

1           On the Governing Chemistry of Cellulose

2                   Hydrolysis in Supercritical Water

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8           Keywords: Biomass • Ionic Product • Kinetic • Sugars • Water Chemistry

## 9 Abstract

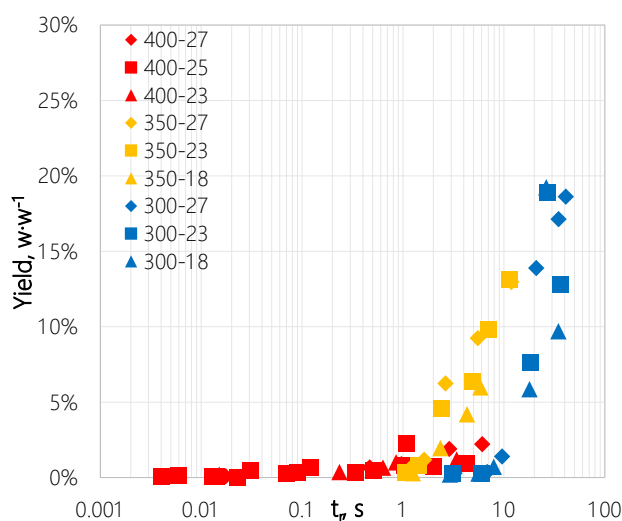
10 This article summarizes the recent efforts in the High Pressure Processes Group labs at UVA  
11 regarding the fundamentals of biomass hydrolysis in pressurized water medium. At extremely  
12 low reaction times (0.02 s), cellulose was hydrolyzed in supercritical water (400°C and 25  
13 MPa) obtaining a sugars yield higher than 95% w·w<sup>-1</sup> while 5-HMF yield was lower than  
14 0.01% w·w<sup>-1</sup>. When the reaction times was increased up to 1 s, the main product was  
15 glycolaldehyde (60% w·w<sup>-1</sup>). Independently of the reaction time, the yield of 5-HMF was  
16 always lower than 0.01% w·w<sup>-1</sup>. In order to evaluate the reaction pathway and mechanism of  
17 plant biomass in pressurized water, several parameters (temperature, pressure, reaction time  
18 and reaction medium) were studied for different biomasses (cellulose, glucose, fructose and  
19 wheat bran). It was considered that the reactions of glucose isomerization to fructose as well  
20 as fructose dehydration to 5-HMF take place via proton or hydroxide anion association. So,  
21 their concentration was taken into account as reagent concentration in the reaction  
22 evaluations. It was found that the proton and hydroxide anion concentration in the medium  
23 due water dissociation is the determining factor in the selectivity of the process. The reaction  
24 of glucose isomerization to fructose and its further dehydration to produce 5-HMF are highly  
25 dependent on ions concentration. By increasing pOH/pH, these reactions were minimized  
26 allowing the control of 5-HMF production. At this condition, the retro-aldol condensation  
27 pathway was enhanced instead of isomerization/dehydration pathway.

## 28 INTRODUCTION

29 The biomass exploitation as raw material is growing as an alternative for the sustainable  
30 production of fuels and chemicals<sup>1</sup>. Cellulose is one of the main compounds of biomass,  
31 representing the most abundant biopolymer<sup>2</sup>. An important challenge in the processing of  
32 cellulosic biomass is to hydrolyze the  $\beta$ 1-4 glucose-glucose bond producing a stream of  
33 sugars with low concentration of byproducts, by using an efficient process<sup>3-5</sup>. These sugars  
34 streams could be further transformed in valuable chemical like pyruvaldehyde,  
35 glycolaldehyde<sup>6-9</sup>, 5-hydroxymethylfurfural (5-HMF)<sup>10, 11</sup>, organic acids or poly-alcohols<sup>12, 13</sup>.  
36 Acid and enzymatic hydrolysis of cellulose are two conventional methods that need long  
37 treatment times (>3 h) to obtain a poor-selective product (<60% w/w)<sup>14, 15</sup>. The use of ionic  
38 liquids as solvent and reaction medium has been intensively studied due to the possibility of  
39 dissolve cellulose making it more 'accessible' to the hydrolysis reaction<sup>16, 17</sup>. However, this  
40 kind of process take at least 3 h of hydrolysis to obtain a selectivity near to 30% w/w of  
41 reducing sugars<sup>16</sup>. These processing methods require large reaction times (hours), which will  
42 demand big reactors at the scaling up time. The use of pressurized water is an alternative as  
43 reaction medium for the processing of cellulosic biomass in a one-step fast process. Total  
44 hydrolysis of cellulose can be achieved in 0.02 s of reaction time in a supercritical water  
45 medium producing a stream of water soluble sugars with low concentration of derived  
46 products (<2% w/w)<sup>18, 19</sup>. This kind of process represents an advantageous intensification that  
47 will reduce the energetic and equipment requirements in the scaling up.

48 Cellulose depolymerization in hot pressurized water have been done in different kind of  
49 reactors (batch, semi-batch and continuous) at different temperatures and pressure, with or  
50 without catalysts<sup>20</sup>. The yield of sugars after biomass hydrolysis is enhanced by using  
51 supercritical water reactors operated in a continuous mode at high temperature and low  
52 reaction times<sup>19, 21</sup>. The combination of these two parameters is crucial for obtaining high

53 yields in sugars. At long reaction times, the sugars are derived and; at low reaction  
 54 temperatures several side reactions take place producing many compounds. In fact, it was  
 55 observed that some reactions are avoided at supercritical conditions. Especially attention  
 56 should be played to the formation of 5-HMF. The production of 5-HMF from cellulose in  
 57 pressurized water is highly dependent on reaction temperature. In Figure 1 it is shown several  
 58 experimental results of cellulose hydrolysis in pressurized water from 300°C to 400°C at  
 59 different pressures along reaction times.



