fluidized microparticles of biomass coming from the reactor R.1 with the stream of fluidized biomass. Also the presence of other ions or compounds could be linked to this attenuation.

347 *3.2.2 Added value products (AVP) from the sugars hydrolysis* 

348 The third row of graphs in Figure 2 (b), (c) and (d) displays the amount of added value 349 chemicals (AVP) (glyceraldehyde, glycolaldehyde, pyruvaldehyde, lactic acid, formic acid, 350 acetic acid and 5-HMF) produced from hydrolysis of cellobiose, glucose, fructose and xylose. 351 The reaction pathway of cellulose hydrolysis involving oligomers and cellobiose as 352 intermediaries was reported in the literature [32]. Xylose hydrolysis in near critical and 353 supercritical water was analyzed by several authors [41, 42]. The combined pathway is 354 presented in Figure 3. Not all the products involved in this scheme were identified by the liquid 355 chromatography. The cellulose pathway shown in Fig. 3 involves two consecutive hydrolysis, 356 the first one in which the oligosaccharides are hydrolyzed to glucose and xylose and the second 357 one in which glucose and xylose are involved in two possible pathways: isomerization and 358 dehydration or retro-aldol condensation [18, 42]. The cellulose and hemicellulose pathways are 359 similar. They involve two consecutive hydrolysis steps, the first one in which the 360 oligosaccharides are hydrolyzed to glucose and xylose, respectively, and the second one in 361 which glucose and xylose are implicated in two possible routes: isomerization and dehydration 362 or retro-aldol condensation [18, 42]. Glucose can follow a reversible isomerization to produce 363 fructose, however, the reverse reaction is almost inhibited at the same conditions [19, 20]. 364 Glucose can also be transformed into 1,6 anhydroglucose and fructose can be transformed into 365 5-hydroxymethylfurfural through a dehydration reaction [43]. The other alternative of glucose 366 conversion is the retro-aldol condensation producing glycolaldehyde and erythrose [32, 44]. 367 Erythrose is further transformed into glycolaldehyde by the same reaction mechanism [18]. The 368 retro-aldol condensation reaction of fructose produces glyceraldehyde and dihydroxyacetone. 369 These molecules are further isomerized into pyruvaldehyde [19], which is transformed to lactic 370 acid by an extra oxidation. Hemicellulose hydrolysis is quite similar; the first step is the 371 depolymerization to produce xylose and xylose oligomers. After that, xylose can be isomerized 372 to D-xylulose, assuming that D-xylulose as an intermediate for furfural and retro-aldol products 373 (glyceraldehyde, pyruvaldehyde, glycolaldehyde, lactic acid, dihydroxyacetone, formaldehyde) 374 [41, 42]. This reaction pathway consists of a retro-aldol reaction (Lobry de Bruyn-Alberta van 375 Ekenstein (LBET)) from D-xylose and D-xylulose, similar to that involving D-glucose and 376 fructose.

377 In the experiments, a considerable amount of glycolaldehyde-pyruvaldehyde and lactic acid was 378 observed. The distribution of these chemicals was similar for experiments 4 and 6 (Figure 2 (b) 379 and (c)) in spite of the difference of water properties at both temperatures. At 352°C and 241.3 380 bars, the water density and pKw are 614.7 kg/m<sup>3</sup> and 11.7, respectively. On the other hand, at 381 383°C and 245.7 bar, those properties take a value of 319.7 kg/m<sup>3</sup> and 15.3, both calculated as 382 developed in literature [1]. A little difference in the amount of glycolaldehyde-pyruvaldehyde as 383 well as in the 5-HMF is perceived, principally at times corresponding to the first stage of 384 temperature of the reactor R.1. This means that this variations of density and Kw, do not 385 modified largely the selectivity between isomerization-dehydration and retro-aldol pathways 386 like it does in the pure cellulose hydrolysis. In this last, isomerization of glucose to fructose is 387 highly inhibited by decreasing density [32]. This behavior could be explained by the presence of 388 of  $H^+$  ions coming from the acetylation taking place during the fractionation, in addition to the 389  $H^+$  produced by the water ionization. Also, considering the nature of raw biomass, other ions in 390 solution could be present. Under 352 °C and pressure, isomerization of glucose to fructose and 391 further dehydration is favored for pure cellulose hydrolysis [43]. But, in the present cases, the 392 high yields of glycolaldehyde-pyruvaldehyde and lactic acid are evidences that the retro-aldol 393 pathways take place as well. Experiments 4 and 5 were performed at similar temperature and 394 pressure but involving different residence times (t=1.06 vs 11.15 s, respectively). For 395 experiment 5, the acetic acid amount was highly increased, mostly in the time period

