

Universidad de Valladolid

ESCUELA TÉCNICA SUPERIOR DE INGENIERÍAS AGRARIAS

Departamento de Producción Vegetal y Recursos Forestales

CLIMATE AND FOREST GROWTH IN MEDITERRANEAN ENVIRONMENTS: *Pinus pinaster* and *Pinus sylvestris* in Spain

Presentada por **Stella Marys Bogino** para optar por el título de Doctora

Dirigida por

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Palencia, España. Junio de 2008

UNIVERSIDAD DE VALLADOLID

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Tesis doctoral

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Palencia, España. Junio de 2008

El Dr. **Felipe Bravo Oviedo** y la Dra. **María José Fernández Nieto**, Profesores Titulares de la Universidad de Valladolid,

CERTIFICAN:

Que la Ingeniera Agrónoma (M.Sc.) **Stella Marys Bogino** ha realizado bajo nuestra dirección el trabajo que, para optar al Grado de Doctor, presenta con el título "Climate and forest growth in Mediterranean environments: *Pinus pinaster* and *Pinus sylvestris* in Spain".

Por lo que mediante este Trabajo de tesis, se solicita el reconocimiento del Doctorado europeo de la doctoranda, quien realizó una estancia de cuatro meses en la *Georg-August Universität, Göttingen*, Alemania, bajo la supervisión del Dr. Christoph Klein (Profesor titular de dicha Universidad).

Y para que así conste a los efectos lo firmamos en Palencia, a 30 de Junio de 2008.

ABSTRACT

Climate change will affect Mediterranean forests by modifying driving factors related to different processes and functions. Relationship between tree growth and climate is crucial to develop forestry strategies to mitigate climate change impact. Dendroclimatological techniques were applied in sampling sites placed in central Spain to analyse the association between Pinus pinaster and Pinus sylvestris and climatic variables in Mediterranean environments. The objectives of this thesis were: a) To analyse the relationship between P. sylvestris and P. pinaster tree-ring width and climatic variables (precipitation and temperature). b) To explore possible shifts in the association between climate variables and tree growth over time. c) To investigate the effects of drought and pulse and interpulse water events on radial growth of P. sylvestris. d) To explore the age effect on climate-growth relationship of P. sylvestris. e) To estimate the potentiality of Intra-annual density fluctuation (IADFs) in P. *pinaster* as dendroclimatological proxy. f) To estimate the climatic variables that drive the carbon thirteen variability (δ^{13} C) in tree ring of *P. sylvestris* and *P. pinaster*. g) To analyse the variability of δ^{13} C related to water use efficiency in both species. Results showed that radial growth of P. pinaster and P. sylvestris was positively correlated with rainfall during and prior to the growing season. Mean temperature effect varied according to site altitude. P. pinaster has a changing association between radial growth and climate variables, initiated in the 1980s. A climatic response according to the age was also found in *P. sylvestris*: young stands have higher variability than old stands. Correlation between successive years was also detected in old stands. There was a significant association between radial growth of *P. sylvestris* and drought index (DRI). The periodicity related to pulse and interpulse events was two or two and a half years suggesting the strong impact of the interannual precipitation variation on radial growth. IADFs in P. pinaster showed: an increase in IADF frequency from the 40 decade to the present; the mean frequency of IADF was higher in younger than in older trees; a negative correlation between radial growth and IADFs and the IADFs may be predicted using a logistic model and monthly rainfall and temperature as independent variables. The values of δ^{13} C in *P. pinaster* and *P. sylvestris* were affected by moisture, rainfall, maximum temperature and solar radiation. P. pinaster and P. sylvestris growing in Mediterranean environments are accurate species for dendroclimatological studies to analyse tree-growth association with climate and for studying plant behaviour under global change conditions.

RESUMEN

El cambio climático afectará los bosques mediterráneos pues altera los factores que controlan sus diferentes funciones y los procesos que tienen lugar en ellos. La relación existente entre el crecimiento de los árboles y el clima es esencial para aplicar y desarrollar estrategias forestales cuyo objeto sea mitigar el impacto del cambio climático. En este contexto, se aplicaron distintas técnicas dendroclimatológicas en varios sitios de muestreo emplazados en el centro de España, para analizar la relación entre Pinus pinaster y Pinus sylvestris y las variables climáticas en los ambientes Mediterráneos. Los objetivos de esta tesis fueron: a) Analizar la relación entre el ancho de los anillos de crecimiento y las variables climáticas (precipitación y temperatura). b) Explorar posibles cambios en la asociación entre las variables climáticas y el crecimiento de los árboles a través del tiempo. c) Investigar los efectos de la seguía y de los pulsos e interpulsos de agua sobre el crecimiento radial de P. sylvestris d) Explorar el efecto de la edad de los árboles en la relación clima-crecimiento de P. sylvestris. e) Estimar la potencialidad de las variaciones interanuales de la densidad (FIAD) en P. pinaster como herramienta dendroclimatológica. f) Estimar las variables climáticas que controlan la variabilidad del carbono trece (δ^{13} C) en los anillos de crecimiento de P. sylvestris y P. pinaster. g) Analizar la variabilidad del δ^{13} C en relación con el uso del agua, en las dos especies. Los resultados demostraron que el crecimiento radial de P. pinaster y P. sylvestris se correlaciona positivamente con la precipitación, tanto antes como durante la estación de crecimiento. El efecto de la temperatura media varió de acuerdo con la altitud del sitio de muestreo. La relación entre el crecimiento radial de P. pinaster y las variables climáticas mostró una variación a través del tiempo que se inició en la década del ochenta. P. sylvestris manifestó una respuesta climática en función de la edad: los árboles jóvenes tienen más variabilidad de su crecimiento radial explicada por el clima, que los árboles viejos. En los árboles viejos se detectó correlación entre los anillos de crecimiento de años sucesivos. Hay una correlación significativa entre el crecimiento radial de P. sylvestris y el índice de seguía relativa. La periodicidad relacionada con los pulsos e interpulsos de agua fue de dos y dos años y medio, lo que sugiere un fuerte impacto de la variación interanual de la precipitación sobre el crecimiento radial. Las variaciones intraanuales de la densidad mostraron: un aumento de la frecuencia de FIAD en P. pinaster desde la década de los cuarenta hasta la actualidad; la frecuencia media de FIAD fue más alta en los árboles jóvenes que en los viejos y también se detectó una correlación negativa entre el crecimiento radial y las FIAD. Las FIAD podrían predecirse usando un modelo logístico que tiene a la precipitación y la temperatura mensual como variables independientes. La variabilidad del isótopo carbono trece (δ^{13} C) en *P. pinaster* y *P.* sylvestris está afectada por la humedad, la precipitación, la temperatura máxima y la radiación solar. En los ambientes Mediterráneos, P. pinaster y P. sylvestris son especies óptimas para estudios dendroclimáticos que analicen la asociación entre el crecimiento de los árboles y el clima, así como para estudiar el comportamiento de las bosques mediterráneos en un entorno de cambio climático.

AGRADECIMIENTOS

A mi director Felipe Bravo Oviedo y Co-Directora María José Fernández Nieto, por su apoyo permanente.

A las Universidades de Valladolid, España, y *Georg-August Universität*, *Göttingen*, Alemania, en las que desarrollé este programa de doctorado.

Al Programa Alβan, Programa Europeo de Becas de Alto Nivel para América Latina, beca # E05D049920AR; al Programa de Becas *Erasmus* de la Universidad de Valladolid y a los proyectos de la Junta de Castilla y León, España, Código VA096A05, y del Ministerio de Educación y Ciencia, España, Códigos AGL2004-07094-C02-02 y AGL2007-65795-C02-01 por la ayuda económica.

A la Agencia Estatal de Meteorología, España, por haberme facilitado los datos climáticos.

A la Universidad Nacional de San Luis, Argentina, y a la Escuela Remedios Escalada de San Martín, Argentina, por la licencia otorgada durante estos tres años para la realización del presente doctorado.

Un especial agradecimiento a Vicente Rozas, Esteban Jobbágy y Mariano Morales por los inestimables comentarios realizados a esta tesis. A María Laura Cangiano por las correcciones del texto en Inglés, a Iñaki Etxebeste Larrañaga y Reinhard Langel por la inestimable ayuda en los capítulos de densidad e isótopos, respectivamente, y a Cristóbal Ordóñez por la ayuda en el trabajo de campo.

A Wilson Lara, Iñaki Etxebeste Larrañaga y Javier Castaño, por la edición final de la tesis.

A Celia Redondo por su ayuda incondicional con la documentación, siempre que la he necesitado.

A aquellas personas que me han hecho sentir la vida un poco más fácil durante estos tres años lejos de mi querido país: en España: Encarna Rodríguez García y familia, Antonio Sanz, María Gómez, Antonio Urchaga, Pilar Valbuena, Claudia Escudero, Jorge Martín García y familia, Iñaki Etxebeste Larrañaga, Leticia Botella, Yésica Palavecini, Celia Herrero de Aza y familia, Patricia Recio, Lucía de Soto Suárez, Darío Martín Benito, José Reque y familia, Wilson Lara, Javier Castaño, Amelework Kassa, Luis Fernando Osorio, Gonzalo Álvarez Baz, Laura Benito y Charo Litardo y familia. En Argentina: Elena Riccio, María Victoria Vega, Mirta Gómez, Zunilda Furlán, Hernando Casagrande, Liliana Gabutti, Edgardo Guerrero, Graciela Ardú, Emilio Lotto, Ana María Bogino, Andrés Bogino, Daniel Bogino, Anselmo Avila, María Pachoud, Patricia Pagnone, Lautaro Barbaglia, Agostina Serrano, Rosita Giraudo, Zoraide Fernández Montero, Salvador Micali, Ana María Careaga, Claudia Dustchazky, Olga Callovi, Iván Niveyro, Patricia Vidal, María Eugenia Moreno, Maximiliano Dellacassa y Nedda Rimondi. En Alemania: Hardy Schilling, Frank Bräuer, Michael Barthel, Lena Hollmann, Frank Stein, Anja Sölter, Nicola Köberl and Benjamin Jung.

A mis alumnos de la Escuela y de la Universidad en Argentina, por todo lo que me han enseñado durante estos 20 años. Al estudiante Juan Politano quien dio su vida luego de salvar a mucha gente en la explosión de la Universidad de Río Cuarto el 5 de diciembre de 2007, mi dolor y mi gratitud.

A mi abuela, María Adelia Perassi, siempre estarás en mis recuerdos.

A mis padres y maestros, Manuela Juana Sacco y José Antonio Bogino.

A mi querido país, Argentina, por todo y siempre.

ACKNOWLEDGEMENTS

Thanks to my director Felipe Bravo Oviedo and Co-Director María José Fernández Nieto for their permanent support.

To the Universidad de Valladolid (Spain) and Georg-August Universität, Göttingen (Germany) where I did this PhD Program.

To the Programme Alβan, the European Union Programme of High Level Scholarships for Latin America, scholarship # E05D049920AR; the Erasmus Scholarship of the *Universidad de Valladolid* and the research projects from the Regional *Castilla y León* government (Spain), Project Code VA096A05 and the *Ministerio de Ciencia y Educación* (Spain), Project Code AGL2004-07094-C02-02 and AGL2007-65795-C02-01 for economical support.

To the Agencia Estatal de Meteorología (National Meteorological Agency, Spain) for providing meteorological data.

To the *Universidad Nacional de San Luis* (Argentina) and the *Escuela Remedios Escalada de San Martín* (Argentina) for the extended leave they gave me along these three years.

Special thanks to Vicente Rozas, Esteban Jobbágy and Mariano Morales for the very useful comments made to this work. Thanks are also extended to María Laura Cangiano for improving the English version, to Iñaki Etxebeste Larrañaga and Reinhard Langel for helping me with the density and isotope chapters, respectively, and to Cristobal Ordoñez for field assistance.

Thank are also extended to Wilson Lara, Iñaki Etxebeste Larrañaga and Javier Castaño for the final edition of the thesis.

To Celia Redondo for helping me with my documents whenever I needed it.

To those people who have supported me throughout these three years far away from my beloved land. In Spain: Encarna Rodríguez García and family, Antonio Sanz, María Gómez, Antonio Urchaga, Pilar Valbuena, Claudia Escudero, Jorge Martín García and family, Iñaki Etxebeste Larrañaga, Leticia Botella, Yesica Palavecini, Celia Herrero de Aza and family, Patricia Recio, Lucía de Soto, Darío Martín Benito, José Reque and family, Wilson Lara, Javier Castaño, Amelework Kassa, Laura Benito, Charo Litardo and family, Gonzalo Álvarez Baz and Luis Fernando Osorio. In Argentina: María Victoria Vega, Mirta Gómez, Zunilda Furlán, Hernando Casagrande, Liliana Gabutti, Edgardo Guerrero, Graciela Ardú, Emilio Lotto, Ana María Bogino, Andrés Bogino, Daniel Bogino, Anselmo Avila, María Pachoud, Patricia Pagnone, Lautaro Barbaglia, Agostina Serrano, Rosita Giraudo, Zoraide Fernández Montero, Salvador Micali, Elena Riccio, Edith Rojas, Ana María Careaga, Claudia Dustchazky, Olga Callovi, Iván Niveyro, Patricia Vidal, María Eugenia Moreno, Maximiliano Dellacassa and Nedda Rimondi. In Germany: Hardy Schilling, Frank Bräuer, Michael Barthel, Lena Hollmann, Frank Stein, Anja Sölter, Nicola Köberl and Benjamin Jung.

To my school and university students for everything you have taught me along these years. My sorrow and gratitude to the student Juan Politano, who died after saving the life of many people in the explosion at the *Universidad Nacional de Río Cuarto* the 5th of December 2007.

To my grandmother María Adelia Perassi, you will be always in my memories.

To my parents and teachers, Manuela Juana Sacco and José Antonio Bogino.

To my dearly loved land Argentina, for everything.

Con sólo pensarte, soy feliz, por eso esta tesis es para vos, mi dulce y amada hija María Laura.

Si piensan en las cualidades que una persona perfecta debería tener, tal vez puedan comprender cómo es ella.

I think about you and I am very happy. This thesis is for you, my sweet and beloved daughter María Laura.

If you think about the gifts that a perfect person should have, you may understand how she is.

CONTENTS

ABSTRACT	. vii
RESUMEN	ix
AGRADECIMIENTOS	xi
ACKNOWLEDGEMENTS	xiii
CONTENTS	xvii
1. INTRODUCTION	1
1.1. Climate change and Mediterranean environments	1
1.2. Species studied	1
1.2.1. Pinus pinaster Ait	1
1.2.2. Pinus sylvestris L.	2
1.3. Dendrochronological tools available for climate growth relationship analysi	s3
1.3.1. Tree-ring growth	5
1.3.2. Intra-annual density fluctuations (IADFs)	5
1.3.3. Isotopes	5
1.4. Objectives	6
1.4.1. Tree-ring growth-climate relationship	6
1.4.2. Tree-ring growth-atmospheric indexes relationship	6
1.4.3. Tree-ring growth-water availability relationship	6
1.4.4. Intra-annual density fluctuations	7
1.4.5. Isotope study	7
2. MATERIALS AND METHODS	9
2.1. Study sites	10
2.2. Dendrochronological methodology	10
2.2.1. Fiel and laboratory work	10
2.2.2. Climate-growth relationship	11
2.2.3. Intra-annual density fluctuations analysis	13
2.2.4. Isotope analysis	14
2.3. Climatic data	15
3. RESULTS	17
3.1. Chronology description	17
3.2. Climate growth association: multiple stepwise and bootstrapped analysis	17
3.3. Climate growth association over time: the Kalman filter technique in <i>P</i> .	
pinaster	17
3.4. NAO and SOI effect on radial growth	18
3.5. Climate and radial growth of <i>P. sylvestris</i>	18
3.6. IADFs and climate results	19
3.7. Carbon isotopes and climate results	19
4. DISCUSSION	21
5. CONCLUSIONS	27
6. CONCLUSIONES	29
REFERENCES	31
LIST OF ORIGINAL ARTICLES	I
Growth response of <i>Pinus pinaster</i> Ait. to climatic variables in central Spanish fore	sts
Kesumen	111

•

SOI and NAO impacts on <i>Pinus pinaster</i> Ait. growth in Spanish forests	V V
Drought and climate effect on radial growth of <i>Pinus sylvestris</i> L. in its souther	n and
western distribution threshold.	VII
Resumen	VII
Climate and intra-annual density fluctuations in Pinus pinaster in Spanish wood	ilands
	IX
Resumen	IX
Stable carbon isotope ¹³ C in <i>Pinus pinaster</i> and <i>Pinus sylvestris</i> tree rings: clim	atic
signals and water use efficiency in Mediterranean environments	XI
Resumen	XI

TABLA DE CONTENIDOS

RESUMEN	ix
AGRADECIMIENTOS	xi
CONTENIDOS	vii
1. INTRODUCCIÓN	1
1.1. El cambio climático y los ambientes Mediterráneos	1
1.2. Especies estudiadas	1
1.2.1. Pinus pinaster Ait	1
1.2.2. Pinus sylvestris L.	2
1.3. Herramientas dendrocronológicas para el análisis de la relación crecimiento-	
clima	3
1.3.1. El ancho de los anillos de crecimiento	5
1.3.2. Las fluctuaciones intraanuales de la densidad (FIAD)	5
1.3.3. Los isótopos	5
1.4. Objetivos	6
1.4.1. Relación entre el clima y el ancho de los anillos de crecimiento	6
1.4.2. Relación entre los índices atmosféricos y el ancho de los anillos de	
crecimiento	6
1.4.3. La relación entre la disponibilidad de agua y el ancho de los anillos de	
crecimiento	6
1.4.4. Fluctuaciones intraanuales de densidad (FIAD)	7
1.4.5. Estudio de isótopos	7
2. MATERIALES Y MÉTODOS	9
2.1. Los sitios de estudio	.10
2.2. La metodología dendrocronológica	.10
2.2.1. El trabajo de campo y de laboratorio	.10
2.2.2. La relación del clima con el crecimiento	.11
2.2.3. El análisis de las fluctuaciones intraanuales de densidad	.13
2.2.4. El análisis de isótopos	.14
2.3. Los datos climáticos	.15
3. RESULTADOS	.17
3.1. Descripción de las cronologías	.17
3.2. Relación clima-crecimiento: regresión múltiple y análisis bootstrapped	.17
3.3. La relación del clima con el crecimiento a través del tiempo: el filtro de	
Kalman en P. pinaster	.17
3.4. El efecto de la NAO y del SOI sobre el crecimiento radial	.18
3.5. Clima y crecimiento radial de <i>P. sylvestris</i>	.18
3.6. FIAD y clima	.19
3.7. Los isótopos del carbono y el clima	.19
4. DISCUSION	.21
6. CONCLUSIONES	.29
BIBLIOGRAFÍA	.31
LISTA DE ARTÍCULOS ORIGINALES	I
La respuesta del crecimiento de Pinus pinaster Ait. a las variables climáticas en los	
bosques del centro de España	. III
Resumen	.III

El impacto deL SOI y de la NAO sobre el crecimiento de Pinus pinaster Ait.en los	
bosques esañoles	. V
Resumen	. V
El efecto de la sequía y del clima sobre el crecimiento radial de Pinus sylvestris L. en	1
el límite de su distribución sudoeste.	٧II
Resumen	٧II
El clima y las fluctuaciones intraanuales de densidad en Pinus pinaster en los bosque	es
españoles	IX
Resumen	IX
El isótopo carbono ¹³ C en los anillos de crecimiento de <i>Pinus pinaster</i> y <i>Pinus</i>	
sylvestris: señal climática y eficiencia en el uso del agua en los ambientes	
Mediterráneos	XI
Resumen	XI

1. INTRODUCTION

1.1. CLIMATE CHANGE AND MEDITERRANEAN ENVIRONMENTS

Climate change will affect Mediterranean forests by modifying driving factors related to different processes and functions. Complex interactions between climate and biotic and abiotic factors are leading to more complex forest management in the future.

Mediterranean environments in the Iberian Peninsula, which are water limited ecosystems, are characterised by summer droughts and high interannual variability of precipitation and temperature. In summer months, when temperature is favourable for growth, moisture is limiting; whereas in winter, when moisture is available, low temperature limits further growth (Mooney and Dunn, 1970). In arid and semi-arid ecosystems, where water is a limiting resource, water availability experiences two phases: pulse, when the resource is available, and interpulse, when water availability is too low for plant use (Noy-Meir, 1973; Goldberg and Novoplansky, 1997).

Projections of climate change impact on the European distribution of higher plants in 2050 suggest that the Iberian Peninsula could be one of the most vulnerable areas for species lost (Bakkenes et al., 2002). Climate shifts have already taken place in the region, as exemplified by the reduction of rainy days by 50 and 30% over the southern coast of Spain and the Pyrenees in the last century, respectively (Esteban-Parra et al., 1998; Rodrigo et al., 2000). On the other hand, the mean annual temperature has increased about 1.6° C in the Iberian Peninsula over the last century (Parry, 2000; IPCC, 2007).

Global change effect on tree growth is essential to understand tree growth and productivity response to the warming environment, and the impact that this response could have on global warming and on the other hand, is crucial to understand and model the carbon sequestration process in forests and to develop forestry strategies to mitigate climate change impact.

1.2. SPECIES STUDIED

1.2.1. Pinus pinaster Ait.

The Mediterranean Maritime pine (*Pinus pinaster* Ait.) is a characteristic species in Mediterranean forests, and is mainly located in the Iberian Peninsula (Blanco et al., 1997). This species shows a wide ecological range (Nicolas and Gandullo, 1967), and can survive under high or low temperatures, under regular or variable rainfall as well as under severe droughts. It is adapted to the extremely cold winters of the continental climate in central Spain and to the mild, temperate winters of the Atlantic coast in the western Iberian Peninsula [Alía et al., 1996; Blanco et al., 1997 (Figure 1)].