60  
 61 **Figure 1.** 5-HMF yield from cellulose hydrolysis in pressurized water along reaction time.

62 Experiment temperature: red: 400°C; yellow: 350°C and; blue: 300°C. Experiment pressure:  
 63 (diamonds) 27 MPa; (squares) 25 / 23 MPa and; (triangles) 23 / 18 MPa.

64 It can be observed that 5-HMF production was faster, but the yield lower, when the  
 65 reaction temperature was increased from 300°C to 350°C. The reaction time was reduced  
 66 from 40 s to 10 s by increasing the reaction temperature. This behavior was expected and it  
 67 follows the Arrhenius law. However, an expected behavior was detected by increasing the  
 68 reaction temperature over the critical point of water, the production of 5-HMF was highly  
 69 avoided. Although this behavior was previously detected in bibliography<sup>6, 7, 18, 22-35</sup>, a clear  
 70 and quantitative explanation has not been developed yet. The different discovered behaviors

71 can be classified in three main groups. (1) The maximum amount of 5-HMF from cellulose in  
72 pressurized water without catalyst is produced at temperatures lower than 300°C<sup>18, 23, 29, 31, 33</sup>.  
73 An increase in temperature benefits the retro aldol condensation reactions of fructose<sup>33</sup>. (2)  
74 The production of 5-HMF is enhanced increasing the availability of protons (H<sup>+</sup>) in the  
75 reaction medium by adding acids<sup>25-28, 30</sup>. (3) The production of 5-HMF is enhanced in a  
76 pressurized water medium when pressure is increased at a constant temperature<sup>6, 7</sup>.

77 The aim of this work was to study the reactions of cellulose hydrolysis, focusing in the 5-  
78 HMF production from sugars. The yields were analyzed from a chemical point of view of the  
79 reaction pathway. Several reactions were run in order to obtain accurate data. Cellulose  
80 hydrolysis was experimented at 300°C, 325°C, 350°C, 375°C and 400°C at 25 MPa of  
81 pressure. Also, the pressure effect was tested at 300°C, 350°C and 400°C between 18 and 27  
82 MPa. The studies were also conducted analyzing glucose and fructose hydrolysis in  
83 pressurized water between 300°C and 400°C at 25 MPa. Finally, the results were contrasted  
84 with the products obtained from wheat bran hydrolysis in supercritical water. A reaction  
85 pathway was developed and a novel kinetic model was tested for understanding the behavior  
86 of glucose and fructose reaction in supercritical water.

## 87 **METHODS**

### 88 **Materials**

89 The cellulose (99%) used in the experiments was purchased from VWR. Glucose (99%)  
90 and fructose (99%) used as starting biomass in the experiments were purchased from Sigma.  
91 Wheat bran was supplied by a local supplier. Distilled water was used as reaction medium in  
92 the experiments. The standards used in HPLC (High Performance Liquid Chromatography)  
93 analysis were: cellobiose (+98%), glucose (+99%), fructose (+99%), glyceraldehyde (95%),

94 pyruvaldehyde (40%), glycolaldehyde dimer (99%), levulinic acid (+99%), 5-HMF (99%)  
95 purchased from Sigma.

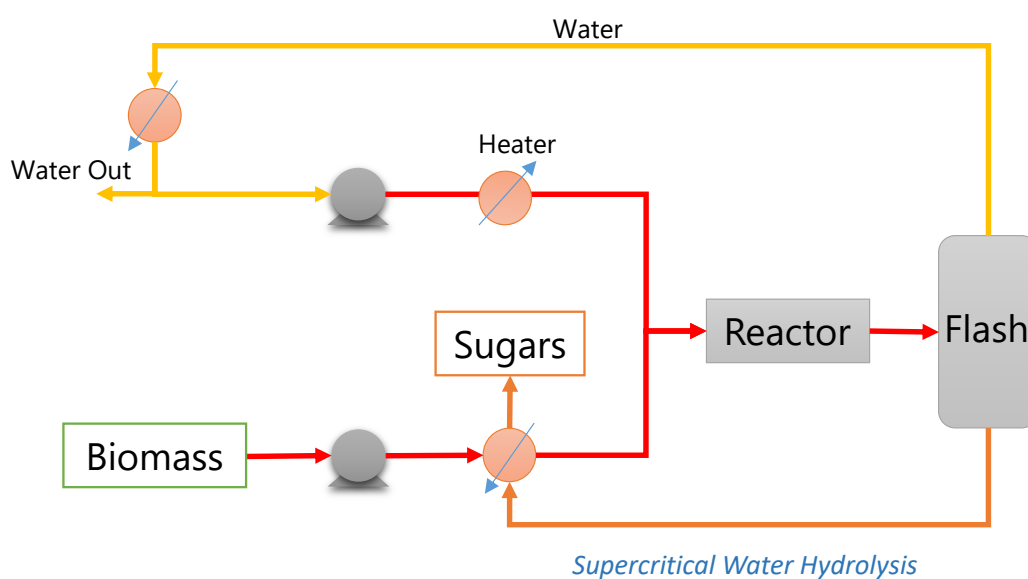
## 96 **Analysis**

97 The carbon content of the liquid products was determined by total organic carbon (TOC)  
98 analysis with Shimadzu TOC-VCSH equipment. The composition of the liquid products was  
99 determined by High Performance Liquid Chromatography (HPLC) analysis. The HPLC  
100 column used for the separation of the compounds was Sugar SH-1011 Shodex at 50°C using  
101 H<sub>2</sub>SO<sub>4</sub> (0.01 N) as mobile phase with a flow rate of 0.8mL/min. A Waters IR detector 2414  
102 was used to identify and quantify the sugars and their derivatives. An UV-Vis detector was  
103 used to determine the 5-hydroxy-methyl-furfural (5-HMF) concentration at a wavelength of  
104 254nm. The selectivity of each compound (Si) was calculated as the ratio of: compound  
105 carbon composition (Xc) multiplied by compound concentration (Ci) and total carbon at the  
106 reactor inlet (TC).  $S_i = C_i X_c / TC$ .