396 corresponding to the first stage of temperature in R.1. This acetic acid exceeded the amount 397 produced in the hemicellulose deacetylation. The retro aldol pathway coming from xylose by 398 means of glyceraldehyde route, could explain the difference of acetic acid obtained directly 399 from lactic acid decarbonylation. This extra amount of acetic acid could not be considered only 400 from the hemicellulose source, since there is also a large concentration of C6 in the first fraction 401 of the feed stream (see Figure 2 (a)). This C6 portion could also contribute to the 402 glyceraldehyde route. Besides, acetic acid could be obtained directly from lactic acid 403 decarbonylation [15]. Both, glucose and xylose, are able to produce lactic acid by means of the 404 retro-aldol pathway with glyceraldehyde and pyruvaldehyde as intermediaries (see Figure 3). In 405 this sense, these retro-aldol pathways could explain the extra amount of acetic acid obtained at 406 longer residence times in the SHR. Figure 4, displays the pH of the output stream after the 407 fractionation stage (experiment 1) and the coupled process fractionation+hydrolysis 408 (experiments 4, 5, 6 and 8). The pH in the outlet stream, after SHR, was always lower to the pH 409 of the output stream from the fractionation step itself. comparing the H<sup>+</sup> concentration of the 410 experiments during the time period of the first stage during the extraction. This observation 411 agrees with the fact that extra amount of acetic acid was produced when a deeper hydrolysis was 412 performed (see experiments 5 and 8). After this time period, no difference in the pH can be 413 detected. Similar behavior was observed from experiments 6 and 8, however, in this case, larger 414 amount of formic acid was observed compared to the experiments above mentioned in spite of 415 the difference of formic acid produced in both (see Figure 2 (c)).

The pressure change in the range studied, had no effect on the chemicals distribution (see Figure 2 (c), experiments 6 and 7). Under the conditions of experiment 7, pKw is 11.9, calculated by means of an empiric equation [45]. This value is quite similar than pKw of the experiment 6. In this way, in spite of density change, the same AVP distribution is observed. Longer residence time of experiment 7 explains the difference in oligomers with experiment 6. The distribution of the AVP of experiment 6, is independent of the change in pKw, as was discussed above for experiments 4 and 6. The highest yield of glycolaldehyde-pyruvaldehyde (calculated as mass of product/mass of soluble material in raw biomass) was obtained for experiment 7 (24.4%),
probably due to the combination of higher H+ concentration and longer *t*.

425 A different AVP distribution is observed in the experiment 9, where lactic acid is the most 426 abundant product and acetic acid is depleted compared to the experiment 4 and 6 (see Figure 2 427 (d)). This finding could be explained by the short t of the mixture at high temperature, 428 conditions in which the reactions are stopped before after lactic acid production in the retro-429 aldol route, inhibiting the acetic acid formation. This selectivity seems to take place in the SHR 430 mainly during the first stage of temperature in the reactor R.1. After that, the formation of lactic 431 acid in the SHR is reduced. This selectivity seems to take place mainly during the time period of 432 the first stage of the fractionation, because after that, the formation of lactic acid as well as of 433 glycolaldehyde pyruvaldehyde is lower. The highest yield of lactic acid was found at 434 Experiment 9 (25.5%). The water flow increase in the first reactor has no clear effect on the 435 production of retro-aldol compounds (see reactions 10 and 11 in Figure 2 (d)). Under these 436 conditions, the oligomers breakup seems to become slower, since their amount is enlarged 437 related to the monomeric sugars. In both cases, the retro aldol pathways are followed producing 438 glycolaldehyde-pyruvaldehyde and lactic acid with similar yields.

