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## A demonstration of geometric effects and resonant scattering in the X-ray spectra of HED plasmas

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In a plasma of sufficient size and density, photons emitted within the system have a probability of being re-absorbed and re-emitted multiple times - a phenomenon known in astrophysics as resonant scattering. This effect alters the ratio of optically-thick to optically thin lines, depending on the plasma geometry and viewing angle, and has significant implications for the spectra observed in a number of astrophysical scenarios, but has not previously been studied in a controlled laboratory plasma. We demonstrate the effect in the x-ray spectra emitted by cylindrical plasmas generated by high power laser irradiation, and the results confirm the geometrical interpretation of resonant scattering.

Spectroscopy is a widely used method for diagnosing both astrophysical and laboratory-based high energy density (HED) plasmas, with a significant amount of information about the densities and temperatures of such systems being gleaned from the ratios and widths of spectral lines [1-8]. Since the earliest development of the field, it has been well known that the finite size and the geometry of the plasma should play a significant role in the observed line ratios [9], owing to the potential for multiple absorptions and re-emissions of photons from transitions with large radiative cross sections. This effect is known among the astrophysics community as resonant scattering. Such scattering plays a significant role in the analysis and characterisation of the spectra of a plethora of astrophysical situations, impacting the emission from bodies as diverse as the solar corona [10–16], elliptical galaxies [17, 18], and galaxy clusters [19–25]. The degree to which such so-called scattering takes place can influence estimates of the abundance of important heavy elements [26]. For example, studies of emission from the Perseus cluster have cited this phenomenon to explain the intensity of iron emission [21], although this has been disputed, and other explanations such as elemental enrichment from a supernova [22], overabundance of Ni [23], or gas movement [24] have been invoked, and thus the role of resonant scattering is still an area of active debate [27, 28].

Although early on it was assumed that the main effect of resonant scattering was to scatter photons out of the line of sight [29], it was later shown that, when observing from a select range of vantage points, the geometry would exhibit itself as an enhancement in the intensity of optically thick lines over the optically thin limit [15, 30–32]. Kerr *et al.* explicitly showed that this effect is related to the ratio between the line of sight (LOS) and the mean chord  $\hat{l}$  of the plasma, where the LOS is defined as the length of a chord through the plasma in the direction of observation [33–35]. As photons from an optically thick line traverse the plasma, they can be re-absorbed and thus photopump the excited state of the given line, altering the upper state population from that which would be predicted in the optically thin case. If the escape path through the plasma towards the distant observer (the LOS) is smaller than  $\hat{l}$ , the enhancement of the emission owing to this photopumping effect is of greater importance than the attenuation due to the optical depth, while in the opposite case the emission is suppressed. Historically such optical depth effects have been introduced into the atomic kinetics modelling in a parametric manner by use of escape factors (multipliers reducing the spontaneous transition probability) [36-38] or by more sophisticated techniques which provide self-consistent solutions of both the radiative transfer equation and rate equations, as used, for example, in the Cretin code [39].

This geometric approach to resonant scattering provided a simple explanation for anomalous line ratios due to such opacity effects in certain stellar spectra [16, 33], and further demonstrated that limited spatial information could be obtained merely from the spectrum, in cases where no explicit spatial resolution is possible [40] (that information being the ratio l / LOS). This led to the conclusion that the O VI emission in certain solar spectra originated from a slab-like geometry in the solar atmosphere rather than from cylindrical structures [41]. The same effect also plays a role in the spectra emitted from plasmas created in the laboratory, and recently a geometric analysis of dot-spectroscopy experiments at the National Ignition Facility [42] (NIF) has yielded the first time-resolved measurements of coupled temperature and density inside an inertial confinement fusion (ICF) hohlraum [43].

Whilst there have been experimental approaches to study the effects of the geometry on the overall emitted energy in discharge [44] and certain HED plasmas

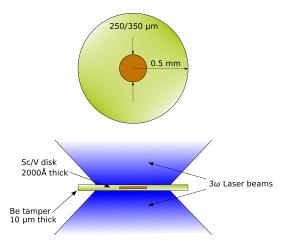


FIG. 1. Schematic drawing of the targets used in the experiment. The Sc/V disk is shown in brown whereas the Be tamper is shown in green. The thickness of the Sc/V disk has been exaggerated for clarity.