In Spain, the Mediterranean Maritime pine constitutes pure and mixed woodlands that are both natural (1 million ha) and planted (0.6 million ha) (DGCN, 1998; 2002). These woodlands are mainly spread over the northern Spanish plateau up to 1500 m. In this area, the climate is characterised by irregular rainfall, between and within years,

and high temperatures in summer. The soils are sandy and rocky and very well drained. Even though the Mediterranean Maritime pine had been used widely on plantations in the Iberian Peninsula, this species grows naturally in some areas, where it is the best adapted woody species (Blanco et al., 1997). Fossil *P. pinaster* cones and pollen dating from the Superior Pliocene have been found in the Iberian Peninsula, suggesting a Pre-Mediterranean origin for this species and evolution in tropical-like environments that gradually incorporated seasonal aridity (Di Castri, 1981). Authors suggest that the Iberian System is the natural origin of and centre of distribution for this species (Blanco et al., 1997).

The Mediterranean Maritime pine has rarely been used for Dendrochronology and Dendroclimatology because stands older than 100 years are very difficult to find. In addition, trees from the lower mountain level often have false rings and most trees have been damaged by resin harvest in the past (Schweingruber, 1993).

1.2.2. Pinus sylvestris L.

Scots Pine (*Pinus sylvestris* L) covers a very wide ecological spectrum: across Eurasia, together with other species, it dominates forest landscapes from boreal region in northern Europe and Russia to the western Mediterranean mountains in southern Europe.



Figure 1. Distribution of *P. pinaster* (gray), and *P. sylvestris* (black) in Spain (Adapted from *www.mma.es*).

It grows in the cold continental climate of northern Asia as well as in the semiarid climate of southern Europe. On northern European sites, temperatures in January average around -15° C (the minimal temperature is around -40° C), in southern Europe,

around 8°C. On the northern forest border, temperatures in July are about 10°C, while in the Mediterranean region they can reach 22°C. In Western Europe, annual precipitation is 2500 mm, but in southern Europe only 400 mm. On all natural sites, Scots pine grows up to 300 year old and it could reach 600 years on dry sites. Scots Pine is the most widely distributed species of pine in the world (Blanco et al., 1997); along with Norway spruce [Picea abies (L.) H. Karst.], it possesses the highest dendrochronological potential in Europe (Schweingruber, 1996). Forests of P. sylvestris in Spain are the southern and western distribution threshold worldwide of that species and occupy drier areas than in the other parts of the world (Barbéro et al., 1998; Figure 1). These dry areas of distribution of this species which usually grows in humid environments are the first places to investigate the effects of increased aridity (Martínez Vilalta and Piñol, 2002). Besides, in assessing the impact of global warming on ecosystems, any changes in tree growth are likely to occur first in those tree stands placed at the ecological boundary of the species (Tessier et al., 1997). In Spain, P. sylvestris stands occupy 1 210 000 ha, split approximately in 50% pure and 50% mixed stands (DGCN, 1998; 2002).

1.3. DENDROCHRONOLOGICAL TOOLS AVAILABLE FOR CLIMATE GROWTH RELATIONSHIP ANALYSIS

Dendrochronology is the science of dating tree rings of woody species. Due to the cambial activity, a tree ring is formed every year and the analysis of tree rings provides useful information about both the structure of dated rings and applications to environmental and historical questions (Kaennel and Schweingruber, 1995). Dendroecology is a sub field of Dendrochronology which utilizes dated tree rings to study ecological problems and the environments, for example: endogenous disturbances due to interactions between trees; exogenous disturbances as for example: climate impact, fire, insect outbreaks, etc. (Figure 2).

Different methodological approaches can be used to explore tree growth-climate relationships, but dendroclimatological studies are valuable tools in detecting long-term changes in radial growth in woody species related to climatic variable response as a result of warmer conditions and precipitation variability (Andreu et al., 2007).



Figure 2. Dendrochronological history of a tree life and related historical events (Adapted from Schweingruber, 1988 and redrawn by A. Muñoz)

1.3.1. Tree-ring growth

Tree-ring widths of conifers offer some of the best climatological reconstructions of semiarid regions (Schweingruber, 1996), as in the case of annual precipitation estimates in Mediterranean climates (Tessier et al., 1997). On the other hand, different climatic variables (mainly derived from precipitation and temperatures but also derived from atmospheric pressure balance) can be used to express climatic oscillation and to derive relationships between tree growth parameters and climatic signal (D'Arrigo and Jacoby, 1992; Jones et al., 1997).

Tree-ring growth is influenced by several simultaneous environmental factors: solar radiation, temperature, water precipitation, soil nutrient content, etc. Depending on conditions and species, one or more of these factors can become limiting for tree growth (Fritts, 1976). Climate variability often explains past tree growth (Hughes, 2002), helping in the projection of future growth responses in the context of climate change (Yeh and Wensel, 2000).

1.3.2. Intra-annual density fluctuations (IADFs)

Intra-annual density fluctuations (IADFs), which include false rings, growth bands, double rings and multiple rings, are anomalies in ring growth that are formed by latewood-like cells within the earlywood, or earlywood-like cells within the latewood (Fritts, 1976).

When IADFs are properly identified, intra-annual structures from trees growing in xeric environments may be used to develop records of growing seasons characterised by early drought and followed by moist conditions (Villalba and Veblen, 1996). Species growing under a Mediterranean climate, which is characterised by summer drought and high inter-annual variability in precipitation and temperature, commonly show special anatomical characteristics in tree rings (Schweingruber, 1993). Consequently, the importance of incorporating intra-annual features or anomalies in radial growth may be useful for ecological and climatological interpretation (Tessier et al., 1997).

1.3.3. Isotopes

The isotopic composition of carbon stored in the growth rings of trees may represent a record of variations in ${}^{13}C/{}^{12}C$ as a result of physiological responses to environmental changes (Francey and Farquhar, 1982). This ratio is expressed as $\delta^{13}C$, the proportional deviation of the ${}^{13}C/{}^{12}C$ ratio from the international accepted PDB carbonate standard (Craig, 1957). Since cellulose is not transferred between annual growth rings, intra and interannual seasonal events are recorded permanently in $\delta^{13}C$ signal in tree ring (Tans et al., 1978).

Water use efficiency (WUE), the amount of carbon assimilated per unit leaf area per unit time at per unit cost of water (Ehleringer et al., 1993) and δ^{13} C are positively

related to each other (Farquhar et al., 1989). The crucial interdependence between carbon and water relations of plants can be particularly well studied by the use of stable carbon isotopes. Determination of δ^{13} C was suggested as a sensitive long-term monitoring of physiological changes (Francey and Farquhar, 1982).

1.4. OBJECTIVES

This thesis has a main objective: to explore the impact of climate on pine trees growth under Mediterranean conditions. This general objective is asses by the following specific objectives.

1.4.1. Tree-ring growth-climate relationship

The relationship between tree-ring growth and climatic variables is essential to predict the future growth trend of *P. pinaster* and *P. sylvestris*. The knowledge of the growth response of these species to past climate variability can help us to explore how its populations, and the ecosystems they dominate, will behave in the future.

Objective 1: To analyse the relationship between *P. pinaster* and *P. sylvestris* treering width and climatic variables (precipitation and temperature), and to explore possible shifts in the association between these climate variables and tree growth over time as a result of changing environmental conditions.

1.4.2. Tree-ring growth-atmospheric indexes relationship

There are no previous studies made on the impact of the SOI and NAO indexes on conifers growing in the Iberian Peninsula. As many scientists argue about both indexes have global impact on the earth's surface, it could be an excellent opportunity to analyse the relationship between these indexes and the growth of woody species.

Objective 2. To analyse the relation between the *P. pinaster*'s tree-ring width and the NAO and SOI atmospheric indexes in Eastern Spain.

1.4.3. Tree-ring growth-water availability relationship

In the Iberian Peninsula, *P. sylvestris* grows in its southern and western distribution threshold worldwide and under water limited conditions. Therefore, dendroclimatological studies may provide valuable information about both, the association with climatic variables and the interannual water availability effect (pulse and interpulse) on radial growth.

Objective 3. To investigate the drought effect and pulse and interpulse water events on radial growth of *P. sylvestris* and to analyse cambial age effect on climate-growth relationship.

1.4.4. Intra-annual density fluctuations

As conifers growing in dry environments tend to produce false rings, and as dendroclimatological studies concluded that *P. pinaster* is very susceptible to rainfall during the growing season [especially in spring and early summer (Paper 1)], the study of IADFs in *P. pinaster* may be a useful tool for enhancing dendroclimatological studies based on tree-ring width fluctuations, and for reconstructing past intra-annual climate events.

Objective 4. To estimate the potentiality of intra-annual density fluctuation (IADFs) features in *P. pinaster* as dendroclimatological proxy.

1.4.5. Isotope study

There is not information about stable carbon isotope in tree rings of *P. pinaster* and *P. sylvestris* growing under Mediterranean climate conditions. Stable carbon isotope variability may provide very valuable information about the climatic variables which affect this variability and WUE as a result of globally changed conditions.

Objective 5. To estimate the climatic variables that determine the δ^{13} C signal in *P. sylvestris* and *P. pinaster* tree rings, and to analyse the variability in δ^{13} C in relation to water use efficiency.

2. MATERIALS AND METHODS

Dendroclimatological tools (tree-ring width, IADFs and carbon isotopes) were applied on Pinus pinaster and Pinus sylvestris, two species that constitute pure and in ecosystems the Peninsula. mixed forests Mediterranean in Iberian Dendroclimatology, analysing tree ring and climate association, give information about past growth-climate relationship which may provide very valuable information about future tree growth on changing climatic conditions. Linear and non-linear models were applied to estimate this association between climatic conditions and tree ring. Figure 3 summarizes the main facts of the thesis.



Figure 3. Main facts of the thesis that relate the parts involved in the dendroclimtological study of *P. pinaster* and *P. sylvestris* in the Iberian Peninsula. Dark lines mean incoming variables and dashed lines are modeled variables.

2.1. STUDY SITES

Ten and six sampling sites for *P. pinaster* and *P. sylvestris*, respectively, were selected from different bioclimatological ecoregions where these species grow in the Iberian Peninsula. Each ecoregion has common physiographic, climatic and lithological characteristics (Elena Roselló et al., 1997; Table 2.1).

Table 2.1. Ecoregion classification,	geographical position a	and mean basal area	of ten P. pinaster (A	Pp) and six
P.sylvestris (Ps) sampling sites acro	ss its natural distribution	on area in the Iberian	1 Peninsula.	

Site	Eco-region	Sp.	Lat. N	Long. W	Alt. (m)	BA (m ² ha ⁻¹)
P42201	Duriense	Pp	41° 33' 43"	02° 55' 17"	1012	53.84
P42002	Duriense	\hat{Pp}	41° 34' 03"	02° 35' 51"	1059	34.84
P16201	Catalano-Aragonesa	\hat{Pp}	39° 50' 06"	01° 16' 37"	1078	45.83
P44002	Catalano	\hat{Pp}	40° 19' 07"	01° 21' 18"	1437	40.17
P44005	Catalano	\hat{Pp}	40° 20' 47"	01° 21' 54"	1364	45.73
P44204	Catalano	\hat{Pp}	40° 20' 01"	01° 21' 26"	1232	34.33
P16008	Litoral-Mediterranea	\hat{Pp}	39° 48' 56"	01° 15' 36"	920	51.44
P16106	Litoral-Mediterranea	\hat{Pp}	39° 50' 17"	01° 16' 11"	970	36.66
P16202	Machega	\hat{Pp}	39° 49' 48"	01° 17' 38"	1135	41.69
P16208	Machega	\hat{Pp}	39° 50' 28"	01° 17' 54"	1090	34.74
Miñon	Galaico-Cantábrica	\hat{Ps}	42° 54' 46"	03° 21' 27"	860	37.18
Oña	Galaico-Cantábrica	Ps	42° 58' 22"	03° 18' 12"	760	32.25
El Espinar	Machega	Ps	40° 38' 58"	04° 12' 07"	1426	19.19
Molino	Catalano-Aragonesa	Ps	42° 04' 36"	02° 30' 18"	1676	38.66
El amogable	Duriense	Ps	41° 50' 44"	02° 55' 48"	1134	56.88
Arauzo de miel	Duriense	Ps	41° 53' 04"	03° 21' 32"	1081	41.55

2.2. DENDROCHRONOLOGICAL METHODOLOGY

2.2.1. Fiel and laboratory work

During the summer of 2006, two cores were extracted, at 1.30 m above ground level, from fifteen dominant and co-dominant trees at each sampling site. As growth of tapped trees may be affected by resin extraction, such trees were avoided. Cores were glued on to channelled wood, dried for two weeks and polished with progressively thinner sandpaper. In order to detrmine the calendar year in which a tree ring was formed, tree rings were dated by use of a binocular microscope Nikon SMZ1000 (20X), according to standard dendrochronological techniques (Stokes and Smiley, 1968; Fritts, 1976; Cook and Kairiukstis, 1990). The cores in transverse sections were scanned at high resolution (2.000 dpi) with an Epson Expression 1640 XL scanner (0.01-mm accuracy), and rings were measured by use of the WinDENDRO programme (Regent Instrument Inc., 2002). The v6.06P COFECHA programme (Holmes, 2001; Grissino-Mayer, 2001; available at www.ltrr.arizona.edu) was applied to assess measurement and dating accuracy. COFECHA allows calculation of the Pearson's correlation indices for the indexed tree-ring series and a master reference chronology

in a series of consecutive, partially overlapping segments of a length specified by the user. Dating is essential for any dendroclimatological study, and it is impossible to compare climatic variables in one specific year with tree-ring growth if the individual tree-ring series are not dated correctly.

2.2.2. Climate-growth relationship

To eliminate biological trends in tree-ring series and to minimize growth variations that are not shared by most trees (Fritts, 1976), the v6.05P ARSTAN programme (Cook and Holmes, 1984; Holmes, 2001; available at www.ltrr.arizona.edu) was used. Standardisation removes geometrical and ecological trends while preserving interannual high-frequency variations that are presumably related to climate. To obtain a master chronology at each study site, the standardized series were averaged. These temporal series or master chronologies expressed the annual variations in *P. pinaster* and *P. sylvestris* radial growth at the population level in each sampling site.

Chronology quality was evaluated using mean sensitivity (MS), is the degree to which a tree reacts to environmental factors or the measure of the year-to-year variability (Schweingruber 1996); signal-to-noise ratio (SNR), the proportion of the variability explained by climate or other causal factors divided by the residual or unexplained variability (Fritts and Swetnam, 1989); and expressed population signal (EPS), which describes how a finite sample estimates the hypothetical infinite population (Wigley et al., 1984, Briffa, 1995). Chronology was considered confident with an EPS value higher than 0.85.

2.2.2.1. Climate-growth relationship at regional scale

The common variance between all chronologies was analysed using a Principal Component Analysis for the common growth period 1952-2005 (Sokal and Rohlf, 1995) using Infostat V.2 (Di Rienzo et al., 2002). The variance explained by the first principal component (PC1) was used as an indicator of the similarity among the chronologies. PC1 was used as a regional chronology of *P. pinaster* in central Spain.

To determine the climatic variables that control *P. pinaster* radial growth, the average of the meteorological station data were compared with the regional chronology (PC1).

2.2.2.2. Climate-growth relationship at local scale

The local chronologies were compared with the meteorological stations closest to the site analysed. The period explored was from the previous June to September of the current growth year. The v 5.17 PRECON programme (Fritts, 1999; available at www.ltrr.arizona.edu) was used to compute the response functions of tree growth to climate by means of a multiple stepwise regression. Coefficients were considered significant at *p<0.05 and **p<0.01. A bootstrapped analysis was also applied to

improve the statistical significance of the correlation coefficients. In this analysis, 1000 bootstrap interactions were made.

2.2.2.3. Climate-growth relationship over time

The Kalman filter, which estimates regression models by time-varying coefficients, was applied to determine if climatic variable effect changed over time (Fritts, 1998). The output of this analysis included predicted tree-ring indexes and the confidence interval (95% level); therefore, when the interval did not include a zero value, the regression coefficient was considered as significant (Kalman filter, V5.17 PRECON programme).

2.2.2.4. Drought index effect and pulse and interpulse analysis

The drought index (DRI) of Thornthwaite (1948) was applied to detect pulseinterpulse effect on radial growth of *P. sylvestris*. The DRI at each sampling site was calculated from the months that at the response function analysis showed a significant association between precipitation and radial growth (May and June at *Miñon, Oña* and *Arauzo de Miel* sites; June and July at *El Espinal* site and July and August at *Molino Piqueras* and *Amogable* sites). Monthly DRI was calculated by the formula,

$$DRI = P - PET \tag{2.1}$$

where DRI is the drought index, P = monthly precipitation and PET = the potential evapotranspiration estimated from the monthly mean temperature and the geographical position of the meteorological station.

The Pearson's correlation coefficient was applied between DRI and tree-ring width at each sampling site (Sokal and Rohlf, 1995). A time series analysis was performed to detect both autocorrelation between consecutive tree-ring index and periodicity in the radial growth fluctuation due to pulse and interpulse frequency (Box and Jenkins, 1976). Tree-ring index autocorrelation of the six sampling sites was estimated using the autocorrelation function (ACF). The lag number changed according to the sampling site due to the fact that the series length was different. All lags were shorter than 25% of the total tree-ring series at each sampling site. The ACF provided information about the correlation between a specific year and the previous one. Coefficients statistically significant were those higher than the lines at a confidence level of 95%. Period-grams of the tree-ring index series were also calculated. The occurrence and periodicity of cycles was determined using the inverse of the peak of highest intensity which results from the spectral density due to the frequency. In order to eliminate the high peak in the lower frequency that may hide other cycles, the original series were transformed in a first order integrated series using a first difference transformation (Box and Jenkins, 1976), Infostat V.2 (Di Rienzo et al., 2002).

2.2.3. Intra-annual density fluctuations analysis

Once the cores were dated accurately, they were re-examined in a binocular microscope Nikon SMZ1000 (20X). Differentiation between a true tree ring and an IADF was established by the clear boundary in the true annual ring and the progressive and gradual transition in cell size and wall thickness in the IADFs (Masiokas and Villalba, 2004).

Photographs were obtained with a digital camera Leica DFC290 with a binocular microscope Leica S8APO. As the number of samples changed over time, the relative frequency was calculated with the following formula:

$$F = \frac{n}{N},\tag{2,2}$$

where F is the number of IADFs per year; n the number of trees that formed the IADF and N the total number of trees analysed. As the number of samples changed over time, the bias in the frequency was assessed by calculating the stabilized IADF frequency (*f*), according to the formula of Osborn et al. (1997), so

$$f = F^{0.5} . (2,3)$$

The Pearson's correlation between stabilized IADFs was applied to detect common patterns in IADFs at different sites. ANOVA and Fisher's test were used to detect significant differences in stabilized IADF between sites and over time. To determine the variability in IADFs over time, sampling sites of more than 100 years old were subdivided into two periods (between 1886 and 1939 and between 1940 and 2005). The Pearson's correlation between the frequency of IADFs and the residual tree-ring series (Paper 1) was also calculated to analyse the relationship between IADFs and radial growth (Sokal and Rohlf, 1995). Infostat V.2 software (Di Rienzo et al., 2002) was used to statistical analysis.

The nonlinear logistic equation form was chosen to model the probability of occurrence of IADFs in *P. pinaster* rings,

$$P = \left(1.0 + e^{-z}\right)^{-1} \tag{2,4}$$

where P is the probability of IADFs and $Z = b_0+b_1(x_1)+b_2(x_2)+...+b_k(x_k) + \varepsilon$; where x_1 ; x_2 x_k are the climatic variables and b_0 ; b_1 ; b_2 b_k are unknown parameters of the model, ε is a normal random error N(0,1), and *e* is the exponential operator.

The logistic equation can be formulated to accept a binary variable such as occurrence of IADFs, and the parameters can be estimated by maximum-likelihood methods. The resulting prediction is bounded by 0 and 1. Monthly rainfall and mean monthly temperature were used as explanatory variables. A stepwise selection method

was used to find the best model. The alternative fits were evaluated on the basis of Akaike information criterion (AIC), the area under the receiver operating characteristic (ROC) curve and the expected behavior - as indicated by the signs of the parameters estimates. The area under the ROC curve can be considered as an estimator of accuracy. This curve, which is widely used in health sciences but not in Dendrochronology, relies on false/true-positive/negative tests, and the sensitivity is indicated by the proportion of correctly classified events and the specificity by the proportion of correctly classified non-events (Hair et al. 1998). PROC LOGISTIC of SAS 9.1 (SAS Institute Inc., 2004) was used to fit the model.

2.2.4. Isotope analysis

The δ^{13} C isotope was determined on whole wood (Schleser et al., 1999a; Babour et al., 2001). Cellulose was not extracted from the wood as these two components were shown to yield highly correlated signals (Borella and Leuenberger, 1998) and even higher climatic signal can be detected in the untreated material (Loader et al., 2003). Powdered material was obtained for each tree ring with a Micromot 40E instrument with a 0.5 mm thick needle. The samples were obteined after identification of the previously dated tree ring by use of a binocular microscope. Each sample was analysed individually, the material was weighted, and for each tree ring, 0.2-0.3 mg of powered material was placed into tin capsules. The stable carbon isotope was measured with an NA 2500 elemental analyser (CE Instruments, Rodano, Italy), with an isotope ratio mass spectrometer (Finningan MAT Delta plus, Bremen, Germany).

The isotopic composition (δ^{13} C) of samples was determined with the formula,

$$\delta^{13}C(\%) = \frac{\binom{13}{C} \binom{12}{C}}{\binom{13}{C} \binom{12}{C}}_{PDB-1} \times 10^{3}$$
(2,5)

where δ^{13} C (‰) is the proportional deviation from the international Peedee belemite (PDB) carbonate standard (Craig, 1957)

As a previous study showed a changing association between climatic variables and growth of *P. pinaster* (Paper 1) from the 1980s onwards, and phenological changes have been reported over the last 25 years (Peñuelas et al., 2002), the period analysed was between 1975 and 1999. The same period was considered for *P. sylvestris*.