The combination of many variables influencing the distribution of a large number of products, involved in a complex reaction path as the described in Fig. 3, is hard to be easily explained. Furthermore, as was mentioned above, we are dealing with the hydrolysis of a real biomass, in which other components could be influencing the observed behavior.

443 **3.3 Hydrolysis kinetic model** 

Aiming to analyze further the results obtained by the coupled system, a kinetic model for the second reactor is proposed in this section. This model takes into account the solubilized biomass composition fed to the second reactor. It was specially focused on the time period corresponding to the first stage of the solubilization (at the conditions of experiment 1) since this step produces an outlet stream with higher amount of the chemicals of interest (see last two columns of Table 1). The bigger added valued compounds production from stage 1 is due to the fact that the operational temperature was around 180 °C, which means a lower degradation. The reaction 451 pathway proposed in this case, showed in Figure 45. It is a simplified version of the real 452 hydrolysis described in Figure 3. The modelling was done by the transient regime mass balances 453 for each compound in the fluid: oligomers, sugars and products (Eq. (3)). Moreover, the 454 following assumptions have been considered: (1) the reaction order for all the kinetics is 1 for 455 the biomass compound and proton concentration in water, (2) there are no diffusional effects in 456 fluid phase, (3) kinetic constants follows Arrhenius' law and (4) the reactor works at the same 457 temperature at any point. Regarding kinetics, a conventional expression was used including the 458 effect of the concentration of water proton since it is a hydrolysis process (Eq. (4)).

$$\frac{\delta C_{Lj}}{\delta t} = r_j - \frac{u}{L} \cdot \frac{\delta C_{Lj}}{\delta z}$$

$$r_j = C_{H^+} \cdot \sum_{i=1}^{i=N} \alpha_{i,j} \cdot K_{L_i} \cdot C_{L_i}$$
(4)

459

#### 460 *3.3.1 Numerical resolution*

461 Eq. (3) is a set of 6 partial differential equations (PDE) which has to be discretized to obtain a 462 set of ordinary differential equation (ODE). The resolution of this set of ODEs was performed by the Runge-Kutta's method with a 8<sup>th</sup> convergence order and the discretization by coupling 463 464 orthogonal colocation method on finite elements [46]. The fitting of the experimental data 465 constitutes an optimization problem. Due to its complexity, it was previously seeded by manual 466 iteration, and then, optimized by the Nelder-Mead-Simplex method. Moreover, as the inlet 467 concentration of the hydrolysis reactor was variable and the oligomer properties changed with 468 extraction time [47], the problem was optimized at every experimental point. Finally, the 469 solution was reviewed in order to ensure the physical meaning of the parameters. The objective 470 function was the minimization of the Absolute Average Deviation (A.A.D., Eq. (5)) for 471 oligomer, sugar and products concentration at the SHR output.

$$A.A.D. = \sum_{i=1}^{n} \frac{1}{n} \cdot \left| \frac{X_{exp} - X_{sim}}{X_{exp}} \right| \cdot 100$$
(5) 473