## 107 **Experimental Facility**

108 The experiments were carried out in a continuous pilot plant able to work at temperatures  
109 up to 425°C and pressures up to 30 MPa. A schematic diagram of the process is shown in  
110 Figure 2. Two streams continuously fed a micro reactor: a cellulose stream and supercritical  
111 water stream. Strict control of the reaction times was achieved by a combination of three  
112 factors: (1) rapid heating by supercritical water injection of the cellulose suspension stream,  
113 (2) rapid cooling by sudden depressurization down to atmospheric pressure and ~100°C using  
114 a micro metering valve able to stand temperatures up to 425 °C, and (3) selection of a series  
115 of tubular reactors of different volumes accurately determined. The volume of the used  
116 reactors varied from 0.12 ml to 64.5 ml, which in combination with flows between 1 g·s<sup>-1</sup> and  
117 2 g·s<sup>-1</sup>, and having into account the density of water at the experimented conditions, gives

118 reaction times of 0.004 s to 40 s. A detailed description of the experimental setup was  
119 developed in Supporting Information. Although the reactor is fed by two streams (biomass  
120 and water), no extra water is needed in the process when the steady state is achieved. As it  
121 can be seen in Figure 2, after the reactor a flash chamber separator produces two streams:  
122 vapor (water) and liquid (sugars dissolved). The vapor is almost pure water that can be  
123 recirculated.



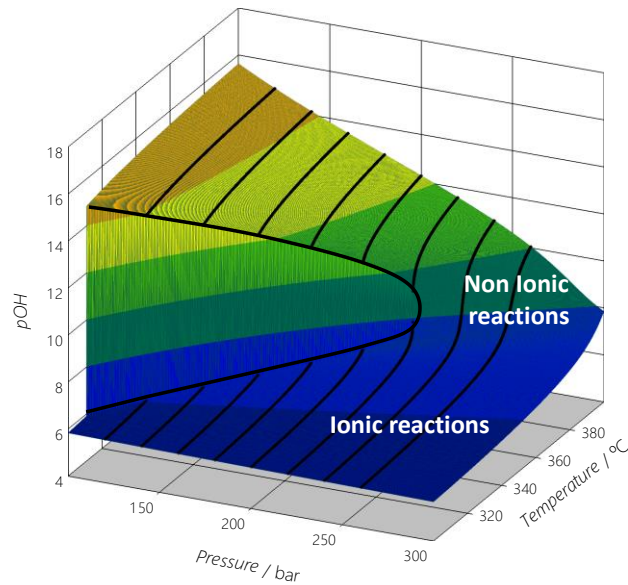
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125 **Figure 2.** Schematic diagram of the supercritical water hydrolysis facility.

## 126 **Reaction medium and reaction pathway**

127 Supercritical water (SCW) is water at temperature and pressure values above its critical  
128 point ( $T_c=374^\circ\text{C}$  and  $P_c=22.1\text{ MPa}$ ). In the surroundings of the critical point, the properties  
129 of water can be highly influenced by changing pressure and temperature. So, the identity of  
130 the medium can be modified without changing the solvent. The medium density represents  
131 the quantity of water per volume unit ( $\text{kg}\cdot\text{m}^{-3}$ ); this is a measurement of water concentration,  
132 an important factor to take into account in the reactions where water participates as reagent or  
133 forming intermediate states<sup>36</sup>. Another important property of water as reaction medium is the  
134 ion product ( $\text{mol}^2\cdot\text{kg}^{-2}$ ), which represents how dissociated is water molecule (ion

135 concentration). If the molal concentration of  $\text{OH}^-$  (square root of ionic product) is multiplied  
 136 by density, the molar concentration of protons or hydroxide anions in the medium is obtained.  
 137 This concentration parameter includes both, the variations in water volume and its  
 138 dissociation. The concentration of  $\text{OH}^-$  (which is the same for  $\text{H}^+$ ) in the surroundings of the  
 139 critical point of water is plotted in Figure 3.



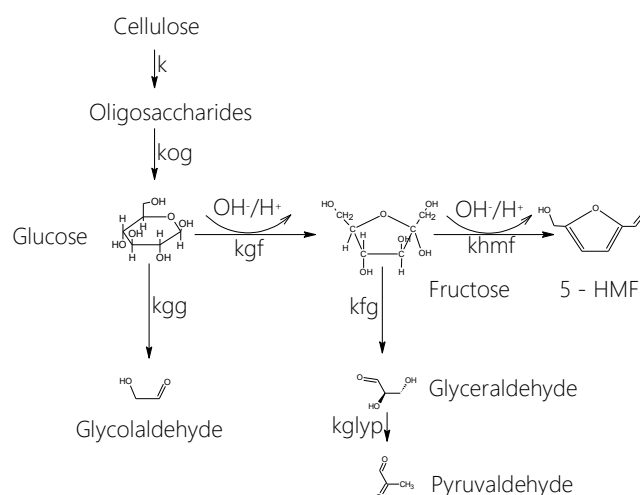
140  
 141 **Figure 3.** Hydroxyl concentration ( $\text{mol}\cdot\text{L}^{-1}$ ) along temperature and pressure.  $\text{pOH}=-\log([\text{OH}^-])=\text{pH}$ <sup>37,38</sup>. Water density was calculated according the IAPWS industrial formulation<sup>37</sup>,  
 142 while the molal ionic product of water was calculated following ‘International Formulation of  
 143 Ionic Product of Water Substance’<sup>38</sup>.  
 144