Pearson's correlation analysis was applied to all trees of the same species to detect common patterns in δ^{13} C, and between δ^{13} C and the residual tree-ring chronologies of both species (data from Papers 1 and 3) to detect any significant association between growth and isotope variability. Pearson's correlation analysis was also used for δ^{13} C and monthly climatic variables (monthly mean maximum temperature, monthly precipitation, monthly air moisture and monthly hours of solar radiation) in order to estimate which environmental variables were statistically significant. The monthly climatic variables that were best correlated with δ^{13} C (****p*<0.001) were grouped to construct simple linear regression models, as follows (Sokal and Rohlf, 1995):

$$y = a + bx + \varepsilon, \qquad (2,6)$$

where y is δ^{13} C; x is the sum of climatic variables; a and b are unknown parameters of the model and ε is a normal random error N(0,1).

Statistic analysis was carried out with the Infostat programme (Di Rienzo et al., 2002).

2.3. CLIMATIC DATA

Monthly precipitation and mean monthly temperature by the *Agencia Estatal de Meteorologia*, Spain, were used to detect climate-growth relationship. The meteorological data provided belong to meteorological stations placed within 30 km of the sampling sites (Table 3). The data recorded cover a period of, at least, 50 years. The data from four meteorological stations were averaged to obtain a regional climatic record to be applied in the regional dendroclimatic analysis of *P. pinaster*.

Location	Lat. (N)	Long. (W)	Alt. (m)	Rain. (mm)	Temp. (°C)	Period
El burgo de Osma	41° 35' 10"	03° 04' 02"	895	529.5	10.54	1932-2005
Cuenca	40° 04' 00"	02° 08' 17"	956	541.42	12.43	1956-2005
Pantano de la Toba	40° 13' 19"	01° 55' 33"	1154	764.68	10.22	1944-2005
Observatoriode Soria	41° 46' 00"	02° 28' 00"	1082	418.34	10.66	1944-2005
Miranda del Ebro	42° 40' 42"	02° 57' 20"	520	529.97	12.08	1936-2005
Villafría	42° 21' 22"	03° 37' 57"	890	564.67	10.15	1943-2005
Aldea del rey Niño	41° 34' 35"	04° 42' 02"	1160	522.24	9.17	1935-2005
Yemeda	39° 45' 40"	01° 43' 17"	868	405.13	12.41	1950-2000
Cella	40° 27' 20"	01° 17' 27"	1023	370.81	12.01	1939-2006

Table 3. Meteorological station data from the Agencia Estatal de Meteorología, Spain

The HOM component (Homogeneity of Meteorological Data) of the Directory Programme Library for Dendrochronology (Holmes, 1983; available at www.ltrr.arizona.edu) was used to determine the homogeneity of the climatic variables.

For the atmospheric indexes and radial growth analysis the NAO and SOI values were obtained from www.cru.uea.ac.uk/cru/data/nao.htm and www.cru.uea.ac.uk/cru/data/soi.htm (Jones et al., 1997). Also, monthly air moisture and hours of solar radiation were used to detect δ^{13} C variability.
3. RESULTS

3.1. CHRONOLOGY DESCRIPTION

One chronology of *Pinus pinaster* was not included in the analysis because its time span was only 30 years and it would have limited the period analyzed of all series.

The nine and six chronologies of *P. pinaster* and *Pinus sylvestris*, respectively had high SNR, EPS and percentage of the variance accounted for the first eigenvector; this suggests that they reflect a strong common signal, presumably related to climatic factors. The descriptive statistics showed that mean sensitivity varied from 0.1857 to 0.3179 and from 0.1556 to 0.2669, standard deviation varied from 0.1650 to 0.3108 and from 0.1858 to 0.3527, for *P. pinaster* and *P. sylvestris*, respectively. SNR varied from 27.615 to 68.444 and from 10.676 to 24.747 and EPS values varied from 0.958 to 0.986 and from 0.914 to 0.961, for *P. pinaster* and *P. sylvestris*, respectively. All chronologies analysed have high SNR (values always over 10) and EPS (always over 0.914), while the variance accounted by the first eigenvector is over 35 %; these facts suggest a strong common signal related to environmental climatic factors (Tables 3, I and III).

In *P. pinaster*, despite the diversity of tree ages and the difference in bioecological regions, a clear common macroclimatic signal expressed by the first principal component (PC1) of the PCA was found. PC1 explained 56% of the total variance of indexed tree-ring growth among the nine chronologies. All the chronologies had a positive correlation with PC1, indicating they shared a common variance (Figure 4 and Table 4, I).

3.2. CLIMATE GROWTH ASSOCIATION: MULTIPLE STEPWISE AND BOOTSTRAPPED ANALYSIS

Radial growth, at both regional and local scales, in *P. pinaster* was strongly associated with climatic variables, especially rainfall during and prior to the growing season in all the sites analysed. Mean temperature effect changed according to the sampling site, from insignificant at the highest altitudinal sites to positively significant in winter at the lowest altitudinal position sites (Figure 6, I).

As a result of the PCA analysis, PC1 was considered indicative of the interannual high-frequency variation of tree-ring growth at regional level for the common period 1952-2005. This chronology was correlated with regional climatic variables, precipitation and temperature, and the results showed that more than 60.8% of the total variance in radial growth was explained by climate (Figure 5, I).

3.3. CLIMATE GROWTH ASSOCIATION OVER TIME: THE KALMAN FILTER TECHNIQUE IN *P. PINASTER*

Results suggested a changing association between growth and climatic variables from insignificant to significant (*p<0.05) at six of the sampling sites.

The change in association between growth and climatic conditions took place during the 1980s. During this period, precipitation changed from insignificant to positively significant at four sampling sites. This change occurred in winter prior to the growing season or at the beginning of the growing season. Temperature also showed a changing association with growth at two sampling sites. Temperature became negatively significant on radial growth in springtime (May) at one sampling site; this change in association may be related to the intense drought and the warming that characterised the 1980s in the peninsula. Finally a positive change was found in the other sampling site in relation to winter temperature (February) (Table 5, I).

3.4. NAO AND SOI EFFECT ON RADIAL GROWTH

The total variance explained by atmospheric indexes varied from 8.95 to 37.46%.

The total variance explained by the NAO and SOI indexes is higher in the sites at higher positions. In these places there is a significant negative association between the NAO index and growth during January and March or December and March, but only March is significant in the bootstrapped analysis. Only one place showed a positive association with NAO values in September prior to the growing season, but this association was not significant in the bootstrapped analysis. The association with the SOI was positive in all the analysed sites, but it was not significant, in the correlation coefficient and the in the bootstrapped response function. Only one site showed a negative association with the SOI, shown by the bootstrap coefficient during March previous to the growing season (Figure 2, II).

3.5. CLIMATE AND RADIAL GROWTH OF P. SYLVESTRIS

Tree-ring width was positively correlated with rainfall in the growing season at all sites. Mean temperature effect varied according to site. A climatic response according to age was also found: young stands had higher variability explained by climatic variables than old stands (Figure 3, III).

Because the six sites analysed showed a clear association with rainfall in the growing season, the months where this association was significant at each sampling site were selected for DRI calculation. The Pearson's correlation coefficient between tree-ring index and DRI showed a significant association in all sampling sites (* p < 0.05; **p < 0.01;***p < 0.001) (Table 4 and Figure 4, III).

The ACF of the six chronologies performed to detect autocorrelation between successive tree-ring growth values suggested that autocorrelation coefficients in young stands (less than 100 years old) were not significant. However, this association changed in old stands (more than 100 year old), where a significant association between current year tree growth with that of one, two or three years before was detected (Figure 5, III).

The time series analysis used to detect periodicity in fluctuation in tree-ring growth relating to pulse and interpulse water availability events suggested that the strong intensity variation in DRI between years determined a clear variation in tree growth showed by a periodicity of two or two and a half years, except for only one sampling site (Table 5 and Figure 6, III).

3.6. IADFS AND CLIMATE RESULTS

P. pinaster is characterised by different anatomical features. A total of 11 930 tree rings were analysed and a total of 1 038 IADFs were detected in the trees, 8.7% of the tree ring analysed (Table 3, IV). Even though the species showed different anatomical IADFs they were rather easy to identify by correct preparation of the samples. Samples showed an increase in IADFs from the 1940s to the present, except in only one site (Table 6, IV). The absence of IADFs at this site may be attributed to the extreme thinness of the tree rings (less than 0.3mm), which made it impossible to detect IADFs (Figure 4, IV). There were IADFs at all sampling sites in 1961 and 1983, with a stabilized frequency higher than 3. A low frequency of IADFs was recorded in the 1970s at all sampling sites (Figure 3, IV).

The Pearson's correlation between stabilized IADFs was positive and significant for all comparisons between sampling sites (*p<0.05 and ***p<0.001) (Table 4, IV).

The results of the ANOVA and Fisher's test performed to detect significant differences between IADFs at different sampling sites (from the common growth period 1953-2005) showed that younger sampling sites have higher IADFs than older sampling sites. However, Fisher's test does not enable complete separation of young and old stands. The higher mean IADFs also coincided with the highest site index, but as these sites are also the youngest in terms of cambial age, it was not possible to ensure that the higher IADFs are related to site index (Table 5, IV).

Significant differences were found in the last 120 years in the stabilized IADFs. The Pearson's correlation coefficients showed a negative significant correlation (*p<0.05; *** p<0.001) between tree-ring growth and stabilised IADF at all sampling sites. The results suggest that IADFs restrict growth at all sampling sites (Table 7, IV).

The logistic function used to predict the possibility of the occurrence of IADF estimated that 18 monthly climatic variables out of 24 had a significant effect on predicting future IADFs. Drought events in May and July had a positive impact on IADF while wet periods in April and June also promoted IADF. Such pulses in precipitation (rainy months follow by dry months) are typical of Mediterranean areas. Increases in temperatures also had a generally positive impact on IADFs (Table 8, IV). The value of the area under ROC curve (0.84) shows that the accuracy of model is good enough to use it to predict occurrence of IADFs (Figure 5, IV).

3.7. CARBON ISOTOPES AND CLIMATE RESULTS

The mean values that characterising each tree-ring δ^{13} C in both species in each year analysed showed that the highest and the lowest values of δ^{13} C (-22.62‰ and -25.87‰) corresponded to *P. pinaster*, whereas the corresponding values for *P. sylvestris* varied between -23.85‰ and -25.8‰. (Figure 3, V).

A negative significant correlation between δ^{13} C and radial growth was found for the 1975-1999 period. The Pearson's correlation coefficient for the residual tree-ring chronologies and the mean δ^{13} C was *r*: -0.83 (****p* < 0.001) for *P. pinaster* and *r*:-0.41 (**p* < 0.05) for *P. sylvestris*.

Pearson's correlation coefficient for climatic variables and δ^{13} C in *P. pinaster* showed that moisture is a driving factor affecting the variability of δ^{13} C between winter and summer (January to July). Rainfall also had a negative effect on δ^{13} C between winter and spring although the effect was only statistically significant in April and May. Monthly mean maximum temperature in March had a positive significant effect on δ^{13} C and monthly hours of solar radiation in January, April and May (Figure 4, V). Pearson's correlation coefficient for climatic variables and δ^{13} C of *P. sylvestris* showed that moisture is a driving factor affecting δ^{13} C variability in summer (July) and in autumn (October). Rainfall in October also had a negative effect on δ^{13} C. Monthly mean maximum temperature in summer (June and July) had a positive significant effect on δ^{13} C as well as hours of solar radiation in October (Figure 5, V).

For *P. pinaster* RH from January to July, rainfall from January to May, maximum mean temperature from April to July and hours of solar radiation of January, April and May were selected to construct four different simple linear regression models that relate each variable and δ^{13} C (Figure 6, V). For *P. sylvestris* RH in July and October months, rainfall in July and October, maximum temperature in June and July and hours of solar radiation in October were selected to construct four different simple linear regression models. All variables were significantly correlated with δ^{13} C in both species (Figure 7, V).

4. DISCUSSION

Pinus pinaster is a reliable species for dendrochronological studies, showing good correlation between trees growing at the same sampling site, high signal related to total noise and accurate statistical values that mean clear response to environmental factors. *P. pinaster* also shows accurate performance in studying the association between tree growth and global change showed by a changing relationship with climatic variables over time.

A common growth pattern among all series has been detected. PCA analysis suggests a clear strong common variance among all the sampling sites (explaining over 56% of variability) and a positive correlation (**p<0.01 and ***p< 0.001) with the PC1 axis. Although the sampling sites included trees of different ages and trees from different eco-regions, all the series can be considered to share a common variance related to causal factors.

The total variance explained by average climatic variables and regional radial growth index of *P. pinaster* (60%) can be considered higher than the most common findings obtained in dendroclimatic studies worldwide. Tree rings rarely cover more than 60% of the variance registered in instrumental records, and 40 to 50% is quite a common level (Fritts, 1991; Jones et al., 1998). Richter et al. (1991) found 68% of the total variance explained by precipitation and temperature in pine species growing in the Iberian Peninsula.

Rainfall is the dominant climatic variable that has a significant association with *P. pinaster* growth, as the stepwise correlation analysis indicated. Rainfall effect constitutes the significant climatic variable in both, the regional and local analysis.

Temperature showed a changing significant effect on tree growth that varied according to the sampling site. No effect was recorded at the sites placed at the highest positions and a negative effect was recorded at five sampling sites. The difference found out in this study is that temperature effect could not be simplified to a common response in all the sampling sites because local issues determine the association between this variable and radial growth.

Although *P. pinaster* shows a strong association with precipitation and the temperature impact is lower than that of rainfall, its increase might raise evaporation, limit environmental moisture and, consequently, restrict growth. However, the predicted temperature trend until 2080 will probably not lead to an unsuitable environment for *P. pinaster* (Harrison et al., 2006). As *P. pinaster* is a pre-Mediterranean species that has suffered different environmental changes [from subtropical environments to Mediterranean ones (DiCarlo, 1931)], it can also deal with very atypical severe droughts, such as that of 1994 (Peñuelas et al., 2002), and it shows a better water-use efficiency than *P. sylvestris* (Martínez-Vilalta and Piñol, 2002). These facts indicate that *P. pinaster* might be well adapted to summer rainfall reductions, as IPCC forecasts in the Iberian Peninsula.

The climate effect over time suggests changing results, and some variables that were not significant 30 years ago have been stated to be significant since the 1980s.

The climatic warming of the 1980-1995 period was characterised by intense droughts that produced severe damage in woody species (Peñuelas et al., 2001). Our findings indicate that some climatic variables (May temperature and April-May rainfall) have changed from insignificant to significant in the last 30 years (from 1980 until now). This coincides with previously-reported phenological changes in plant life (related to temperature) in the Mediterranean region. In addition, these changes started during the 1980s, which could mean a changing association with climatic factors (Peñuelas et al., 2001; 2002).

It is difficult to find a simple linear correlation between radial growth of *P. pinaster* and atmospheric indexes because their global effects and their impact on regional climatic variables are not yet completely understood.

In these results, the total variance explained by NAO and SOI indexes suggested that the signal is weak if it is compared with regional climatic variables. However, the negative correlation with NAO in winter in two sites, and the changing effect of SOI index over time in one site, offer new information about the association between atmospheric indexes and coniferous species growing in the Iberian Peninsula.

Although atmospheric indexes explain less variability than other regional climatic variables, these results emphasised that these indexes effects could be recorded on tree ring and they could have a sensible effect in growth of woody species, even if their action centres are located too far away from the analysed sites.

Pinus sylvestris is a useful species in dendrochronological studies showing accurate statistical values that mean clear response to environmental causal factors. The six chronologies have high mean sensitivity (MS), expressed population signal (EPS), signal to noise ration (SNR) and percentage of the variance accounted for the first eigenvector, suggesting a strong common signal to related-climatic environmental factors.

The association between growth and climate shows that rainfall in the growing season has a positive significant effect on radial growth among all sites, no matter what their altitudinal location, geographical position or cambial age are. Differences in rainfall effect within the growing season were found: at sites located at the lowest altitudinal position, this effect happened in spring (May and June); in contrast, with sites placed at a higher altitudinal position, the association occurred in late spring and summer. This different association may be due to temperature. Sites located at the lowest altitudinal positions are warmer; consequently, the growing season starts early, and the spring rainfall is a driving factor that affects growth. However, sites located at the highest altitudinal position are colder, the growing season starts later and growth depends on summer rainfall. These results emphasised how important the withinseason precipitation dynamic is; it can be equal to or more important than the seasonal or annual total for plant growth (Fay et al., 2000; Knapp et al., 2002).

The significant association between radial growth and DRI suggests that drought is a key factor affecting *P. sylvestris* growth in its southern and western distribution area. The effect of consecutive year growth was indicated by the autocorrelation analysis: young stands did not show correlation between successive tree rings, and old stand tree ring growth is affected by previous growth. Young stands have higher variability explained by climatic variables in the response function analysis (from 53 to 69%) and are not vulnerable to previous growth; in contrast, old stands have a lower percentage of the variability explained by climatic variables (from 31 to 39%) but are vulnerable to previous growth. Since ecosystems have the capacity to store water, they clearly have a "memory" of past precipitation events. As a result of such a "memory" effect, it is not correct to understand rainfall effect as a single seasonal or annual event (Schwinning et al., 2004). This study confirms the "memory" of past events when autocorrelation analysis is performed, suggesting an association between present growth with previous growth years in old P. sylvestris stands. When growth periodicity is analysed to determine pulse and interpulse periods in *P. sylvestris* growth, it is clear that the strong DRI variability and the significant effect that this index has on tree-ring growth result in a strong variability between successive years that is reflected as periods of two or two and a half years in the time series analysis. Even though, depending on the sampling site, other cycle periods were detected, two-year periodicity is stronger than other long-period cycles.

P. pinaster showed nine different anatomical structures that confirm the tendency of Mediterranean species, and this species in particular, to develop special anatomical structures (Schweingruber, 1993). Even though IADFs, which occur in all series at all sampling sites, may previously have limited dendroclimatological studies in *P. pinaster* (Schweingruber, 1993), when the correct date is obtained, the significant association between IADFs and radial growth or climatic variables provides a useful proxy for complementing and enhancing dendroclimatological data (Paper 1).

Latewood is formed from carbohydrates produced during photosynthesis which is the result of water stress and temperature (Kozlowski et al., 1991). IADFs of *P. pinaster* growing in Tuscani Italy had a higher ${}^{13}C/{}^{12}C$ isotope ratio in latewood than earlywood, which suggests a better water use efficiency (De Micco et al., 2007). The present results emphasised the impact of drought events on IADFs (significant effect of rainfall in the growing season) and suggest a physiological response of *P. pinaster* to unfavourable climatic conditions and the development of anatomical structures that lead to better water use efficiency. Isotope analysis in the Iberian Peninsula, showed that *P. pinaster* makes more efficient use of water under severe drought events than *P. sylvestris* and *Pinus nigra* Arnold (Martinez Vilalta and Piñol, 2002).

Previous studies that relate IADFs to growth of *P. sylvestris* in Switzerland determined a positive association between tree-ring growth and IADFs (Rigling et al., 2001); these results contrast with the present results in which a significant negative relationship between radial growth and IADFs was found, suggesting that the environmental variables that produce IADFs also limit growth.

The effect of climate on IADFs of *P. pinaster* is determined by both the significant correlation between stabilised IADFs at all sampling sites -suggesting the impact of the driving factor (climate)- and the accurate results of the logistic function.

Climate change scenarios developed by IPCC (2007) show that irregularity in intra-annual rainfall and trends of increasing temperature should be expected during the next decades. According to the present results, this predicted future climatic situation will lead to a higher occurrence of IADFs.

In the present study, the frequency of stabilized IADFs in *P. pinaster* was higher in more productive sites (higher site index), thus demonstrating the importance of the incorporation of intra-annual features in dendroecological and dendroclimatological studies, which is highly recommended because it enables differentiation of site types (Rigling et al. 2001; 2002). Extensive studies are needed to provide further insight in this finding.

The δ^{13} C is a useful tool that provides both reliable information about climatic variables that affect the physiology of *P. pinaster* and *P. sylvestris* and WUE of these species growing under Mediterranean climatic conditions.

This high δ^{13} C between trees suggests an individual tree response in relation to fractionation [the ratio of carbon isotope ratios in reactant and products (Farquhar et al., 1989)]. The values of δ^{13} C data for all trees of *P. pinaster* were significantly correlated which suggests that this variability is driven by a strong environmental effect. The δ^{13} C values for individual *P. sylvestris* trees were also significantly correlated but the coefficient were lower than in *P. pinaster* and two trees did not show a significant association.

The highly significant inverse correlation between δ^{13} C and with tree-ring width in *P. pinaster* and *P. sylvestris* emphasise the potential usefulness of this type of studies for analysing the environmental factors that affect growth of *P. pinaster* and *P. sylvestris* under Mediterranean climatic conditions. The results are consistent with previous statements that suggest that species growing in variables environments such as Mediterranean environments show a changing ratio of δ^{13} C in each tree ring as a result of the variables climatic conditions (high temperature and low precipitation) and that δ^{13} C provide a strong indicator of the severity of these climate variables (McCarroll and Loader, 2004).

The $\delta^{13}C$ was significantly correlated with moisture, rainfall, maximum temperature and solar radiation. The negative effect of moisture and rainfall prior to and throughout the growing season, and the positive effect of maximum temperature and solar radiation throughout the same period confirm the hypothesis that $\delta^{13}C$ in conifers worldwide is an indicator of drought stress in dry climates (Warren et al., 2001).

In *P. pinaster* the δ^{13} C accounted for rainfall from Jannuary to May and RH between January and July was 44% and 52%, respectively. On the other hand, rainfall and humidity in July and October on *P. sylvestris* accounted for 34% and 22% of the δ^{13} C, respectively. These results underlines the importance of water availability as a driving factor for isotope fluctuation in both arid and semiarid environments and in pines species (Warren et al., 2001).

Although temperature had a significant effect on drought (the higher the temperature, the greater the effect of drought), the results showed that each climatic

variable analysed may have a significant effect on δ^{13} C in these Mediterranean environments. In the present study, maximum mean temperature was the only climatic variable that was more more closely correlated with δ^{13} C in *P. sylvestris* than in *P. pinaster* (61% and 57%, respectively). This association was highly significant in both species and the significant effect varied according to the period analysed: summer temperature were significant for *P. sylvestris* (June and July) and spring temperature (March) for *P. pinaster*. Part of this signal may be due to the fact that hot summer are strongly correlated with high evaporation rates and thus, with δ^{13} C.