# 475 *3.3.2 Experimental data fittings*

476 In order to validate the model, only experiments 4, 6 and 9 were used because they were 477 carried out at similar residence times and three different temperatures (see Table 1). For 478 experiment 4, the data at extraction time of 9 and 14 min were not considered because they do 479 not follow the tendency fixed by the set of the three experiments used (4, 6 and 9). Moreover, as 480 each experiment was carried out independently, the inlet for the reactor was assumed to have the 481 same composition that experiment 3 1 but with TOC profile of the fitted experiment (4, 6 and 482 9). It is also remarkable that the volumetric flow was the addition of the provided flow by the 483 two pumps for all the experiments (see Figure 1). The deviation between the model and the 484 experimental data is arrayed in Table 2 and for experiment 6 it also can be seen in Figure 6. The 485 model was able to reproduce successfully the hydrolysis of solubilized biomass, being the 486 average A.A.D. 21.14 %, 37.37 %, 18.41 % and 7.24 % for instant hemicellulose and cellulose 487 oligomers, sugars C6, sugars C5 and their degradation products (the added value products 488 respectively or AVP). These discrepancies changed to 27.46 %, 7.61 %, 9.31 % and 3.99% 489 respectively when cumulated values were used. Taking into account these last values, it can be 490 checked that the highest error is in the estimation of the oligomers mass, which can be caused 491 by the fact that the experimental data were obtained by the difference between the TOC and the 492 sum of the other compounds (sugars and AVP). Moreover, the deviation between the 493 experimental and simulated TOC was also calculated in order to check that the mass 494 conservation law is followed. For all the cases, this mass balance deviation result in zero percent 495 with three significant figures (0.00%). The kinetic constants and the stoichiometric coefficients  $(K_{L_i} \text{ and } \propto_{i,j} \text{ in Eq. (4), respectively})$  had to be obtained from fitting. Regarding to  $\propto_{i,j}$ , it was 496 497 always 1 less for the final products coming from hemicellulose oligomers since they are 498 composed by pentoses and hexoses [48, 49]. These fitted parameters, which are shown in Table 499 4, require a deeper analysis and they are discussed in the next section.

# 500 *3.3.3 Analysis of kinetic parameters*

501 The dependence of the kinetics parameters with temperature was proved. The regression 502 coefficient ( $R^2$ ) according the Arrhenius' theory was higher than 0.84 for all the cases (see

503 Table 3). No change in the kinetic behavior was observed through the critical point (see Table 504 3) like does in the hydrolysis of microcrystalline cellulose [44, 50] according to the commented 505 in section 3.2.2, since there is not simultaneous solubilization in the SHR. However, a 506 dependence of the kinetic behavior was observed with the extraction time, since the rates of 507 production of valuable chemicals is decreased after the maximum of solubilized mass is reached 508 (see Figure 7 (a) and (b)). This observation could be related with the influence of some of the 509 chemicals produced by the further hydrolysis of sugars on the hydrothermal hydrolysis. In 510 addition, it is also interesting that after this change, the kinetics of the sugar transformation tend 511 to their initial value while the kinetic of the oligomer breakdown grows exponentially. This 512 difference would be originated by the changes in the molecular weight of the extracted 513 oligomers and the fact that they would be transformed more quickly if the molecular weight is 514 lower. Moreover, it can be seen that temperature can compensate this negative effect, being 515 negligible for oligomers at 400°C (Figure 7 (c)).

516 Other interesting result is the evolution of the ratio between the four kinetic constants. In 517 section 3.2.1 it was indicated that sugar transformation is faster than oligomer cleavage in 518 subcritical conditions and lower in supercritical water. This behavior agrees with the obtained 519 from the fittings, but only before the time of maximum of extraction (Figure 7 (a) and (c)). So, 520 from this point, the changes in molecular weight and the raw material transformation makes the 521 oligomer cleavage always greater. As the oligomer composition changes with extraction time, 522 the kinetic cannot be reproduced by a typical Arrhenius' kinetic. So, two equations function of this time  $(t_e)$  are proposed, one for the pre-exponential factor oligomer cleaving (Eq. 46) and 523 524 other for the activation energy sugar further reactions (Eq. 57).

525 
$$P = C \cdot \left| t_{e_{max}} - A \cdot t_{e} \right|^{B} \quad (46)$$

526

527 
$$P = D + \frac{E}{1 + e^{(F \cdot (t_e - G))}}$$
 (57)

529 Where P refers to both, the activation energy (Ea/R) and the natural logarithm of the pre-530 exponential factor  $(\ln(k))$ . In Eq. 46, the parameter C is the natural logarithm of the pre exponential factor or activation energy at the maximum extraction time  $(t_{e_{max}})$  and parameters 531 532 A and B introduce the effect of the changes in the structure and reaction medium. A would be 533 related with the strong of the compound against its degradation by hydrolysis. B would be a 534 measure of how structure or reaction medium can accelerate or restrain the degradation. In Eq. 535 57, D is the pre exponential factor or the activation energy at the time where the biggest 536 solubilization takes place, E and F, are the parameters that consider the role of the structure and reaction medium and G is the time when the maximum extraction is reached. In this case, E 537 538 would represent how the medium or the structure can enhance the hydrolysis or hinder it. F 539 would be the compound resistance against degradation.

