

**Pore size analysis from retention of neutral solutes through nanofiltration membranes. The contribution of Concentration-Polarization.**

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**ABSTRACT**

Pore size distribution is one of the most important characteristics of a membrane. This can be obtained from the fitting of pore radius calculated from retention versus flux measurements for a set of solute solutions. In this work a set of non-charged similar molecules are chosen as solutes to minimize other interactions apart of those related to size. The hydrodynamic model will be used to characterize the behavior of the membrane to uncharged solutes, assuming that membrane pores are straight and cylindrical.

As is known, the phenomenon of concentration polarization must be taken into account because true retention is not experimentally accessible by concentration measurements. Frequently, the film layer model is applied for the dependence of concentration with experimental conditions; but the application of this model requires prior knowledge of the mass transfer coefficient which is evaluated by different dimensionless correlations (Sherwood correlation). Here we show a review of different alternatives in doing it and analyze their consequences when computing the pore size distribution.

Experimental data were obtained from dead-end filtration experiments of a set of four ethylene glycols solutions with a nanofiltration membrane. Obtained results show the importance of the mass transfer model in the pore size value obtained.

**Keywords:** Nanofiltration; Mass transfer; Retention; Pore size distribution

## 1. Introduction

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Membranes have found a broad range of application in an endless list of production sectors, such as food, gases, pharmaceuticals, or water. The pressure driven membrane processes are frequently classified in four big groups: microfiltration (MF), ultrafiltration (UF), nanofiltration (NF) and reverse osmosis (RO) membranes. The last two are the most important ones when the issue is desalination or purification of water [1]. Taking into account the economic importance of the two most common purposes of such processes, watering and human consumption, one can imagine the amount of resources devoted to improving the characterization and optimization of membranes made for these objectives. This membrane characterization can be focused on the structural or functional aspects. Between those belonging to structural characterization, the pore size distribution plays an important role in determining the membrane retention, especially in the case of uncharged solutes.

There are several methods to determine the pore size distribution of nanofiltration membranes [2]. But, since in these membranes the pore size is extremely small (about 1 nm) the result is strongly influenced by the method used. For this purpose various methods can be applied, for example: image analysis in atomic force microscopy, liquid-liquid displacement, or retention-flux models [3]. Though ideally they provide the same information, the final results reveal the peculiarities of each one [3, 4]. The method of retention of neutral solutes is one of the most used because with uncharged solutes the interaction solute-membrane is minimized. But solutes of different size or molecular weight should be appropriately chosen to assure similar interactions with the membranes, if not, the differences of their interaction with the membrane material can cause differences in their relative retention that could not be attributed to their size exclusively. When using a retention-flux model, pore radius is calculated from permeate flux and retention values for one or more different solutes at different conditions of pressure and stirring speed. Knowing that the flow is "a priori" trivially measured, and retention values could be calculated from concentration at both sides. However, due to the concentration polarization effect, to measure real concentrations on the membrane surfaces, although possible, would require complex experimental techniques [5-9]. Some of these techniques are, for example: interferometric measurements of the concentration polarization profile in an unstirred batch cell [10, 11], light deflection techniques (shadowgraphy, refractometry), magnetic

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resonance imaging, radio isotope labeling, electron diode array microscope or direct pressure measurements as is reviewed in [12]. Moreover, unfortunately, these techniques are at present far from being unambiguous.

To solve this problem, the film layer model is usually applied to describe the dependence of concentration with experimental conditions [13]. In this model, the mass transfer coefficient is related with the Schmidt, Reynolds and Sherwood numbers (named  $Sc$ ,  $Re$  and  $Sh$  respectively) through the so called Sherwood correlation [14]. In this work the coefficients of this correlation are reviewed because different values have been used for the same fixed parameter without any clear criteria to do so.

Once the true retentions for each solute have been determined, the pore radius is calculated as the fitting parameter of the “Steric pore flow model” (SPFM) [2, 15] from data for each solute filtration; the knowledge of both the solute and pore sizes allows building the pore size distribution.

In this work, experimental data are obtained from a set of four filtrations of a small linear ethylene glycols solution by a typical NF membrane. This set of non-charged solutes was chosen to minimize the differences in the interactions pore-solute apart from volume (or size).

The hydrodynamic model will be used to characterize the behavior of the membrane to uncharged solutes; assuming that the membrane pores are straight cylinders where diffusion and concentration gradients are the forces acting for the solute transport.

## 2. Theory

The transport through the membrane, and the transfer control of a solute can be studied from different points of view: hydrodynamics, electrostatic and thermodynamics [16]. In our case, the hydrodynamic model will be used to characterize the behavior of the membrane to uncharged solutes, assuming that membrane pores are straight and cylindrical in shape and the molecules of solute are substantially spherical. Diffusion and concentration gradients act through the pores as the forces for solute transport.

1 The separation selectivity of a nanofiltration membrane is governed by three  
2 processes: transport along the pores, partitioning through the membrane-solution  
3 interfaces and transport through the polarization layer [17].  
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6 The first two of these phenomena depend essentially on the behavior of the  
7 chemical potential. The first one is governed by the first Ficks's law or by the extended  
8 Nernst-Planck equation if convection is included. The second one is based on the  
9 equality of chemical potentials at both sides of each interface. Meanwhile, the third  
10 phenomenon is governed by the hydrodynamics of the filtration set, essentially given by  
11 the mass transfer coefficient, which depends on the set-up configuration and  
12 experimental conditions.  
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## 19 2.1. Chemical potential

20 Chemical potential of a species  $s$  under isothermal conditions is given by [17]:  
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$$23 \mu_s = \mu_{s(T,p_0)}^0 + \int_{p_0}^p \bar{V}(p') dp' + kT \ln \left( \frac{a_s}{a_0} \right) + W_s \quad (1)$$

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25 where  $\mu_{s(T,p_0)}^0$  is the standard chemical potential,  $p_0$  the reference pressure, and  $\bar{V}$  the  
26 partial molar volume in the standard state.  $a_s$  is the solute activity, being  $a_0$  the activity  
27 for the standard state. And  $W_s$  quantifies the interaction free energy including all  
28 interactions of the solute with the medium not included into activity; for neutral  
29 molecules, only the purely steric interaction must be considered.  
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## 40 2.2. Membrane Partition Coefficient

41 Each side of the membrane defines an interface. Assuming there is equilibrium  
42 between both phases (bulk phase, and membrane phase), both chemical potentials must  
43 be equal:  
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$$47 \mu_{s,b} = \mu_{s,m} \quad (2)$$

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49 When expressions for both chemical potentials, at bulk and membrane phases, as  
50 Eq. (1) indicates, are introduced in identity (2), this leads to a ratio between activities  
51 inside and outside the pore, the membrane partition coefficient. In the case of unity  
52 activity coefficients and assuming that the molar volume difference is negligible, i.e. for  
53 low concentrations, this fraction is the ratio between concentrations [18]. This is  
54 supposed identical at both sides of the membrane. For the case of uncharged solutes,  
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only purely steric effects determine this ratio, which coincides with the steric partitioning coefficient. Assuming that flow through a membrane takes place along the  $x$ -axis direction, being  $x=0$  and  $x=\Delta x$  the coordinates for the interfaces, and denoting by - and + the left and right sides of each interface, the membrane partition coefficient [19, 20] is:

$$K_s \equiv \frac{c_{s,m}}{c_{s,b}} = \frac{c_s(0^+)}{c_s(0^-)} = \frac{c_s(\Delta x^-)}{c_s(\Delta x^+)} = \phi \quad (3)$$

Different expressions for  $\phi$ , the steric partitioning factor, can be obtained depending on the geometry of the pore, cylindrical, slit, etc. In terms of  $\lambda$ , the ratio between solute and porous radius,  $\lambda = r_s / r_p$ , for cylindrical pores  $\phi = (1 - \lambda)^2$  [20-22].

### 2.3. Transport Equation

The membrane pores, supposed oriented along the  $x$ -direction, have a length  $\Delta x$ , and a radius  $r_p$ . The transport of a species through them is described by the Nernst-Planck Equation:

$$j_s = -\frac{D_{s,p}c_s}{RT} \frac{d\mu_s}{dx} + c_s v_s \quad (4)$$

The flux of species  $s$ ,  $j_s$ , is given by the sum of a diffusion term and a convective one. In the diffusion term,  $c_s$  is the solute concentration,  $D_{s,p}$  is the diffusivity inside the pore and  $\mu$  is the chemical potential. The hindering effect introduced by pore walls on solute transport is taken into account by means of the hindrance factors. The hindrance factor for diffusion,  $K_d$ , relates the diffusion coefficients inside ( $D_{s,p}$ ) and outside ( $D_{s,b}$ ) the pore  $D_{s,p} = K_d D_{s,b}$ . The hindrance factor for convection relates the solute ( $v_s$ ) and the solvent ( $v_w$ ) speeds into the pore:  $v_s = K_c v_w$ .

By assuming that:

- Inside the pore there is no change in the interactions of the solute with the medium
- An activity coefficient equal to unity
- Isothermal conditions

the gradient of the chemical potential needed in Eq. (4) reduces, to:

$$\frac{d\mu}{dx} = \bar{V} \frac{dp}{dx} + \frac{RT}{c} \frac{dc}{dx} \quad (5)$$

Here  $\bar{V}$  has been assumed to be almost independent of pressure. After introducing hindrance factors and Eq. (5) for the gradient of the chemical potential into equation for transport, Eq. (4), we obtain:

$$j_s = -K_d D_{s,b} \left( \frac{c_s}{RT} \bar{V} \frac{dp}{dx} + \frac{dc}{dx} \right) + K_c c_s v_w \quad (6)$$

Different correlations have been proposed in the literature for the hindrance factors [19, 22, 23]. Dechadilok and Deen [21], in addition to analyzing some of these proposals, study and present a way of introducing the effect of pressure gradient in these hindrance factors. Expressions for  $K_c$  and  $K_d$  used in this work are those proposed in the cited work by Dechadilok and Deen in 2006 [21]. For cylindrical pores:

$$K_d = \frac{1}{1-\lambda^2} \left( 1 + \frac{9}{8} \lambda \ln \lambda - 1.5603\lambda + 0.52815\lambda^2 + 1.9152\lambda^3 - 2.8190\lambda^4 + 0.27078\lambda^5 + 1.10115\lambda^6 - 0.43593\lambda^7 \right) \quad (7)$$

$$K_c = \frac{1 + 3.867\lambda - 1.907\lambda^2 - 0.834\lambda^3}{1 + 1.867\lambda - 0.741\lambda^2}$$

The correction due to pressure effects were studied in recent analysis [24] and leads to changing  $K_c$  and  $K_d$  by  $K'_c$  and  $K'_d$  for cylindrical pores as follows:

$$K'_c = K_c + K_d \frac{16\lambda^2}{9} (2-\phi) \quad (8)$$

$$K'_d = K_d$$

In terms of  $\lambda$ , the ratio between solute and porous radius, note that the steric partitioning factor  $\phi = (1-\lambda)^2$ . Then taking into account that:

$$J_s = j_s A_k \quad (9)$$

$$J_v = j_v A_k = v_w A_k$$

correlate the fluxes per unit of pore area to those per unit of membrane area through the membrane porosity  $A_k$ , we arrive to

$$\frac{J_s}{\phi} = -K_d(\lambda) D_{s,b} A_k \frac{dc_s}{dx} + K'_c c_s J_v \quad (10)$$

The presence in Eq. (10) of  $K'_c$  and  $K'_d$  makes impossible a direct comparison with Eq. (6) because pressure effects are included now in these modified constants [25]. It is worth noting that, in essence, the effect of pressure taken into account in Eqs. (8) and (10) correspond to the coupling between convection and diffusion.