Considering that *P. sylvestris* in the Iberian Peninsula grows within the limit of the worldwide distribution, and Schleser et al., (1999b) reported that under these conditions, δ^{13} C tends to respond to one atmospheric parameter, these results are also consistent with those of McCarroll and Pawellek (2001) who concluded that δ^{13} C response is complex.

It was reviouly concluded that the same species may have different isotopic response and in different environments (Sternberg and DeNiro, 1983; Leavitt and Long, 1984), and *P. pinaster* growing under maritime climatic conditions in Italy did not show any strong variation in δ^{13} C in different years, even though there was a severe drought during the period analysed (summer of 2001) and the authors commented on the limitation of the application of stable carbon analysis in assessing the severity of drought in environments characterised by seasonal aridity (De Micco et al., 2007). Even though we analysed the same species, the present results showed that *P. pinaster* is an accurate tool for studying climatic conditions, as shown by the significant correlation coefficients that underlined the recommendation made by Leavitt and Long (1986) that results for δ^{13} C in one species should not be extrapolated to other environments.

When WUE is analysed, the results suggest the importance of this studies for understanding the physiological changes in trees related to general changing environmental conditions. Phenological changes and the increased WUE in woody species in the Iberian Peninsula have been already reported (Peñuelas et al., 2002).

Regarding the results, it is difficult to agree with Harrison et al. (2006) who suggested an unsuitable environment for these species from the year 2050 because the δ^{13} C studies revealed a clear adaptable capacity relate to a better WUE.

5. CONCLUSIONS

The conclusive association between climatic variables and different dendroclimatological tools (tree-ring width, IADFs and carbon isotopes) in *Pinus pinaster* and *Pinus sylvestris* may provide an excellent tool to study the climate-growth relationship in woody species and to understand the dynamic of the species under changing climatic conditions in Mediterranean environments. Even thought in same cases isotopes may have a better correlation with climatic variables than tree-ring width; one proxy does not limit the use of others.

P. pinaster radial growth was strongly associated with water supply, which means that it may be an excellent tool for reconstructing past weather conditions in the Iberian Peninsula, especially the temporal fluctuations of rainfall. Mean temperature effect varied according to site altitude, from insignificant at the highest sites to positively significant during winter at the lowest. Growing season temperature also had a negative effect. On the other hand, a changing association between growth and climatic variables has shown that this species is an accurate tool for studying global change effect on tree growth.

P. sylvestris showed a relationship that changes depending on the climatic variable analysed: rainfall in the growing season was the driving climatic variable that controls growth in all the sites analysed, while the association with temperature changed according to the site and could be positive or negative. The variability explained by climate variables is higher in the young trees than in the older. DRI was an accurate tool to explain radial growth of this species. Correlation between successive tree-ring growth changed according to the cambial age of the stand. Growth periodicity of *P. sylvestris* relate to pulse and intepulse water events was two or two and a half years, which coincided with DRI variability.

IADFs in *P. pinaster* were a useful tool in the application of dendrochronological techniques to date samples. IADFs were determined by cambial age and had increased in frequency in the last sixty years. Finally, the probability model used showed that rainfall pulses in late winter and spring and higher temperatures will lead to a more frequent occurrence of intra-annual density fluctuations in *P. pinaster* trees growing under Mediterranean climate conditions.

Finally, δ^{13} C of *P. pinaster* and *P. sylvestris* growing in Mediterranean environments showed a strong correlation with climatic conditions which suggests that they are a very valuable tool for studying the effect of climate change.

6. CONCLUSIONES

La asociación clara entre las variables climáticas y los distintos métodos dendroclimatológicos analizados en este trabajo, a saber: el ancho de los anillos de crecimiento, las variaciones interanuales de la densidad de los anillos de crecimiento y del carbono trece; proporcionarían una herramienta muy adecuada para estudiar la relación del crecimiento de las especies leñosas con el clima así como para entender la dinámica de estas especies bajo condiciones variables del clima en los ambientes Mediterráneos.

A pesar de que en algunos casos los isótopos podrían tener una correlación mejor con las variables climáticas que el ancho de los anillos de crecimiento, un método dendroclimatológico no limita el uso y la aplicación de otros.

El crecimiento radial de *Pinus pinaster* está fuertemente asociado a la disponibilidad de agua, lo que implica que podría ser una herramienta muy adecuada para la reconstrucción de condiciones meteorológicas pasadas en la Península Ibérica, en particular las variaciones acaecidas en cuanto a la precipitación. El efecto de la temperatura varía de acuerdo con la altitud del sitio de muestreo, siendo no significante en los sitios más altos y positivamente significantivo durante el invierno en las estaciones de menor altitud. La variabilidad del crecimiento explicada por el clima es mayor en los árboles jóvenes que en los añejos. La temperatura durante la estación de crecimiento tiene un efecto negativo sobre el crecimiento radial de la especie. Por otra parte, la relación cambiante entre el crecimiento radial y las variables climáticas demuestran que esta especie conforma una herramienta adecuada para estudiar el efecto del cambio climático sobre el crecimiento de los bosques.

Pinus sylvestris muestra una relación con el clima que cambia de acuerdo con la variable climática estudiada: la lluvia durante la estación de crecimiento es la variable climática que controla el crecimiento en todos los sitios analizados, mientras que la asociación con la temperatura cambia de acuerdo con el lugar de muestreo pudiendo ser negativa ó positiva. El índice de sequía es una herramienta adecuada para explicar el crecimiento radial de esta especie. La correlación entre anillos de crecimiento sucesivos, cambia de acuerdo con la edad de los árboles. La periodicidad del crecimiento es de dos a dos años y medio y está relacionada con los eventos de pulsos e interpulsos de agua.

La interpretación de los cambios interanuales de la densidad de *P. pinaster* son una herramienta muy útil en la aplicación de técnicas dendrocronológicas para datar muestras. Las fluctuaciones en la densidad interanual están determinadas por la edad y se ha incrementado en los últimos 60 años. Por ultimo, el modelo probabilístico usado en este estudio determinó que los pulsos de lluvia en el invierno tardío y en primavera, al igual que sucede con las altas temperaturas, ocasionarían una mayor ocurrencia de fluctuaciones interanuales de la densidad de *P. pinaster* en ambientes mediterráneos.

Finalmente, *P. pinaster* and *P sylvestris* mostraron una correlación significativa entre δ^{13} C y las condiciones climáticas, lo que supone que son unas especies muy adecuadas para el estudio del clima.

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LIST OF ORIGINAL ARTICLES

- Bogino S., Bravo F. 2008. Growth response of *Pinus pinaster* Ait. to climatic variables in central Spanish forests. Annals of Forest Sciences 65: 506-518.
- Bogino S., Bravo F. 2007. SOI and NAO impacts on *Pinus pinaster* Ait. growth in Spanish forests. In Proceeding of the Dendrosymposium 2007. May 3rd – 6th 2007, Riga, Latvia. Scientific Technical Report STR08/05. Edited by Didzis E., Brumelis G., Gärtner H., Helle G., Schleser G. Pp. 21–26.
- Bogino S., Fernández Nieto M., Bravo F. Drought and climate effect on radial growth of *Pinus sylvestris* L. in its southern and western distribution threshold. Journal of Vegetation Sciences. Submitted.
- Bogino S., Bravo F. Climate and intra-annual density fluctuations in *Pinus pinaster* in Spanish woodlands. Canadian Journal of Forest Research. Submitted.
- Bogino S., Bravo F. Stable carbon isotope ¹³C in *Pinus pinaster* and *Pinus sylvestris* tree rings: climatic signals and water use efficiency in Mediterranean environments. Annals of Forest Sciences. Submitted.

This article was accepted to be published in Annals of Forest Sciences; Vol. 65 (2008), Bogino, S., Bravo, F. Growth response of Pinus pinaster Ait. to climatic variables in central Spanish forest, pages 506-518, © INRA, EDP Sciences, 2008, and is reprinted with kind permission of INRA, EDPSciences.



Growth response of *Pinus pinaster* Ait. to climatic variables in central Spanish forests

RESUMEN

Se estudió el efecto de las variables climáticas (temperatura y precipitación) sobre el crecimiento radial del pino marítimo (Pinus pinaster Ait.) usando técnicas dendrocronológicas. Se construyeron diez cronologías de ancho de anillo de crecimiento a partir de material de sitios de muestreo en el área central de distribución de esta especie en la Península Ibérica. La variabilidad de las cronologías se analizó usando el análisis de componentes principales (ACP) para el período 1952-2005. El primer componente principal (PC1) explicó el 56% de la variabilidad del crecimiento. La relación entre el ancho de los anillos y el clima se analizó en escalas regional y local usando el coeficiente de correlación de Pearson y la función de respuesta de remuestreo bootstrapped. El crecimiento radial en ambas escalas se correlacionó positivamente con la precipitación durante y antes de la estación de crecimiento en todos los sitios, y con la lluvia de verano antes de la estación de crecimiento en cinco sitios. El efecto de la temperatura media varió de acuerdo con la altitud de los sitios de muestreo, desde insignificante en los sitios más altos a positivamente significante en invierno en los sitios más bajos. La temperatura en la estación de crecimiento también tuvo un efecto negativo. El filtro de Kalman se aplicó para estimar la asociación cambiante entre el crecimiento y el clima a través del tiempo. Los resultados sugieren un cambio, de no-significativa a significativa (*p < 0.05), en la relación del clima con el crecimiento, que se inició en la década del ochenta en seis de los sitios estudiados. A partir de estos resultados es posible concluir que P. pinaster es una especie apta para el análisis de la relación del crecimiento con el clima y para estudiar el comportamiento de los bosques en un contexto de cambio climático.

Original article

Growth response of *Pinus pinaster* Ait. to climatic variables in central Spanish forests

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(Received 29 January 2008; accepted 2 April 2008)

Abstract -

• The effect of climatic variables (temperature and precipitation) on radial growth of the Mediterranean Maritime pine (*Pinus pinaster* Ait.) was studied using dendrochronological techniques in the Iberian Peninsula.

• Ten tree-ring width chronologies, along the central distribution area of the species, were built. Chronology variability was analysed using Principal Component Analysis (PCA) for the period 1952–2005.

• The first principal component (PC1) explained 56% of tree-growth variability. Tree-growth association with climate was analysed at regional and local scales using correlation coefficient and bootstrapped response functions.

• Radial growth at both scales was positively correlated with rainfall during and prior to the growing season at all sites, and with summer rainfall before the growing season at five sites. Mean temperature effect changed according to the sampling site, from non-significant at the highest sites to significant (positive relationship in winter) at the lowest sites. Growth season temperature also had a negative effect.

• The Kalman filter was applied to estimate changing association between growth and climate over-time. Results suggested a change in association, initiated in the 80s, from non-significant to significant (* p < 0.05) at six of the sampling sites.

• Pinus pinaster is an accurate species for analysing tree-growth association with climate and for studying plant behaviour under global change conditions.

dendroclimatology / Mediterranean Maritime pine / tree-ring / response function / Kalman filter

Résumé - L'influence des variables climatiques sur la croissance radiale de Pinus pinaster Ait. dans les forêts de l'Espagne centrale.

• L'influence des variables climatiques (températures et précipitations) sur la croissance radiale du pin maritime (*Pinus pinaster* Ait.) a été étudiée en utilisant des techniques dendrochronologiques dans la péninsule Ibérique.

• Dix chronologies de largeur de cerne ont été établies tout au long de la zone centrale de distribution de l'espèce. La variabilité des chronologies a été étudiée par une Analyse en Composantes Principales (PCA) pour la période 1952–2005.

• La première composante principale (PC1) a expliqué 56 % de la variabilité de la croissance des arbres. L'association entre la croissance des arbres et le climat a été analysée à l'échelle locale et régionale en utilisant un coefficient de corrélation et une méthode de rééchantillonnage.

• La croissance radiale à ces deux échelles a montré une corrélation positive avec les précipitations survenues pendant et avant la saison de croissance dans tous les sites et avec les précipitations estivales survenues avant la saison de croissance dans cinq sites. L'effet de la température moyenne a varié en fonction de l'altitude des sites, en étant non significatif dans les sites les plus élevés et positivement significatif en hiver dans les sites les plus bas. La température de la saison de croissance a également eu un effet négatif.

• On a appliqué le filtre de Kalman pour estimer les variations temporelles de l'association variable entre la croissance et le climat. Les résultats ont suggéré que cette association est passée, dans les années 80, de non significative à significative (*p < 0, 05) dans six sites d'échantillonnage.

• *Pinus pinaster* est une espèce adéquate pour analyser l'association entre la croissance des arbres et le climat, et pour étudier le comportement de l'espèce dans des conditions de changement global.

dendrochronologie / pin maritime méditerranéen / cerne / fonction de réponse / filtre de Kalman

1. INTRODUCTION

Understanding the growth and productivity response to climate change is a key issue in forest modelling and forestry. It is also crucial in enhancing past climate reconstructions made using dendrochronological techniques. Tree ring growth is influenced by several simultaneous environmental factors: solar radiation, temperature, water precipitation, soil nutrient content, etc. Depending on conditions and species, one or more of these factors can become limiting for tree growth (Fritts, 1976). Climate variability often explains past tree growth (Hughes, 2002), helping in the projection of future growth responses in the context of climate change (Yeh and Wensel, 2000).

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Site	Code	Ecoregion	Latitude	Longitude	Altitude (m)	BA $(m^2.ha^{-1})$
P42201	2a	Duriense	41° 33' 43"	02° 55' 17"	1012	53.84
P42002	2b	Duriense	41° 34' 03"	02° 35' 51"	1059	34.84
P16201	3a	Catalano-Aragonesa	39° 50' 06"	01° 16' 37"	1078	45.83
P44002	3b	Catalano-Aragonesa	40° 19' 07"	01° 21' 18"	1437	40.17
P44005	3c	Catalano-Aragonesa	40° 20' 47"	01° 21' 54"	1364	45.73
P44204	3d	Catalano-Aragonesa	40° 20' 01"	01° 21' 26"	1232	34.33
P16008	4a	Litoral-Mediterránea	39° 48' 56"	01° 15' 36"	920	51.44
P16106	4b	Litoral-Mediterránea	39° 50' 17"	01° 16' 11"	970	36.66
P16202	6a	Manchega	39° 49' 48"	01° 17' 38"	1135	41.69
P16208	6b	Manchega	39° 50' 28"	01° 17' 54"	1090	34.74

Table I. Ecoregion classification, geographical position and mean basal area of ten *Pinus pinaster* sampling sites across its natural distribution area in the Iberian Peninsula.

Tree-ring widths of conifers offer some of the best climatological reconstructions of semiarid regions (Schweingruber, 1996), as in the case of annual precipitation estimates in Mediterranean climates (Tessier et al., 1997). On the other hand, different climatic variables (mainly derived from precipitation and temperatures but also derived from atmospheric pressure balance) can be used to express climatic oscillation and to derive relationships between tree growth parameters and climatic signal (D'Arrigo and Jacoby, 1992; Jones et al., 1997).

The Mediterranean climate is characterised by summer drought and high inter-annual variability of precipitation and temperature. Projections of climate change impact on the European distribution of higher plants in 2050 suggest that the Iberian Peninsula could be one of the most vulnerable areas for species lost (Bakkenes et al., 2002). Climate shifts have already taken place in the region, as exemplified by the reduction of rainy days by 50 and 30% over the southern coast of Spain and the Pyrenees in the last century, respectively (Esteban-Parra et al., 1998; Rodrigo et al., 2000). The mean annual temperature has increased about 1.6 °C in the Iberian Peninsula over the last century (IPCC, 2007; Parry, 2000).

The Mediterranean Maritime pine (*Pinus pinaster* Ait.) is a characteristic species in Mediterranean forests, with its main populations located in the Iberian Peninsula (Blanco et al., 1997). This species shows a wide ecological range (Nicolas and Gandullo, 1967), being able to survive under high or low temperatures, under regular or variable rainfall as well as under severe droughts. It is adapted to the extremely cold winters of the continental climate in central Spain and to the mild, temperate ones of the Atlantic coast in the western Iberian Peninsula (Alía et al., 1996; Blanco et al., 1997).

In Spain, *Pinus pinaster* constitutes pure and mixed woodlands that are both natural (1 million ha) and planted (0.6 million ha) (DGCN, 1998; 2002). These woodlands are mainly spread over the northern Spanish plateau up to 1500 m. In this area, the climate is characterised by irregular rainfall, between and within years, and high temperatures in summer. The soils are sandy and rocky and very well drained. Even though *Pinus pinaster* pine had been used widely on plantations in the Iberian Peninsula, this species grows naturally in some areas, where it is the best adapted woody species (Blanco et al., 1997). Fossil *Pinus pinaster* cones and pollen dating from the Superior Pliocene have been found in the Iberian Peninsula, suggesting a pre-Mediterranean origin for this species and evolution in tropical-like environments that gradually incorporated seasonal aridity (Di Castri, 1981). Authors suggest that the Iberian System is the natural origin and centre of distribution for this species (Blanco et al., 1997).

Pinus pinaster has rarely been used for dendrochronology and dendroclimatology studies because stands older than 100 years are very difficult to find. In addition, trees from the lower mountain level often have false rings and most trees have been damaged by resin harvest in the past (Schweingruber, 1993).

The relationship between growth indices and climatic variables is essential for predicting the future growth trend of *Pinus pinaster*. Given the high vulnerability of Iberian plant communities to climate change and the importance of *Pinus pinaster* forests in this region, understanding the growth response of this species to past climate variability can help us to explore how its populations, and the ecosystems they dominate, will behave in the dramatic climatic shifts expected in future. The objectives of this paper are to analyse the relationship between *Pinus pinaster* tree ring width and climatic variables (precipitation and temperature) at the (a) regional and (b) local scales, and (c) to explore possible shifts in the association between these climate variables and tree growth over time as a result of changing environmental conditions.

2. MATERIALS AND METHODS

2.1. Study sites

Ten sampling sites were selected from four different bioclimatological ecoregions where *Pinus pinaster* grows in the Iberian Peninsula. Each ecoregion has common physiographic, climatic and lithological characteristics (Elena Roselló et al., 1997) (Tab. I and Fig. 1).



Figure 1. Sampling sites set up across the natural distribution area of *Pinus pinaster* in the Iberian Peninsula. Du: *Duriense*; CA: *Catalano-Aragonesa*; Ma: *Manchega* and LM: *Litoral-Mediterránea* bioclimatological ecoregions (Elena Roselló et al., 1997).

Table II. Meteorological station data from the Agencia Estatal de Meteorología (Spain) used in this study. Rainfall: Annual precipitation; Temp.: Mean monthly temperature; Period: Time with data available. Ecoregion: CA: Catalano-Aragonesa; LM: Litoral-Mediterránea; Ma: Manchega and Du: Duriense bioclimatological ecoregions.

Meterological station	Latitude	Longitude	Altitude (m)	Rainfall (mm)	Temp. (°C)	Period	Ecoregion
El Burgo de Osma	41° 35' 10"	03° 04' 02"	895	529.5	10.54	1932-2005	CA
Cuenca	$40^{\circ} 04' 00"$	02° 08' 17"	956	541.42	12.43	1956-2005	LM
Pantano de la Toba	40° 13' 19"	01° 55' 33"	1154	764.68	10.22	1944-2005	Ma
Soria (Observatorio)	41° 46' 00"	02° 28' 00"	1082	418.34	10.66	1944–2005	Du

2.2. Field work and laboratory methods

In the summer of 2006, two cores were extracted at 1.30 m above ground level from fifteen dominant and co-dominant trees at each sampling site. Tapped trees were avoided because their growth was likely to have been affected by resin extraction, confounding possible climatic signals. Cores were glommed on channelled wood, dried for two weeks and polished with progressively thinner sandpaper. Tree-rings were dated to establish correctly the calendar year in which a treering was formed. Dating was achieved using a binocular microscope following standard dendrochronological techniques (Cook and Kairiukstis, 1990; Fritts, 1976; Stokes and Smiley, 1968;). The cores in transverse sections were scanned at high resolution (2000 dpi) with an Epson Expression 1640 XL scanner with 0.01-mm accuracy, and rings were measured using the WinDENDRO program (Regent Instruments Inc., 2002).

2.3. Climatic data

Monthly precipitation and mean monthly temperature, provided by the *Agencia Estatal de Meteorología* (Spain), were selected to assess the climate-growth relationship. Meteorological data from four meteorological stations placed within



Figure 2. Climate diagram of *El Burgo de Osma* meteorological station (1932–2005).

30 km of the sampling sites have been used (Tab. II). The climate-diagram of the *El Burgo de Osma* meteorological station is provided as an example (Fig. 2). The data recorded cover a period of at least 50 years. The data from the four meteorological stations were averaged to obtain a regional climatic record to be applied in the regional dendroclimatic analysis. The HOM component (Homogeneity of Meteorological Data) of the Directory Program Library for Dendrochronology

(Holmes, 1983, available at www.ltrr.arizona.edu) was used to determine the homogeneity of the climatic variables.

2.4. Statistical analysis

The v6.06P COFECHA program (Grissino-Mayer, 2001; Holmes, 2001) (available at www.ltrr.arizona.edu) was applied to assess measurement and dating accuracy. This program calculates the Pearson correlation indices between the indexed tree-ring series and a master reference chronology in a series of consecutive, partially overlapped segments of a length specified by the user. Absolute dating is essential for any dendroclimatological study, and it is impossible to compare climatic variables in one specific year with tree-ring growth if the individual tree-ring series are not dated correctly.

To eliminate biological trends in tree-ring series and to minimize growth variations that are not shared by most trees (Fritts, 1976), the v6.05P ARSTAN program (Cook and Holmes, 1984; Holmes, 2001) (available at www.ltrr.arizona.edu) was used. Standardisation removes geometrical and ecological trends while preserving inter-annual high-frequency variations that are presumably related to climate. To obtain a master chronology at each study site, the standardised series were averaged. These temporal series or master chronologies expressed the annual variations in *Pinus pinaster* radial growth at the population level in each sampling site.