Finally, the evolution of the hexoses content in hemicellulose oligomers is represented in Figure 7 (b). It can be observed that the ratio between these values grows with time. This result was expected because hexoses would make the dissolution more difficult and would explain the fact that in experiment 5 the extraction was faster than 4 and 9 experiments (see Figure 7 (c)). Moreover this result agree with the data reported by other authors [51].

545 *3.3.4 Simulated experiments* 

As it was mentioned in section 3.3.2, only experiments 4, 6 and 9 were used to validate the model. Experiments 5 and 8 were not considered because their reaction time were much higher, which implies almost a total conversion at the reactor outlet. However, it checked if the model was able to reproduce their behavior. The result of the simulations are presented in Figure 8, being the absolute deviation around 4% for both experiments. Therefore, the model can predict successfully the hydrolysis at both low (0.2 - 1.0 s) and high (11.1-12.5 s) residence times.

552 *3.3.5 Model limitations* 

From the results showed in the three previous sections, the model was able to successfully reproduce the experimental behavior of the set-up. In fact, this model can be used for any other lignocellulosic biomass because of the fact that it has been developed for a general biomass hydrolysis pathway. However, it is limited to processes where soluble lignin is low and when the aim is to reproduce the overall behavior of a solubilized biomass stream hydrolysis instead of an analysis of each individual compound. Furthermore, this model can be also adapted to processes where the inlet stream is variable in time.

#### 560 **Conclusions**

A new process coupling fractionation and hydrolysis steps was developed. By means of this process, up to 64.2% of feed Holm oak wood was solubilized mainly as oligomers of hexoses and pentoses and sugars with a small fraction of retro-aldol compounds. The low ratio of the amount of oligomers to monomeric sugars in the outlet stream could be explained by a similar behavior than in the case of pure cellulose hydrolysis: the rate of monomers hydrolysis is higher to the oligomers break up in subcritical conditions, but this tendency is reverted at supercritical temperatures.

The main products of the further hydrolysis in the second reactor were glycolaldehyde, pyruvaldehyde and lactic acid. Yield (related to the amount of soluble sugars in the raw biomass) of 24 wt% of Glycolaldehyde-Pyruvaldehyde was found at long reaction times (350°C, 160bar and 8,6 s) and 25 wt% of lactic acid was found at short reaction time but high temperature (400°C, 250 bar and 0.23s). An increasing amount of acetic acid was observed at the highest residence times (e.g. 12 s).

574 The distribution of products is related with a combined reaction hydrolysis pathway of 575 cellulose and hemicellulose involving oligomer cleavage to monomers, isomerization steps and 576 two competing paths: Retro-aldol condensation and dehydration. The influence of the water 577 density and the amount of ions  $H^+$  coming from the dissociation process is not clear as it is in 578 the case of the hydrolysis of pure cellulose, in which the glucose dehydration is highly inhibited 579 and retro aldol pathways clearly favored at temperatures and pressures above the water critical 580 point. In the present work, products coming from retro-aldol paths as well as products of 581 dehydration are observed in both conditions: sub and supercritical. Finally, a general kinetic 582 modelling for the hydrolysisreactor was proposed. This model could reproduce the experimental 583 data for sugar and added value products with deviations lower than 10%. Besides, the calculated 584 kinetic parameters reproduced the changes in oligomer and sugar conversion when the hydrolysis is performed in supercritical conditions instead of in subcritical water. This modelcan be applied to any other lignocellulosic biomass with a low content of soluble lignin.

