

# ***Ab initio* calculation of the lattice distortions induced by substitutional $\text{Ag}^-$ and $\text{Cu}^-$ impurities in alkali halide crystals**

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An *ab initio* study of the doping of alkali halide crystals ( $AX$ :  $A = \text{Li, Na, K, Rb}$ ;  $X = \text{F, Cl, Br, I}$ ) by  $ns^2$  anions ( $\text{Ag}^-$  and  $\text{Cu}^-$ ) is presented. Large active clusters with 179 ions embedded in the surrounding crystalline lattice are considered in order to describe properly the lattice relaxation induced by the introduction of substitutional impurities. In all the cases considered, the lattice distortions imply the concerted movement of several shells of neighbors. The shell displacements are smaller for the smaller anion  $\text{Cu}^-$ , as expected. The study of the family of rock-salt alkali halides (excepting  $\text{CsF}$ ) allows us to extract trends that might be useful at a predictive level in the study of other impurity systems. Those trends are presented and discussed in terms of simple geometric arguments.

## **I. INTRODUCTION**

Most of the luminescent materials presently used in several technological applications<sup>1</sup> involve the doping of a pure ionic crystal, that is substitution of some of the ions by other ions with specific absorption-emission characteristics. The fine details of the absorption-emission spectra, as well as the efficiency and resolution of the scintillator, are determined by the system-specific embedding potential acting on the impurity, which is in turn sensitive to the distortion induced by the impurity on the crystal lattice. Thus, a theoretical understanding and accurate determination of those distortions is of paramount importance, moreover if we realize that their experimental measurement is a difficult task.<sup>2-4</sup>

Two main methods are applied nowadays to model impurity systems: supercell techniques, that exploit the convenience of the Bloch theorem by periodically duplicating a finite region of the crystal around the impurity,<sup>5</sup> and the cluster approach, in which the doped crystal is modeled by a finite cluster centered on the impurity and embedded in a field representing the rest of the host lattice. This cluster approach is the one chosen in the present study, and has been used in the past to study the geometrical and optical properties of doped crystals.<sup>6-32</sup> The cluster (active space) can be studied by using standard quantum-mechanical methods. The rest of the crystal (environment) can be described in several ways. In the simplest and most frequently used approach, the environment is simulated by placing point charges on the lattice sites, but this procedure has to be improved in order to obtain a realistic description of the lattice distortions around the impurity.<sup>9-12,15,18,21,24,26,27,31</sup> Model potentials have been developed to represent the effects of the environment on the active cluster, that include attractive and repulsive quantum-mechanical terms aside from the classical Madelung term,<sup>33</sup> but a problem still remains: the large computational cost of conventional molecular orbital (MO) calculations prevents from performing an exhaustive geometrical relaxation of the lattice around the impurity. In the most accurate MO calculations,<sup>12,24,26,27,29,32</sup> only the positions of the ions in the first shell around the impurity are allowed to relax. However,

geometrical relaxations far beyond the first shell of neighbors can be expected. In fact, recent semiempirical simulations of solids,<sup>34-42</sup> performed employing phenomenological potentials,<sup>43-48</sup> have shown the importance of considering appropriate large-scale lattice relaxations in the study of a variety of intrinsic and extrinsic defects in ionic crystals. As we will show below, the systems under study in this paper cannot be properly described by simply considering an expansion of the first shell of neighbors around the impurity.

In this contribution we report theoretical calculations of the lattice distortions induced by  $\text{Ag}^-$  and  $\text{Cu}^-$  substitutional impurities in 16 alkali halide crystals with the rock-salt structure, namely, all those noncontaining cesium. For this purpose we use the *ab initio* perturbed ion (PI) model,<sup>49-53</sup> which circumvents the problems mentioned above: (a) The active cluster is embedded in an environment represented by the *ab initio* model potentials of Huzinaga *et al.*,<sup>33</sup> (b) The computational simplicity of the PI model allows for the geometrical relaxation of several coordination shells around the impurity.<sup>15,18,31</sup> Moreover, it allows us to study a whole family of systems in order to look for systematic trends that might be useful in later theoretical studies of doped crystals similar to those here considered.

The remainder of this paper is organized as follows. In Sec. II we describe the active cluster which has been used to model the doped systems. In Sec. III we present and discuss the results of the calculations, and Sec. IV summarizes the main conclusions.