Chronology quality was evaluated using mean sensitivity (MS), which is the degree by which one or more casual factors are reflected by a tree-ring series (Schweingruber, 1996); signal-to-noise ratio (SNR), the proportion of the variability explained by climate or other casual factors divided by the residual or unexplained variability (Fritts and Swetnam, 1989); and expressed population signal (EPS), which describes how a finite sample estimates the hypothetical infinite population (Briffa, 1995; Wigley et al., 1984). Chronology was considered confident with an EPS value higher than 0.85. The common variance between all residual chronologies was analysed using a Principal Component Analysis for the common growth period 1952-2005 (Sokal and Rohlf, 1995) using Infostat V.2 (Di Rienzo et al., 2002). The variance explained by the first principal component (PC1) was used as an indicator of the similarity among the chronologies. PC1 was used as a regional chronology of Pinus pinaster in central Spain.

To determine the climatic variables that control *Pi*nus pinaster radial growth, mean monthly temperature and monthly rainfall were compared with the regional chronology (PC1) and the local chronologies at each sampling site. PC1 was related with the average of the meteorological station data. Each site chronology was compared with the meteorological station closest to the analysed site. The period explored was from the previous June to September of the current growth year. The v 5.17 PRECON program (Fritts, 1999) (available at www.ltrr.arizona.edu) was used to compute the response functions of tree growth to climate by means of a multiple stepwise regression. Coefficients were considered significant at *p < 0.05 and **p < 0.01. A bootstrapped analysis was also applied to improve the robustness of the correlation coefficients. In this analysis, 1000 bootstrap interactions were made. The Kalman filter, which estimates regression models by time-varying coefficients, was applied to determine if climatic variable effect changed over time (Fritts, 1998). The output of this analysis included predicted tree-ring indexes and the confidence interval (95% level); therefore, when the interval did not include a zero value, the regression coefficient was considered significant (Kalman filter, V5.17 PRECON program).

3. RESULTS

3.1. Chronology description

Chronology 6a was not included in the analysis because its time span was only 30 years and it would have limited the period analysed of all series. The nine chronologies of Pinus *pinaster* growing in central Spain are shown in Figure 3. The chronologies had high SNR, EPS and percentage of the variance accounted for the first eigenvector; this suggests that they reflect a strong common signal, presumably related to climatic factors. The descriptive statistics showed that mean sensitivity varied from 0.1857 to 0.3179 and standard deviation varied from 0.1650 to 0.3108, depending on the sampling site. SNR varied from 27.615 to 68.444 and EPS values varied from 0.958 to 0.986 (Tab. III). All chronologies analysed have high SNR (values always over 22) and EPS (always over 0.95), while the variance accounted by the first eigenvector is over 48%; these findings suggest a strong common signal related to environmental climatic factors (Tab. III). Despite the diversity of tree ages and the difference in bioecological regions, a clear common growth signal expressed by the first principal component (PC1) of the PCA was found. PC1 explained 56% of the total variance of the nine residual chronologies. All the residual chronologies had a positive correlation with PC1, indicating they shared a common variance (Tab. IV and Fig. 4).

3.2. Climate growth association: multiple stepwise and bootstrapped analysis

Radial growth, at both regional and local scales, was strongly associated with climatic variables, especially rainfall during and prior to the growing season in all the sites analysed. Mean temperature effect changed according to the sampling site, from non-significant at the highest sites to significant (positive relationship in winter) at the lowest sites.

As a result of the PCA analysis, PC1 was considered indicative of the inter-annual high-frequency variation of tree-ring growth at regional level for the common period 1952–2005. This chronology was correlated with regional climatic variables, precipitation and temperature, and the results showed that more than 60.8% of the total variance in radial growth was explained by climate (Fig. 5).

The total variance explained by both climatic variables (monthly rainfall and mean monthly temperature) in the *Duriense* ecoregion varied from 42 to 50%. Both chronologies



Figure 3. Standardized chronologies of *Pinus pinaster* along the natural distribution area in Central Spain. The upper part of each figure show the tree-ring indexes through time and the bottom part the number of samples used in each chronology.



Figure 3. Continued.

were the only ones among all the sites analysed that showed a negative correlation with autumn temperature prior to the growing season; in addition, temperature had a negative effect on growth in later springtime and early summer at both sampling sites (June and July). The impact of rainfall during the growing season was shared among all the sampling sites and, in this ecoregion, it varied from May to June (Site 2a) and from April to June (Site 2b) (Figs. 6, 2a, 2b).

The four chronologies belonging to the *Catalano-Aragonesa* ecoregion showed a clear association with rainfall in August prior to the growing season and during the growing season at all the sampling sites. Sites 3a and 3c also showed a positive association with winter rainfall previous to the growing season. Site 3a was placed at lower altitude (> 200 m.) than the other sites analysed and it was the only one that showed a positive association with temperature in winter (February). This site also had a different behaviour compared with the other sampling sites, showing a negative association with summer temperature (Figs. 6, 3a–3d).

Both chronologies belonging to the *Litoral-Mediterránea* ecoregion also showed a clear association with rainfall in springtime (April and May at Site 4b), in springtime and

	2a	2b	3a	3b	3c	3d	4a	4b	6b
Time span	1946-2005	1916-2005	1947-2005	1844-2005	1847-2005	1952-2005	1947-2005	1879–2005	1886-2005
Core number	30	27	30	26	29	30	29	26	30
Ring number	1635	2228	1487	3757	4128	1477	1579	2723	3043
Age range	44-60	68–90	42-59	131-156	124-158	45-54	48–59	72-127	72-120
SD	0.172	0.165	0.310	0.258	0.317	0.237	0.271	0.255	0.2764
MS	0.206	0.185	0.278	0.299	0.370	0.268	0.292	0.257	0.297
SNR	27.615	22.60	52.302	29.087	68.444	52.118	60.636	38.528	36.254
EPS	0.965	0.958	0.981	0.967	0.986	0.981	0.984	0.975	0.973
Var.	51.84	48.94	67.78	54.85	71.41	65.88	70.18	62.78	59.62
Mean corr.	0.488	0.466	0.635	0.528	0.702	0.642	0.684	0.606	0.573

Table III. Descriptive statistics of the nine *Pinus pinaster* chronologies in central Spain. SD: standard deviation; MS: mean sensibility; SNR: signal to noise ratio; EPS: expressed population signal; Var.: variance in first eigenvector; and Mean Corr.: mean correlation among trees.



Figure 4. First against second eigenvector loading of the principal component analysis on all *Pinus pinaster* residual chronologies in central Spain for the common period 1952–2005.

summer in the growing season (March, May and August at Site 4a) and with August rainfall prior to the growing season at both sites. Site 4b also showed a positive association with temperature in winter, and high temperature during the growing season (May) may limit growth at Site 4a. Both sampling sites shared a similar variability explained by both variables, which varied between 63 and 64% (Figs. 6, 4a, 4b).

Finally, Site 6b (belonging to the *Manchega* ecoregion) showed a positive correlation not only with rainfall in May and December, but also with temperature in winter (Fig. 6, 6b).

3.3. Climate growth association over time: the Kalman filter technique

Results suggested a changing association between growth and climatic variables from non-significant to significant (*p < 0.05) at six of the sampling sites. Table V show a summary of the Kalman filter analysis results that includes all the sampling sites and the climatic variables studied.

The change in association between growth and climatic conditions took place during the 80s. During this period, precipitation changed from non-significant to positively significant at four sampling sites. This change occurred in winter

Table IV. Pearson correlation coefficient between the first principal component of the principal component analysis and the residual chronologies of *Pinus pinaster* (**p < 0.01 and ***p < 0.001).

Chronology	PC1
Chionology	FCI
2a	0.65***
2b	0.39**
3a	0.60***
3b	0.78***
3c	0.85***
3d	0.94***
4a	0.92***
4b	0.74***
6b	0.76***

prior to the growing season (December and January, Site 3a) and at the beginning of the growing season (March, Site 4a or April and May, Sites 3c and 3d). Temperature also showed a changing association with growth at two sampling sites (4a and 6b): temperature became negatively significant on radial growth in springtime (May) at Site 4a. Finally, a positive change was found at Site 6b in relation to winter temperature (February). As an example, Figure 7 shows the changing association between radial growth and climatic variables at Site 4a.

4. DISCUSSION

Pinus pinaster is a reliable species for dendrochronological studies, showing good correlation between trees growing at the same sampling site, high signal related to total noise and accurate statistical values that mean clear response to environmental factors. This species also shows accurate performance in studying the association between tree growth and global change showed by a changing relationship with climatic variables over time.

The statistics that characterised the chronologies suggest that the tree-ring series accurately reflects one or more causal factors (including climate), shown by the mean sensitivity values (MS) that are similar to the 0.16 to 0.34 values found in previous studies on *Pinus sylvestris* L., *Pinus nigra* Arnold,



Figure 5. Correlation coefficients (bars) and bootstrapped response function (lines) that indicate the effect of regional climatic variables and growth of *Pinus pinaster* during the 1960–2005 period. The period analysed covers from June of the year prior to growth to September of the current growing year. Bars outside dashed lines show significant coefficients at *p < 0.01. Bars outside dotted lines show significant coefficients at *p < 0.05. White circles indicate months where the bootstrapped response function coefficients are significant at p < 0.05. R² values show the total variance explained by both variables. Lower case letters indicate months prior to the growing year. Upper case letters indicate the growing year months.

Pinus pinaster Ait. and Pinus mugo ssp. uncinata Turra. growing in Spain (Richter et al., 1991). Signal-to-noise ratio (SNR) values that vary from 22.6 to 66.44 suggest that the proportion of the explainable variation due to climate or other causal factors divided by the unexplainable variation or residual is sufficiently high for all the sampling sites. Expressed population signal (EPS), ranging from 0.958 to 0.986, is accurate enough (> 0.85) for these studies (Wigley et al., 1984); this suggests that these chronologies describe the infinite, hypothetical population of *Pinus pinaster* at each sampling site well enough. First eigenvector variance ranges from 48.94 to 70.18%, indicating good homogeneity within the same site. Summing up, the nine chronologies have high MS, SNR, EPS and percentage of the variance accounted for by the first eigenvector, suggesting a strong common signal to related-climatic environmental factors.

A common growth pattern among all series has been detected. PCA analysis suggests a clear strong common variance among all the sampling sites (explaining over 56% of variability) and a positive correlation (**p < 0.01 and ***p < 0.001) with the PC1 axis. Although the sampling sites included trees of different ages and trees from different ecoregions, all the series can be considered to share a common variance related to causal factors. These results coincide with a previous study in Spain which suggested that pine species growing in the southern dendroecological section of the Iberian Peninsula (according to the division made by the authors), like eight of the chronologies in this study, could have a common growth response to environmental factors (Richter et al., 1991). PCA applied to analysing three pine species in Spain (Pinus nigra Arnold, Pinus sylvestris L. and Pinus uncinata Ram.) explained 32.5% of variability among the chronologies (Andreu et al., 2007). Our higher variability (56%) may be due to the fact that only one pine species was included in this study.

There are previous studies which consider PCA to be adequate for estimating a common growth variance among and within species (Andreu et al., 2007; Girardin and Tardif, 2005). In this study, the PCA analysis results obtained suggest a clear strong common variance among all the sampling sites, indicated by 56% of the growth variability explained by PC1. All sampling sites show a significant positive PC1 correlation, suggesting a sound common variance. The high correlation coefficient values (more than 0.6, except for Site 2b) emphasise the common growth pattern among all series. Another fact that explains the high variability shared by the sampling sites is that they were located about 450 km apart, a figure previously considered as too long a distance to guarantee good cross-dating among different pine chronologies (Richter and Eckstein, 1990).

High correlation on radial growth between individuals of *Abies alba* Mill. and *Picea abies* Karst. growing under different environmental conditions was also found in France, suggesting that tree-ring growth is not modified by local site characteristics (Lebourgeois, 2007). The total variance explained by average climatic variables and regional radial growth index of *Pinus pinaster* (60%) can be considered appropriate considering tree-rings rarely cover more than 60% of the variance registered in instrumental records, and 40 to 50% is quite a common level (Fritts H. 1991; Jones et al., 1998). Richter et al. (1991) found 68% of the total variance explained by precipitation and temperature in pine species growing in the Iberian Peninsula.

Rainfall is the dominant climatic variable that has a significant association with *Pinus pinaster* growth, as the stepwise correlation analysis indicated. Rainfall effect constitutes the significant climatic variable in both the regional and local analyses. This could be the reason why all the sites share a high common variance explained by PC1. Other factors, such as genetic provenance or specific plasticity based on physiological adaptations, can interact with precipitation. The importance of precipitation, independently of sampling site location, has also been reported for *Pinus sylvestris* L., *Pinus nigra* Arnold. and *Pinus pinea* L. growing in the Iberian Peninsula (Campelo et al., 2007; Richter, 1988). Rainfall during and prior to the



Figure 6. Correlation coefficients (bars) and bootstrapped response function (lines) that indicate the effect of local climatic variables and growth of *Pinus pinaster* at sites 2a, 2b, 3a, 3b, 3c, 3d, 4a, 4b and 6b during the 1960–2005 period. The period analysed covers from June of the year prior to growth to September of the current growing year. Bars outside the dashed lines show significant coefficient at *p < 0.01. Bars outside the dotted lines show significant coefficients at *p < 0.05. White circles indicate months where the bootstrapped response function coefficients are significant at *p < 0.05. R^2 values show the total variance explained by both variables. Lower case letters indicate the months prior to the growing year. Upper case letters indicate the growing year months.

growing season had a positive effect on growth of four different pine species (*Pinus sylvestris, Pinus nigra, Pinus mugo* spp. *uncinata* and *Pinus pinaster*) when they were studied together (Richter et al., 1991). A positive effect of summer rainfall on three pine species (*Pinus sylvestris, Pinus nigra* and *Pinus uncinata*) has also been found in the Iberian Peninsula (Andreu et al., 2007).

Precipitation effect on radial or diameter tree growth, especially at young ages, has also been reported for other coniferous species in northern California, concluding that precipitation is the most important factor limiting growth for the six coniferous species analysed (Yeh and Wensel, 2000). The effect of temperature on tree growth varied among sites. No effect was recorded at the sites placed at the highest positions (3b, 3c and 3d) and a negative effect was recorded at five sampling sites (2a, 2b, 3a, 3d and 4a). A positive temperature effect in winter prior to the growing season (Sites 3a, 4b and 6b) coincided with a previous study made on four pine species that pointed out the positive significant effect of winter temperature (Richter et al., 1991). The difference found out in this study is that temperature effect could not be simplified to a common response in all the sampling sites because local issues, as for example slope or aspect, could determine the association between this variable and radial growth.



Figure 6. Continued.

Although Richter et al. (1991) did not find significant differences in growth patterns among different pine species using a PCA, they pointed out that the Iberian Peninsula could be divided in two main areas according to climate response (northern and southern). According to this division, all the sampling sites in this study belong to the southern area except for the *Duriense* ecoregion (northern area); the sampling sites in this ecoregion showed not only similarities with the other sampling sites (rainfall), but also differences, due to it is the only ecoregion that showed a negative association with temperature at the beginning of autumn prior to the growing season.

Pinus pinaster radial growth shows a strong association with precipitation and temperature. Temperature effect is less evident than precipitation. However, intercorrelation between precipitation, temperature and evapotranspiration can limit environmental moisture and, consequently, restrict tree growth. However, the predicted temperature trend until 2080 will probably not lead to an unsuitable environment for *Pinus* *pinaster* (Harrison et al., 2006). As *Pinus pinaster* is a pre-Mediterranean species that has suffered different environmental changes (from subtropical environments to Mediterranean ones) (DiCarlo, 1931), it can also deal with very atypical severe droughts, such as that of 1994 (Peñuelas et al., 2002), and it shows a better water-use efficiency than *Pinus sylvestris* (Martínez-Vilalta and Piñol, 2002). These facts indicate that *Pinus pinaster* might be well adapted to summer rainfall reductions.

A change in growth response in different pine species as a response to climatic conditions has been reported (Andreu et al., 2007). Results show a changing climate effect on growth and some variables that were non-significant 30 years ago have been stated to be significant since the 1980s. The climatic warming of the 1980–1995 period was characterised by intense droughts that produced severe damage in woody species (Peñuelas et al., 2001). Our findings indicate that some climatic variables (May temperature and April–May


Figure 6. Continued.

Table V. Kalman filter analysis results between *Pinus pinaster* radial growth and monthly climate variables (mean temperature and rainfall) at all the sampling sites.

Site	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
2a				Т	Т		r					r+	<i>r</i> T			
2b				Т							r	r	r T	Т		
3a			r				r+	r+	t			r		Т		
3b			r									r	r			
3c			r+				r			r	r+	r+	r			
3d			r+							r	r+	r+	r			
4a			r							r+		r T+			r	
4b			r						t		r	r				
6b							r t		t+	t		rt				

Letters indicate the months where the association was statistically significant (*p < 0.05): lower-case *r* means monthly rainfall, and the letter *t* means mean monthly temperature. Capital letters refer to a negative association with climate variables. Letters with (+) symbol indicate the months when the association changed over time.



Figure 7. Kalman filter analysis results for the time-dependent relationships between radial growth and climatic variables (March rainfall and May temperature) at Site 4a. The solid lines show the regression coefficient and the dotted lines are the confidence intervals at a 95% confident level.

rainfall) have changed from non-significant to significant in the last 30 years (from 1980 until now). This coincides with previously-reported phenological changes in plant life (related to temperature) in the Mediterranean region. In addition, these changes started during the 80s, which could mean a changing association with climatic factors (Peñuelas et al., 2001; 2002).

Summing up, *Pinus pinaster* radial growth is strongly associated with water supply, which means that it could be an excellent tool for reconstructing past weather conditions in the Iberian Peninsula, especially the temporal fluctuations of rainfall. On the other hand, a changing association between growth and climatic variables has shown that this species could be a good candidate as accurate tool for studying global change effect on tree growth.

Acknowledgements: The authors thank Cristotal Ordóñez for field assistance, María José Fernández Nieto for providing meteorological data and Dr. Vicente Rozas, Dr. Estetan Jobbágy and Dr. Mariano Morales; the editor and two anonymous referees for their useful comments. Thanks are also extended to Etienne Cartuyvels, Cinnamon Nolan and María Laura Cangiano for improving the French and English versions. This study was supported by Research projects from the Regional *Castilla y León* government (Spain) (Project Code VA096A05), the Spanish Ministry of Science and Education (Project Code AGL2007-65795-C02-01) and by the Alβan Programme, the European Union Programme of High Level Scholarships for Latin America (Scholarship No. E05D049920AR).

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This article was accepted to be published In Proceeding of the Dendrosymposium 2007. May 3rd – 6th 2007, Riga, Latvia. Scientific Technical Report STR08/05. Edited by Didzis E., Brumelis G., Gärtner H., Helle G., Schleser G. Vol.6 (2007) Bogino S., Bravo F. SOI and NAO impacts on Pinus pinaster Ait. growth in Spanish forests, pages 21–26, and is reprinted with kind permission of the editors.



SOI and NAO impacts on Pinus pinaster Ait. growth in Spanish forests

RESUMEN

Se analizó el impacto de los índices atmosféricos (NAO y SOI) sobre el crecimiento radial de pino mediterráneo (Pinus pinaster Ait.) en los bosques de España usando técnicas dendroclimatológicas. Se estudió la respuesta del crecimiento a los índices atmosféricos de manera dependiente e independiente del tiempo aplicando el análisis de correlación, la función respuesta del remuestreo bootstrapped y el filtro de Kalman. En el área de distribución natural de la especie en el este de España se construyeron cuatro cronologías. Se observó una señal común de crecimiento lo que sugiere que los árboles que crecen en el mismo sitio tienen una respuesta similar a los factores ambientales. La varianza total explicada por los índices atmosféricos varió entre 9,56 y 37,56% en el período analizado de 1950-2005. Los valores estadísticos que caracterizan las cronologías sugieren una fuerte asociación entre el crecimiento del pino mediterráneo y los factores ambientales. Los resultados mostraron una asociación positiva entre los sitios de muestreo y el índice SOI, sin embargo, esta asociación no es significativa excepto en sólo un sitio de muestreo. Por otra parte, el índice NAO en el invierno tiene un efecto negativo sobre el crecimiento radial en dos sitios de muestreo. El análisis a través del tiempo mostró que sólo el índice SOI cambió en los últimos 50 años. A pesar de que los índices atmosféricos explican menos la variabilidad del crecimiento radial de esta especie que las variables climáticas, temperatura y precipitación, su efecto se puede registrar en los anillos de crecimiento y es vulnerable de sufrir cambios a través del tiempo.

SOI and NAO impacts on *Pinus pinaster* Ait. growth in Spanish forests

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Introduction

Global change provides an extraordinary research opportunity and challenges for dendroclimatologists and other scientists who investigate the natural variability in the Earth's system (Hughes 2002). Climate has been used as a source of explanation for changes in the size and state of the tree-ring and it should be used to predict future tree-ring growth (Hughes 2002). The North Atlantic Oscillation (NAO) is traditionally defined as the normalized pressure difference between the Azores and Iceland. The NAO pattern is most pronounced both, in intensity and area coverage, during the winter. This phenomena is considered to be the most important source of climate variability in Europe, northern Africa and eastern North America; affecting temperature, precipitation and atmospheric circulation (Hurrell 1995, Hurrel & van Loon 1997). The Southern Oscillation Index (SOI) refers to the pressure variation between Darwin (Australia) and Tahiti. This pressure variation defines the cyclic warming and cooling of the equatorial Pacific Ocean, commonly known as El Niño phenomena (Bjerknes 1966). The impact of the SOI is felt mainly in the Pacific, however, its effect seems to influence climatic variability on a global scale (Trenberth et al. 1998).