587 The main advantage of this combined process consist in providing a liquefied biomass 588 stream to a selective hydrolysis reactor giving the valorization of the raw material avoiding the

589 costly grinding of particles from several millimeters to less than two hundred microns needed to

- 590 pump it in a water stream belonging to a high pressure process.
- 591

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601

### 602 Abbreviations and symbols

603 Acronyms

- 604
- 605 A.A.D.: Average absolute Deviation.
- 606 Olig: Hemicellulose and cellulose oligomers.
- 607 C6/OligC5: ratio hexoses to hemicellulose oligomers.
- 608 *Greek letters and symbols*
- 609
- 610 *A-G*: Parameters for kinetics constant estimation.
- 611  $\alpha_{i,j}$ : Stoichiometric coefficient of the compound "j" for the reaction "i", dimensionless.
- 612  $C_{H^+}$ : Concentration of the protons, mg/L.
- 613  $C_{L_i}$ : Concentration of the compound "j", mg/L. $E_a/R$ : Activation energy, K.
- 614  $\varepsilon$ : Porosity of the bed, dimensionless.
- 615  $\varepsilon_f$ : Porosity of the bed, calculated at the end of the experiment, dimensionless.
- 616  $\varepsilon_{av}$ : Average porosity of the bed, between the beginning and the end of the experiment, 617 dimensionless.
- 618  $\varepsilon_o$ : Porosity of the bed, calculated at the end of the experiment, dimensionless.
- 619  $K_{Li}$ : Kinetic constant, min<sup>-1</sup>.
- 620 k: Pre-exponential factor of the kinetic constant,  $mg^{-1} \cdot min^{-1}$ .
- 621 L: Length of the reactor,  $m.m_0$ : initial mass of the solid in the reactor, g.

- $622 \quad m_{\rm f}$ : final mass of the solid in the reactor, g.
- m(i) (RM): total amount of component (i) in the raw material, extracted by acid hydrolysis and
- 624 detected by HPLC analysis, g.
- *Mw*(*i*): molecular weight of component i, g/mol.
- *MwC* : molecular weight of the a carbon atom, g/mol.
- $m_{sol}tot(RM)$ : total amount of soluble compounds in the raw material, extracted by acid hydrolysis and detected by HPLC analysis, g.
- 629 N: Number of compounds, dimensionless.
- *n*: Total number of experiments, dimensionless.
- n(i): Number of carbon atoms in the soluble component *i*, dimensionless.
- 632 P: Calculated kinetic parameter, activation energy or the natural logarithm of the pre-633 exponential factor
- $R^2$ : Coefficient R<sup>2</sup>, dimensionless.
- *r*: ratio between the molecular weight of the soluble compounds extracted and the molecular 636 weight of the atoms of carbon, dimensionless.
- r(i): ratio between the molecular weight of the soluble compounds extracted and the molecular weight of the atoms of carbon for compound *i*, dimensionless.
- $r_i$ : Reaction rate of the compound "j", mg/min·L.
- *u*: Liquid velocity in the reactor, m/min.
- *t*: Residence time in the SHR, s.
- $t_e$ : Extraction time, min.
- $t_{e_{max}}$ : Maximum extraction time, min.
- $x_{i_{FXP}}$ : Experimental value of the fitted variable.
- $x_{i_{SIM}}$ : Simulated value of the fitted variable.
- *z*: Coordinate along the length of the reactor, dimensionless.

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  biological macromolecules 69 (2014) 158-164.
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### 788 List of Tables

- 789 **Table 1.** Temperatures, pressures, residence times, mass balance and oligomers conversions of
- 790 the experiments coupling Fractionation+Hydrolysis reactors.
- <sup>a</sup> Residence times were calculated based on the concepts drawn in reference [32].
- <sup>b</sup> Mass balance accounts the amount of solid recovered from the Extraction reactor adding the
- mass of insoluble lignin flushed in the water stream and the total mass solubilized measured by
- 794 TOC.
- <sup>c</sup> Oligomers conversion involves the mass of oligomers quantified by HPLC outcoming from the
- Fractionation related to the mass of oligomers in the outlet stream of the sub-supercritical reactor.
- **Table 2.** Average absolute deviation of the fittings (experiments 4, 6 and 9) and simulations(experiments 5 and 8).
- 800 **Table 3.** Regression coefficient ( $R^2$ ) of the Activation Energy with temperature for the kinetic 801 constants showed in Figure 5.
- 802 Table 4. Fitted parameters used to estimate the kinetic constants depending on the extraction803 time.