145 Important changes in the identity of the medium can be obtained if temperature and  
 146 pressure are changed at the same time. For example, the density of water at 300°C and 27  
 147 MPa is around  $750 \text{ kg}\cdot\text{m}^{-3}$ ; this value can be decreased to  $130 \text{ kg}\cdot\text{m}^{-3}$  if the conditions are  
 148 modified to 400°C and 23 MPa. The  $\text{H}^+/\text{OH}^-$  concentration varies six orders of magnitude in  
 149 the neighborhood of the critical point allowing the possibility of working with markedly  
 150 different reaction mediums. The  $\text{H}^+/\text{OH}^-$  concentration at 300°C and 23 MPa is around  $2\cdot 10^{-6}$   
 151  $\text{mol}\cdot\text{L}^{-1}$  which means that the medium has high concentration of ions ( $[\text{H}^+]$  and  $[\text{OH}^-]$ )  
 152 favoring the ionic reactions<sup>6, 39-41</sup>. The  $\text{H}^+/\text{OH}^-$  concentration will take a value of  $5.5\cdot 10^{-12}$



153 mol·L<sup>-1</sup> if the temperature and pressure are changed to 400°C and 23 MPa; this reaction  
 154 medium would favor radical reactions<sup>42</sup>.

155 The reactions were assumed to follow the reaction pathway shown in Schema 1. This  
 156 reaction pathway was built following the schemas developed in literature<sup>23</sup>. The reaction of  
 157 glucose isomerization occurs via ring-opening and keto-enol tautomerism. These reactions  
 158 take place forming transition states with OH<sup>-</sup> or H<sup>+</sup>. Also, fructose dehydration takes place  
 159 forming transition states incorporating H<sup>+</sup> (one per H<sub>2</sub>O molecule lost)<sup>43</sup>. In order to identify  
 160 these reactions in Schema 1, the symbols OH<sup>-</sup>/H<sup>+</sup> were added above the reaction arrow. The  
 161 production of glycolaldehyde was enhanced at supercritical conditions because the  
 162 hydroxide/proton concentration is highly decreased (pH=pOH=13) and so is the  
 163 concentration of fructose and its derived products. Although the reaction of glucose  
 164 isomerization is avoided at low concentration of hydroxide anions, fructose yield near to 10%  
 165 w·w<sup>-1</sup> was obtained at supercritical conditions.



166

167 **Schema 1.** Main reaction pathway of cellulose hydrolysis in pressurized water.

168 As it is shown in Schema 1, fructose can follow two main reaction pathways: fructose  
 169 dehydration or retro aldol condensation. The second reaction was more benefited compared  
 170 to the first one obtaining, in this way, glyceraldehyde as main product from fructose. The

171 maximum quantity of 5-HMF was obtained working at 350°C, 23 MPa (pH=pOH=6) at a  
172 reaction time of 10 s. In those conditions the yield was around 15% w·w<sup>-1</sup>.

173 A reaction model was built considering cellulose as starting material. The concentration of  
174 each compound shown in Schema 1 was calculated along reaction time. The difference  
175 between the calculated concentrations and the experimental ones was minimized obtaining  
176 the kinetic constant of the reactions<sup>18</sup>. Equation 1 shows the evolution of compound *i* along  
177 reaction time, where  $n_i$  is the concentration of compound *i* (mol·L<sup>-1</sup>); *t* is time (s) and;  $k_{ji}$  (s<sup>-1</sup>)  
178 is the kinetic constant of the reaction in which *j* reacts producing *i*.

$$179 \quad \frac{dn_i}{dt} = \sum_1^n k_{ji} n_j - \sum_1^n k_{io} n_i \quad (1)$$

180 In order to evaluate the medium effect in the selectivity, the model was solve in three  
181 different ways; (1) considering only the concentration of cellulose and its derived products;  
182 (2) considering also the water concentration and; (3) considering the concentration of  
183 cellulose, its derived products and the protons or hydroxide anions concentration in the  
184 reactions of glucose isomerization and fructose dehydration. Therefore, the concentration of  
185 fructose was calculated according equation 2 or 3 in the resolution of model 2 or 3  
186 respectively.

$$187 \quad \frac{dn_f}{dt} = k_{gf} n_g n_w - k_{fh} n_f n_w - k_{fg} n_f \quad (2)$$

$$188 \quad \frac{dn_f}{dt} = k_{gf} n_g n_{OH-H} - k_{fh} n_f n_{OH-H} - k_{fg} n_f \quad (3)$$

189 Where  $n_w$  is the water concentration (mol·L<sup>-1</sup>) and  $n_{OH-H}$  is the concentration of OH<sup>-</sup> or H<sup>+</sup>  
190 in the medium (mol·L<sup>-1</sup>).