## 2.4. Concentration Polarization

When a membrane is used with a solution containing dissolved or suspended species, the phenomenon of concentration-polarization must be taken into account [26-30]. The presence of polarization layer involves the retention coefficient definition:

- *Observed retention*: Feed and permeate concentration measurement,  $c_{s,f}$  and  $c_{s,p}$  respectively, allow the evaluation of the observed retention as

$$R_o = 1 - \frac{c_{s,p}}{c_{s,f}} \quad (11)$$

when the permeate concentration arrives to be constant.

- *True retention*, defined in terms of  $c_{s,p}$  and  $c_{s,m}$ , the solute concentration in the permeate and on the membrane-feed side.

$$R = 1 - \frac{c_{s,p}}{c_{s,m}} \quad (12)$$

Since true retention is not experimentally accessible by concentration measurements, other alternatives must be sought.

From Eq. (10), the Peclet number ( $Pe'$ ) – that corresponds to the ratio of the convective to diffusive contributions– can be defined as:

$$Pe' = \frac{K'_c J_v}{K'_d D_{s,b}} \left( \frac{\Delta x}{A_k} \right) \quad (13)$$

The retention of the actual membrane, or true retention coefficient, can be expressed as a function of the pore radius, through [24, 31]:

$$R = 1 - \left( \frac{c_{s,p}}{c_{s,m}} \right) = 1 - \frac{K'_c \phi}{1 - (1 - K'_c \phi) e^{-Pe'}} \quad (14)$$

Note that this retention coefficient depends now not only of the membrane but also on the rest of the experimental device (cell design, stirrer, etc.) which determines the flux condition on the membrane and control the solute accumulation on the membrane-feed side (making  $c_{s,m} \neq c_{s,f}$ ). The true retention coefficient,  $R$ , is higher than  $R_o$  due to the effect of concentration polarization.

To solve the problem of determining true retention coefficients, a model is usually applied for the dependence of the concentration on the membrane with experiment conditions [13]. The film layer model for concentration polarization predicts that:

$$c_{s,m} = c_{s,p} + (c_{s,f} - c_{s,p})e^{(J_v/K_m)} \quad (15)$$

where  $K_m$  is the mass transfer coefficient. Any viscosity increase or change in diffusivity is usually assumed although it could appear due to the increase of concentration when approaching the membrane surface. Suction effect has been considered sometimes [32].

When expression (15) for  $c_m$  is introduced into Eq. (12), the true retention coefficient is related with the observed one by:

$$\ln\left(\frac{1-R_o}{R_o}\right) = \ln\left(\frac{1-R}{R}\right) + \frac{J_v}{K_m} \quad (16)$$

The mass transfer coefficient can be related with the Schmidt, Reynolds and Sherwood numbers (named  $Sc$ ,  $Re$  and  $Sh$  respectively) through the so called Sherwood correlation [14]:

$$Sh = A Re^\alpha Sc^\beta \quad (17)$$

In this equation,  $A$ ,  $\alpha$  and  $\beta$  are parameters that depend on the configuration of the experimental setup (tangential flow or cross flow, laminar or turbulent, etc.). For the specific case of a stirred cell, the three dimensionless numbers are defined as:

$$Sh = \frac{K_m r_c}{D}, \quad Re = \frac{\rho \omega r_c^2}{\eta}, \quad Sc = \frac{\eta}{\rho D} \quad (18)$$



1  $r_c$  is the radius of the cell or stirrer length,  $\omega$  is the stirring speed,  $D$  is the diffusivity of  
 2 the solute and  $\eta$  and  $\rho$  are the viscosity and the density respectively. Actually  $r_c$  should  
 3 be a geometrical parameter characteristic of the dispositive and process.  
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7 The substitution of Eq. (18) into Eq. (17) gives us a dependence of the mass  
 8 transfer coefficient with the cell geometry, solvent physical properties, nature of the  
 9 solute and stirring speed:  
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$$12 \quad K_m = Ar_c^{2\alpha-1} \rho^{\alpha-\beta} \eta^{\beta-\alpha} D^{1-\beta} \omega^\alpha = A' \rho^{\alpha-\beta} \eta^{\beta-\alpha} D^{1-\beta} \omega^\alpha = A'' D^{1-\beta} \omega^\alpha = A''' \omega^\alpha \quad (19)$$

13 The parameter  $A'$  depends on the geometry of the cell.  $A''$  depends on the liquid  
 14 because includes the viscosity and density values. If low concentrations are used in the  
 15 experiments, these magnitudes can be assumed as those for the pure solvent (water).  $A'''$   
 16 depends also on the solute through the solute infinite dilution coefficient.  
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24 For each filtrated solution, the mass transfer coefficient should be proportional  
 25 to  $\omega^\alpha$ . Substitution of Eq. (19) into Eq. (16) produces a relationship between the  
 26 observed retention and the ratio  $J_v / \omega^\alpha$ .  
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$$31 \quad \ln\left(\frac{1-R_o}{R_o}\right) = \ln\left(\frac{1-R}{R}\right) + \frac{1}{A'''} \left(\frac{J_v}{\omega^\alpha}\right) \quad (20)$$

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 35 When  $J_v$  increases,  $c_{s,m}$  also does (and consequently  $R$ ) until a stable value is  
 36 achieved. When this maximum retention,  $R_{max}$ , is obtained -for high  $J_v$  values-  
 37 expression of Eq. (20) corresponds to a straight line as the first summand of the right-  
 38 hand side would be also constant,  $\ln\left[\frac{1-R_{max}}{R_{max}}\right]$ . This procedure to find the  
 39 maximal retention and the mass transfer coefficient  $K_m = A''' \omega^\alpha$  is called the velocity  
 40 variation method [33-35].  
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48 The fit of a set of experimental data of  $\ln\left[\frac{1-R_o}{R_o}\right]$  versus  $J_v / \omega^\alpha$  to Eq.  
 49 (20) will provide  $A'''$  as the inverse of the slope. In addition,  $R_{max}$ , can be obtained from  
 50 the intercept. Once  $K_m$  is known, the true retention coefficient can be calculated by Eqs.  
 51 (15) and (16).  
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57 Actually the mass transfer coefficient evaluated by the method outlined is  
 58 strictly speaking only valid for high  $J_v$ , (high pressures) in conditions where convective  
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flux is predominant, leading to an approximately constant  $c_{s,p}/c_{s,m}$  and  $R$  approaching a constant  $R_{\max}$ .

## 2.5. Pore size distribution by solute retention

The fit of real retention  $R$  versus  $J_v$ , according to the model presented in sections 2.1 to 2.3 provides an estimation of the pore radius,  $r_p$ , which is different for each solute, with radius  $r_s$ . This permits an evaluation of the pore size distribution [3, 36-38] herein we summarize the fundamentals of the methodology founded by Tkacik and Michaels [39].

In order to evaluate the pore size distribution of a partially retaining membrane when retention is due to a pure sieving mechanism, we assume that for each solute there is a fraction of totally retaining pores while the rest of them allow a free pass of the molecules. Then we can write the mass balance for each solute as

$$J_v c_{s,p} = J_{v,t} c_{s,m} \quad (21)$$

Where  $J_v$  is the total volumetric flux and  $J_{v,t}$  is the volumetric flux transmitted through the non-rejecting (transmitting) fraction of pores. On the other hand, the ratio of the transmitted volumetric flux,  $J_{v,t}$ , and pure water flux,  $J_{w,t}$ , passing through the transmitting pores is:

$$\frac{J_{v,t}}{J_{w,t}} = \frac{\eta_m}{\eta_0} \quad (22)$$

$\eta_m$  and  $\eta_0$  are the solution and solvent viscosities respectively. But for low  $c_{s,m}$  this ratio can be approximated by 1 in such a way that Eq. (22) can be rewritten as,

$$J_{w,t} = J_v (1 - R) \quad J_{w,r} = J_v R \quad (23)$$

Note that Eq. (23) is applicable when dealing with low concentrations and not too strong concentration polarization. According to Eq. (23),  $J_{w,t}$  and  $J_{w,r}$  (pure water flux passing through the retentive pores,  $J_w = J_{w,t} + J_{w,r}$ ) can be evaluated once  $J_v$  for each  $R$  is known. Then, by using again the mass balance  $J_v c_{s,p} = J_s$ ,  $J_v - J_s = J_w$ , where  $c_{s,p}$  must be written as a volume fraction,  $J_s$  and the total pure water flow,  $J_w$ , can be obtained by this equation. Thus  $J_{w,t}/J_w$  versus the solute molecular weight or size gives the accumulated fraction of flux passing through the pores of a size over that of

the solute. Thus if many solutes with different sizes are used the cumulative pore size distribution could be obtained [36].

To reproduce the experimental data, different sigmoid curves with horizontal asymptotes at  $J_{w,t} / J_w = 1$  and  $J_{w,t} / J_w = 0$  have been used

$$\frac{J_{w,t}}{J_w} = F(r_p; \{a_i\}) \quad (24)$$

where  $\{a_i\}$  is a set of constants to be evaluated by fitting Eq. (24) to experimental results. In this case only two-parameter distributions will be used (being  $a_1$  and  $a_2$  these parameters):

The derivative,  $f(r_p) = d[F(r_p)] / dr_p = d(J_{w,t} / J_w) / dr_p$ , is the probability density function (PDF) and provides the flux distribution through differently sized pores. As the flow is proportional to the fourth power of the pore radius,  $r_p^4$  (according to the Hagen Poiseuille equation); the pore size distribution, except by a normalization constant, could be obtained as:

$$\frac{d(N / N_t)}{dr_p} = \frac{1}{r_p^4} \frac{d(J_w / J_{w,t})}{dr_p} = \frac{1}{r_p^4} f(r_p; a_1, a_2) \quad (25)$$

## 2.6. Diffusion coefficients estimation

Within the model proposed here, diffusivities for each of the solutes in solution must be known to be used in Eqs. (10) and (18). The diffusion coefficients are also needed to evaluate the radii of the solutes in terms of the Stokes-Einstein equation:

$$D = \frac{kT}{6\pi\eta r} \quad (26)$$

We have used the more recent values for diffusion coefficients found in the literature, these are provided by Wang et al. [40]. Wang et al. measure the mutual diffusion coefficient data for aqueous solution of EG, DEG, TriEG and TetraEG at different concentrations and temperatures. They also fitted the results to different models in terms of concentration and temperature. The value at 298.15 K is obtained from the Snijder [41] model:

$$\ln(D_{12}) = A_1 + A_2 / T + A_3 c \quad (27)$$

$c=0$  was taken and  $T=298.15$  K to extrapolate experimental data to the working temperature, the obtained values of infinite dilution diffusion coefficients of glycols in water are shown in Table 1. These values show good agreement with some others found in literature [42-44]. Table 1 also presents the Stokes radii corresponding to each solute according to Eq. (26).