[45, 46], to date there has been no experimental validation of the important effect of resonant scattering in a controlled setting - i.e. within a plasma, uniform in density and temperature, and with a well-defined geometry simultaneously observed from the different relevant vantage points. It is in the above context that we report here the results from HED experiments at the OMEGA laser facility [47] at the Laboratory for Laser Energetics (LLE), in which we isolated the effect of both  $\hat{l}$  and the LOS in such an experiment, and demonstrate changes in line ratios that are fully consistent with the geometric approach to resonant scattering.

In our experiments we generated two cylindrical plasmas of the same thickness and at the same conditions, with the only difference between them being their radii. This results in two plasmas with the same LOS for axial emission (face-on) but different  $\hat{ls}$ . Additionally, for each particular cylinder, the ratio between their axial and radial (side-on) emission provides further information about the effect of the LOS on the optically thick emission. We find how this ratio can be directly related to the size of the plasma cylinder, and in particular to its aspect ratio (thickness/radius).

The design of the experiment is based on the analysis of Kerr *et al.* [33–35] that resonant scattering can be parameterized by the ratio  $f = I_{thick}/I_{thin}$ , where  $I_{thick}$ is the intensity of an optically thick line, and  $I_{thin}$  is the intensity of that same line, were it and the plasma as a whole optically thin. Although this parameter f is not directly observable, one can study the ratio of emission from an optically thick line to another separate line which is optically thin, and is thus not modified by the geometry. For a given set of conditions this ratio only depends on how enhanced or suppressed the thick line is. In particular we analyze the ratio of the resonance to the intercombination line of the He $\alpha$  complex  $(1s2l \rightarrow 1s^2)$ , hereafter referred to as w and y lines respectively, following Gabriel's notation [48]. For mid-Z elements (Z $\sim 20-30$ ) under our conditions the optical depth front-to-back of the target of the w line at line center is  $\sim 10-20$  (i.e. optically thick) whereas that of the y line is  $\sim 0.4-0.7$ (optically-thin).

Targets were disk-shaped foils made of a mixture of scandium and vanadium, volumetrically equal, 2000 Å thick and with two different radii, namely 125 and 175 µm (hereafter referred to as targets R125 and R175 respectively). As shown in Figure 1, these disks were buried in the center of a beryllium tamper, 10 µm thick and 1 mm in diameter, whose purpose was to provide a radial pressure that confines the shape of the Sc/V disks to a cylinder [49]. 34 laser beams of  $3\omega$  light ( $\lambda = 351$  nm) were shone upon the targets, 17 on each side of the tamper, using a 2.7 ns square pulse. The intensity was  $3 \times 10^{14} \,\mathrm{W} \,\mathrm{cm}^{-2}$  on each side, delivering a total energy of 10 kJ. The laser beams were focused so that the intensity was uniform across a 600 µm diameter spot, thus covering the whole extent of the Sc/V disks. The targets were observed with two Multipurpose Spectrometers (MSPEC) [50] and two X-ray Pinhole imaging framing cameras (XRPINH) [51]. These diagnostics were mounted such that one MSPEC and one XRPINH had a face-on view of the targets (down the axis of plasma expansion), while the other MSPEC and XRPINH had a side-on view. They were fielded sufficiently far from the target so that all rays reaching the detectors were effectively parallel, while keeping the whole target in sight. For each target radius (125 and  $175 \,\mu\text{m}$ ), we took four data shots. More details on the experimental set-up are given in [46].

The irradiated embedded foils expand into cylinders of radius R, thickness H. We note that the mean chord  $\hat{l}$  of convex bodies is  $\hat{l} = 4V/S$  [52, 53], where V and S are respectively the volume and surface area of the body. For a cylinder of radius R and thickness H, the  $LOS/\hat{l}$  ratios for face-on and side-on view are respectively

$$\left. \frac{LOS}{\hat{l}} \right|_{FO} = \frac{H}{\frac{2HR}{(H+R)}} = \frac{1}{2} \left( 1 + \frac{H}{R} \right), \tag{1}$$

$$\frac{LOS}{\hat{l}}\Big|_{SO} = \frac{\frac{\pi}{2}R}{\frac{2HR}{(H+R)}} = \frac{\pi}{4}\left(1 + \frac{R}{H}\right).$$
 (2)

Although the escape path for face-on emission in a cylinder is always equal to the thickness H, that is not the case for the side-on emission. For that reason, in Equation 2, we have used the mean LOS for radial view ( $\pi R/2$ ). Note that for an aspect ratio  $H/R = \pi/2$ , Equations 1 and 2 return the same value, and that when the aspect ratio is lower than  $\pi/2$ , optically thick lines are enhanced in the axial direction w.r.t. the radial emission, while the opposite happens for aspect ratios larger than  $\pi/2$ .