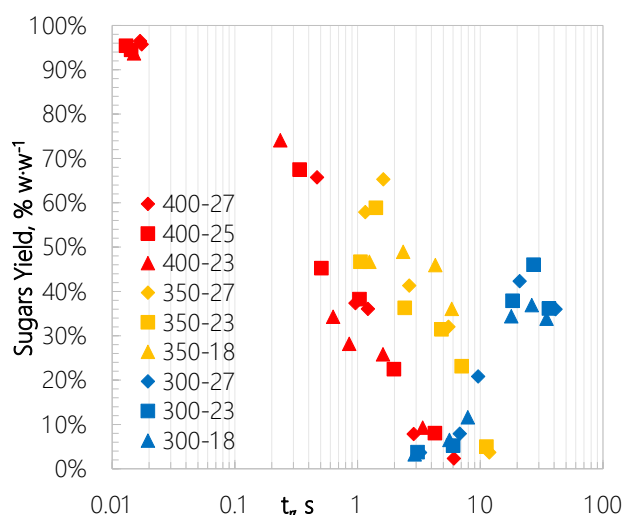
## 191      **RESULTS AND DISCUSSION**

### 192      **Sugars production from cellulose hydrolysis**

193      The evolution of the sugars yield along reaction time can be seen in Figure 3 (see also  
194      Tables S.1 – S.10 in the Supporting Information). The best conditions to obtain soluble  
195      sugars (up to six glucose units) from the hydrolysis of cellulose were achieved by working at  
196      400°C with extremely short reaction times (0.015 s). When reaction time was increased, the  
197      sugars were hydrolyzed, so the yield decreased as it is shown in Figure 4. At 400°C, the yield  
198      of soluble sugars was higher than 95%  $w \cdot w^{-1}$ . Similar methods of cellulose hydrolysis in  
199      pressurized water were developed in literature with selectivity of sugars lower than 77%  
200       $w/w$ <sup>21, 31, 44, 45</sup>. The combination of supercritical water medium and the effective method of  
201      reaction time control presented in this work allow cellulose hydrolysis with high yield to  
202      sugars. This is because, at those conditions, the cellulose hydrolysis kinetic is fast enough  
203      while the glucose hydrolysis kinetics are slow enough to allow our reactor to stop the  
204      reactions after total hydrolysis and before glucose degradation<sup>19</sup>. It was observed that the  
205      cellulose hydrolysis would have a sugars yield between 80 – 98 %  $w \cdot w^{-1}$  if the reaction time  
206      is between 0.015 – 0.2 s.

207      The best combination of conditions to obtain high yield of glycolaldehyde can be achieved  
208      by working at 400°C and 23 MPa with a reaction time of 1 s. In those conditions the yield of  
209      glycolaldehyde was around 60%  $w \cdot w^{-1}$ . Sometimes, the production of 5-HMF is undesired,  
210      especially when a microorganism post process is needed<sup>46</sup>. At 400°C, the 5-HMF production  
211      was highly avoided for all the studied pressures. Independently of the residence time (0.015 s  
212      for sugars or 1 s for glycolaldehyde) the concentration of 5-HMF was lower than 0.1%  $w \cdot w^{-1}$ .

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215 **Figure 4.** Sugars yield from cellulose hydrolysis in pressurized water along reaction time.

216 Experiment temperature: red: 400°C; yellow: 350°C and; blue: 300°C. Experiment pressure:

217 (◆) 27 MPa; (■) 25 / 23 MPa and; (▲) 23 / 18 MPa.

218 **Reaction model evaluation: cellulose hydrolysis at 25 MPa for different temperatures**

219 The reaction pathway shown in Schema 1 was kinetically evaluated following the equations

220 1, 2 or 3. In Figure 5, the results for the three tested models are shown. The behavior of the

221 reaction models will be compared by means of the results for the kinetic constants of fructose

222 dehydration to 5-HMF. The obtained kinetic constants for the reaction rates *kog*, *kgg* and *kfg*

223 obtained by the resolution of model 1 follow the Arrhenius law. However, the kinetics of

224 glucose isomerization and fructose dehydration showed a break point near the critical point of

225 water. As it can be observed in Figure 5-A, the model linearly predicts the kinetic constants

226 of fructose dehydration at subcritical temperatures. However, near the critical point of water a

227 break point in the kinetic was observed, which represents a deviation of the Arrhenius law.

228 This phenomenon would be predicted because of the low concentration of 5-HMF in the

229 products. Moreover, the concentration profiles for 5-HMF obtained at 400°C were lower than

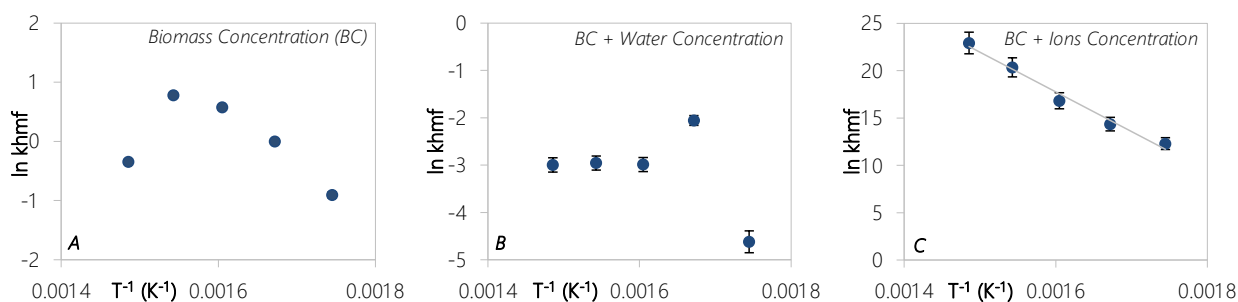
230 the found at 350°C or 300°C (these concentration profiles can be seen in the Supporting

231 Information). This deviation of the Arrhenius behavior suggests that another chemical effect

232 is not taken into account on the kinetic evaluation. In order to solve this problem, water or  
233 ions concentration were counted as reagent concentration.

234 The reactions of glucose and fructose in near critical water are usually analyzed together  
235 with the medium density in order to explain the selectivity of the process<sup>4, 6, 7, 18</sup>.  
236 Qualitatively, the theory of water transition states works well; if the medium density is low  
237 the concentration of 5-HMF will be low. In order to quantify the participation of water in the  
238 reaction, water concentration was added as reagent in the reaction of glucose isomerization  
239 and fructose dehydration (model 2). Unfortunately, the kinetic constants obtained  
240 considering water concentration as reagent did not follow the Arrhenius law at sub neither  
241 supercritical water (Figure 5-B). Thus, from a quantitative point of view, the theory of water  
242 transition states would not explain the low concentration of 5-HMF at supercritical  
243 conditions. Finally, the kinetic constants of the whole reaction system followed the Arrhenius  
244 law when model 3 was resolved (Figure 5-C).