## 2.7. Reynolds number

The Reynolds number corresponds to the ratio between inertial forces to viscous forces. In the particular case of this work, the definition of the Reynolds number for a stirred cell is given by Eq. (18).

The two sources of debate within the different authors are the choice of an appropriate typical length and velocity. Therefore, different chemical engineering handbooks of common use give different definitions for  $Re$ . About the choice for the typical length, this can be chosen as the length of the stirring bar ( $d_{sb}$ ),  $Re_{C\&R} = \rho f d_{sb}^2 / \eta$ , as in [45] or as the cell or tank diameter ( $Re_{Perry's} = \rho \omega d_{cell}^2 / \eta$ ) as is showed in the Perry's chemical Engineerings' Handbook [46]. Some other authors also use the tank size, but in terms of the radius ( $Re_{tank} = \rho \omega r_{tank}^2 / \eta$ ) [47, 48]. This criterion is also indicated by Schäfer et al [49]. Because, in this work, dead-end experiments were done using a un-baffled stirred cell, this characteristic length should be the cell radius as suggested by Schäfer et al. [49] and written in Eq. (18), which is the most usual convention.

There are also some discrepancies in the units of the stirrer speed. Some authors choose the frequency,  $f$ , in  $s^{-1}$  or the angular speed,  $\omega$ , in  $rad \cdot s^{-1}$ . If the stirrer length is written as a fraction of cell diameter,  $r_{sb} = \beta r_{cell}$  with  $\beta < 1$ , both discrepancies are only a linear scaling of the Reynolds number. In this way:  $Re_{C\&R} = (2\beta^2 / \pi) Re_{tank}$  ( $Re_{C\&R} \leq 0.637 Re_{tank}$ ) [45] and  $Re_{Perry's} = 4 Re_{tank}$  [46].

These definitions don't affect the parameter  $\alpha$  of Eq. (17), but they certainly affect the  $A$  parameter [50]. And what is more important, the ranges corresponding to laminar and turbulent regimes. This criterion should be related to the adequate expression for  $Re$ .

1 The choice for Sherwood relation parameters of Eq. (17) depends largely on the  
2 flow regime defined by the value of the Reynolds number; for that reason it is very  
3 convenient to analyze the laminar and turbulent intervals once the definition of  $Re$  has  
4 been discussed.  
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## 7 **2.8. Sherwood relation**

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10 Colton and Smith [50] made a theoretical review and fitting of experimental data  
11 to the different studied models. In that work, Colton and Smith compared these results  
12 with previously proposed correlations: Kaufmann and Leonard suggested [51]  $\alpha=0.68$   
13 and  $\beta=1/3$ ; Calberbank and Moo-Young [52] for agitation under turbulent conditions  
14 ( $Re>40000$ ) used  $\alpha=0.7$  and  $\beta=1/3$ ; Marangozis and Johnson [53] recommended  $\alpha=0.70$   
15 and  $\beta=1/3$  (also for  $Re>40000$ ). The theoretical study for a turbulent boundary layer  
16 using the Chilton-Colburn analogy [54], following the approach of Eckert and Jackson  
17 (1951) [55], gives  $\alpha=0.8$  and  $\beta=1/3$ . The fit of experimental data for mass transfer  
18 coefficients establishes that for  $8000 < Re < 32000$   $\alpha=0.567$  while  $\alpha=0.746$  for  $32000 <$   
19  $Re < 82000$ . Coulson et al. indicated that the transition between laminar and turbulent  
20 regimes is determined by  $Re_{C\&R}$  values between 1000 and 2000, which corresponds with  
21  $Re$  between 8441 and 16882 [45].  
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34 Some frequently cited Chemical Engineering handbooks refer to different  
35 works in this question. Rousseau [56] citing Kaufmann and Leonard assign  $\alpha=0.68$  and  
36  $\beta=0.38$  ( $Re>20000$ ), and citing Marangozis and Johnson  $0.65<\alpha<0.70$  and  $\beta=0.33$ .  
37 Perry's Handbook gives [46] values for  $\alpha\in(0.65, 0.70)$  (using Rousseau as  
38 reference [56]) and  $\alpha=0.785$  citing Blatt [57].  
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45 There is a huge number of different correlations proposed for the mass transfer  
46 coefficient in case of turbulent flow [14]. But the most suitable forms take  $\alpha=0.8$  and  
47  $\beta=1/3$ , as proposed by Dittus and Boelter [58], or  $\alpha=0.875$  and  $\beta=0.25$  as proposed by  
48 Deissler [59]. Richardson, Harker and Backhurst [60] propose the Dittus-Boelter  
49 correlation for turbulent flow for the case of UF and RO, this correlation takes  $A=0.023$ ,  
50  $\alpha=0.8$  and  $\beta=0.33$ .  
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57 After the analysis of this huge amount of non-always coherent information, our  
58 conclusion about the adequate values for the parameters in the Sherwood correlation  
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1 matches the proposal of Schäfer in its Nanofiltration book [49]; this is:  $\alpha=0.75$  and  
2  $\beta=1/3$  for a stirred cell geometry (for  $32000 < Re < 82000$ ) because they seem very well  
3 grounded on previous literature. Schäfer also proposes a fix value for  $A=0.044$ .  
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6 What do other authors do when using similar dead-end filtration devices in a  
7 stirred cell? Michell and Deen 1986 [61] using an ultrafiltration cell (Amicon® 52)  
8 obtained  $\alpha=0.537$ , for  $21 < Re < 52$ , which corresponds to laminar regime. S. Nicolas et  
9 al.[62] using an Amicon® 8400 stirred cell under turbulent conditions, by fitting  
10 experimental data, found  $\alpha=0.66$  and  $\beta=0.33$ , with  $3000 < Re < 50000$ . Koops et al. use  
11  $A=0.033$ ,  $\alpha=0.75$  and  $\beta=0.33$ , for  $Re > 32000$  [63], after adapting the  $A$  coefficient to the  
12 geometry of their cell. Becht et al. [47] used the Millipore® stirred cell (Model  
13 XFUF04701), with a diameter of 47 mm and an effective membrane area of  $15 \text{ cm}^2$ ,  
14 operated with a stirrer speed of 2400 rpm. They use  $A=0.27$ ,  $\alpha=0.567$  and  $\beta=1/3$ ,  
15 parameter obtained from Mehta and Zidney [48]. Becht et al. say that experiments were  
16 done under turbulent regime because Reynolds number is 57000 (our calculation using  
17 their equation and data is  $Re=155000$ ) while Mehta and Zydney presented those values  
18 for  $\alpha$  when they were working at a low Reynolds number (laminar regime). This  
19 explains the low value for  $\alpha$ , which was taken from a previous work of Opong and  
20 Zydney for laminar regime [64]. The second aspect to consider, Mehta and Zydney [48]  
21 use the  $A$  value calculated by Opong and Zydney in 1991 [64]. This value was obtained  
22 from the calibration (or fit) of the results with a 25-mm diameter Amicon ®  
23 Ultrafiltration cell (model 8010). Becht et al. [47] use a totally different cell and take the  
24 same  $A$  value that Mehta and Zydney without further checking.  
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42 As far as we know, only two previous works using the same cell have reported  
43 information about the Sherwood correlation parameters. In the first one, Nora'aini et  
44 al.[65], citing two articles of Bowen, use  $\alpha=0.568$  and  $\beta=1/3$ . Bowen's works cite  
45 Opong and Zydney [64] and Smith [66], but Opong Zydney [64] gives the correlation  
46 citing also Smith et al. [66]. So, all these works are based on the same paper from Smith  
47 et al. [66]. These parameters are in concordance with experiment conditions, non-  
48 turbulent regime, as  $Re < 30000$  (stirring speed up to 400 rpm). The second, and the only  
49 using turbulent conditions, is from Ahmad et al.[67]. Using the same Sterlitech cell they  
50 apply the equation proposed in Schäfer's book [49] for the mass transfer coefficient. As  
51 they work at  $Re > 76000$ , they are in turbulent regime and then  $\alpha=0.75$  and  $\beta=1/3$ . From  
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1 data given by Ahmad et al.[67] an  $A=0.0224$  can be calculated. In this calculation, Eq.  
2 (19) of Ahmad's work, is used with constant  $2.7 \cdot 10^{-8}$  instead of  $2.7 \cdot 10^{-4}$  (as said by the  
3 author) to express  $D_w$  in  $m^2 s^{-1}$  as can be seen in page 814 of the work of Schwarzenbach  
4 et al [68]).  
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8 There is more agreement on the choice of the value for the hydraulic diameter to  
9 be used to calculate the Sherwood number. Becht [47], Mehta and Zydney [48] and  
10 Schäfer, Fane et al. [49] use de stirred cell radius,  $r_{sc}$ .  
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14 With these assumptions, using Schäfers [49] constants, the Schmidt number is  
15 809, 1021, 1286, and 1508 for EG, DEG, TriEG and TetraEG respectively. Retention  
16 experiments were performed at rotational speeds of the stirrer of 60, 300, 700, 1100 and  
17 1600 rpm, which according to expression (18) correspond to Reynolds numbers of  
18 4600, 23000, 53000, 84000 and 120000 respectively. These values show that when  
19 turbulent conditions are mandatory, only the three last stirring speeds could be  
20 considered.  
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### 27 **3. Materials and methods**

#### 28 **3.1. Membrane**

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30 The studied HL membrane was provided by GE Water & Process  
31 Technologies®. As it belongs to the HL series, it is designed for water softening and  
32 removal of organics. The manufacturer data sheets say: “these thin-film nanofiltration  
33 membrane elements are characterized by an approximate molecular weight cut-off of  
34 150-300 Daltons for uncharged organic molecules; minimum and average retention of  
35 95.0% and 98% for  $MgSO_4$  ( testing conditions: 2000ppm  $MgSO_4$  solution at 110 psi  
36 (760kPa) operating pressure, 25°C, pH 7.5 and 15% recovery)” [69].  
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46 Eriksson and colleagues from GE Infrastructure, Water and Process  
47 Technologies present this HL membrane, as equally useful for RO and NF too [70].  
48 They highlight its capability for sea water processing applications due to its high sulfate  
49 ions retention. Its characteristics make it usable for a plethora of practical applications  
50 including: car-washing [71], arsenic removal [72] and lactose retention [73], among  
51 others.  
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1 This highly interesting membrane has been used for many other objectives in a  
2 broad variety of processes. In our lab, this membrane was previously used to obtain low  
3 alcohol-content wines. [74, 75].  
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6 This contribution provides additional characterization of this membrane on that  
7 presented by other authors (cleaning, zeta potential, permeability, contact angle and  
8 salts rejection) [76, 77].  
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### 11 **3.2. Chemicals**