#### 804 List of Figures

- 805 **Figure 1.** Experimental setup coupling fractionation and hydrolysis reactors.
- 806 D.1, D.2: Deionized water deposits. P.1: American Lewa EK6 2KN High pressure piston pump.
- 807 P.2: Milton Roy XT membrane pump. V.1, V.2: Parker check valve. H.1: Electric low
- temperature heater, 100 cm of 1/8 in SS316 piping and 2 kW resistor. H.2: 1800 cm of 1/8 in
- 809 SS316 piping and, high temperature heater and 10 kW resistor. R.1: Fractionation reactor, 40
- 810 cm length, <sup>1</sup>/<sub>2</sub> in O.D. SS316 piping. V.2: Parker relief valve. R.2: Second Reactor (SHR) built
- 811 with <sup>1</sup>/<sub>4</sub> in O.D. SS316 tubing. Two reactors sizes were used 11 cm and 100 cm of length. V.3:
- 812 Parker relief valve. V.4: high temperature valve Autoclave Engineers 30VRMM4812. IE: 200
- 813 cm of concentric tube heat exchanger ½ in- ¼ in. V5: Three way Parker valve. D.3: Falcon®
- 814 flasks. D.4: 25 L polyethylene products deposit.

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817 Figure 2. Product distribution and mass balance in the biomass valorization.

- (a) Results from the fractionation without further hydrolysis for 11, 17 and 26 cm<sup>3</sup>/min in
  the extraction line. The first line of graphs represents to the percentage of soluble
  compounds identified by total organic carbon (TOC) and HPLC. The yields, calculated
  by the obtained soluble fractions [g] / (4.65 g), are showed above each curve. Second
  row of graphs in Figure 2 shows the amount of carbohydrates in the form of sugars and
  oligomers. The last row displays the time evolution of the products derived from the
  hydrolysis of sugars in the fixed bed reactor.
- 825 (b) Products distribution at 380°C
- 826 (c) Product distribution at 350°C
- 827 (d) Product distribution at 400°C and short residence times.
- 828 In experiment 11, the absence of water flux in Reactor 1 makes heating process it faster
- 829 (5 min). We choose this option in order to avoid a large transition state.

830 Figure 3. Combined reaction pathway of oligomers C5 and C6 including the glucose and xylose

- 831 further reactions in hot pressurized water.
- 832 Figure 4. pH of the liquid product vs time. Comparison of the reactions at low (experiments 4
- and 6) and high conversions (experiments 5 and 8) at sub and supercritical conditions of water.
- 834 **Figure 5.** Simplified reaction pathway for solved biomass hydrolysis.

**Figure 6.** Experimental and simulated amounts of soluble material by TOC, C5+C6 Oligomers,

- sugars C6, sugars C5 and added value products obtained in experiment 6.
- 837 Symbols represents the experimental values and continuous lines represents the results of the
- kinetic model using the optimized kinetic parameters.
- **Figure 7.** Evolution of the logarithm of the kinetic constants for experiment 6(a), 4(b) and 9 (c).
- 840 Ratio C6/OligoC5 (d). Solubilized mass with the extraction time for the experiments 6, 4 and 9
- 841 (e).



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Figure 2.















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#### Table 1.