## **II. CLUSTER MODEL**

The *ab initio* perturbed ion model is a particular application of the theory of electronic separability of Huzinaga and co-workers<sup>54,55</sup> to ionic solids, in which the basic building blocks are reduced to single ions. The PI model was first developed for perfect crystals.<sup>49</sup> Its application to the study of impurity centers in ionic crystals has been described in Refs. 15, 18, 31, and we refer to those papers for a full account of the method. In brief, an active cluster containing the impurity is considered, and the Hartree-Fock-Roothaan (HFR) equations<sup>56</sup> for each ion in the active cluster are

solved in the field of the other ions. The Fock operator includes, apart from the usual intra-atomic terms, an accurate quantum-mechanical crystal potential and a lattice projection operator which accounts for the energy contribution due to the overlap between the wave functions of the ions.<sup>57</sup> The atomlike HFR solutions are used to describe the ions in the active cluster in an iterative stepwise procedure. The wave functions of the lattice ions external to the active cluster are taken from a PI calculation for the perfect crystal and are kept frozen during the embedded-cluster calculation. Those wave functions are explicitly considered for ions up to a distance  $d$  from the center of the active cluster such that the quantal contribution from the most distant frozen shell to the effective cluster energy is less than  $10^{-6}$  Hartree. Ions at distances beyond  $d$  contribute to the effective energy of the active cluster just through the long-range Madelung interaction, so they are represented by point charges. At the end of the calculation, the ionic wave functions are self-consistent within the active cluster and consistent with the frozen description of the rest of the lattice. The intraatomic Coulomb correlation, which is neglected at the Hartree-Fock level, is computed as a correction by using the Coulomb-Hartree-Fock (CHF) model of Clementi.<sup>58,59</sup>

In a previous work<sup>31</sup> we employed several active clusters of increasing size and with different embedding schemes to describe the scintillator system  $\text{Ti}^+:\text{NaI}$ . That study was undertaken in order to find the necessary requirements that a cluster model has to fulfill in order to describe properly a doped crystal. Here we just describe the best cluster model between those studied in Ref. 31. This active cluster, shown in Fig. 1, has 179 ions which correspond to the central impurity ( $\text{Ag}^-$  or  $\text{Cu}^-$ ) plus twelve coordination shells. Those ions are further split up into two subsets. One is formed by the central impurity plus the first four coordination shells, having a total of 33 ions, and both the wave functions and positions of the ions in this subset are allowed to relax. The lattice positions of the other 146 ions of the active cluster are held fixed during the calculations but their wave functions have been self-consistently optimized. This is done so that the connection between the region where distortions are relevant and the rest of the crystal is as smooth as possible. In our previous study<sup>31</sup> we showed how an unphysically abrupt connection between those two regions fails in describing properly the lattice distortions induced by the impurity. The ions in the interface region can respond to those distortions by self-consistently adapting their wave functions to the new potential, and thus contribute to build a more realistic (self-consistent) environment. The geometrical relaxation around the impurity has been performed by allowing for the independent breathing displacements of each shell of ions, and minimizing the total energy with respect to those displacements until the effective cluster energies are converged up to 1 meV. A downhill simplex algorithm<sup>60</sup> was used. For the ions we have used large STO basis sets, all taken from Clementi-Roetti tables.<sup>61</sup>

The cluster used in this work has been shown to be self-embedding consistent for NaI in our previous work.<sup>31</sup> By this we mean that if the pure crystal is represented by this cluster model (that is, if the central impurity is replaced by the halogen ion corresponding to the pure crystal), the results of the cluster model calculations closely agree with those from a PI