The NAO and SOI should be considered as the major sources of the inter-annual variability of weather and climate around the world (Hurrell 1995, Hurrell & van Loon 1997). Over the last five centuries the connection between the mean winter precipitation over the Mediterranean and the NAO has turned out to be stable, with highly negative correlations throughout the period (Cook et al. 2001). *Pinus pinaster* Ait. occurs naturally in the western Mediterranean Basin, in the northern rim (France, Italy, Portugal and Spain) and in the southern rim (Algeria, Morocco and Tunisia). It is a characteristic species of the Mediterranean forests and its main distribution area is across the Iberian Peninsula where it covers about 2.4 million hectares (Blanco et al. 1997). It is adapted to different environments and, consequently, shows a wide ecological variety of adaptations: it survives under high or low temperatures, under regular or variable rainfall as well as under sever droughts; it is also adapted to the extremely cold winter in the centre of the peninsula and to the mild temperature next to the Atlantic ocean coast (Blanco et al. 1997).

There are no previous studies made on the impact of the SOI and NAO indexes on conifers growing in the Iberian Peninsula. Because of many scientists arguing about both indexes global impact on the earth's surface, it could be an excellent opportunity to analyse the relationship between these indexes and the growth of woody species. The aim of this study was to analyze the relation between the *Pinus pinaster* 's tree-ring width and the NAO and

SOI atmospheric indexes in Eastern Spain. This objective was addressed analyzing sixty trees cored at four different sites. Correlation analysis, bootstrapped response function and Kalman filter analysis were applied to study both, time-independent and time-independent growth responses to atmospheric indexes.

Material and Methods

Study sites and laboratory methods

Four sampling sites were selected In Central Spain. The sites were located between 920 and 1,437 m a.s.l. (Fig.1, Tab. 1).



Figure 1: Geographical location of P. pinaster sampling sites in the Iberian Peninsula. The round points indicate the sampling sites. Sites codes: Te: Teruel; Cu: Cuenca; Va: Valencia; Al: Albacete.

The climate of the area is Mediterranean with sever droughts during the summer and precipitation from autumn to spring. Mediterranean Maritime pine grows on permeable soils, generally rich in organic matter, which have developed on calcareous or siliceous substrates. At each sampling site, in the summer of 2006, from fifteen dominant and co-dominant trees, two cores were extracted at a hight of 1.30 meter. Cores were polished and subsequently dated under a binocular microscope following standard dendrochronological techniques (Stokes & Smiley 1968). Sections were scanned at high resolution (2,000 dpi) with an Epson Expression 1640 XL scanner with a 0.01 mm accuracy. Tree-rings were measured using WinDENDRO[®] (Regent Instruments).

Statistical analysis

The NAO and SOI values were obtained from <u>www.cru.uea.ac.uk/cru/data/nao.htm</u> and <u>www.cru.uea.ac.uk/cru/data/soi.htm</u> (Jones et al. 1997). The COFECHA program 6.06P version (Grissino-Mayer 2001 available at: www.ltrr.arizona.edu) was applied to assess the data accuracy. This program calculates the correlation indices between the ring width series and also identifies errors such as missing or false rings. To eliminate the growth biological tendency and to minimise growth variation which was not present in all trees (Fritts 1976),

the ARSTAN program, 2.07 version (Cook & Holmes 1984 available at: www.ltrr.arizona.edu) was used. To obtain a master chronology at each study site, the standardised series were averaged. These temporal series or master chronologies expressed the annual variations in radial growth of *P. pinaster* at each sampling place. The quality of the chronologies was evaluated using the mean sensitivity (MS) (Schweingruber 1996), the signal-to-noise ratio (SNR) (Fritts & Swetnam 1989) and the expressed population signal (EPS) (Wigley et al. 1984). A chronology is considered to be confident with a higher than 0.85 EPS value. The common growth signal between residual chronologies was analysed using the Pearson correlation coefficient (Sokal & Rohlf 1995).

To determine the climatic variables that control the growth of *Pinus pinaster*, atmospheric indexes were compared with residual chronologies from June previous to the growing season to September of the current growth year during the period 1950-2005. The PRECON program version 5.17 (Fritts 1999 available at: <u>www.ltrr.arizona.edu</u>) was used. This is a statistical model for analysing the tree-ring response to variations in climate using a stepwise multiple regression analysis. The coefficients are considered significant at a 95% level of confidence. The program also includes a bootstrapped response function to improve the statistical significance of the regression coefficient (*p* <0.05). In this analysis 999 interactions were made. To analyse the time dependent relationship between these atmospheric indexes and radial growth, Kalman filter analysis was applied (Visser & Molenar 1988).

Results

An evaluation of climate atmospheric indexes impact on radial growth of Mediterranean Maritime pines has been carried out. This evaluation was based on a dendrochronological analysis of dominant and co-dominant trees in four stands in Eastern Spain.

The descriptive statistic of all the chronologies showed that the mean sensitivity varied from 0.2571 to 0.3779, and the standard deviation varied from 0.2555 to 0.3179, according to the sampling site. The SNR fluctuated from 29.087 to 68.444, and the EPS values varied from 0.967 to 0.986. The total period covered by the chronologies varied from 120 in the shortest chronologies, to 162 years in the longest ones (Tab. 1).

Table 1: Coordinates, altitude, basal area (BA) and descriptive statistic of the four Pinus pinaster chronologies in Eastern Spain. SD: standard deviation; MS: mean sensibility; SNR: Signal to noise ratio; EPS: Expressed population signal. Te: Teruel; Cu: Cuenca; Va: Valencia; Al: Albacete.

	Те	Cu	Va	AI
UTM_X	639753	638858	648053	645583
UTM_Y	4464496	4467569	4411314	4411593
Altitud (m)	1437	1364	970	1090
BA(m ² .ha ⁻¹)	40.17	45.73	36.66	34.74
Time span	1844-2005	1847-2005	1879-2005	1886-2005
Core number	26	29	26	30
Ring number	3757	4128	2723	3043
Age range	124-162	124-158	72-127	72-120
SD	0.2589	0.3179	0.2555	0.2764
MS	0.2992	0.3708	0.2571	0.2978
SNR	29.087	68.444	38.528	36.254
EPS	0.967	0.986	0.975	0.973
Variance in first eigenvector	54.85	71.41	62.78	59.62
Mean correlation among trees	0.528	0.702	0.606	0.573

The four chronologies showed high SNR (over 29.087) and EPS (over 0.967), and the percentage of the variance accounted for the first eigenvector (over 54.85) reflected a strong common signal related to climatic-environmental factors. The Pearson correlation coefficient between all residual chronologies varied from 0.37 to 0.76 in the 1887-2005 common growth period (DF = 111 and $p^* < 0.05$). The association between radial growth and monthly climatic atmospheric indexes is shown in figure 2. The total variance explained by atmospheric indexes varied from 8.95 to 37.46%.



Figure 2: Regression coefficients (bars) and bootstrapped response function (lines) which relate the effect of climatic atmospheric indexes and growth of Pinus pinaster during the 1950-2005 period. The analysed period is from June to the previous growing season to September of the current growing season. Bars higher than the dashed lines show significant coefficient at the 0.05 level. Asterisks point the months where the bootstrapped response function coefficients are significant at the 0.05 level. R² values show the total variance explained by both indexes. Sites codes: Te: Teruel; Cu: Cuenca; Va: Valencia; Al: Albacete

The total variance explained by the NAO and SOI indexes is higher in the sites at higher positions (chronologies Te and Cu). In these places there is a significant negative

association between the NAO index and growth during January and March (site Te) and December and March (site Cu), but only March is significant in the bootstrapped analysis. Only one place showed a positive association with NAO values during September prior to the growing season (Site AI), but this association was not significant in the bootstrapped analysis. The association with the SOI was positive in all the analysed sites, but it was only significant, in the correlation coefficient and the in the bootstrapped response function, in place Cu. Only site AI showed a negative association with the SOI, shown by the bootstrap coefficient during March previous to the growing season.

The Kalman filter showed that no place showed a changing association through time with NAO index. Only place Te showed a changing association with SOI, statistically significant in February, from 1982 to 1987. This significant association was coincident with the strongest El Niño phenomena recorded during the last century.

Discussion

It is difficult to find a simple linear correlation between radial growth and atmospheric indexes because their global effects and their impact on regional climatic variables are not yet completely understood.

In these results, the total variance explained by NAO and SOI indexes suggested that the signal is weak if it is compared with regional climatic variables. However, the negative correlation with NAO during winter in two sites, and the changing effect of SOI index through time in one site, offer new information about the association between atmospheric indexes and coniferous species growing in the Iberian Peninsula.

Although atmospheric indexes explain less variability than other regional climatic variables, these results emphasis that these indexes effects could be recorded on tree-ring and they could have a sensible effect in growth of woody species, even if their action centres are located too far away from the analysed sites.

Previous studies have determined an opposite relation between winter NAO index and precipitation on the Iberian Peninsula (Esteban Parra et al.1998) and in this study two sites showed a negative winter correlation with the NAO index, consequently, these results suggest that these negative relation between NAO and growth could be associated with a moisture availability that could affect growth. Also, NAO effect is related to altitudinal position: the highest sites showed a significant relation with NAO during winter but there was no association with NAO values at the lowest analysed sites.

The association between growth and the NAO index was different from *Pinus sylvestris* across Northern Fennoscandia where this species had a positive correlation between early winter NAO indexes previous to the growing season and late spring NAO (Macias et al. 2004). In our study, only one place showed a positive association with the NAO during the autumn previous to the growing season. The positive correlation these authors found in Fennoscandia between the NAO winter index and growth does not exist in Spanish Mediterranean Maritime pine forests at any sampling site. This difference could be explained by the fact that the effect of the NAO index on Northern Europe is opposite to the effect in Southern (Hurrel 1995).

In Spain, the relation between the SOI and plant growth has only been previously analysed on annual crops (Gimeno et al. 2002). As far as we know, there is no study focused on forest growth related to the SOI in our region. According to Trenberth et al. (1998) the SOI index mainly affects the Pacific area, but they consider that its effect might also influence climatic variability on a global scale. In this study a positive association was found between the index named above and growth in August in one of the highest sites, and negative in March in one of the lowest altitudinal position sites. Unfortunately, previous studies on the SOI effect in Spain are contradictory, as a consequence of that, future studies have to be made in order to understand better the opposite effect, according to the site and the changing impact through time. Modelling the impact of climate change on the distribution of species on a European scale under future climatic scenarios, Spain's environment will become unsuitable for Pinus pinaster by 2080 (Harrison et al. 2006). This would be coherent if growth were associated with precipitation, but the comprehensive general circulation models used for future climate projections leave us with an indeterminate picture of ENSO's future. Some observers predict more ENSO activity, others less, with the highly uncertain forecast consensus indicating little change (Cane 2005). Considering that this index shows a peculiar association with growth, which changes through time, future studies will have to be carried out.

Results can serve both, to understand climate/forest growth associations, and to determine which climatic variables can be useful for improving empirical models in order to help forest managers to adopt decisions in the future within the context of an extremely unpredictable climatic scenario.

Acknowledgements

The authors thank Cristobal Ordóñez for field assistance. This study was supported by the Research project from Junta de Castilla y León (Spain) Code: AGL 2004-07094-CO2- and by the Programme Al β an, the European Union Programme of High Level Scholarships for Latin America, scholarship # E05D049920AR.

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This article was submitted in Journal of Vegetation Sciences. Bogino S., Fernández Nieto M, Bravo F. Drought index and radial growth of Pinus sylvestris L. in its southern and western distribution threshold. Journal of Vegetation Sciences.



Drought and climate effect on radial growth of *Pinus sylvestris* L. in its southern and western distribution threshold

RESUMEN

Se estudió la relación entre el ancho de los anillos de crecimiento de Pinus sylvestris y las variables climáticas (precipitación y temperatura), el índice de seguía relativa y el efecto de los pulsos e interpulsos de la disponibilidad de agua. Estos estudios se realizaron en el extremo sudoeste de la distribución mundial de esta especie en la Península Ibérica y usando técnicas dendrocronológicas. Se construyeron seis cronologías de ancho de anillo. La relación entre el ancho de los anillos de crecimiento con el clima se determinó usando el coeficiente de correlación de Pearson y la función respuesta del remuestreo bootstrapped. Se aplicaron el índice de seguía relativa y el análisis de series temporales para determinar el efecto de la aridez y la periodicidad en el crecimiento radial. El ancho de los anillos de crecimiento está positivamente correlacionado con la lluvia de la estación de crecimiento en todos los sitios. El efecto de la temperatura media varió de acuerdo con el sitio. También se encontró una respuesta climática de acuerdo con la edad: los árboles jóvenes tuvieron una mayor variabilidad explicada por el clima que los árboles más longevos. Entre los ejemplares adultos también hubo correlación entre añillos de crecimiento sucesivos. La relación significativa entre el crecimiento radial y el índice de seguía sugiere que la seguía es un factor de control que afecta el crecimiento radial de esta especie en el límite sudoeste de su distribución mundial. La periodicidad relacionada con el efecto de los pulsos e interpulsos de disponibilidad de agua es de dos a dos y medio años lo que sugiere un fuerte impacto de la variación interanual de la precipitación sobre el crecimiento radial.

1	Drought and climate effect on radial growth of <i>Pinus sylvestris</i> L. in its southern
2	and western distribution threshold
3	
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16	Abstract
17	Question: How climate affects radial growth of Pinus sylvestris growing in its limit
18	worldwide distribution area?
19	Location: Mediterranean environments in the Iberian Peninsula.
20	Methods: Dendrochronological techniques were applied to build six tree-ring width
21	chronologies of P. sylvestris. Tree-growth association with climate was analysed using
22	correlation coefficient and bootstrapped response functions. Drought index (DRI) and a
23	time series analysis were performed to detect aridity effect, autocorrelation between
24	successive tree ring and periodicity in radial growth related to pulse and interpulse
25	water events.

26 **Results:** Tree-ring width was positively correlated with rainfall in the growing season at 27 all sites. Mean temperature effect varied according to site. A climatic response 28 according to age was found: young stands had higher variability explained by climatic 29 variables than old stands. Correlation between successive years was also detected in old 30 stands. The significant association between radial growth and DRI suggests that drought 31 is a commanding factor affecting radial growth of *P. sylvestris*. The periodicity related 32 to pulse and interpulse events was two or two and a half years, suggesting a strong 33 impact of interannual precipitation variation on radial growth. 34 **Conclusions:** Precipitation is a commanding factor on radial growth of Pinus silvestris 35 whereas temperature effect changes according to the site. Cambial age determine the 36 climate growth association. Drought events may be used to estimate both radial growth 37 and growth periodicity. 38 Keywords: Dendroclimatology; Scots pine; tree ring; pulse and interpulse. 39 **Abbreviations:** DRI = Drought index; MS = Mean sensitivity; SNR = signal-to-noise 40 ratio; EPS = Expressed population signal; ACF = Autocorrelation function 41 Introduction 42 Growth-climate relationships are crucial to understanding and modeling the carbon 43 sequestration process in forests and to developing forestry strategies to mitigate climate 44 change impact. Different methodological approaches can be used to explore tree 45 growth-climate relationships, but dendroclimatological studies are valuable tools in 46 detecting long-term changes in radial growth in woody species related to climatic 47 variable response as a result of warmer conditions and precipitation variability (Andreu 48 et al. 2007). Extreme sites, such as the upper tree line or dry sites, have been the most

49 valuable for dendroclimatological studies because the number of important influencing

factors is reduced and the possibilities for interpreting growth patterns are increased(Fritts 1976).

In arid and semi-arid ecosystems, where water is a limiting resource, water availability 52 53 experiences two phases: "pulse", when the resource is available, and "interpulse", when 54 water availability is too low for plant use (Noy-Meir 1973; Goldberg and Novoplansky 55 1997). Mediterranean environments in the Iberian Peninsula, which are water limited 56 ecosystems, are characterised by summer droughts and high interannual variability of 57 precipitation and temperature. In summer months, when temperature is favourable for 58 growth, moisture is limiting; whereas in winter, when moisture is available, low 59 temperature limits further growth (Mooney and Dunn 1970). 60 Scots Pine (Pinus sylvestris L.) is the pine species with the most extensive ecological 61 area in the world (Blanco et al. 1997) and represents one of the most important 62 dendroecological species in Europe (Schweingruber 1996). P sylvestris is one of the 63 most important tree species in Spain due to both the area covered (1 280 000 ha) and the 64 diverse functions that the woodlands have (Montero et al. 2008). Forests of P. sylvestris 65 in Spain are the southern and western distribution threshold worldwide of that species 66 (Barbéro et al. 1998) and in assessing the impact of global warming on ecosystems, any 67 changes in tree growth are likely to occur first in those tree stands placed at the 68 ecological boundary of the species (Tessier et al. 1997). 69 The forecast of climate change impact on the diversity and distribution of European 70 higher plants for the year 2050 pointed out that Spain could be one of the most 71 vulnerable areas of species lost mainly due to climatic variability (Bakkenes et al. 72 2002). Previous studies in the Iberian Peninsula concluded that, under severe dry 73 phenomena, woody species showed that shrubs evolved under Mediterranean climate 74 conditions, were more damaged by the drought than the earlier evolved pre-

75 Mediterranean genera, mostly trees (Peñuelas et al. 2001), and among all pine species,

76 *P. sylvestris* had the highest mortality rate under severe droughts in the 1990s

77 (Martínez-Vilalta and Piñol 2002).

78 On the other hand, ecophysiological changes in trees relate to age (Bond 2000; Bond & 79 Franklin 2002) also emphasises the importance of considering tree age in climate-80 growth responses analysis. Previous studies show contradictory results between tree age 81 and growth-climate response, according to the species analysed: for example, no 82 differences were found between young and old trees in Pinus aristata Engelm.(Fritts 83 1976) and Larix lyalii Parl. (Colenutt & Luckman 1991), but a higher climate effect was 84 recorded on old trees than on young ones of Larix decidua and Pinus cembra in Italian 85 Alps (Carrer & Urbinati 2004) and Abies lasiocarpa (Hook.) Nutt. in North America 86 (Peterson & Peterson 1994). Previous dendroclimatological studies of P. sylvestris in 87 the Iberian Peninsula concluded that this species is vulnerable to rainfall variability in 88 the growing season (Guttierrez 1990; Richter et al. 1991; Fernández et al. 1996) but the 89 authors did not set up the age effect on climate response. 90 Despite the fact that predictions of climate models still have uncertainties, one result 91 appears clear: future climate may be characterised by greater extremes and, perhaps, 92 more erratic fluctuations, with potentially strong effects on interannual to intraseasonal 93 rainfall variability (Schiwinning et al. 2004). Consequently, it is essential to understand 94 how different species may be impacted by temporal variations in water supply 95 (Easterling et al. 2000). Furthermore, in arid and semiarid environments, it is critically 96 important to interpret short-term responses of individuals and populations to 97 precipitation (Chesson et al. 2004). Different responses of species to changes in intra-98 season recharge have been reported for grass and shrub (Jobbágy & Salas 2000; 99 Oesterheld et al. 2001) and for annual species which grow in Mediterranean

environments (Sher et al. 2004) but, to our knowledge, there are no previous studies on
woody species related to the pulse-interpulse theory in Mediterranean environments in
the Iberian Peninsula.

103 Due to in Mediterranean environments in the Iberian Peninsula, P. sylvestris grows

104 under water limited conditions, dendroclimatological studies may provide valuable

105 information about the association with climatic variables depending on the cambial age,

the drought effect and the interannual water availability effect (pulse and interpulse) onradial growth.

108 The following questions were addressed: Which climatic variables are significant in

109 radial growth of *Pinus sylvestris*? Does the cambial age affect the climate response?

110 May be predicted radial growth according to drought events? Is it possible to predict

111 growth periodicity relate to "pulse" and "interpulse" water events?

112 Material and methods

113 Study sites, field work and laboratory methods

114 Six sampling sites were selected in the distribution area of *Pinus sylvestris* in the Iberian

115 Peninsula (Fig.1; Table 1). Three sites had individuals older than 100 years old and

three sites had individuals younger than 100 years old. In the summer of 2006, two

117 cores were extracted at 1.30 m above ground level from fifteen dominant and co-

118 dominant trees at each sampling site. Cores were glued onto channelled wood, dried for

119 two weeks and polished with progressively finer grade sandpaper. Tree rings were dated

120 to establish correctly the calendar year in which a tree ring was formed. Dating was

121 achieved using a binocular microscope following standard dendrochronological

- 122 techniques (Stokes & Smiley 1968; Fritts 1976; Cook & Kairiukstis 1990). The
- transverse section cores were scanned at high resolution (2 000 dpi) with an Epson
- 124 Expression 1640 XL scanner at 0.01-mm accuracy, and rings were measured using

- 125 WinDENDRO software (Regent Instrument Inc. 2002). The v6.06P COFECHA
- 126 program (Holmes 2001; Grissino-Mayer 2001; available at www.ltrr.arizona.edu) was
- 127 applied to assess measurement and dating accuracy. This program calculates the
- 128 Pearson's correlation indices between the indexed tree-ring series and a master
- 129 reference chronology in a series of consecutive, partially overlapped segments of a
- 130 length specified by the user.

131 Climatic data

- 132 Monthly precipitation and mean monthly temperature, provided by the Agencia Estatal
- 133 de Meteorología (National Meteorological Agency, Spain), were selected to assess the
- 134 climate-growth relationship. Recorded meteorological data that varied from 61 to 71 yr
- 135 pertain to four meteorological stations placed at less than 30 km of the sampling sites:
- 136 Miranda del Ebro (Burgos), Villafría (Burgos), Observatorio (Soria) and Aldea del Rey
- 137 Niño (Avila) (Table 2). The climate-diagrams of the Meteorological Stations are
- 138 provided in Fig. 2. The HOM component (Homogeneity of Meteorological Data) of the
- 139 Directory Program Library for Dendrochronology (Holmes 1983; available at
- 140 <u>www.ltrr.arizona.edu</u>) was used to determine the homogeneity of the climatic variables.