Exp	Т	Р	$t^1$	$Q_{SHR}^2$	MB <sub>TOC</sub> <sup>3</sup>	X <sub>Oligomers</sub> <sup>4</sup>	$Y1_{AVP}^{5}$	$Y2_{AVP}^{6}$
	[°C]	[bar]	[s]	[cm <sup>3</sup> /min]	[%]	[%]	-	-
4	$383.7\pm5.1$	$245.7\pm4.6$	1.06	36.0	92.2	86.5	0.008	0.079
5	$377.2\pm3.5$	$251.9\pm5.9$	11.15	38.5	105.9	99.9	0.247	0.281
6	$352.5\pm4.4$	$241.3\pm3.7$	2.10	35.2	89.3	85.7	0.004	0.109
8	$349.9\pm2.4$	$239.6\pm4.2$	12.50	35.8	103.1	98.7	0.233	0.132
9	$396.1\pm3.6$	$249.1 \pm 5.1$	0.23	36.8	103.6	99.3	0.440	0.254
10	$401.2\pm2.8$	$252.2\pm3.9$	0.24	90.1	93.0	87.2	0.481	0.278
11	$398.3\pm3.0$	$259.9\pm3.4$	0.24	106.2	91.2	74.6	0.530	0.228
<sup>1</sup> t: reaction time in hydrolysis reactor, <sup>2</sup> Flow rate in the SHR, <sup>3</sup> Global mass balance of the coupled process,								
<sup>*</sup> Conversion of oligomers from hemicellulose and cellulose, <sup>3,0</sup> Yields of added value products in the time period of the first and second stage of temperature during fractionation $Y_i$ =mass <sub>i</sub> /mass soluble material in raw biomass								

981 982

Table 2.

ADD %									
	Instantaneous					Cumulated			
Experiment	Oligomers <sup>1</sup>	C6 <sup>2</sup>	C5 <sup>3</sup>	$AVP^4$	Olig <sup>1</sup>	C6 <sup>2</sup>	C5 <sup>3</sup>	$AVP^4$	
4	21.70	21.88	21.92	7.38	26.85	10.78	4.98	7.52	
6	20.58	29.11	22.16	6.27	6.99	2.15	14.82	1.78	
9	*	61.04	11.15	8.07	48.53	9.90	8.15	2.66	
Average	21.14	37.34	18.41	7.24	27.46	7.61	9.31	3.99	
5	*	*	*	5.77	*	*	*	4.94	
8	*	*	*	0.93	*	*	*	1.02	
Average	*	*	*	4.65	*	*	*	3.32	

<sup>1</sup> Oligomers from hemicellulose and cellulose, <sup>2</sup> Sugars C6, <sup>3</sup> Sugars C5, <sup>4</sup> degradation products. \* Compound not detected. ADD% of total organic content was 0.0.

Table 3.

t <sub>e</sub> <sup>1</sup> [min]	$k_1^a$	$k_2^b$	$k_3^{c}$	$\mathbf{k}_{4}^{d}$				
	$R^2$							
19	0.88	0.87	0.99	0.999				
26	0.88	0.86	0.99	0.98				
35	0.87	0.85	0.99	0.97				
44	0.93	0.89	0.9999	0.96				
Average	0.89	0.87	0.99	0.97				



$k_1^{a}$	ln(k) <sup>e</sup>	Ea/R <sup>f</sup>	$k_2^{b}$	ln(k) <sup>e</sup>	Ea/R <sup>f</sup>
	1.0.1	1.00		1.00	1.00
A	1.04	1.03	A	1.08	1.08
В	0.04	0.06	В	0.03	0.05
С	106	46,543	С	109	48,553
k.c	$_{\rm c}$ $\ln(k)^{\rm e}$	$Ea/R^{f}$	k.d	ln(k) <sup>e</sup>	$Ea/R^{f}$
К3	-	[K]	ĸą	-	[K]
А	3.26	2069	А	2.85	1834
В	22.05	24.22	В	21.25	20.15
С	1.35	4.01	С	1.70	3.58
D	87.32	35,238	D	89.63	36,081

1000 1001 1002 <sup>a</sup> Cellulose oligomer breakup constant, <sup>b</sup> Hemicellulose oligomer breakup constant, <sup>c</sup> Sugars C6 hydrolysis constant, <sup>d</sup> Sugars C5 hydrolysis constant, <sup>e</sup> Natural logarithm of the Arrhenius' pre-exponential factor, <sup>f</sup> Activation energy.