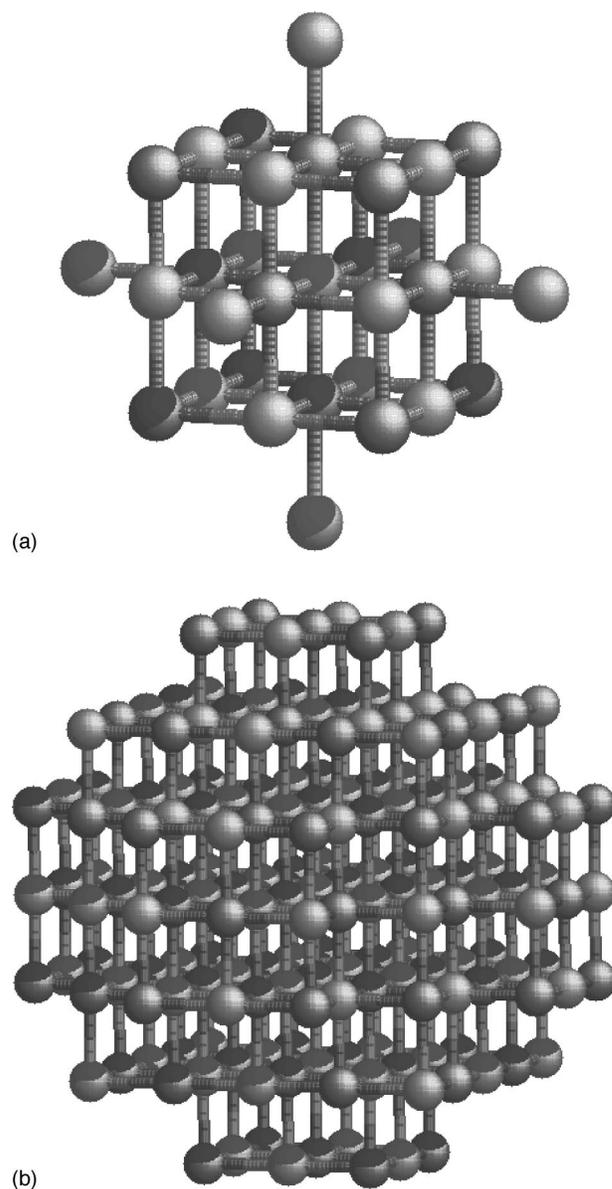


FIG. 1. The active cluster  $(\text{Imp}A_{92}X_{86})^{5+}$  employed to represent the region around the impurity, where  $\text{Imp} = \text{Ag}, \text{Cu}$ ; light spheres are cations and dark spheres anions. The core of the cluster, formed by the four first coordination shells, which are allowed to breathe, is also indicated separately.

calculation for the pure crystal, where all cations (or anions) are equivalent by translational symmetry. The same is true for all the family of alkali halide crystals considered here. Nevertheless, the self-embedding consistency is never complete. In order to suppress systematic errors from the distortions calculated with the cluster method, the radial displacements of each shell have been calculated using the following formula:

$$\Delta R_i = R_i(\text{Imp}^- : AX) - R_i(X^- : AX), \quad (1)$$

where  $R_i$  ( $i=1, 2, 3, 4$ ) refer to the radii of the first, second, third, and fourth shells around the impurity in the  $AX$  crystal,  $A = \text{Li}, \text{Na}, \text{K}, \text{Rb}$ ;  $X = \text{F}, \text{Cl}, \text{Br}, \text{I}$ , and  $\text{Imp}^- = \text{Ag}^-, \text{Cu}^-$ . Thus both systems (pure and doped crystals) are treated in Eq. (1) on equal footing with the cluster model, and not with

TABLE I. Radial displacements  $\Delta R_i$  [see Eq. (1)], in Å, of the first four shells of ions around the silver and copper impurities.

| Crystal | Ag <sup>-</sup> | Cu <sup>-</sup> |
|---------|-----------------|-----------------|---------|-----------------|-----------------|---------|-----------------|-----------------|---------|-----------------|-----------------|
| LiF     | 0.288           | 0.267           | LiCl    | 0.294           | 0.290           | LiBr    | 0.299           | 0.294           | LiI     | 0.308           | 0.302           |
|         | -0.0416         | -0.0371         |         | -0.0439         | -0.0403         |         | -0.0478         | -0.0467         |         | -0.0492         | -0.0484         |
|         | 0.0418          | 0.0397          |         | 0.0439          | 0.0425          |         | 0.0462          | 0.0452          |         | 0.0457          | 0.0446          |
|         | 0.0687          | 0.0619          |         | 0.0622          | 0.0617          |         | 0.0642          | 0.0636          |         | 0.0732          | 0.0732          |
| NaF     | 0.308           | 0.292           | NaCl    | 0.289           | 0.285           | NaBr    | 0.290           | 0.284           | NaI     | 0.299           | 0.288           |
|         | -0.0660         | -0.0621         |         | -0.0628         | -0.0620         |         | -0.0600         | -0.0591         |         | -0.0552         | -0.0540         |
|         | 0.0336          | 0.0336          |         | 0.0350          | 0.0350          |         | 0.0388          | 0.0383          |         | 0.0401          | 0.0404          |
|         | 0.121           | 0.114           |         | 0.107           | 0.100           |         | 0.0867          | 0.0843          |         | 0.0915          | 0.0797          |
| KF      | 0.364           | 0.355           | KCl     | 0.326           | 0.315           | KBr     | 0.311           | 0.300           | KI      | 0.284           | 0.269           |
|         | -0.0778         | -0.0695         |         | -0.0739         | -0.0686         |         | -0.0719         | -0.0709         |         | -0.0709         | -0.0699         |
|         | 0.0319          | 0.0314          |         | 0.0316          | 0.0316          |         | 0.0313          | 0.0307          |         | 0.0318          | 0.0312          |
|         | 0.224           | 0.220           |         | 0.205           | 0.200           |         | 0.172           | 0.166           |         | 0.145           | 0.136           |
| RbF     | 0.416           | 0.408           | RbCl    | 0.351           | 0.343           | RbBr    | 0.332           | 0.318           | RbI     | 0.300           | 0.281           |
|         | -0.0828         | -0.0736         |         | -0.0749         | -0.0731         |         | -0.0728         | -0.0728         |         | -0.0727         | -0.0716         |
|         | 0.0307          | 0.0292          |         | 0.0268          | 0.0262          |         | 0.0261          | 0.0261          |         | 0.0286          | 0.0286          |
|         | 0.254           | 0.249           |         | 0.226           | 0.220           |         | 0.195           | 0.187           |         | 0.166           | 0.156           |