245 The kinetic constants for cellobiose hydrolysis ( $kh$ ), oligosaccharides hydrolysis ( $kog$ ),  
246 glucose isomerization to fructose ( $kgf$ ), and glucose retro aldol condensation ( $kgg$ ) are plotted  
247 in Figure 6-A, 6-B, 6-C and 6-D respectively. It can be observed that the resolution of model  
248 3 produce series of kinetic constants that follows the Arrhenius law for the whole system. The  
249 dotted lines in Figure 6 represent the uncertainty of the kinetic constants considering the  
250 experimental errors as well as the fitting errors. It is important to take into account the  
251 dimension of the kinetic constant. For model resolution 3;  $kh$ ,  $kog$  and  $kgg$  constants have the  
252 same units ( $s^{-1}$ ). However,  $kgf$  and  $khmf$  have second order units ( $L \cdot mol^{-1} \cdot s^{-1}$ ). This is  
253 because this two reaction were considered dependent of two reagents concentrations. In  
254 addition, the obtained values of  $kgf$  and  $khmf$  were higher than the values of  $kh$ ,  $kog$  and  $kgg$ .



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**Figure 5.** Kinetic constant for fructose dehydration to 5-HMF at 25 MPa and temperature between 300°C and 400°C. (A) Kinetic evaluation considering the biomass concentration as reagent concentration. (B) Kinetic evaluation considering the biomass concentration and water concentration as reagent concentration. (C) Kinetic evaluation considering the biomass concentration and ions concentration as reagent concentration. Error bars represent the experimental and fitting errors.

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In order to describe the kinetic behavior along temperature for the different reactions, the activation energy ( $E_a$ ) and the pre-exponential factor ( $\ln k_0$ ) were calculated. These parameters are shown in Table 1 for all the kinetics fitted. It can be also observed in this table that the error in the Arrhenius parameters is around 5% considering the experimental and fitting uncertainty. Also, as it was previously analyzed for the kinetic constants of  $kgf$  and  $khmf$ , the values of the Arrhenius parameters for these constants were higher than for the others.

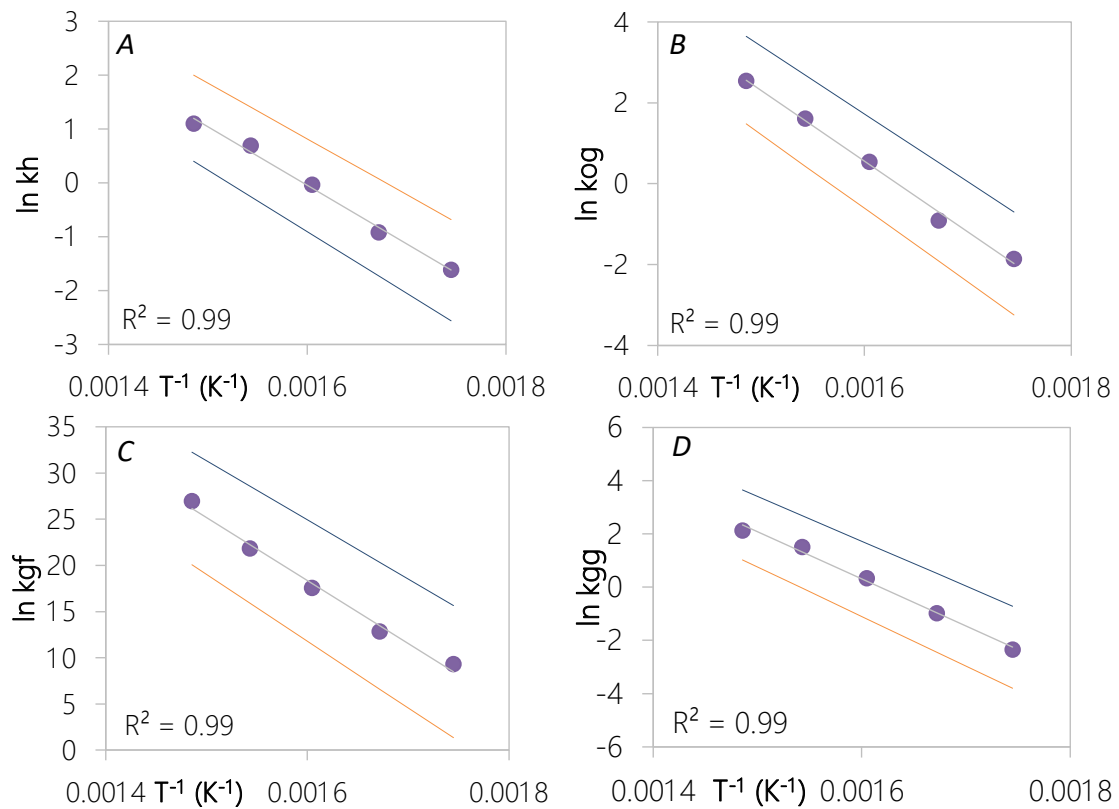
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**Table 1.** Activation energy and pre-exponential factor of glucose reactions in pressurized water medium.

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<i>Kinetic</i>	Activation Energy	Error	Pre-exponential factor	Error
$kh (s^{-1})$	90.4	4.5	17.4	0.9
$kog (s^{-1})$	145.5	6.1	28.6	1.2
$kgf (l \cdot mol^{-1} \cdot s^{-1})$	566.3	34.1	127.3	6.6
$kgg (s^{-1})$	147.4	7.4	28.7	1.4
$kfh (l \cdot mol^{-1} \cdot s^{-1})$	348.1	26.0	84.8	5.0

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**Figure 6.** Kinetic constants for cellulose hydrolysis at 25 MPa and temperature between 300°C and 400°C. **(A)** Kinetic constants of cellobiose hydrolysis. **(B)** Kinetic constants of oligosaccharides hydrolysis. **(C)** Kinetic constants of glucose isomerization to fructose. **(D)** Kinetic constants of glucose retro-aldol condensation. Dotted line: uncertainty of the kinetics behavior.