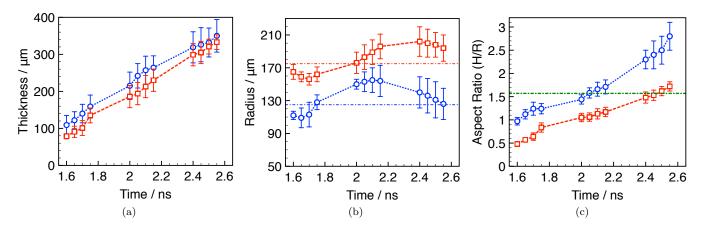


FIG. 2. Thickness H (2a), radius R (2b) and aspect ratio H/R (2c) of the R125 (blue) and R175 (red) targets as a function of time. The dot-dashed lines in both Figure 2b indicate the initial radius of each target, while the green dot-dashed line in Figure 2c marks an aspect ratio of  $\pi/2$ . The uncertainty bars correspond to shot to shot variations. Times are indicated w.r.t. the start of the laser pulse.

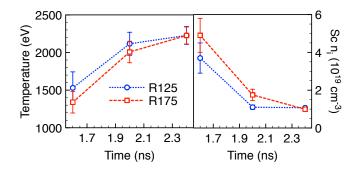


FIG. 3. Te (left) and density of scandium ions (right) for both types of targets as a function of time. Both targets evolve following the same temperature and density curves within error bars, making them comparable.

Figure 2 shows the thickness H (2a), radius R (2b) and aspect ratio H/R (2c) of the two types of targets as a function of time, as obtained from the XRPINH images, with times indicated with respect to the start of the laser pulse. The blue circles correspond to targets R125, whereas the red squares correspond to targets R175. This color convention will be maintained for the remainder of this paper. The additional dot-dashed lines in Figure 2b indicate the initial radii of the targets. It can be seen that while both targets have the same thickness at all times, their radii are consistently different by design. This causes the aspect ratio H/R of targets R175 to be lower than that of targets R125. The green dotdashed line in Figure 2c marks a value of  $\pi/2$ . While for the first timesteps, the aspect ratio is lower than  $\pi/2$ for both types of targets, it is important to note that at 2.4 ns, the aspect ratio of targets R125 is well above the  $\pi/2$  line. We denote this transition 'geometric inversion'.

The density of the plasma was measured from the XRPINH data, by imposing a condition of conservation

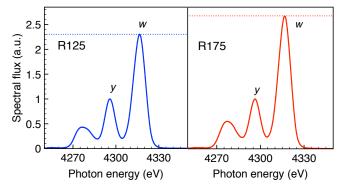


FIG. 4. Example of face-on (solid lines). The blue lines correspond to the R125 targets, while the red lines show the spectra from the R175 targets. The spectra are normalised to the peak of the y line. Additional dotted lines have been introduced in the plot to indicate the peak of the w line. These spectra were taken 1.6 ns into the laser pulse. Although both targets are at the same conditions, the w line is more enhanced w.r.t. the y line in the R175 targets, as their LOS is smaller w.r.t. to the mean chord.

of particles. The temperature distribution within the plasma was extracted by fitting the Sc and V K-shell spectra to a combination of synthetic spectra produced by the code Cretin [39] using a genetic algorithm as described in [43, 54]. These are shown in Figure 3, with the obtained temperatures on the left and the density of scandium ions on the right. For the temperature, the extent of the vertical lines corresponds to the width of the obtained temperature distribution. In the case of the densities, the error bars correspond to the uncertainty in the density from the integration time of the XRPINH. In both cases, the variation among different shots is included in the error bars. Both types of targets were found to evolve following similar temperature and

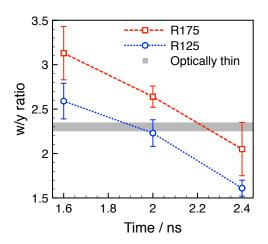


FIG. 5. Line-integrated w/y flux ratio for the face-on emission from both types of targets, and predictions for the optically thin case. Although the LOS and plasma conditions are the same in both cases, the w line is more enhanced for the R175 targets than for the R125 targets, owing to their different mean chords.

density curves, therefore making it possible to directly compare their spectral emission.