different methodologies, and the calculated distortions are free from that potential source of error. Also, in order to have the correct Madelung potential at the impurity site, the calculations have been performed by employing the experimental lattice constants<sup>62</sup> to describe the geometrically frozen part of the crystals.

The only terms omitted in our description are the dispersion terms (coming from interatomic correlation) and relativistic effects for the heavy ions. Although the importance of both effects increase with atomic number, they are not crucial for the structural properties of the systems studied here. Specifically, Martín Pendás *et al.*<sup>63</sup> have shown that the PI method gives lattice constants and bulk moduli in close agreement with experimental results for all alkali halides. The properties of these crystals under the influence of an applied external pressure, a situation where the importance of interatomic correlation effects increases, are also properly reproduced.

### III. RESULTS AND DISCUSSION

The calculated distortions, collected in Table I, are the main quantitative result from our study. For visualization of the trends, however, it is better to display the results in a figure, and this is done in Fig. 2, where we have plotted the distortion of each of the four shells in terms of the empirical cationic radii extracted from Ref. 62. Those points corresponding to the same anion have been joined with a line to guide the eye. The figure contains only the results for Ag<sup>-</sup> because the trends are the same in the case of the Cu<sup>-</sup> impurity. Next we describe, shell by shell, the general trends in Fig. 2.

*First shell.* This shell is formed by 6 cations in  $(\frac{1}{2}, 0, 0)$  crystallographic sites, and undergoes an expansion, as might be expected from the larger size of Ag<sup>-</sup> compared to the halogen anions. The impurity anion pushes the neighbor cations to make room for itself in the lattice. The expansion is substantial, with percentage values between 9 and 15%. In the F salts that expansion is larger the larger the cation size,

but this trend is violated in the Cl, Br, and I crystals. If we fix the cation, for K and Rb salts the expansion is larger the smaller the anion (notice that the anion size increases in the order F<sup>-</sup>, Cl<sup>-</sup>, Br<sup>-</sup>, I<sup>-</sup>). This rule is inverted in the case of Li salts, whereas Na salts constitute an intermediate case. It should be recognized, nevertheless, that the expansion is almost independent of the halogen element in the Li and Na salts.

*Second shell.* The displacement of the second shell, formed by 12 anions at  $(\frac{1}{2}, \frac{1}{2}, 0)$  positions, is always a small contraction. If we fix the anion, the contraction is larger (absolute value) the larger is cation size. If the cation is fixed, for Na, K, and Rb salts the contraction is larger the smaller the anion size. Again this trend is inverted for Li salts.

*Third shell.* This shell, formed by eight cations at  $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$  sites, experiences a small expansion. If we fix the anion, the expansion is smaller the larger the cation size. If the cation is fixed, there is not a definite trend. In the case of Li and Na salts the expansion increases with the anion size (LiI is an exception). This trend is partially inverted in the case of Rb salts, and for K salts all the expansions are almost identical.

*Fourth shell.* This shell, formed by six anions at  $(1, 0, 0)$  positions, experiences an expansion. That expansion increases with cation size if we fix the anion. If the cation is fixed, in K and Rb salts the expansion is smaller the larger the anion size. In Na salts, NaI is again an exception to this general rule, whereas in Li salts the expansion is almost constant.

In the following we try to find some rationalization for the calculated trends. The working rule still in use nowadays stating that the expansion of the first coordination shell can be approximated by the difference between the ionic radii of the impurity and the substituted ion is somewhat misleading. First of all, the ions in a crystal are not hard spheres, but weakly overlapping soft spheres, so one cannot use values for the ionic radii of ions in vacuum in order to *predict* lattice distortions accurately. In particular, the size of an anion may vary in a nonnegligible way from crystal to crystal.