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**Reaction model evaluation: cellulose hydrolysis at different pressures and temperatures**

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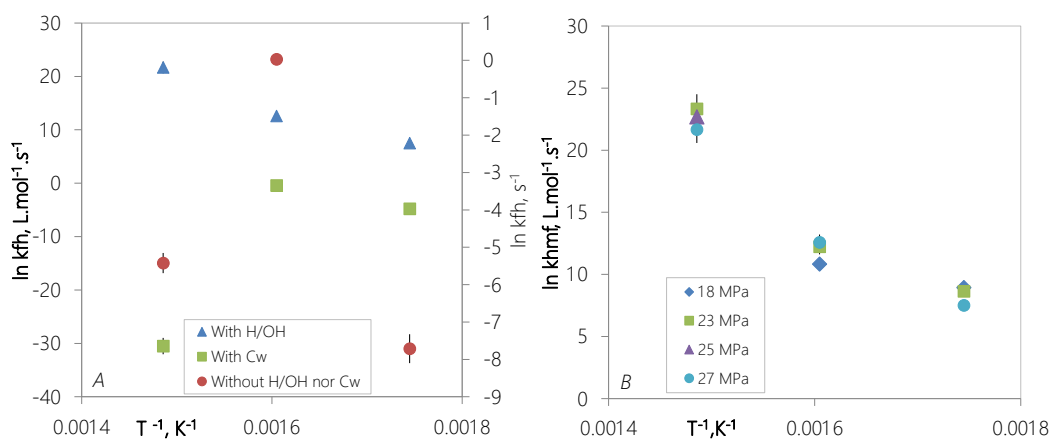
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The evaluation of the reaction model was also tested for the reactions of cellulose hydrolysis at different pressures and temperatures. The cellulose hydrolysis was experimented at 300°C (18, 23 and 27 MPa), 350°C (18, 23 and 27 MPa) and 400°C (23, 25 and 27 MPa). In Figure 7-A it can be seen the comparison of the three model results. Once again, the outcomes of models 1 and 2 did not follow the Arrhenius law. The fructose dehydration kinetics followed the Arrhenius law when model three was used to solve the

286 kinetics system. Although the results shown in Figure 6-A correspond to 27 MPa series, the  
 287 results of Model 3 follow the Arrhenius law for all the experimented pressures, as it is shown  
 288 in Figure 7-B. It should be taken into account that the experiments were always done in  
 289 pressurized liquid or supercritical phase. This is because the series at 18 MPa was only  
 290 experimented at 300°C and 350°C.



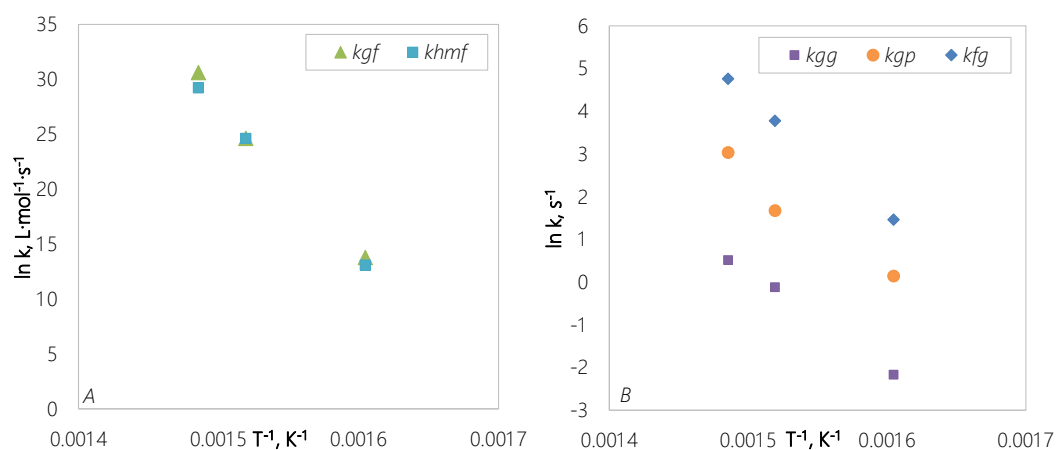
291  
 292 **Figure 7.** (A) Kinetic constant of fructose dehydration considering OH<sup>-</sup> concentration  
 293 (triangles), considering water concentration (squares) and non-considering OH<sup>-</sup> nor water  
 294 concentration (circles). Pressure= 27MPa. (B) Kinetic constant of fructose dehydration  
 295 considering OH<sup>-</sup> concentration at: 27 MPa (circles); 25 MPa (squares); 23 MPa (triangles)  
 296 and 18 MPa (diamonds). Error bars represent the experimental and fitting errors.

297 **Reaction model evaluation: glucose hydrolysis at 25 MPa for different temperatures**

298 The reaction pathway and the kinetic model developed in section 3, 4 and 5 were also  
 299 tested analyzing the glucose reactions in pressurized water. Glucose hydrolysis reactions  
 300 were experimented at 25 MPa of pressure at temperature around the critical point of water  
 301 (350, 385 and 400°C), where the change in the ionic product of water is the highest. As it was  
 302 expected, the obtained kinetic constants follow the Arrhenius law when the concentration of  
 303 ions was considered as reagent concentration (model 3).