12 The feed solutions were prepared using demineralized, deionized by ion-  
13 exchange reverse osmosis and carbon-filtered, water obtained by using a Milli-Q  
14 equipment. Water resistivity is greater than  $1.8 \cdot 10^5 \Omega \text{ m}$  (18 M $\Omega$ ·cm). Density and  
15 viscosity for pure water have been taken from literature [78] as;  $\rho = 997.048 \text{ kg} \cdot \text{m}^{-3}$  and  
16  $\eta = 0.890 \text{ mPa} \cdot \text{s}$ .  
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25 Several solutes of the same chemical nature have been used: ethylene glycol,  
26 diethylene glycol, triethylene glycol and tetraethylene glycol. All them have the formula  
27  $\text{HO-CH}_2\text{CH}_2\text{-(O-CH}_2\text{CH}_2\text{)}_n\text{-OH}$ , and will be called here EG ( $n=0$ ), DEG ( $n=1$ ), TriEG  
28 ( $n=2$ ) and TetraEG ( $n=3$ ) respectively. Mole fraction purities determined by gas  
29 chromatography as given by the manufacturer are: EG (Panreac sintesis, > 99 %), DEG  
30 (Fluka purum,  $\geq 98.0$  %), TriEG (Sigma-Aldrich ReagentPlus®, 99 %) and TetraEG  
31 (Fluka purum,  $\geq 97$  %). They were used as received without further purification. Table  
32 1 shows the values of the physical properties of substances used along this work.  
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### 41 **3.3. Equipment and procedure**

42 A dead-end filtration set-up has been used. The set consists essentially of three  
43 elements: a stirred cell, a pressure providing gas system, and a vessel to collect the  
44 permeate.  
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49 Filtration was performed using a stirred cell, in this case the HP4750 stirred cell  
50 from Sterlitech™. This model is a high-pressure chemical resistant un-baffled stirred  
51 cell that accommodates membrane disks from 47 to 50 mm in diameter. The set shows  
52 an active membrane area of  $14.6 \text{ cm}^2$ . A membrane disk is held between the reservoir  
53 cell for the liquid feed and a stainless steel porous support disc. The flow through the  
54 membrane is driven by a pressurized air cylinder, which is controlled by a DHP 240-50-  
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10 Air-Liquide pressure regulator, with a precision of  $\pm 0.1$  MPa. This pressure value is used as data for the permeability calculations. The reservoir cell is stirred by a Teflon-coated magnetic stir bar (length  $d_{sb}=22.00 \pm 0.05$  mm) on an Agimatic-N stirrer, which controls the rotation velocity of the bar.

Solution concentrations were calculated by refractive index measurements through previous calibration between 0 and 1 g/L for each solute. Differential refractive indexes were measured using an Atago DD-5 differential refractometer.

### 3.4. Retention measurements

Each experiment was performed with a new, clean membrane sample, as provided by the manufacturer, without cleaning-pretreatment. In this work, measurements were done at four different pressures: 1, 2, 3 and 4 MPa, and five stirrer rotational speeds: 60, 300, 700, 1100 and 1600 rpm. The device was kept at room temperature, around 25°C. The membrane was stabilized by flowing pure water through it at 4 MPa constant pressure, the maximum that will be used in the experiment, until constant flow rate is obtained.

Aqueous solutions of 1 g/mol were prepared to be used as feed, introducing 300 cm<sup>3</sup> of this solution into the stirred cell. The concentration of the permeated was analyzed until a constant concentration value was obtained to be sure that the process of homogenization on the feed side was ended.

The time necessary to obtain 25.0±0.5 cm<sup>3</sup> of permeate was measured in order to calculate the permeate flow rate,  $J_V$ . In this way, the error in flow was less than 3%. Once the permeation process had ended the concentrations of the feed solution inside the cell and of the permeate were evaluated,  $c_f$  and  $c_p$  respectively. The concentration of the initial solution introduced in the cell was not used as feed concentration because a certain finite time was required to get stationary values for all initial concentrations. Final values corresponded to the stationary values. Permeate and feed concentrations permit to calculate the observed retention coefficient by Eq. (11).

## 4. Results and Discussion

### 4.1. Permeability

1 Prior to any other measurement, the permeability,  $L_p$ , of the membrane to water  
2 was determined. This was obtained as the slope of the plot  $J_v$  vs.  $\Delta p$ , where  $J_v$  is the flow  
3 of pure water, and  $\Delta p$  goes from 1 to 4 MPa. The value so obtained is  $L_p =$   
4  $(3.33 \pm 0.05) \cdot 10^{-11} \text{ m} \cdot \text{s}^{-1} \cdot \text{Pa}^{-1}$ .  
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8 This value is in the interval of values found in the literature. For the same flat  
9 sheet Desal-HL membrane, Hussaing et al. [79] give a little lower value,  $L_p = 2.287 \cdot 10^{-11}$   
10  $\text{m} \cdot \text{s}^{-1} \cdot \text{Pa}^{-1}$  (although this article reports  $2.287 \cdot 10^{-11} \text{ m} \cdot \text{s}^{-1} \cdot \text{MPa}^{-1}$ , it could be suppose that it  
11 is a mistake). Braeken et al. report  $2.5 \cdot 10^{-11} \text{ m} \cdot \text{s}^{-1} \cdot \text{Pa}^{-1}$  “as indicated by manufacturers”  
12 [80]. And Boussu et al. report values from  $2.5 \cdot 10^{-11}$  to  $(3.17 \pm 0.30) \cdot 10^{-11} \text{ m} \cdot \text{s}^{-1} \cdot \text{Pa}^{-1}$  [81,  
13 82]. These values are slightly lower than obtained by us but close to them. On the other  
14 hand, other permeability value reported for this membrane is slightly higher, Al-  
15 Amoudi et al. gives  $4.54 \cdot 10^{-11} \text{ m} \cdot \text{s}^{-1} \cdot \text{Pa}^{-1}$  for a virgin HL thin-film membrane [77], this  
16 work does not specify the pre-treatment made to the membrane. In a previous work,  
17 García-Martín et al. [74] have reported a  $L_p$  value of  $1.93 \cdot 10^{-11} \text{ m} \cdot \text{s}^{-1} \cdot \text{Pa}^{-1}$  for a similar  
18 membrane; but in that case with the configuration of a spiral-wound module.  
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## 29 **4.2. Retention results**

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31 For each solution, retention measurements were done applying pressure from 1  
32 to 4 MPa, and stirring the cell content at angular speeds from 6.3 to 168 rad/s  
33 (corresponding to 60 to 1600 rpm, as mentioned previously). Fig. 1a shows the  
34 experimental retention results for one of these runs, corresponding to the highest stirring  
35 speed (1600 rpm). In the graph, symbols represent the experimental values, and solid  
36 lines represent the fit to the model described in section 2.3. by using fitted pore radius  
37 as will be explained later. This observed retention, calculated as Eq. (11), increases with  
38 the size of the solute, being its maximum value close to 0.13 for the EG and  
39 approximately 0.89 for TetraEG. All observed retentions decrease as flow, or pressure,  
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51 Fig. 1b shows a complete set of results for the observed retention coefficient as a  
52 function of  $j_v$  and its dependence with the stirring speed. In this graph, only the case of  
53 TriEG is shown as an example. Five sets of measurements (symbols), corresponding to  
54 each stirring speed, are shown together with the continuous dependence (lines) after  
55 fitting the pore radius. The model fits both the experimental values and their tendencies  
56 with the stirring speed, being the best correspondence for the case of maximum stirring  
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1 speed, because this set is the closest to turbulent regime that was assumed in the mass  
 2 transfer model in Eq. (16). Using the parameters fitted for the case of turbulence, the  
 3 estimated observed retention for low stirring speeds (60 and 300 rpm) does not  
 4 correspond at all with experimental values. For these two speeds, the flow regime is  
 5 laminar, below the discontinuous grey line in plot 1b. This fact reveals that parameters  
 6 for mass transfer coefficient must be carefully used, and the validity intervals taken into  
 7 account. Estimations for observed retention in the turbulent limit are in good agreement  
 8 with experiments, and better for higher stirring speeds.

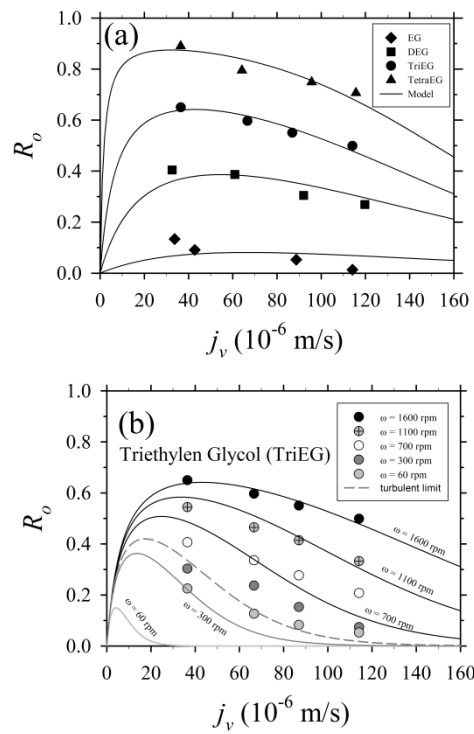


Figure 1

To obtain the true membrane retention, the correction due to concentration polarization must be applied as described in section 2.4. Once  $\alpha$  is fixed to 0.75, linear fits of  $R_o$  and  $J_v / \omega^\alpha$  data to eq. (20) give the  $A'''$  constants and the maximum retention for each solution. These linear fits are presented in Fig. 2a.  $A'''$  is the inverse of the slope in such a linear fit. No correction for roughness, porosity or suction effects was made.  $A'''$  depends on the nature of the solute through its infinite dilution diffusion as can be seen in Eq. (19). The independence of the  $A''$  on the nature of the solute allows us

to obtain it as the average of the four values for each solute. If it is assumed that solution viscosity and density are equal to those of the pure water, using Eq. (19) we finally obtain a value for  $A=0.0241$ . This value is close to 0.0224 obtained by Ahmad et al.[67], and both are in the interval given by Ahmad and Schäfer's [49].