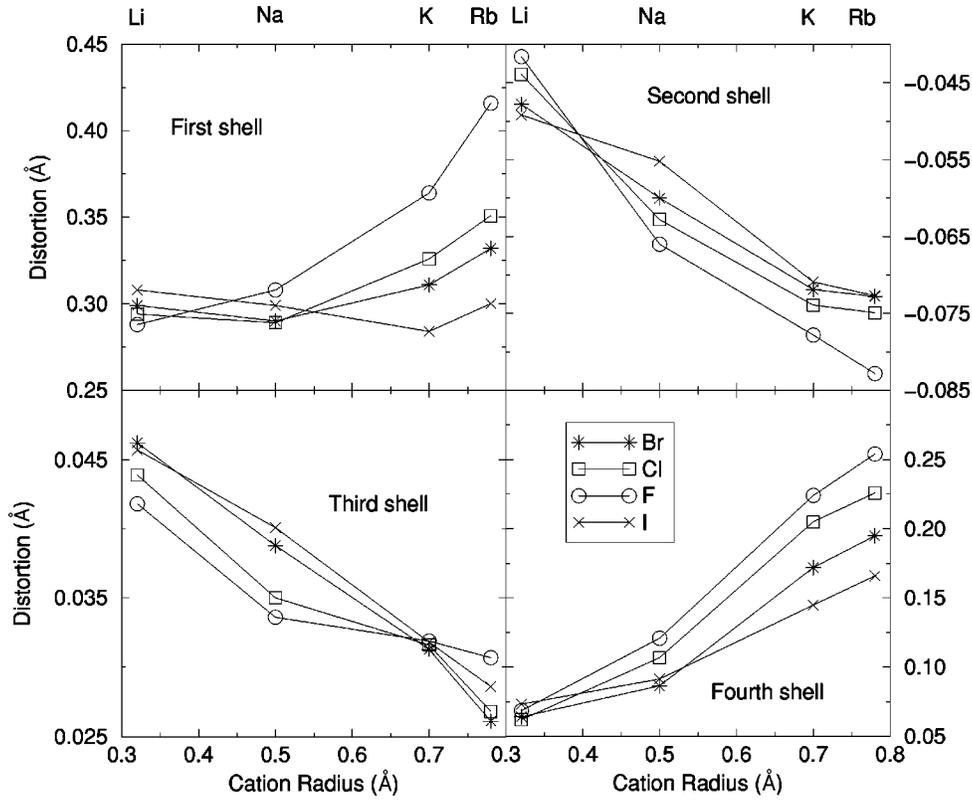


FIG. 2. Shell distortions  $\Delta R_i$  ( $i=1,2,3,4$ ) around  $\text{Ag}^-$ , plotted as a function of cation size.

To investigate this, we show  $(\langle r^2 \rangle)^{1/2}$  for  $\text{F}^-$  in fluoride crystals in Table II, where the expectation value is taken over the outermost orbital of the anion ( $2p$ ), and is calculated from the crystal-consistent ionic wave functions obtained through a PI calculation on the pure crystals.  $r_X = (\langle r^2 \rangle)^{1/2}$  can be taken as a rough measure of the anion size. We also show the analogous quantity for the  $5s$  orbital of the  $\text{Ag}^-$  impurity in fluorides. The size of the  $\text{F}^-$  anion varies by a maximum of 2%, small compared with the size variation of the  $\text{Ag}^-$  anion (7%).  $\text{Ag}^-$  is more compressible than the halogen anions because its outer electronic shell is an  $s$  shell, while it is a  $p$  shell for the halogens. The same can be said of all the halogens. As the size of the cation decreases,  $\text{Ag}^-$  is more compressed by the crystal environment. This shows that the standard ionic radii cannot be used for predicting distortions, because their values are a genuine *output* of the self-consistent process. Nevertheless, though they are not useful for accurate predictions, physical insight tells us that the distortions should be correlated with the size of the ions, in the sense that one always expects that larger impurities induce

TABLE II.  $(\langle r^2 \rangle)^{1/2}$ , where the expectation values are taken over the outermost orbital of the  $\text{F}^-$  anion in pure alkali fluorides and of the silver anion in  $\text{Ag}^-$ -doped alkali fluorides. All quantities in Å.

| Crystal | $(\langle r^2 \rangle)^{1/2}(\text{F}^-)$ | $(\langle r^2 \rangle)^{1/2}(\text{Ag}^-)$ |
|---------|---|--|
| LiF     | 0.698                                     | 1.303                                      |
| NaF     | 0.704                                     | 1.343                                      |
| KF      | 0.710                                     | 1.380                                      |
| RbF     | 0.712                                     | 1.401                                      |

larger distortions. These should be useful at least at a qualitative level.