141 Growth-climate relationship analysis

- 142 To eliminate biological trends in tree-ring series and to minimize growth variations that
- 143 are not shared by most trees (Fritts 1976), the v6.05P ARSTAN program (Cook &
- 144 Holmes 1984; Holmes 2001; available at www.ltrr.arizona.edu) was used.
- 145 Standardisation removes geometrical and ecological trends while preserving inter-
- 146 annual high-frequency variations that are presumably related to climate. To obtain a
- 147 master chronology at each study site, the standardised series were averaged. These
- 148 temporal series or master chronologies expressed the annual variations in *P.sylvestris*
- 149 radial growth at the population level in each sampling site.

150 Chronology quality was evaluated using mean sensitivity (MS), is the degree to which a 151 tree reacts to environmental factors or the measure of the year-to-year variability (Schweingruber 1996); signal-to-noise ratio (SNR), the proportion of the variability 152 153 explained by climate or other causal factors divided by the residual or unexplained 154 variability (Fritts & Swetnam 1989); and expressed population signal (EPS), which 155 describes how a finite sample estimates the hypothetical infinite population (Wigley et 156 al. 1984; Briffa 1995). A chronology was considered useful when it had an EPS value of 157 higher than 0.85.

158 To determine the climatic variables that control *P. sylvestris* radial growth, mean

159 monthly temperature and monthly rainfall were compared to the local chronologies at

160 each sampling site. The local chronologies were compared with the meteorological

161 station closest to the analysed site. The period explored was from the previous June to

162 September of the current growth year. The v 5.17 PRECON program (Fritts 1999;

163 available at <u>www.ltrr.arizona.edu</u>) was used to compute the response functions of tree

164 growth to climate by means of a multiple stepwise regression. Coefficients were

165 considered significant at p<0.05 and p<0.01. A bootstrapped analysis was also

applied to improve the robustness of the correlation coefficients. In this analysis, 1000

167 bootstrap interactions were made (Mooney and Duval 1993).

168 Drought index effect and pulse and interpulse analysis

169 The drought index (DRI) of Thornthwaite (1948) was applied to detect pulse-interpulse

- 170 effect on radial growth of *Pinus sylvestris*. The DRI at each sampling site was
- 171 calculated from the months that at the response function analysis showed a significant
- association between precipitation and radial growth (May and June at Miñon, Oña and
- 173 *Arauzo de Miel* sites; June and July at *El Espinal* site and July and August at *Molino*
- 174 *Piqueras* and *Amogable* sites).

175 Monthly DRI was calculated by the formula (1):

176 (1) DRI = P - PET

Where DRI is the drought index, P = monthly precipitation and PET = the potential
evapotranspiration estimated from the monthly mean temperature and the geographical
position of the meteorological station (Thornthwaite 1948). The Pearson's correlation
coefficient was applied between DRI and tree ring width at each sampling site (Sokal
and Rohlf 1995).

182 A time series analysis was performed to detect both autocorrelation between

183 consecutive tree-ring index and periodicity in the radial growth fluctuation due to pulse

184 and interpulse frequency (Box and Jenkins 1976). Tree-ring index autocorrelation of the

185 six sampling sites was estimated using the autocorrelation function (ACF). The ACF

186 provided information about the correlation between a specific year and the previous

187 one. Coefficients statistically significant were those higher than the lines at a confidence

188 level of 95%. The lag number changed according to the sampling site due to the fact that

the series length was different. All lags were shorter than 25% of the total tree-ring

190 series at each sampling site. Periodograms of chronologies were also calculated. The

191 occurrence and periodicity of cycles was determined using the inverse of the peak of

192 highest intensity which results from the spectral density due to the frequency. In order

193 to eliminate the high peak in the lower frequency that may hide other cycles, the

194 original series were transformed in a first order integrated series using a first difference

195 transformation (Box and Jenkins 1976). The statistical analysis was performed using

196 Infostat V.2 (Di Rienzo et al. 2002).

197 **Results**

198 The six chronologies had high SNR, EPS and percentage of the variance accounted for

199 the first eigenvector. The descriptive statistics showed that mean sensitivity varied from

200 0.15 to 0.26 and standard deviation varied from 0.18 to 0.35, depending on the sampling 201 site. SNR varied from 10.67 to 24.74 and EPS values varied from 0.91 to 0.96. All 202 chronologies analysed had high SNR (values always over 10) and EPS (always over 203 0.91), while the variance accounted by the first eigenvector was over 35 % (Table 3). 204 The association between radial growth and climatic variables (mean monthly 205 temperature and monthly precipitation) at the *Miñón* and *Oña* sites showed that rainfall 206 in the growing season (May and June) affects growth of this species positively. On the 207 other hand, temperature showed a positive correlation in February and a negative 208 correlation in October prior to the growing season (Fig.3). The association between 209 radial growth and climatic variables at the *El Espinar* site showed that rainfall during 210 the growing season affects growth of this species positively (June and July). High 211 temperatures during the growing season limited growth (Fig. 3). At the Molino Piqueras 212 site, a positive association with rainfall during the growing season was found (July and 213 August); temperature showed a significant negative relationship with growth in 214 September, and positive in February and April prior to the growing season (Fig.3). The 215 Amogable site also showed a positive association with rainfall in the growing season 216 (July) and with mean temperature in February. Temperature limited growth in October 217 prior to the growing season (Fig.3). Finally, rainfall in May and June had a significant 218 effect on growth at the Arauzo de Miel site (Fig. 3). The total variance explained by 219 both variables ranged from 31.2% to 60.2%, according to the sampling site and the tree 220 age (Fig.3). The Amogable site showed a higher variance than the other sites analysed, 221 but the period studied was shorter than at the other sites [from 1960 to 2005 (Fig.3)]. 222 The Pearson's correlation coefficient between tree-ring index and DRI showed a 223 significant association in all sampling sites [* p < 0.05; **p < 0.01; ***p < 0.001 (Table 224 4)] as an example two chronologies from an old stand (*Miñon* site) and a young stand

(*Molino Piqueras* site), showing that low tree-ring width coincides with negative DRI
values, are presented in Fig. 4.

227 The ACF of the six chronologies performed to detect autocorrelation between 228 successive tree-ring growth values suggested that autocorrelation coefficients in young 229 stands were not significant (Oña, Molino Piqueras and Amogable sites). However, this 230 association changed in old stands, where a significant association between current year 231 tree growth with that of one, two or three years before was detected [Miñon, El Espinar 232 and Arauzo de Miel sites (Fig. 5)]. 233 The time series analysis used to detect periodicity in fluctuation in tree-ring growth 234 relating to pulse and interpulse water availability events suggested that the strong 235 intensity variation in precipitation between years determined a clear variation in tree 236 growth showed by a periodicity of two or two and a half years (except for the *El* 237 *Espinar* site) (Table 5 and Fig.6).

238 Discussion

239 The relationship between climate variables and radial growth has been identified in 240 Scots pine stands in Spain using dendrochronological methods. Pinus sylvestris is a 241 useful species in dendrochronological studies showing accurate statistical values that 242 mean clear response to environmental causal factors. The six chronologies have high 243 Mean sensitivity (MS), signal to noise ration (SNR), Expressed population signal (EPS) 244 and percentage of the variance accounted for the first eigenvector, suggesting a strong 245 common signal to related-climatic environmental factors. The mean sensitivity values 246 are comparable with the 0.16 to 0.34 ones found for four different pine species growing 247 in the Iberian Peninsula (Richter et al. 1991) and on two chronologies of P. sylvestris 248 located on the Central Mountains of Spain (MS from 0.13 to 0.20; Fernández et al. 249 1996).

250 Expressed population signal (EPS) values were higher than 0.85 suggesting that these 251 chronologies describe the infinite, hypothetical population of *P.sylvestris* at each 252 sampling site well enough (Wigley et al. 1984). Signal-to-noise ratio (SNR) values 253 suggest that the proportion of explainable variation due to climate or other causal 254 factors divided by the unexplainable variation or residual is high enough in all the 255 sampling sites. The first eigenvector variance indicated good homogeneity inside the 256 same site which is comparable with the 35 to 64% values found in four pines species 257 studied in Spain (Richter et al. 1991).

258 The association between growth and climate shows that rainfall in the growing season 259 has a positive significant effect on radial growth among all sites, no matter what their 260 altitudinal location, geographical position or cambial age are. Differences in rainfall 261 effect within the growing season were found: at sites located at the lowest altitudinal 262 position (Miñon, Oña and Arauzo de Miel), this effect happened in spring (May and 263 June); in contrast, with sites placed at a higher altitudinal position, the association occurred in late spring and early summer [June and July (El Espinar site)] and summer 264 265 [July and August (Molino Piqueras and Amogable sites)]. This different association 266 may be due to temperature. Sites located at the lowest altitudinal positions are warmer; 267 consequently, the growing season starts early, and the spring rainfall is a driving factor 268 that affects growth. However, sites located at the highest altitudinal position are colder, 269 the growing season starts later and growth depends on summer rainfall. These results emphasised how important the within-season precipitation dynamic is; it can be equal to 270 271 or more important than the seasonal or annual total for plant growth (Fay et al. 2000; 272 Knapp et al. 2002).

These results also complement previous studies that emphasised the essential effect of
precipitation on radial growth of different *Pinus species* growing in the Iberian

275 Peninsula under Mediterranean climatic conditions as, for example, for Pinus nigra 276 Arnold, Pinus halepensis Mill. and Pinus pinaster Ait. (Génova 1994; Fernández et al. 277 1996; Raventós et al. 2001; Martín-Benito et al. 2008; Bogino & Bravo 2008). 278 Previous studies in Spain suggest both the decrease in rainfall and the increase in mean 279 temperature have to be considered as the most limiting factors that affect growth of the 280 Scots pine (Gutierrez 1989) and previous studies on four pine species, including P. 281 sylvestris, analysed together also emphasised the positive impact of winter temperatures 282 (December and February) on radial growth (Richter et al., 1991). Additionally, one 283 study in the Central Pyrenees for the 1952 – 1993 period also pointed out the positive 284 impact of temperatures (November and May) on radial growth of P. sylvestris (Tardif et 285 al. 2002). In this study, the importance of rainfall was found through all the analysed 286 sites, but mean temperature effect changed according to the site: from positive in winter 287 prior to the growing season (Miñón, Oña, Molino Piqueras and Amogable sites) to 288 negative both in fall prior to the growing season (Miñón, Oña, Molino Piqueras and 289 Amogable sites) and in summer in the growing season (El Espinar and Amogable sites). 290 Consequently, it is inferred that the local growth pattern of this species is the result of a 291 changing association with mean temperature according to the site analysed. Previous 292 studies suggest that species growing in temperate areas (Tessier et al. 1994; Dittmar et 293 al. 2003; Pederson et al. 2004) could not have a common climatic response and P. 294 sylvestris in Spain has a variable response to climatic variables depending on the 295 sampling site (Gutierrez 1989). 296 Age-dependent climate response showed that young stands have higher variability 297 explained by climatic variables in the response function analysis (from 53 to 69%) and 298 are not vulnerable to previous growth; in contrast, old stands have a lower percentage of

the variability explained by climatic variables (from 31 to 39%) but are vulnerable to

300 previous growth. Ecophisiological changes relate to tree age emphasised a reduction in 301 photosynthesis and in stomatal conductance, changes in leaf structures and in canopy 302 structure (Bond 2002, Bond & Franklin 2002) that may imply a variable association 303 between radial growth and climate according to the age. *Pinus ponderosa* Douglas ex. 304 C. Lawson in Oregon showed that when trees get older the water storage capacity in 305 stems increases which provided a buffer against short-term water stress (Anthoni et al. 306 2002) and may imply, as in our results, a lower vulnerability to climatic conditions in 307 old trees. On the other hand, the reaction of a tree to a drought period is a lower needle 308 amount as a result of increased needle loss (Rebertez & Dobbertin 2004), which may 309 involve a longer recovery period for old trees than young ones and consequently, a 310 correlation between subsequent tree ring.

The significant association between radial growth and DRI in the growing season
suggests that this index is an accurate tool to predict restrictions in *P. sylvestris* radial
growth. The DRI accuracy to estimate growth restrictions and mortality of *P.sylvestris*had been applied in Switzerland with similar correlation coefficient to our sampling
sites (Bigler et al. 2006).

316 The chance to estimate the impact of drought events on growth of *P.sylvestris* becomes

317 important considering that previous studies in Spain concluded that among all pine

318 species, P. sylvestris had the highest mortality rate under severe droughts (Martínez-

319 Vilalta and Piñol 2002) and in Switzerland drought processes are considered as a major

320 factor of dead increase of *P. sylvestris* (Eilmman et al. 2006). Considering a higher

321 precipitation variability in the future and that the mean annual temperature has increased

322 1.6°C in the last century in the Iberian Peninsula (IPCC 2007) the applications of these

323 results in models to predict future growth may be essential to corroborate the forecast of

324 climate change that suggest that Spain could be one of the most vulnerable areas of

325 species lost mainly due to climatic variability (Bakkenes et al. 2002).

326 When growth periodicity is analysed to determine "pulse" and "interpulse" periods in *P*.

sylvestris growth, it is clear that the strong precipitation variability and the significant

328 effect that this climatic variable has on tree-ring growth result in a strong variability

329 between successive years that is reflected as periods of two or two and a half years in

the time series analysis. Even though, depending on the sampling site, other cycle

331 periods were detected, two-year periodicity is stronger than other long-period cycles.

332 Conclusions

327

333 *Pinus sylvestris* shows a relationship that changes depending on the climatic variable

analysed: rainfall in the growing season is the driving climatic variable that controls

growth in all the sites analysed, while the association with temperature changes

according to the site and could be positive or negative. Results that relate cambial age

and climate suggest the importance of considering this variable when the growth

338 climate association is analysed. Drought is a commanding factor affecting growth and

339 should be considered in models that forecast the climate change impact in

340 Mediterranean environments.

341 Acknowledgements

342 The authors thank Cristotal Ordóñez for field assistance. Thanks are also extended to

343 Dr. Mariano Morales, Esteban Jobbagy and Javier Gyenge for their useful comments on

344 the manuscript, to for improving the English version and to the Agencia Estatal de

345 *Meteorología* for providing meteorological data. This study was supported by Research

346 projects from the Regional *Castilla y León* government (Spain) (Project Code

347 VA096A05), the Spanish Ministry of Science and Education (Project Code AGL2007-

- 348 65795-C01-01) and by the Al β an Programme, the European Union Programme of High
- 349 Level Scholarships for Latin America (Scholarship No. E05D049920AR).

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- 490 time series, with applications in dendroclimatology and hydrometeorology. *Journal of*
- 491 Applied Meteorology 23, 201-213.

492 Table 1. Geographical position of six sampling sites of *Pinus sylvestris* in the Iberian

Site name	Code	Latitude N	Longitude W	Altitude (m.a.s.l.)
Miñón	MIN	42° 54' 46"	03° 21' 27"	860
Oña	OÑA	42°58' 22"	03° 18' 12"	760
El Espinar	ESP	40° 38' 58"	04° 12' 07"	1426
Molino Piquera	s MOL	42° 04' 36"	02° 30' 18"	1676
El Amogable	AMO	41° 50' 44"	02° 55' 48"	1134
Arauzo de Miel	ARA	41° 53' 04"	03° 21' 32"	1081

493 Peninsula.

494

495 Table 2. Meteorological data used in this study. Rainfall: Annual precipitation; Temp.:

496 Mean annual temperature; Site: Code of the sampling sites related to the meteorological

497 station; Period: Time with data available (*Agencia Estatal de Meteorología*, Spain).

Meterological station	Latitude	Longitude	Altitude (m)	Rainfall (mm)	Temp.(°C)	Site	Period
Miranda del Ebro (Burgos)	42° 40' 42"	02° 57' 20"	520	529.97	12.08	MIN - OÑA	1936-2005
Villafría (Burgos)	42° 21' 22"	03° 37' 57"	890	564.67	10.15	ARA	1943-2005
Observatorio (Soria)	41° 46' 00"	02° 28' 00"	1082	529.85	10.59	MOL - AMO	1944-2005
Aldea del rey Niño (Avila)	41° 34' 35	04° 42' 02"	1160	522.24	9.17	ESP	1935-2005

498

499 Table 3. Descriptive statistic of the six chronologies of *Pinus sylvestris* in Spain. SD:

- 500 standard deviation; MS: mean sensitivity; SNR: Signal to noise ratio; EPS: Expressed
- 501 population signals. Var.: Variance in first eigenvector and Mean. Corr.: Mean
- 502 correlation among trees.

	MIN	OÑA	ESP	MOL	AMO	ARA
Time span	1867-2005	1932-2005	1811-2005	1945-2005	1949-2005	1846-2005
Core number	29	28	29	30	30	24
Ring number	2956	1845	4803	1528	1538	4490
Age range	81-140	55-74	99-195	40-61	46-57	127-160
SD	0.28	0.18	0.24	0.35	0.19	0.30
MS	0.26	0.20	0.19	0.25	0.15	0.18
SNR	18.82	20.13	19.89	24.74	15.30	10.67
EPS	0.95	0.95	0.95	0.96	0.93	0.91
Var.	43.17	46.41	45.95	54.99	38.23	35.88
Cor.	0.40	0.43	0.43	0.51	0.34	0.30

- 504 Table 4. Pearson's correlation coefficient between tree-ring index of *Pinus sylvestris*
- 505 and DRI (May and June at *Miñon*, *Oña* and *Arauzo de Miel* sites; June and July at *El*
- 506 *Espinal* site and July and August at *Molino Piqueras* and *Amogable* sites) (* p < 0.05;

	Period	Correlation
MIN	1936-2005	0.47***
OÑA	1936-2005	0.31**
ESP	1944-2005	0.31*
MOL	1944-2005	0.56***
AMO	1935-2005	0.46**
ARA	1935-2005	0.43***

507 **p < 0.01;***p < 0.001).

508

509 Table 5. Periodicity of the six chronologies of *Pinus sylvestris* in central Spain. The

510 period analysed and the intensity of the frequency are also included.

Site	Analysed Period	Periodicity	Intensity
Miñón	1867 - 2005	2.57	758 535
Oña	1932 - 2005	2.55	408 236
El Espinal	1811 - 2005	5.73	973 318
Molino Piqueras	1945 - 2005	2.44	787 462
Amogable	1949 - 2005	2.35	404 511
Arauzo de Miel	1846 - 2005	2.34	841 498





513 Fig.1. Sampling sites set up across the natural distribution area of *Pinus sylvestris*

514 woodlands in Spain [Shaded area (Catalán 1991)]. Site codes: MIN: *Miñón*; OÑA: *Oña*;

515 ESP: *El Espinar*; MOL: *Molinos Piqueras*; AMO: *Amogable*; ARA: *Arauzo*.



517 Fig. 2. Climate diagrams of Miranda del Ebro (Burgos), Villafría (Burgos),

Observatorio (Soria) and Aldea del Rey Niño (Avila) meteorological stations.







Month









536

537 Fig. 4. Radial growth index of *Pinus sylvestris* at the *Miñon* site (a); May and June DRI

538 from the Miranda del Ebro meteorological station (b) and radial growth index at the

539 Molino Piqueras site (c); and July and August DRI from Observatorio, Soria

540 meterological station (d). Arrows indicates low tree-ring growth that coincides with low541 DRI values.



Fig. 5. Autocorrelation function between tree-ring growth at the six sampling sites of *Pinus sylvestris* in the Iberian Peninsula. Bars higher than the horizontal lines showed
autocorrelation values statistically significant at a 95% confidence level.



550 Fig. 6. Periodogram of the radial growth index fluctuation of *Pinus sylvestris* at six

551 sampling sites in the Iberian Peninsula.



This article was submitted in Canadian Journal of Forest Research. Bogino S., Bravo F. Climate and intra-annual density fluctuations in Pinus pinaster in Spanish woodlands. Canadian Journal of Forest Research.



Climate and intra-annual density fluctuations in *Pinus pinaster* in Spanish woodlands

RESUMEN

Las características anatómicas de fluctuaciones intra anuales de densidad (FIAD) de los anillos de crecimiento, los diferentes tipos de FIADs de acuerdo con la edad, los cambios en la densidad en el último siglo y de cómo esos cambios de densidad están asociados a las variables climáticas, se analizaron en *Pinus pinaster* Ait. en España.