Note that in order to obtain the mass transfer coefficient by using the velocity variation method of Eq. (20), high pressures have to be used to avoid side effects (roughness, etc.) and of course a turbulent regime must be completely developed. Fig. 2b shows experimental results for the TriEG –as an example- for all pressures, 1, 2, 3 and 4 MPa. For each of these series, a bold cross indicates the transition between laminar and turbulent regimes. Some of the rejected (laminar regime) results are shown in Figure 2b along with those corresponding to lower pressures showing how their linear ranges decrease.

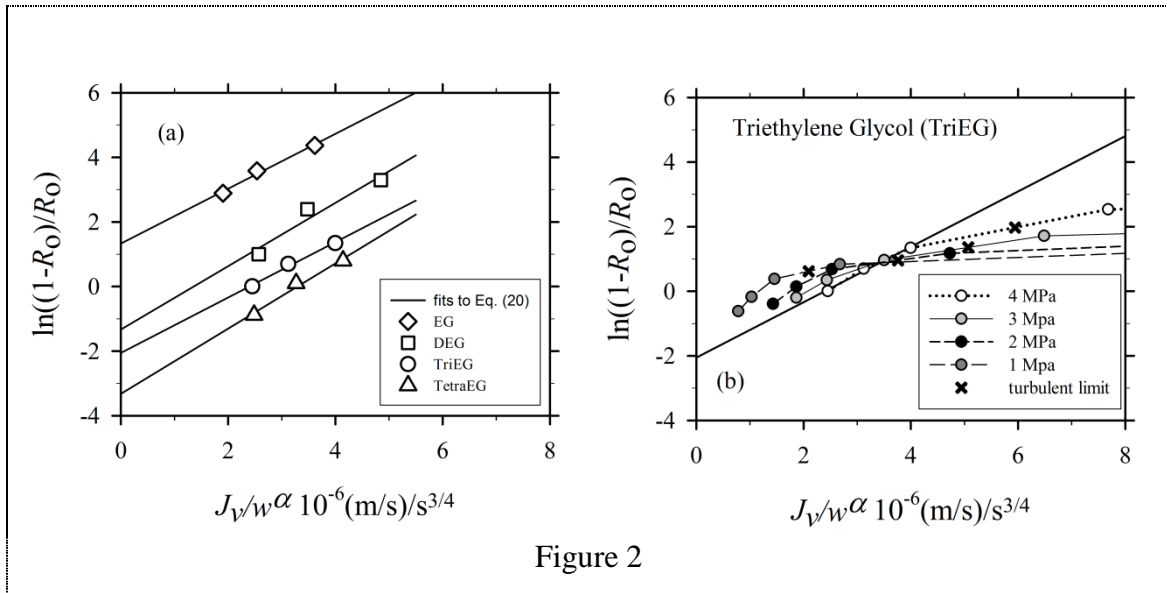


Figure 2

In Fig. 3, observed and true retention dependence with stirring speed is shown for the case of TriEG, as a representative example. Observed retention decreases with pressure while true retention increases. As can be seen in this Figure 3, the dependence between observed retention and stirring speed is very linear which is actually an unexpected result. This linear behavior is observed for all the applied pressures, decreasing the observed retention as pressure increases. If these behaviors could be extrapolated, both families of curves would match for stirring speeds between 250 and 325 rad/s (2400 y 3100 rpm.) In this case the observed retention would be the highest

possible and will coincide with the true retention. This could be interpreted as corresponding to the cancellation of concentration polarization.

True retention shouldn't depend on the stirring speed because it refers to the membrane itself while observed retention includes the effect of polarization concentration that depends on the stirring speed.

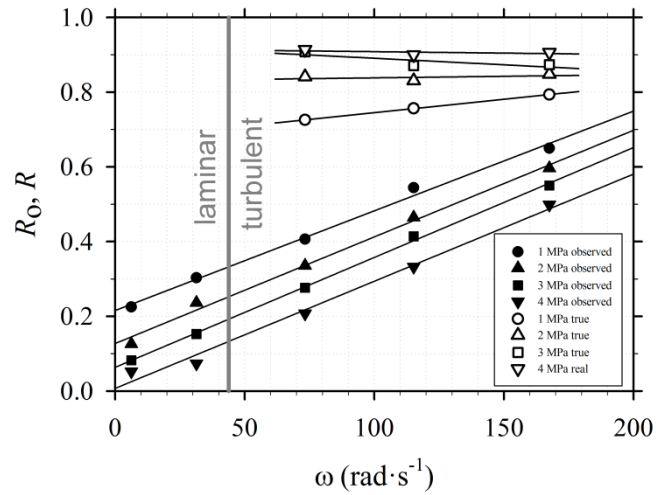


Figure 3

Linear fits shown in Fig. 2 also let us to know the maximum retention of the membrane for each solute because it can be calculated from the intercepts with the y-axis. These values are presented in Fig. 4 as horizontal grey lines. This Fig. 4 also shows the true retention,  $R$ , calculated by Eq. (16), vs.  $J_v$ , when stirring speed is 1600 rpm. Obviously membrane retention increases with the solute size, from an almost constant 0.176 value for the case of EG to 0.97 for TetraEG at the higher flux/applied pressure. True retention also increases with applied pressure (except for the EG, for which, low values are almost constant around 0.18).

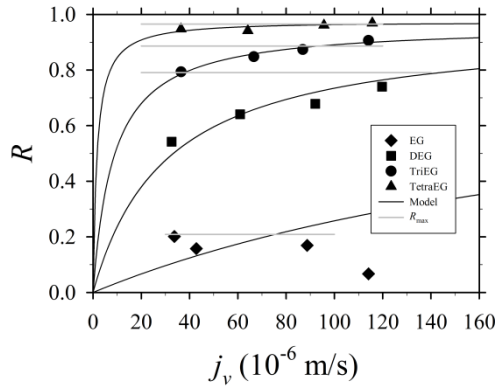


Figure 4

As far as we know, not many previous studies with similar measures are found in literature. Only the work of Van der Bruggen et al. [83] reports observed retentions of HL51 membrane for a huge number of solutes. Triethyleneglycol is included in this series, presenting an observed retention of 0.82. This value is a little higher than 0.65 of the present work.

### 4.3. Pore radius

True retention versus flux values allow the fitting of the experimental results to the proposed nanofiltration model, providing the pore radius as the fitting parameter. Results of these fitted radii versus the corresponding solute Stokes radius are represented in Fig. 5 as solid black symbols. A linear increase of the predicted pore radius with the solute size is clearly observed. This goes in accordance with previous observations for other membranes and uncharged solutes [3]. For this case, linear correlation relating both radii is:  $r_p = 0.826r_s + 1.534 \cdot 10^{-10} = 0.826r_s + 0.54786d_w$ . This length  $d_w$  could be interpreted as referring to the hydration layer of the pore walls that adds to that of the molecules of the solute.

To compare these values with the previously reported ones in literature Fig. 5 also presents different pore sizes for the HL membrane from the literature, or obtained by other methods. The dashed black line, represents the 0.54 nm value obtained by this group using computerized analysis of AFM images. Braeken et al. report a 0.48 nm “as given by the manufacturer” [80]. This 0.48 nm value is also reported by Hussain et al. by fitting glucose retention versus pressure data, using a glucose radius of 0.368 nm [79]. Al-Amoudi et al. use retention measurements of PEG-200 and report a value of 0.32 nm

[77]. For PEG-200 radius we have taken a value of 0.42 nm, as the average of two values found in the literature:  $r_s(\text{PEG-200})=0.41$  nm [84] and  $r_s(\text{PEG-200})=0.43$  nm [85]. This value is totally in agreement with our results if cylindrical pore geometry is assumed in the model. This value, although acceptable for pore size, does not correspond with the tendency shown in Fig. 5. This could be justified by the polydispersity of PEG-200, and the fact that the assumed pore geometry was not declared. The last value shown in Fig. 5 is 0.24 nm, an average reported by Boussu et al. from PALS (Positron Annihilation Lifetime Spectroscopy) measurements [81, 86].

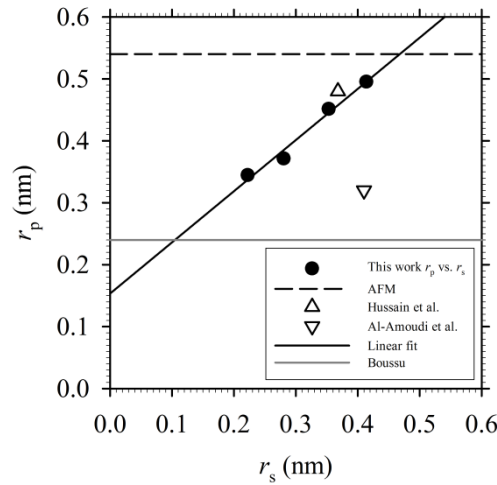


Figure 5

#### 4.4. Pore size distribution.

Once pore radius is obtained as the fitting parameter of the model described in section 2, different two parameter distributions were tried to obtain the pore size distribution. These were: the normal, the log-normal, weibull, and logistic distributions. Good fit is obtained with all of them. As four results were quite similar, the differences between the means were below 0.3% and the maximum differences in standard deviation was 10%, only one will be presented, the log-normal distribution. This is found and justify in many other works[87], as those of Van der Bruggen and Vandecasteele [88], and because is the basis for some models [89].

$$f(x) = \frac{1}{xa_2\sqrt{2\pi}} e^{-(\ln x - a_1)^2 / 2a_2^2} \quad (28)$$

The cumulative distribution of flux passing through the pores of different sizes can be obtained using Eq. (24). The distribution parameters are fitted using the four data for retention for the higher  $J_v$  for each solute. From the retention data we can obtain  $J_{w,t}/J_w$  as a function of  $r_p$  corresponding to all the solutes used. This cumulative distribution can be fitted to  $F(r_p)$  functions and thus gives the differential distributions shown in Fig. 6. This graph shows the pore distribution obtained from the fit of non-charged retention measurement, for cylindrical pore geometry.

Boussu in his Ph.D. thesis indicates “The mean pore size represents the size of a molecule with 50% retention” [81]. From the present work retention measurement, for any pressure over 1 MPa, the true retention would be 0.5 if the solute size was between EG and DEG (as can be seen in Figs. 4 and 5). With data from Table 1 it is clear that this could be expected for a value between 0.22 and 0.28 nm; which corresponds with the result reported by Boussu, a value of 0.24 nm. However from pore size distribution this work estimates a little higher value, 0.35 nm.