In Table III we show the differences

$$\delta = r(\text{Ag}^-:\text{AX}) - r_X(\text{X}^-:\text{AX}), \quad (2)$$

where  $r(\text{Ag}^-:\text{AX})$  is the radius of the  $\text{Ag}^-$  impurity in the  $\text{AX}$  crystal, and  $r_X(\text{X}^-:\text{AX})$  is the radius of the halogen anion. The differences are expected to be related with the first-shell expansions  $\Delta R_1$ . The values of  $\delta$  do not show quantitative agreement with those of  $\Delta R_1$ . If the anion is fixed,  $\delta$  increases with the cation size, which is consistent with the main trend in  $\Delta R_1$  of fluorides, but does not explain the behavior of other halides. The main trends discussed when the cation is fixed are reproduced for the K and Rb crystals but not for the others. From Fig. 1 we can see that the exceptional crystals, concerning the trends in  $\Delta R_1$ , are LiCl, LiBr, LiI, and NaI. The peculiar feature of those four cases is that anions are much larger than cations: the ratios  $r_A/r_X$  are the smallest in the family of alkali halides. In a study of the structures of small alkali halide clusters,<sup>64</sup> it was found that materials with small  $r_A/r_X$  have a cluster growing

TABLE III. Difference of radii between  $\text{Ag}^-$  and the substituted anion [see Eq. (2)], in Å.

| Crystal | $\delta$ | Crystal | $\delta$ | Crystal | $\delta$ | Crystal | $\delta$ |
|---------|----------|---------|----------|---------|----------|---------|----------|
| LiF     | 0.605    | LiCl    | 0.343    | LiBr    | 0.273    | LiI     | 0.162    |
| NaF     | 0.639    | NaCl    | 0.388    | NaBr    | 0.328    | NaI     | 0.209    |
| KF      | 0.670    | KCl     | 0.401    | KBr     | 0.368    | KI      | 0.241    |
| RbF     | 0.689    | RbCl    | 0.460    | RbBr    | 0.378    | RbI     | 0.251    |

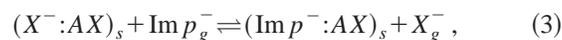
pattern different from the rest. Specifically, those systems showed a marked tendency towards ring-like structures, whereas the others adopt fragments of the rocksalt lattice as their minimum energy structures. The main reason is that anion-anion repulsions are much more important when the ratio  $r_A/r_X$  is small. In a recent study, Martín Pendás *et al.*<sup>65</sup> have applied the atoms-in-molecules (AIM) theory of Bader<sup>66</sup> to study the topology of the electron density in crystals. They found that the whole group of alkali halides with rocksalt structure can be divided up into three topological families, called  $R_1$ ,  $B_1$ , and  $B_2$  in that paper, and that the ionic radii are the topological organizers. The  $R_1$  family contains KCl, NaF, KF, RbF, RbBr, RbCl and all the cesium halides. The  $B_1$  family contains KI, KBr, LiF, RbI, NaBr, NaCl, and NaI, and the  $B_2$  family contains LiCl, LiBr, and LiI. There are constant  $r_A/r_X$  lines that isolate each family. The largest values of  $r_A/r_X$  are found for family  $R_1$  and the smallest for family  $B_2$ , with intermediate values for  $B_1$ . NaI is so near the  $B_2$  region that it is not surprising that it behaves in Fig. 2 as the elements of the  $B_2$  family. In the AIM theory the critical points of the electron density scalar field are classified as nuclei, bond points, ring points, and cage points.<sup>66</sup> When a bond point is found between two nuclei, a bond is established between the corresponding atoms. In the  $R_1$  family there are just anion-cation bonds.<sup>65</sup> We have found that for the (undoped) crystals of this family, the anion-anion overlap is at least one order of magnitude smaller than the anion-cation overlap. In the crystals of the  $B_1$  and  $B_2$  families there are bond critical points between anions, so that the effective local coordination is 6 for cations and 18 for anions (6 anion-cation and 12 anion-anion bonds).<sup>65</sup> In the  $B_1$  crystals (excepting NaI) we have found that the anion-anion overlap is smaller but of the same order of magnitude than cation-anion overlap. In the  $B_2$  crystals, and also in NaI, anion-anion overlap is the largest contribution to the repulsive interactions, and thus anion-cation contacts are less important. The cations of the  $B_2$  family occupy the interstitial holes left in the anionic fcc sublattice. It is then not surprising that when the cation-anion overlap is not so important, the expansion of the cation shell is an exception to the general trends; it is, in fact, nearly constant for the  $B_2$  family.