304 The reaction mechanism proposed in this work was tested in three different ways: cellulose  
 305 hydrolysis at constant pressure changing temperature, cellulose hydrolysis changing pressure  
 306 and temperature, and glucose hydrolysis at constant pressure changing temperature near the  
 307 critical point of water. For the three situations, the kinetic constants of glucose hydrolysis  
 308 reactions follow the Arrhenius parameters when the ions concentration of the medium was  
 309 taken into account. In addition, the kinetics constants of glucose isomerization and fructose  
 310 dehydration took similar order of magnitude for the different analyzed situations.  
 311

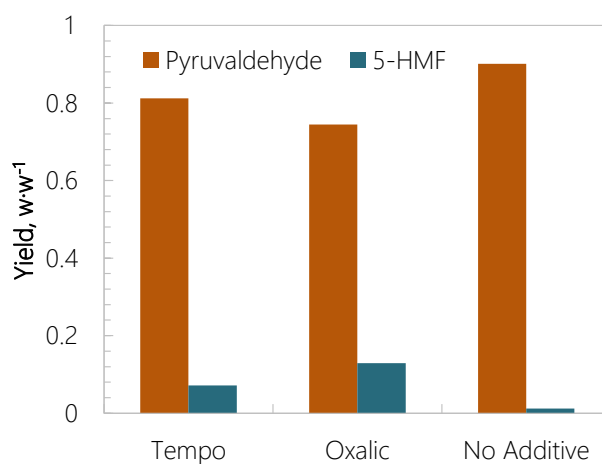


312 **Figure 8.** Glucose hydrolysis kinetic constant at 350°C, 385 °C and 400°C. Pressure = 25  
 313 MPa. Error bars represent the experimental and fitting errors. (A) Kinetic constant of glucose  
 314 isomerization to fructose (triangles) and fructose dehydration (squares) considering OH<sup>-</sup>  
 315 concentration as reagent. (B) Kinetic constant of glucose retro aldol condensation (squares),  
 316 fructose retro aldol condensation (diamonds).  
 317

### 318 **Testing the concept with modified reaction mediums and natural biomass**

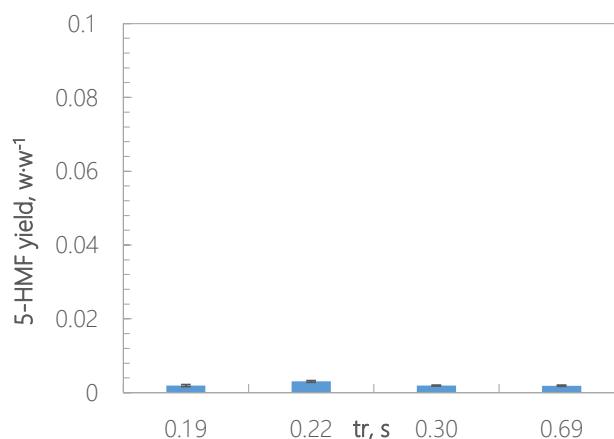
319 Finally, in order to test the developments in the kinetics mechanism, fructose was  
 320 hydrolyzed in modified reactions mediums (with tempo and oxalic acid). On the other hand,  
 321 wheat bran was hydrolyzed in supercritical water for testing the production of 5-HMF from a  
 322 natural biomass in supercritical water.

323 The yields of the main products obtained after fructose hydrolysis are shown in Figure 9.  
324 The experiments were carried out in the experimental setup explained above. However, the  
325 reaction medium was modified by pumping tempo or oxalic acid. Tempo (2,2,6,6-  
326 Tetramethylpiperidin-1-oxyl) is a free radical kidnapper usually employed to control radical  
327 reactions in the organic synthesis and polymerization<sup>47</sup>. Oxalic acid was used to increase the  
328 concentration of ions in the reaction medium. As it was expected, the production of 5-HMF  
329 from fructose at supercritical conditions (400°C and 23 MPa) was negligible, being  
330 pyruvaldehyde the main product after 0.9 s of reaction time. The addition of oxalic acid to the  
331 reaction medium increased the availability of protons in the medium, which would promote  
332 the fructose dehydration reaction. In fact, when the reaction medium was acidified, the  
333 production of 5-HMF in supercritical water was enhanced to 15 % w·w<sup>-1</sup>. The same behavior  
334 was observed using tempo as reaction medium modifier. This free radical kidnaper has an  
335 acid role due to the dissociation of the OH group bonded to the nitrogen atom. Once again, an  
336 acid medium promoted the production of 5-HMF corroborating that 5-HMF production is  
337 highly dependent on the protons availability in the medium.



338  
339 **Figure 9.** Yields fructose hydrolysis at 400°C, 23 MPa and 0.9 s of a reaction time. The  
340 reaction medium was modified with tempo or oxalic acid.

341 Wheat bran was also hydrolyzed in the experimental setup aforementioned. The reaction  
342 temperature was set at 400°C with a reactor pressure of 25 MPa. The reaction time was varied  
343 from 0.19 s to 0.69 s. Fortunately, as it can be seen in Figure 10, the yields of 5-HMF were  
344 lower than 0.05% w·w<sup>-1</sup>. A detailed description of wheat bran hydrolysis for sugars and  
345 lignin production can be found in a previous work<sup>48</sup>.



346  
347 **Figure 10.** Yields wheat bran hydrolysis at 400°C and 25 MPa between 0.19 s and 0.69 s of  
348 reaction time.

## 349 CONCLUSION

350 The process presented in this work shows an efficient alternative to hydrolyze cellulose  
351 selectively. The control of the reaction time is the key to obtain yields higher than 95% w·w<sup>-1</sup>  
352 of soluble sugars or 60% w·w<sup>-1</sup> of glycolaldehyde. From the viewpoint of chemistry, the  
353 selectivity of the process is governed by the ions concentration in the reaction medium. A  
354 reaction mechanism model was built and intensively tested to demonstrate its reliability. The  
355 reactions of glucose and fructose retro aldol condensation are low demanding of ions. In fact,  
356 this reactions are highly improved when the water molecules (reaction medium and reagent)  
357 are highly associated. On the other hand, the isomerization reaction of glucose-fructose as  
358 well as dehydration reactions of these sugars are extremely diminished when the water  
359 molecules are associated. For first time, it was quantitatively explained and demonstrated the

360 reasons why the production of 5-HMF is highly avoided at supercritical water conditions. It  
361 was succeeded by adding the concentration of protons or hydroxide ions due to water  
362 dissociation as reagent in the kinetic modelling of the reactions.

363 The extraordinary changes in the chemical and physical properties of supercritical water  
364 allows the biomass hydrolysis choosing the desired products by simply selecting the correct  
365 reaction temperature and pressure.

366

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