A particular example of the spectral comparison is shown in Figure 4, where the face-on emission from the Sc He $\alpha$  complex for both types of targets is plotted. These spectra were taken 1.6 ns after the start of the laser pulse, and are normalised to the peak of the y line. The additional dotted lines indicate the peak of the w line and have been added to ease the comparison. It can be seen that the w line is enhanced for targets R175 w.r.t. targets R125. It should be noted that, although Figure 4 serves as a visual aid, the line peaks are not direct measurements of the relative enhancement of the optically thick emission, and the line-integrated emission should be considered instead.

This is represented in Figure 5, which shows the ratio of line-integrated face-on emission from the w to the yline for both types of targets as a function of time. These results directly show the effect of the mean chord alone in the emission from optically thick lines. As expected, given that the aspect ratio H/R is lower for targets R175. the w line is consistently more enhanced in these targets than in targets R125, although in both cases the LOS, and temperature and density conditions are the same (as shown in Figures 2a and 3). The line ratios have been obtained following a procedure identical to that described in [46], and the error bars in the figure come from the variations in the line ratios from different shots. Additionally, the gray region shows the line ratio that corresponds to an optically thin plasma. Note that at early times, the w line is enhanced above the optically thin limit for both cases.

Alternatively, by comparing the face-on and side-on emission for a given type of target, the effect of the LOS

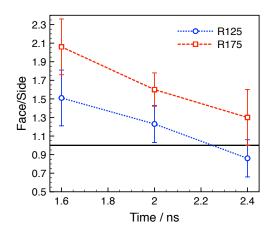


FIG. 6. Quotient between the face-on and side-on w/y ratio for both types of targets. The horizontal line corresponds to a value of one, and defines a boundary between disk-like and pipe-like cylinders.

can be studied (given that for one particular target the mean chord is unique, regardless of the direction of observation). In particular, we characterise this by using the following parameter

$$Face/Side = \frac{w/y|_{FO}}{w/y|_{SO}},$$
(3)

where  $w/y|_{view}$  indicates the line-integrated flux ratio of the *w* line with respect to the *y* line for either face-on or side-on view. This parameter represents the relative enhancement of the *w* line for axial w.r.t. radial emission, which, to a good approximation is directly related to the ratio between both LOS's, i.e.  $2H/\pi R$  (see Equations 1 and 2). Note that we are using here the mean LOS for radial emission. A more detailed treatment of the side-on emission of a plasma cylinder shows that the error introduced by this approximation is, in most cases, negligible [55].

The evolution of the Face/Side parameter for both types of targets is shown in Figure 6. As the targets expand, their LOS for face-on emission (the thickness H of the cylinders) increase significantly, while for side-on emission ( $\pi/2R$ ), the LOS stays relatively constant, as shown in Figure 2. Therefore, the LOS for both views become more and more similar, despite the initial differences, and the relative enhancement of the optically thick lines for the face-on w.r.t. the side-on emission becomes less important (the Face/Side parameter decreases).

It is particularly interesting to note that 2.4 ns into the laser pulse, the Face/Side ratio of targets R125 drops below one, while for targets R175 it does not. This is directly related to the aspect ratio of the cylinder. As shown in Figure 2c, at 2.4 ns, the aspect ratio of targets R125 is well above  $\pi/2$  (what we referred to as geometric inversion). Therefore, the LOS for face-on view is now larger than for side-on view, which translates in the sideon emission of the optically thick w line being enhanced w.r.t. its face-on emission. On the contrary, as the aspect ratio for targets R175 remains below or around  $\pi/2$ , this inversion does not happen, and thus the Face/Side ratio is greater than one. This can be understood as targets R125 becoming pipe-like while targets R175 remain disk-like. This is a direct measurement of changes in the geometry of the plasma obtained from the line ratios.

In conclusion, we have isolated the effects of the mean chord and the LOS on the spectra from optically thick lines in laboratory plasmas. To do so, we have created two cylindrical plasmas at the same conditions of temperature and density, with the same thicknesses, and only differing in their radii. By focusing on the axial emission from the w and y components of the He $\alpha$  complex we observed significant variations on the ratio of optically thick to optically thin lines owing to the differences in the mean chord of the targets. Additionally, by comparing the face-on and side-on emission of each type of target, we have obtained the first spectroscopic observation of a geometric inversion of a plasma. These results are of direct relevance to the modelling of resonant scattering in astrophysical and ICF-related plasmas where explicit spatial resolution is impossible to obtain, and demonstrate the importance of accounting for geometry in the modelling of plasma spectra.

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