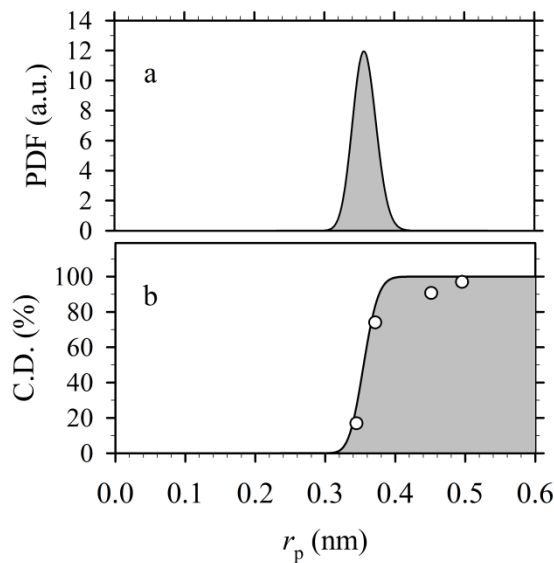


Figure 6

#### 4.5. Dependence with $\alpha$

Steric hindrance model used in this work requires the knowledge of true retention, but filtration experiments provide an evaluation of observed retention. As previously explained, to estimate the real retention, knowing the observed retention, one must suppose a polarization of concentration behavior. This leads us to suppose a mass



transfer coefficient dependence; in this case, expressed by Eq. (17). The parameters in this relationship; i.e.  $A$ ,  $\alpha$  and  $\beta$ , depend on the experimental setting, regime, and even on membrane. The parameter  $\beta$  is always taken as  $1/3$ , but the value of  $\alpha$  value has been claimed to be from 0.65 up to 0.8. Figs. 7a and 7b show the dependence of the maximum retention and the estimated pore radius on the different possible values for  $\alpha$ . In these plots,  $\alpha$ -axis interval includes the range found in the literature. In the vicinity of  $\alpha=0.75$ , a variation of  $\alpha$ ,  $\Delta\alpha=0.1$  produces a change in the pore radius estimation of 0.1 nm. In the literature, we found values for  $\alpha$  from 0.567 up to 0.8; this difference would represent a 60% change in the fitted pore radius.

For the retention values, identical changes in alpha, from 0.567 to 0.8, produce changes in the maximum retention of + 66% and - 10% for the case of EG retention, and + 17 % to - 5 % in the case of DEG. Differences in pore radius due to small variation of  $\alpha$  are even bigger that those from using different pore flow models [90].

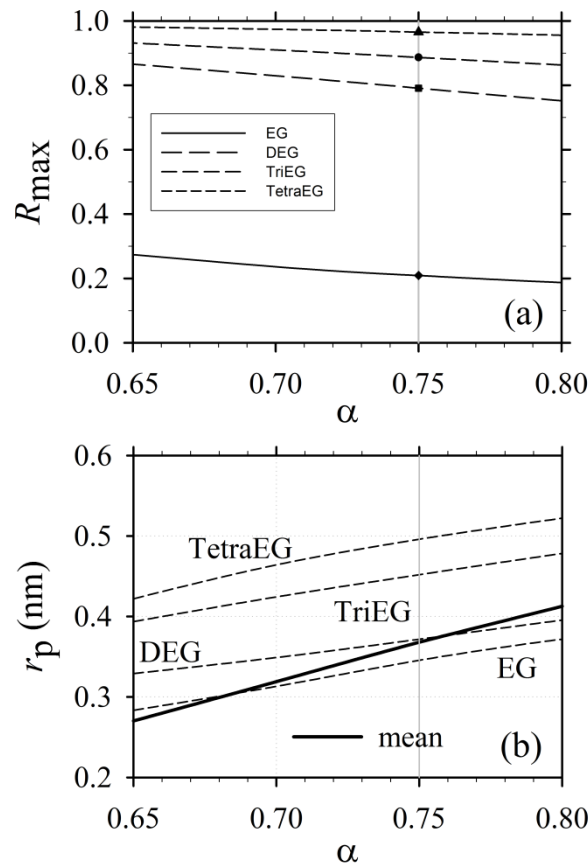


Figure 7

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3 **5. Conclusions**  
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5 The technique developed in this work allows the evaluation of the pore size  
6 distribution for a nanofiltration membrane. The use of neutral solutes permitted us to  
7 use a model that reduces solute-membrane interactions to size exclusion at the entrance  
8 of the pore and to the effects of internal friction. Note that the model assumes  
9 cylindrical pores and spherical solute molecules. The fact that these solutes were of very  
10 similar chemical nature causes the other effects, not taken into account in the mode, to  
11 have the same incidence for all solutes tested. This fact is illustrated with the linear  
12 relationship found between the Stokes radius of the solutes and the pore radius provided  
13 by the model for that solute. The comparison of our results with others found in the  
14 literature, obtained with a similar procedure or even with other techniques of different  
15 nature, show the validity of the data obtained.  
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25 Note, that for other ranges of filtration (for example MF and UF) pore radius  
26 measured using AFM technique is lower that the real one, due to the convolution of the  
27 tip with the sample. However, the applicability of AFM to estimate NF pore sizes is not  
28 so reliable; since pore sizes are even smaller than the tip point dimensions leading to  
29 overestimated pore sizes.  
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35 The type of function used to obtain the pore size distribution does not influence  
36 significantly on the characteristic values (mean and standard deviation) that this defines.  
37 Therefore, a distribution used traditionally as the log-normal can be a good choice to  
38 represent this type of data.  
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44 The influence of mass transfer, in fluid layers adjacent to the membrane surface  
45 in which the concentration and speed profile are developed, has been highlighted. Small  
46 changes in the correlation coefficient used to calculate the mass transfer coefficient  
47 produce important changes in the values of the distribution. For this reason a good  
48 method to obtain reliable concentrations over the surface of the membrane is essential to  
49 obtain the distribution of pore sizes in nanofiltration membranes with certain  
50 trustworthiness using this solute retention model.  
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60 **6. Acknowledgements**  
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List of symbols

1		
2		
3	$a$	Activity
4		
5	$A$	Constant in (19) (including $A'$ , $A''$ and $A'''$ )
6		
7	$A_k$	Porosity
8		
9		
10	$c$	Amount concentration ( $\text{mol}\cdot\text{m}^{-3}$ )
11		
12		
13	$d$	Diameter
14		
15		
16	$D$	Diffusivity coefficient
17		
18	$f$	Frequency
19		
20		
21	$j$	Flux per unit of pore area
22		
23		
24	$J$	Flux per unit of membrane
25		
26		
27	$k$	Boltzmann constant
28		
29		
30	$K$	Partition coefficient
31		
32	$K_c, K_d$	Hidrance factor for convection and diffusion
33		
34		
35	$K_m$	Mass transfer coefficient
36		
37		
38	$L$	Characteristic length
39		
40		
41	$L_p$	Permeability to water
42		
43	$p$	Pressure
44		
45		
46	$Pe, Pe'$	Peclet number, modified Peclet number
47		
48		
49	$r$	Radius
50		
51		
52	$R$	Ideal gas constant in Eq. (4)
53		
54	$Re$	Reynolds number
55		
56		
57	$Sc$	Schmidt number
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60	$Sh$	Sherwood number
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1  $T$  Absolute temperature

2  $v$  Velocity

3  $V$  Volume

4  $\bar{v}$  Partial molar volume

5  $W$  Interaction free energy

6  $x$  Pore orientation

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19 Greek symbols

20  $\alpha \beta$  Parameters Sherwood correlation. Eq. (17)

21  $\lambda$   $r_s / r_p$  ratio

22  $\eta$  Viscosity

23  $\phi$  Steric partitioning factor

24  $\mu$  Chemical potential

25  $\rho$  Density

26  $\omega$  Angular velocity (rad/s)

27  $\Delta$  Difference

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46 Subscripts / superscripts

47  $0$  Standard reference

48  $b$  bulk

49  $c$  cell

50  $f$  feed

51  $m$  membrane

1		
2		
3	max	maximum
4		
5	o	observed
6		
7	p	Pore, permeate in Eqs. (11) and (12)
8		
9	r	retentate; rejected
10		
11	s	solute
12		
13	sb	stirrer bar
14		
15	sc	stirred cell
16		
17		
18	t	total; trasmitted
19		
20		
21	V	volumetric
22		
23		
24	w	water
25		
26		
27	$\infty$	Infinite dilution
28		
29	-	left side
30		
31		
32	+	right side
33		
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5 Table 1. Physical properties of solutes at 25°C. Molecular formula, Molecular Weight,  
6 Density, Infinite dilution diffusion coefficient of glycols in water at atmospheric  
7 pressure and 298.15 K and corresponding calculated Stokes radii.  
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## Figure Caption

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Figure 1. Observed retention versus volumetric flow through the membrane: (a) For  $\omega=1600$  rpm (Symbols: experimental values for  $\blacklozenge$  EG,  $\blacksquare$  DEG,  $\bullet$  TriEG and  $\blacktriangle$  TetraEG, — model behavior using fitted calculated pore radii) and (b) example of TriEG at different stirring speeds. Lines correspond to the fitting to the experimental data according to Eqs. (11) to (16).

Figure 2.  $\ln((1-R_o)/R_o)$  vs.  $J_v/\omega^\alpha$  (a) symbols show experimental data for EG, DEG, TriEG and TetraEG at an applied pressure of 4 MPa (3 MPa for EG) and stirring speeds were 700, 1100 and 1600 rpm in the turbulent regime, linear fits correspond to Eq. (20) (b) TriEG at 1, 2, 3 and 4 MPa showing the laminar to turbulent regime transition ( $\otimes$ ) for each pressure series. Linear fits, same of (a) for TriEG. Some experimental measured data at lower stirring speeds are shown corresponding to the laminar regime.

Figure 3. Observed and true retention as a function of stirring speed, dependence with applied pressure for the particular case of TriEG as an example. Vertical grey line show the laminar to turbulent regime limit.

Figure 4. Calculated true retentions for  $\blacklozenge$  EG,  $\blacksquare$  DEG,  $\bullet$  TriEG and  $\blacktriangle$  TetraEG (stirring speed 1600 rpm). Solid black line (—) corresponding model behavior using fitted pore radii. Solid grey lines (—) are the maximum retentions for each solute as interception with y-axis from fits to Eq. (19).

Figure 5. Summary of obtained pore radius: by us assuming cylindrical pore geometry  $\bullet$ ; by Hussain et al. [79] using glucose retention measurements  $\triangle$ ; by Al-Amoudi et al. [77] using PEG-200 retention measurements  $\nabla$ . Dashed line (- - -) is pore radius estimation by AFM. Solid grey line (—), average value from PALS measurements[81, 86].

Figure 6. Probability density function (PDF) (up) and Cumulative distribution function CDF (down) for pore size. Symbols represent experimental results used for CDF parameters optimization.

Figure 7. (a) Maximum estimated retention, and (b) pore radius fitted for each solute, and mean value from distribution, both as a function of parameter  $\alpha$  in mass transfer coefficient expression Eq. (16).