Let us turn to the discussion of the distortion of the second and third shells. In all cases, the second shell suffers a contraction of a small magnitude compared to the large expansion of the first shell. In Fig. 1 one can see that the radial outward motion of the first cation shell is not going to affect much the positions of the twelve anions of shell 2, so it becomes understandable that the anions of that shell move little. The small contraction of the second shell optimizes the Madelung energy around the impurity and also serves to pack more efficiently the ions in response to the outward motion of the cations. The quantitative trend of that contraction is understood with reference to the three topological families discussed in the previous paragraph and their relation to the anion-anion overlap: the contraction is largest for those crystals where the anion-anion overlap is small ( $R_1$  crystals), intermediate when that overlap begins to count ( $B_1$  crystals), and finally, it is lowest for the  $B_2$  crystals, where anion-anion contacts are important. This explains the trends observed: if the anion is fixed, the contraction is larger the larger the cation size, because the  $\text{Ag}^- - \text{X}^-$  overlap decreases

with increasing cation size. On the other hand, if the cation is fixed, in Rb, K, and Na salts the contraction decreases with increasing anion size, because the  $\text{Ag}^- - \text{X}^-$  overlap increases with anion size. But in Li salts the trend is inverted because  $\text{Ag}^- - \text{X}^-$  overlaps decrease with anion size. The distortion of the third shell is not directly related to the introduction of the impurity, as the overlap between the cations of that shell and  $\text{Ag}^-$  is very small (see Fig. 1). The expansion of this shell appears to be again of a purely electrostatic origin. The relative values of the displacements  $(R_3 - R_3^{\text{crystal}})/R_3^{\text{crystal}}$  are very small, less than 1% except in LiF.

The  $\Delta R_4$  displacements, always an expansion, proceed along the same crystallographic direction as the  $\Delta R_1$  displacements. Thus, the expansion is clearly induced by the expansion of the first shell. The relative displacements  $(R_4 - R_4^{\text{crystal}})/R_4^{\text{crystal}}$  adopt values between 1 and 5 %, compared to values of 9–15% for  $(R_1 - R_1^{\text{crystal}})/R_1^{\text{crystal}}$ . These numbers indicate that the expansion of the (ninth) shell formed by six cations at  $(\frac{3}{2}, 0, 0)$  is not expected to be higher than 1%. In the K and Rb salts  $\Delta R_4$  is larger when  $\Delta R_1$  is larger, while in Li and Na salts  $\Delta R_4$  and  $\Delta R_1$  are both almost independent of the anion, so the displacements of the first and fourth shells are correlated. If the anion is fixed, the expansion increases with the cation size, and no special behavior is observed in the cases of LiCl, LiBr, LiI, and NaI.

We conclude that the lattice relaxation around the substitutional impurity in the alkali halides involves the concerted movement of several coordination shells. However, it is not yet clear from the results presented up to this point whether the lattice relaxations of the second, third, and fourth shells have a substantial influence on the energy of formation of the defect. At low pressure and temperature conditions, the formation of the impurity centers should be discussed in terms of the internal energy difference for the exchange reaction<sup>21</sup>



where the  $s$  and  $g$  subindexes refer to solid and gas phases, respectively. In order to establish the importance of the relaxation of the lattice beyond the first coordination shell, we have calculated the formation energy  $\Delta H$  of the defects by employing two different models for the active cluster, shown in Fig. 1: one of them is that formed by 179 ions described in Sec. II; the other includes just four coordination shells around the central ion (a total of 33 ions), and only the positions of the six ions in the first coordination shell are allowed to relax. The results are shown in Table IV. We see that according to the small cluster model the energy of formation of the defects is always positive, that is none of the impurity centers are stable centers. Enlarging the cluster size to include a selfconsistent treatment of 179 ions and extending the lattice relaxation up to the fourth coordination shell induces a huge stabilization of all the impurity centers. The trend of  $\Delta H$  is simple. For a given impurity ( $\text{Ag}^-$  or  $\text{Cu}^-$ ) the heat of formation decreases by increasing the atomic number of the cation (alkali) or of the anion (halogen). The positive value of  $\Delta H$  in the calculation for the small cluster is understandable: the impurity simply pushes its neighbor cations producing a high elastic strain energy since the rest of the lattice is not allowed to respond. In the second model three more shells are allowed to move in response to that

TABLE IV. Formation energies (in eV) of copper and silver substitutional centers in different alkali halide host lattices, calculated employing two different models for the active cluster. First row: the active cluster contains 33 ions, and only the positions of the ions in the first coordination shell are allowed to relax. Second row: the active cluster contains 179 ions, and the positions of the ions in the first four coordination shells are allowed to relax.