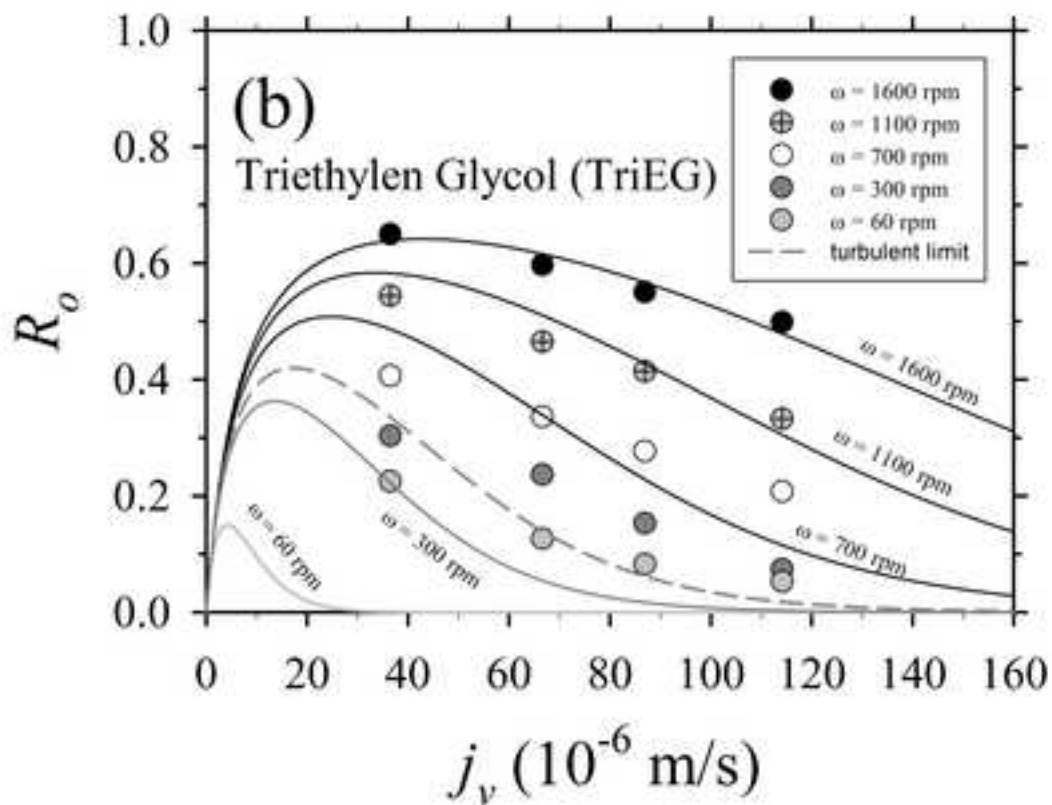
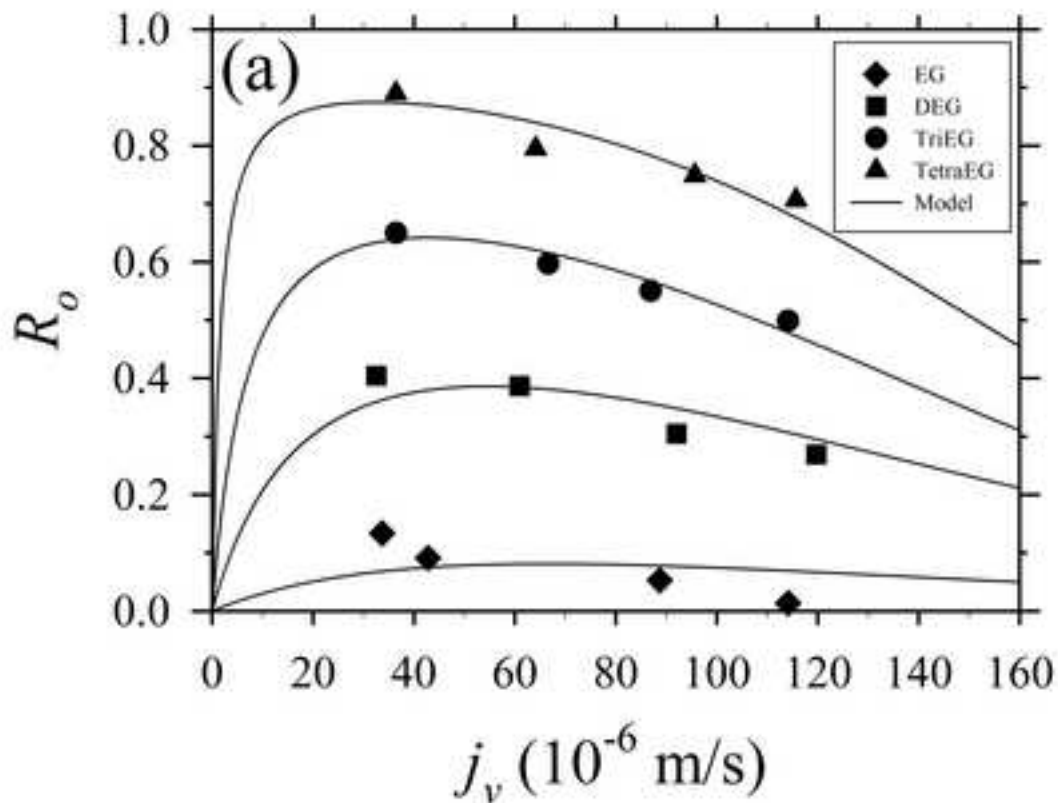
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Table 1.

	EG	DEG	TriEG	TetraEG
Molecular formula	$C_2H_6O_2$	$C_4H_{10}O_3$	$C_6H_{14}O_4$	$C_8H_{18}O_5$
MW (g/mol) <sup>a)</sup>	62.068	106.120	150.173	194.226
density <sup>a)</sup> $\rho$ (kg/m <sup>3</sup> )	1113 (20°C)	1116	1125	1124
$D_\infty$ (10 <sup>-10</sup> m <sup>2</sup> /s) <sup>b)</sup>	11.03	8.74	6.94	5.92
$r_{Stokes}$ (nm)	0.222	0.281	0.353	0.414

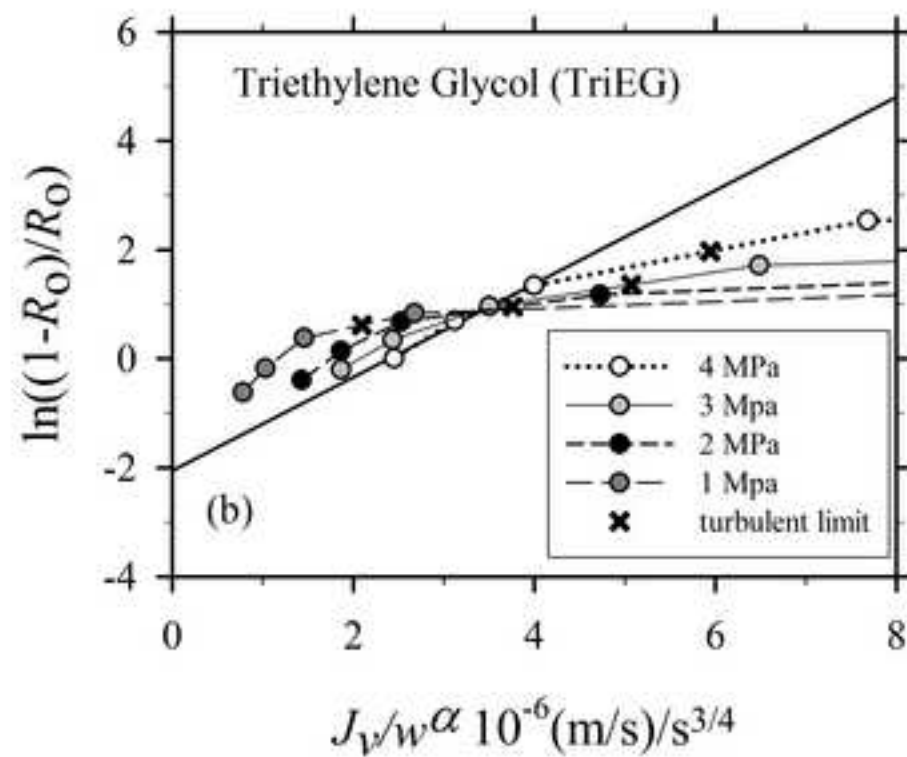
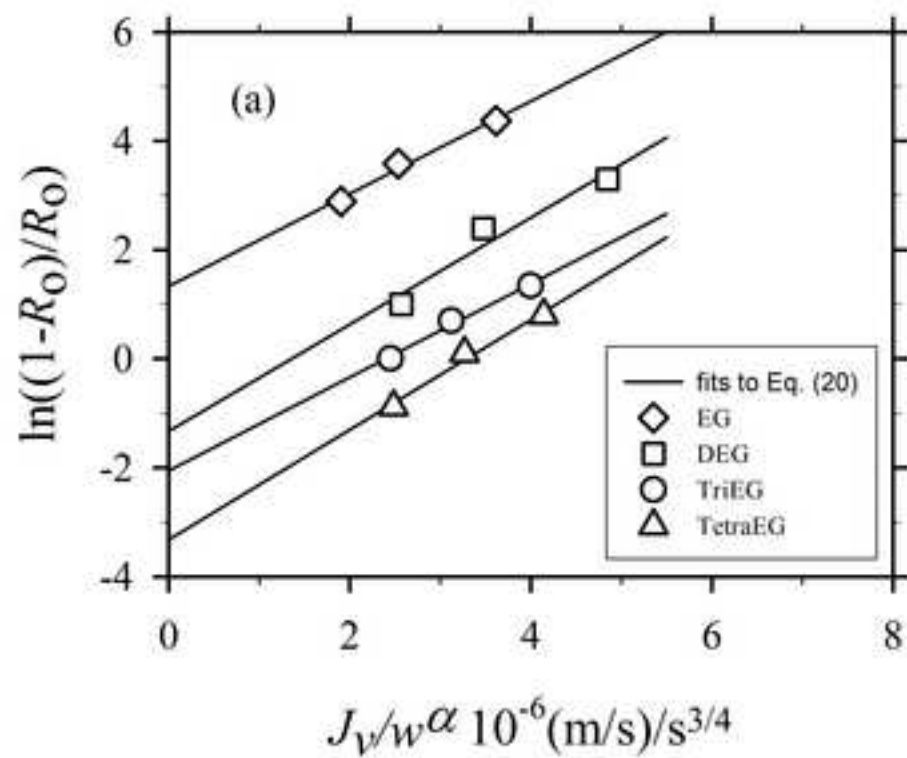
<sup>a)</sup> From the manufacturer; <sup>b)</sup> [40]

Figure\_1  
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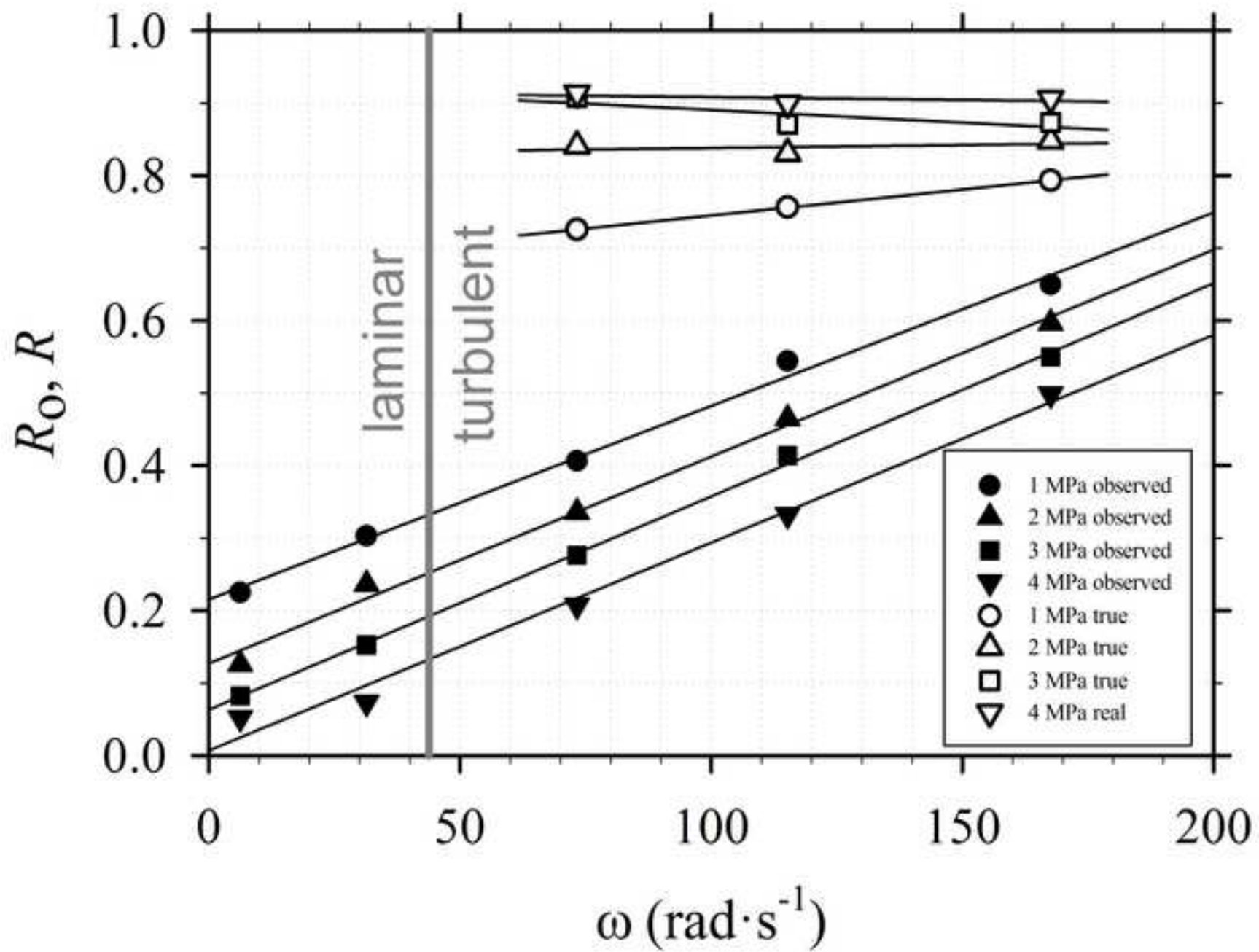




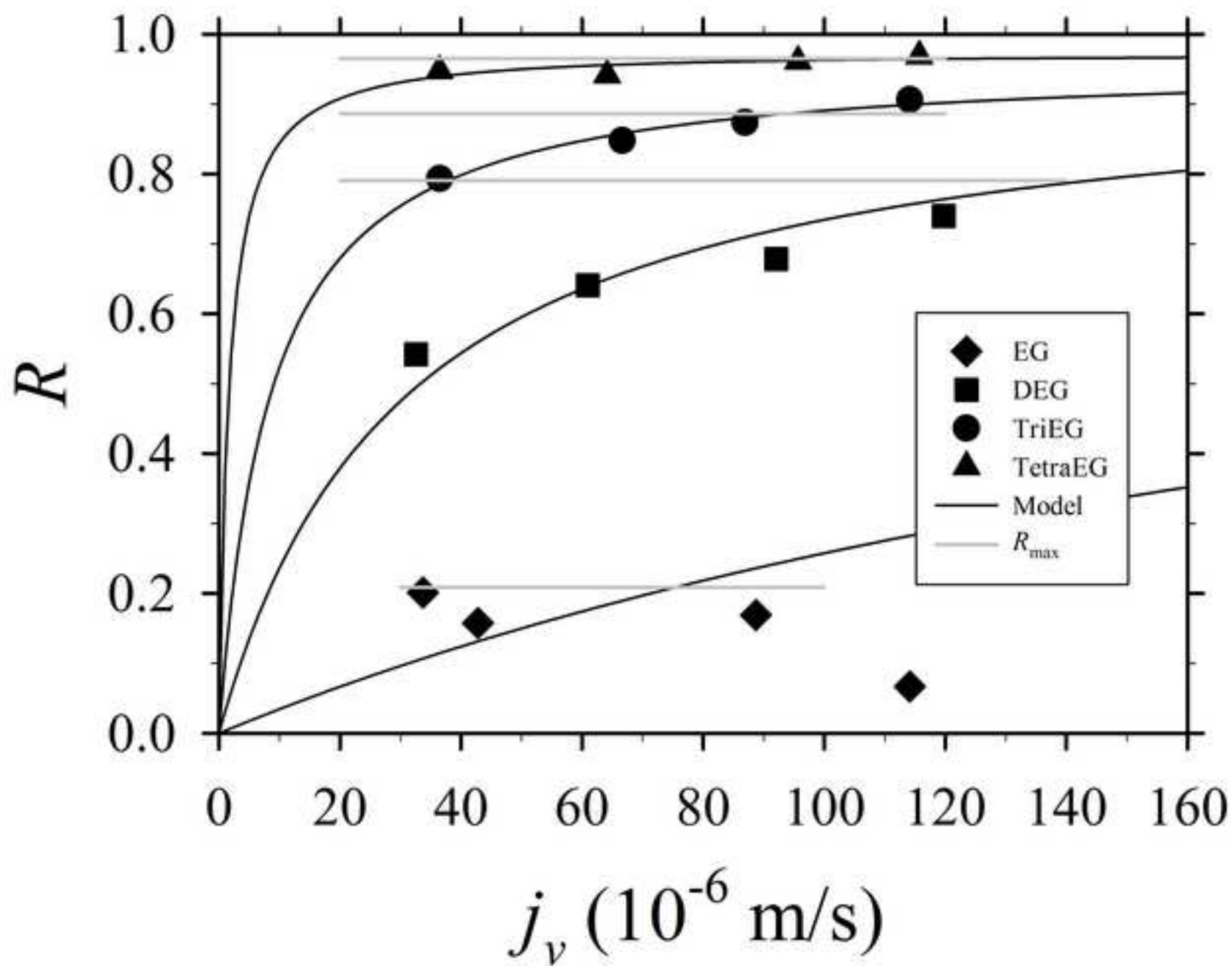
Figure\_2

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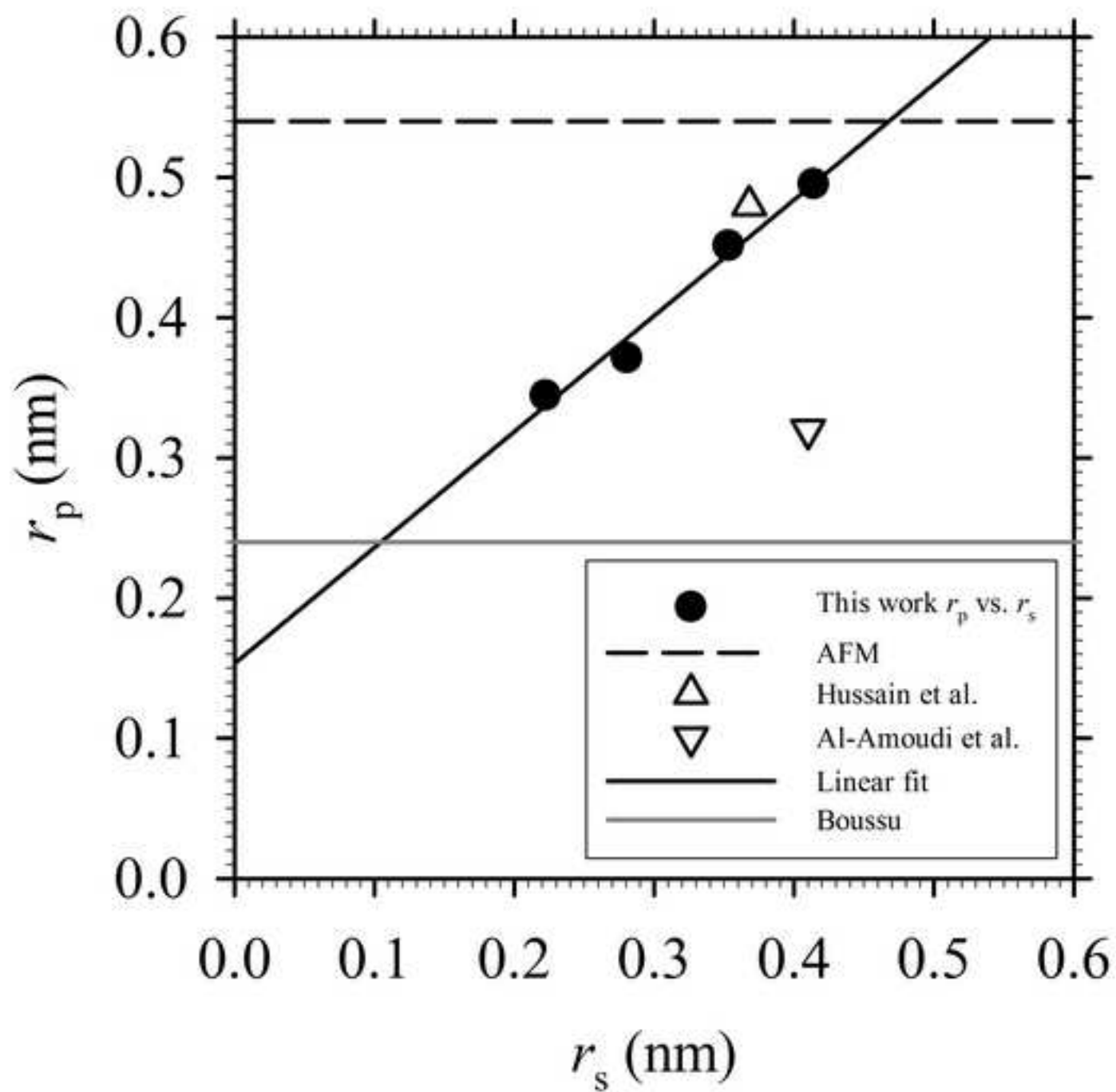
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