| Crystal | Ag <sup>-</sup> | Cu <sup>-</sup> |
|---------|-----------------|-----------------|---------|-----------------|-----------------|---------|-----------------|-----------------|---------|-----------------|-----------------|
| LiF     | 16.79           | 15.41           | LiCl    | 11.62           | 11.04           | LiBr    | 11.63           | 11.02           | LiI     | 9.76            | 9.30            |
|         | 1.29            | -0.22           |         | 0.02            | -0.57           |         | -0.21           | -0.64           |         | -0.61           | -1.10           |
| NaF     | 13.38           | 12.44           | NaCl    | 11.20           | 10.63           | NaBr    | 10.38           | 9.91            | NaI     | 8.68            | 8.52            |
|         | 0.45            | -0.55           |         | -0.02           | -0.63           |         | -0.24           | -0.87           |         | -0.85           | -1.01           |
| KF      | 11.25           | 10.42           | KCl     | 9.85            | 9.32            | KBr     | 9.08            | 8.69            | KI      | 7.82            | 7.55            |
|         | -0.33           | -1.14           |         | -0.84           | -1.28           |         | -1.04           | -1.26           |         | -1.11           | -1.20           |
| RbF     | 10.37           | 9.60            | RbCl    | 9.19            | 8.73            | RbBr    | 8.76            | 8.39            | RbI     | 7.56            | 7.31            |
|         | -0.57           | -1.31           |         | -0.91           | -1.38           |         | -0.97           | -1.35           |         | -1.09           | -1.35           |

initial stress and the relaxation lowers the elastic energy so much that the electronic contributions turn  $\Delta H$  negative in all cases except Ag<sup>-</sup>:LiF and Ag<sup>-</sup>:NaF ( $\Delta H$  is nearly zero in Ag<sup>-</sup>:LiCl). It is useful to notice that  $\Delta R_1$ , the displacement of the first shell, is lower for the first cluster model compared to the second. This means that due to the constraints imposed by the first model the atoms of the first shell are unable to reach their preferred equilibrium positions in the presence of the impurity, a fact that is consistent with the large calculated  $\Delta H$ . The change of sign in  $\Delta H$  can be interpreted as suggesting that most of the elastic relaxation of the lattice has been accounted for and that allowing for the elastic relaxation of more shells will have a minor effect. The two cases with a positive  $\Delta H$ , Ag<sup>-</sup>:LiF and Ag<sup>-</sup>:NaF, are still intriguing. These two crystals have the smallest lattice parameters within the whole family studied here, and it is conceivable that the elastic effects will be largest. The question if the relaxation of more coordination shells is able to stabilize those two systems deserves further investigation.

As indicated above the difference in the heats of formation given by the two models,  $\Delta H$  (model 2) and  $\Delta H$  (model 1), gives a measure of the lowering of elastic strain when more coordination shells are allowed to relax. From Table IV one can verify that, for a given crystal, this energy is essentially independent of the impurity, while both  $\Delta H$  (model 1) and  $\Delta H$  (model 2) depend on the impurity. This confirms our interpretation of the effect of allowing for the elastic relaxation of several shells: that relaxation is mainly a host effect.

#### IV. SUMMARY

We have reported a study of the local lattice distortions induced by substitutional Ag<sup>-</sup> and Cu<sup>-</sup> impurities in the family of alkali halide crystals excepting those containing cesium. For this purpose, the *ab initio* perturbed ion (PI)

model has been used. A large active cluster of 179 ions, embedded in an accurate quantum environment representing the rest of the crystal, has been studied. The local distortions obtained extend beyond the first shell of neighbors in all cases. Thus, the assumptions frequently employed in impurity calculations, which consider the active space as formed by the central impurity plus its first coordination shell only, should be taken with some care. Distortion trends have been identified and discussed. The first coordination shell (cations) around the impurity experiences an expansion as a consequence of the larger size of the impurity anion compared to the halogens. That expansion is larger for the Ag<sup>-</sup> than for the Cu<sup>-</sup> impurity, also because the first anion is larger than the second. The trends can be qualitatively explained by considering the difference in size between the impurity and the substituted anion in all cases except in those crystals with a very small size ratio between cation and anion. In those cases, that is for LiCl, LiBr, LiI, and NaI, anion-anion contacts are important. Those four materials have been found to exhibit special behavior in a number of previous studies involving crystals and clusters. The contraction of the second shell as well as the expansion of the third shell are small and arise from a combination of electrostatic and packing origins. The fourth shell experiences a substantial expansion as a consequence of the direct pushing induced by the expansion of the first shell. The analysis of the energies of formation of the defects clearly shows that elastic relaxation of several coordination shells around the impurity is necessary in the modeling of these materials since this affects even the sign of the energy of formation.

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