Se seleccionaron cinco sitios de muestreo en el área natural de distribución de *P. pinaster* en la Península Ibérica. Se extrajeron dos muestras de barreno a 1,30 metros del nivel del suelo de quince árboles dominantes y co-dominantes en cada sitio de muestreo. Las muestras se prepararon de acuerdo con técnicas dendrocronológicas y luego se dataron y se estabilizaron las FIADs. Para el análisis estadístico se aplicó el análisis de la varianza (ANAVA), el coeficiente de correlación de Pearson y la función logística. Los resultados mostraron: 1) Nueve características anatómicas diferentes de FIADs; 2) Un incremento en al frecuencia de FIADs desde el año 1940 hasta el presente; 3) Que la frecuencia de FIADs fue más alta en los árboles jóvenes que en los viejos; 4) Se determinó una correlación negativa entre el crecimiento radial y los cambios de densidad y 5) Las fluctuaciones de la densidad se podrían predecir con el uso de una función logística que tiene a la lluvia mensual y a la temperatura media mensual como variables independientes. Los estudios de cambios intra-anuales o anomalías en el crecimiento radial podrían ser muy útiles para aplicaciones ecológicas y climatológicas bajo condiciones de cambio climático

1	Climate and intra-annual density fluctuations in <i>Pinus pinaster</i> in Spanish
2	woodlands
3	
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5	
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13 Abstract

14 The anatomical characteristics of intra-annual density fluctuations (IADFs), the 15 differences in IADFs according to cambial age, changes in IADFs in the last century 16 and how IADFs are related to radial growth and climate were analyzed in *Pinus pinaster* 17 Ait. stands in Spain. Five sampling sites were selected throughout the natural 18 distribution area of *Pinus pinaster* in the Iberian Peninsula. Two cores were extracted, at 19 1.30 m above ground, from fifteen dominant and co-dominant trees at each sampling 20 site. The cores were prepared according to dendrochronological techniques and were 21 then dated and the stabilized IADFs were determined. The data were analyzed by 22 ANOVA, Pearson's correlation and the logistic function. Results showed: 1) Nine 23 different anatomical characteristics in IADFs; 2) An increase in frequency of IADFs 24 from the 1940s to the present; 3) That the mean frequency of IADFs was higher in 25 younger than older trees; 4) A negative correlation between radial growth and IADFs and 5) That density fluctuations may be predicted by use of a logistic model, with 26 27 monthly rainfall and temperature as independent variables. Studies of intra-annual 28 features or anomalies in radial growth may be useful for ecological and climatological 29 applications under changing scenarios worldwide. 30 Introduction Intra-annual density fluctuations (IADFs), which include false rings, growth bands, 31 32 double rings and multiple rings, are anomalies in ring growth that are formed by

latewood-like cells within the earlywood, or earlywood-like cells within the latewood
(Fritts 1976). Cambial activity may cease at very low temperatures, as for example, in
winter in temperate regions, and also during periods of drought in hot, dry summers
(Larcher 1995). Dry conditions may result in tracheids of smaller radius, before the

37 regular latewood formation has started. When more favourable growing conditions

38	return (rainfall), the subsequently formed cells are again larger with thinner walls (Fritts
39	1976). Small tracheids are formed earlier for the same reason as latewood is formed, but
40	in IADFs this is followed by a reversal to earlywood production (Zahner 1963). The
41	boundary between the earlywood cells that form the false ring exhibits a more gradual
42	increase in cell diameter and decrease in cell wall thickness than the abrupt change in
43	cell diameter associated with a true ring boundary (Fritts 1976).
44	When IADFs are properly identified, intra-annual structures from trees growing in xeric
45	environments may be used to develop records of growing seasons characterized by early
46	drought and followed by moist conditions (Villalba and Veblen 1996). Species growing
47	under a Mediterranean climate, which is characterized by summer drought and high
48	inter-annual variability in precipitation and temperature, commonly show special
49	anatomical characteristics in tree rings (Schweingruber 1993). Consequently, the
50	importance of incorporating intra-annual features or anomalies in radial growth may be
51	useful for ecological and climatological interpretation (Tessier et al. 1997).
52	Climate shifts have already taken place in the Iberian Peninsula, as exemplified by the
53	reduction of rainy days on the southern coast of Spain and in the Pyrenees in the last
54	century [by 50 and 30% respectively (Esteban-Parra et al. 1998; Rodrigo et al. 2000)].
55	On the other hand, forecasts predict more frequent drought events due to a decrease in
56	the number of rainfall events in summer (IPCC 2007).
57	The Mediterranean Maritime pine (Pinus pinaster Ait.) is a characteristic species in
58	Mediterranean forests, with the main populations located in the Iberian Peninsula
59	(Blanco et al. 1997). This species inhabits a wide range of environments (Nicolas and
60	Gandullo 1967), and is able to survive high or low temperatures, regular or variable
61	rainfall as well as severe droughts. It is adapted to the extremely cold winters of the

62	continental climate in central Spain and to the mild, temperate climate of the Atlantic
63	coast in the western Iberian Peninsula (Alía et al. 1996; Blanco et al. 1997).
64	In Spain, the Mediterranean Maritime pine grows in both natural (1 million ha) and
65	planted (0.6 million ha) pure and mixed woodlands (DGCN 1998; 2002), in areas where
66	the climate is characterized by irregular rainfall between and within years, and high
67	temperatures in summer. The soils are sandy and rocky and very well drained (Blanco et
68	al. 1997). The relationship between the trigger factors and false ring formation will
69	serve as good proxy data for reconstructing droughts, flooding, frosts, and insect
70	outbreaks (Copenheaver et al. 2006). As conifers growing in dry environments tend to
71	produce false rings, and as dendroclimatological studies concluded that Pinus pinaster
72	is very susceptible to rainfall during the growing season [especially in spring and early
73	summer (Bogino and Bravo 2008)], the study of IADFs in <i>Pinus pinaster</i> may be a
74	useful tool for enhancing dendroclimatological studies based on tree ring width
75	fluctuations, and for reconstructing past intra-annual climate events.
76	The objectives of the present study were a) to identify the different types of IADFs in
77	Pinus pinaster; b) to specify the differences in IADFs according to cambial age; c) to
78	estimate changes in frequency of IADFs in the last century; d) to determine the
79	relationship between IADFs and radial growth and e) to elaborate a model to predict the
80	probability of IADF occurrence.
81	Materials and Methods
82	Five sampling sites (Table 1) were selected in the distribution area of <i>Pinus pinaster</i> in
83	the Iberian Peninsula (Fig.1). Site index was determined by use of the site index curves
84	proposed by Bravo Oviedo et al. (2004).

85 During the summer of 2006, two cores were extracted, at 1.30 m above ground level,

86 from fifteen dominant and co-dominant trees at each sampling site. As growth of tapped

87	trees may be affected by resin extraction, such trees were avoided. Cores were glued on
88	to channelled wood, dried for two weeks and polished with progressively thinner
89	sandpaper. To establish correctly the calendar year in which a tree ring was formed, tree
90	rings were dated by use of a binocular microscope Nikon SMZ1000 (20X), according to
91	standard dendrochronological techniques (Stokes and Smiley 1968; Fritts 1976; Cook
92	and Kairiukstis 1990). The cores in transverse sections were scanned at high resolution
93	(2.000 dpi) with an Epson Expression 1640 XL scanner (0.01-mm accuracy), and rings
94	were measured by use of the WinDENDRO programme (Regent Instrument Inc. 2002).
95	The v6.06P COFECHA programme (Holmes 2001; Grissino-Mayer 2001; available at
96	www.ltrr.arizona.edu) was applied to assess measurement and dating accuracy.
97	COFECHA allows calculation of the Pearson correlation indices for the indexed tree
98	ring series and a master reference chronology in a series of consecutive, partially
99	overlapping segments of a length specified by the user. Once the cores were dated
100	accurately, they were re-examined in a binocular microscope Nikon SMZ1000 (20X).
101	Differentiation between a true tree-ring and an IADF was established by the clear
102	boundary in the true annual ring and the progressive and gradual transition in cell size
103	and wall thickness in the IADFs [Masiokas and Villalba 2004 (Fig. 2)].
104	Photographs were obtained with a digital camera Leica S8APO with a binocular
105	microscope Leica DFC290. Images were constructed with Adobe Photoshop $^{\ensuremath{\mathbb{R}}}$ CS3
106	Extended version 10.0 (Adobe [®] Systems Inc. 2007) from 16 bits to 8 bits; only
107	brightness, contrast and colour were modified. As the number of samples changed over
108	time, the relative frequency was calculated with the following formula:
109	$[1] \mathbf{F} = \mathbf{n}/\mathbf{N}$
110	where F is the number of IADFs per year; n the number of trees that formed the IADF

111 and N the total number of trees analyzed. As the number of samples changed over time,

- 112 the bias in the frequency was assessed by calculating the stabilized IADF frequency (f),
- according to the formula of Osborn et al (1997):
- 114 [2] $f = F^{0.5}$
- 115 The Pearson's correlation between stabilized IADFs was applied to detect common
- 116 patterns in IADFs at different sites. ANOVA and Fisher's test were used to detect
- significant differences in stabilized IADF between sites and over time. To determine the
- 118 variability in IADFs over time, sampling sites of more than 100 years old were
- subdivided into two periods (between 1886 and 1939 and between 1940 and 2005). The
- 120 Pearson's correlation between the frequency of IADFs and the residual tree-ring series
- 121 (data from Bogino and Bravo 2008) was also calculated to analyse the relationship
- 122 between IADFs and radial growth (Sokal and Rohlf 1995). Infostat V.2 software (Di
- 123 Rienzo et al. 2002) was used to statistical analysis.
- 124 The nonlinear logistic equation form was chosen to model the probability of occurrence
- 125 of IADFs in *Pinus pinaster* rings:

126 [3]
$$P = (1.0 + e^{(-z)})^{-1}$$

- 127 where P is the probability of IADFs and $Z = b_0+b_1(x_1)+b_2(x_2)+\ldots+b_k(x_k)+\varepsilon$
- 128 where x_1, x_2, \dots, x_k are the climatic variables and $b_0, b_1, b_2, \dots, b_k$ are unknown parameters
- 129 of the model and ε is a normal random error N(0, σ^2).

130 The logistic equation can be formulated to accept a binary variable such as occurrence

- 131 of IADFs, and the parameters can be estimated by maximum-likelihood methods. The
- resulting prediction is bounded by 0 and 1. Monthly rainfall and mean monthly
- 133 temperature were used as explanatory variables. A stepwise selection method was used
- 134 to find the best model. The alternative fits were evaluated on the basis of Akaike
- 135 information criterion (AIC), the area under the receiver operating characteristic (ROC)
- 136 curve and the expected behavior as indicated by the signs of the parameters estimates.

137	The area under the ROC curve can be considered as an estimator of accuracy. This
138	curve, which is widely used in health sciences and but not in forestry, relies on
139	false/true-positive/negative tests, and the sensitivity is indicated by the proportion of
140	correctly classified events and the specificity by the proportion of correctly classified
141	non-events (Hair et al. 1998). PROC LOGISTIC of SAS 9.1 (SAS Institute Inc. 2004)
142	was used to fit the model.
143	Monthly rainfall and mean monthly temperatures at sites Cu1, Cu2 and Cu3 (recorded
144	at the Yemeda meteorological station), and rainfall and mean temperature at sites Te1
145	and Te2 (recorded respectively at the Cella and Pantano de la Toba meteorological
146	stations) (Agencia Estatal de Meteorología, Spain) were used to assess the relationships
147	between IADFs and climatic variables (Table 2).
148	Results
149	Pinus pinaster is characterized by different anatomical features. A total of 11 930 tree
150	rings were analyzed from trees from the five sampling sites and a total of 1 038 IADFs
151	were detected in the trees analyzed. Even though the species showed different
152	anatomical IADFs they were rather easy to identify by correct preparation of the
153	samples. The data summarising the results of the five sampling sites are shown in Table
154	3.
155	The IADFs were identified as: multiple rings (Fig. 2a-b); double rings (Fig. 2c);
156	latewood at the beginning of the growing season (Fig. 2d); earlywood between latewood
157	(Fig. 2e); latewood between earlywood in the middle of the tree ring (Fig. 2f); close to
158	the latewood (Fig. 2g); density fluctuations in latewood (Fig. 2h), and latewood between
159	earlywood (Fig. 2i).
160	Stabilized IADF frequency in relation to calendar year and samples analyzed at the five

161 sampling sites are shown in Fig. 3. Samples showed an increase in IADFs from the

162	1940s to the present, except in sample Te1. The absence of IADFs at this site may be
163	attributed to the extreme thinness of the tree rings (less than 0.3mm, Fig. 4A), which
164	made it impossible to detect IADFs. The different anatomical features of one tree at
165	three different sampling sites are shown in Fig. 4 (two sites older and one younger than
166	100 years, Fig. 4A, C and B, respectively). There were IADFs at all sampling sites in
167	1961 and 1983, with a stabilised frequency higher than 3. A low frequency of IADFs
168	was recorded in the 1970s at all sampling sites.
169	The Pearson's correlation between stabilized IADFs was positive and significant for all
170	comparisons between sampling sites [* p <0.05 and *** p <0.001 (Table 4)].
171	The results of the ANOVA and Fisher's test performed to detect significant differences
172	between IADFs at different sampling sites (from the common growth period 1953-
173	2005) showed that younger sampling sites have higher IADFs than older sampling sites
174	(Table 5). However, Fisher's test does not enable complete separation of young and old
175	stands. The higher mean IADFs at sites Te2 and Cu3 also coincided with the highest
176	site index, but as these sites are also the youngest in terms of cambial age, it was not
177	possible to ensure that the higher IADFs are related to site index.
178	Significant differences were found in the last 120 years in the stabilized IADFs. Results
179	showed statistically significant differences between both periods in samples Cu2 and
180	Cu1 and no differences in sample Te1 (Table 6).
181	The Pearson's correlation coefficients showed a negative significant correlation
182	(* p <0.05; *** p <0.001) between tree-ring growth and stabilised IADF at all sampling
183	sites (Table 7). The results suggest that IADFs restrict growth at all sampling sites.
184	The logistic function used to predict the possibility of the occurrence of IADF estimated
185	that 18 monthly climatic variables out of 24 had a significant effect on predicting future
186	IADFs (Table 8). Drought events in May and July had a positive impact on IADF while

187	wet periods in April and June also promoted IADF. Such pulses in precipitation (rainy
188	months follow by dry months) are typical of Mediterranean areas. Increases in
189	temperatures also had a generally positive impact on IADFs. The value of the area
190	under ROC curve (0.84) shows that the accuracy of model is good enough to use it to
191	predict occurrence of IADFs (Fig. 5).
192	However, statistical significance must be accompanied by biological significance and
193	consistency of parameter signs and values. In the IADF logistic model developed for
194	Pinus pinaster, low precipitation in January, March, May and July had a negative
195	impact on IADF, and high precipitation in April, June and August had a positive effect.
196	Temperature had a positive impact on IADF, except in August and October.
197	Discussion
198	The impact of climate on intra-annual density fluctuations (IADFs) in Pinus pinaster in
199	Central Spain was studied. Pinus pinaster showed nine different anatomical structures
200	that confirm the tendency of Mediterranean species, and this species in particular, to
201	develop special anatomical structures (Schweingruber 1993). Even though IADFs,
202	which occur in all series at all sampling sites, may previously have limited
203	dendroclimatological studies in <i>Pinus pinaster</i> (Schweingruber 1993), when the correct
204	date is obtained, the significant association between IADFs and radial growth or
205	climatic variables provides a useful proxy for complementing and enhancing
206	dendroclimatological data (Bogino and Bravo 2008).
207	Different categories of IADFs that were found in the present study were also observed
208	in Pinus pinea L.from a dry Mediterranean area in Portugal in a study in which
209	Campelo et al. (2006) determined four different anatomical categories of IADFs, which
210	suggest different anatomical characteristics in IADFs in Mediterranean pine species.

211	A higher tendency of young trees to develop more IADFs was also found in Pinus
212	banksiana Lamb., Pinus radiata D.Don; Pinus edulis Engelm.and Pinus ponderosa
213	Douglas ex. C. Lawson growing in EUA, and in Pinus sylvestris L. in semiarid low
214	forests on the border of the central Alps and central Siberia (Schulman 1938, Rigling et
215	al. 2001; Copenheaver et al. 2006). In the southern Patagonian Andes under a cold
216	temperate climate (300 mm year ⁻¹ rainfall and mean temperature of 13.2 °C and 0.8°C in
217	January and July, respectively), Nothofagus pumilio (Poepp. et Endl.) Krasser showed a
218	variation in IADFs in the last sixty years presumably related to both a long-term
219	warming trend and a significant decrease in precipitation (Masiokas and Villalba 2004).
220	The highly significant difference in IADF frequency between the beginning and the last
221	part of the last century found in <i>Pinus pinaster</i> in this study may be explained by the
222	increase in drought events in the last fifty years in the Iberian Peninsula (IPCC 2007).
223	Previous studies that relate IADFs to growth of Pinus sylvestris in Switzerland
224	determined a positive association between tree-ring growth and IADFs (Rigling et al.
225	2001); these results contrast with the present results in which a significant negative
226	relationship between radial growth and IADFs was found, suggesting that the
227	environmental variables that produce IADFs also limit growth.
228	An increase in mean temperature in winter and spring leads to a higher probability of
229	IADFs, while such an increase in May, or a reduction in precipitation in April, has a
230	negative impact on IADFs (Table 8). These results are consistent with those of previous
231	studies in Pinus nigra Arnold in Austria, where precipitation in May was the
232	determining factor in false tree-ring formation (Wimmer et al. 2000), and in Pinus pinea
233	in Portugal where early drought events were found to determine IADFs (Campelo et al.
234	2006). The precipitation pulses that determine IADFs in the present study were also
235	found in Astrocedrus chilensis (D. Don) Florin et. Bout., a coniferous species growing

236	in the forest-steppe ecotone in the Argentinean Patagonia, where IADFs are associated
237	with extremely dry springs followed by wet summers (Villalba and Veblen 1996). The
238	positive impact of precipitation in April and June in the present study coincide with the
239	positive effect of moist-cool conditions in the middle of the growing season in <i>Pinus</i>
240	sylvestris in dry areas in Valais (Switzerland), whereas in moderately dry sites, there
241	must be an additional warm period in early summer in order to initiate IADFs (Rigling
242	et al. 2001; 2002). In Mediterranean areas, double rings of Quercus ilex L. were
243	triggered by positive effect of precipitation and negative effect of temperature in August
244	(Campelo et al. 2007), which is consistent with the present results that showed both
245	variables to have the same effect on IADFs on Pinus pinaster.
246	Latewood is formed from carbohydrates produced during photosynthesis which is the
247	result of water stress and temperature (Kozlowski et al. 1991). IADFs of Pinus pinaster
248	growing in Tuscani Italy had a higher 13C/12C isotope ratio in latewood than
249	earlywood, which suggests a better water use efficiency (De Micco et al. 2007). The
250	present results emphasised the impact of drought events on IADFs (significant effect of
251	rainfall in the growing season) and suggest a physiological response of Pinus pinaster to
252	unfavourable climatic conditions and the development of anatomical structures that lead
253	to better water use efficiency. Isotope analysis in the Iberian Peninsula, showed that
254	Pinus pinaster makes more efficient use of water under severe drought events than
255	Pinus sylvestris and Pinus nigra (Martinez Vilalta and Piñol 2002).
256	The present results contrast with those of a previous study on Pinus banksiana growing
257	in the United States, where IADFs are not related to climatic factors (Copenheaver et al.
258	2006). The effect of climate on IADFs of <i>Pinus pinaster</i> is determined by both the
259	significant correlation between stabilised IADFs at all sampling sites -suggesting the
260	impact of the driving factor (climate)- and the accurate results of the logistic function.

261 Climate change scenarios developed by IPCC (2007) show that irregularity in intra-

annual rainfall and trends of increasing temperature should be expected during the next

263 decades. According to the present results, this predicted future climatic situation will

lead to a higher occurrence of IADFs.

In the present study, the frequency of stabilized IADFs in *Pinus pinaster* was higher in more productive sites (higher site index), thus demonstrating the importance of the incorporation of intra-annual features in dendroecological and dendroclimatological studies, which is highly recommended because it enables differentiation of site types (Rigling et al. 2001; 2002). Extensive studies are needed to provide further insight in this finding.

In conclusion, the present study provides information about the different anatomical characteristics of IADFs in *Pinus pinaster*, which is a useful tool in the application of dendrochronological techniques to date samples. IADFs are determined by cambial age and have increased in frequency in the last sixty years. Finally, the probability model used showed that rainfall pulses in late winter and spring and higher temperatures will lead to a more frequent occurrence of intra-annual density fluctuations in *Pinus pinaster* trees growing under Mediterranean climate conditions.

278 Acknowledgements

The authors thank Cristotal Ordóñez for field assistance and María José Fernández
Nieto for providing meteorological data. Thanks are also extended to Dr. Mariano
Morales for their useful comments on the manuscript; Iñaki Extebeste Larrañaga for
whose valuable contribution helped us with the development of Figures 2 and 4 and to
Christine Francis and María Laura Cangiano for improving the English version. This
study was supported by the Spanish Ministry of Science and Education (Project Code
AGL2007-65795-C02-01) and by the Alβan Programme, the European Union

- 286 Programme of High Level Scholarships for Latin America (Scholarship No.
- 287 E05D049920AR).

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377 Table 1. Geographical, altitudinal position and site index of five sampling sites of *Pinus*

378 pinaster.

Sampling	Site code	Latitude N	Longitude W	Altitude (m)	SI		
P16106	Cu2	39° 50' 17"	01° 16' 11"	970	9.67		
P16008	Cu3	39° 48' 56"	01° 15' 36"	920	20.22		
P16208	Cu1	39° 50' 28"	01° 17' 54"	1090	9.38		
P44005	Tel	40° 20' 47"	01° 21' 54"	1364	7.11		
P44204	Te2	40° 20' 01"	01° 21' 26"	1232	15.67		
Met. Station	Altitude	Latitude (N)	Longitude (W)	Met. Var.	Period	Rainfall (mm)	Temp.(°C)
--------------	----------	--------------	---------------	-----------	-----------	----------------	-----------
				-	-	405.13	12.41
Yemeda	868	39 45' 40"	01 43' 17"	pp-tt	1950-2000	(±138.74)	(±0.87)
						370.81	12.01
Cella	1023	40 27' 20"	01 17' 27"	рр	1939-2006	(± 110.41)	(±0.52)
						764.68	10.22
Pantano	1154	40 13' 19"	01 55' 33"	tt	1944-2005	(±253.12)	(±0.71)

379 Table 2. Data from meteorological stations (Agencia Estatal de Meteorología, Spain).

380 Met. Var.: Climate variable: (pp: annual precipitation and tt: mean monthly

381 temperature); Period: Time with data available; Rainfall: Annual precipitation; Temp.:

382 Mean monthly temperature.

	Cu2	Cu1	Cu3	Te2	Te1
Number of cores	27	25	27	25	25
Period	1877-2005	1886-2005	1948-2005	1953-2005	1846-2005
Cores with IADF (%)	100	100	100	100	100
Ring in total	2626	2637	1471	1328	3848
Ring with IADFs	209	182	278	209	160
Percentage of IADF	7.95	6.90	18.89	15.73	4.15
Mean stabilised IADF	0.3266	0.3067	0.9332	0.7617	0.1935

383 Table 3. Descriptive statistic of the IADFs in *Pinus pinaster* in the Iberian Peninsula.

Table 4. Pearson's correlation coefficients for the stabilized IADFs for all comparisons

	Te1	Te2	Cu3	Cu2
Te2	0,55***			
Cu3	0,38***	0,43***		
Cu2	0,27***	0,41***	0,63***	
Cu1	0,42***	0,44***	0,31*	0,64***

385 between sampling sites.

386 (*p < 0.05 and ***p < 0.001)

387 Table 5. Results of ANOVA and Fisher's test of the stabilized IADFs for the different

Variance factor	SS	DF	MS	F	p-value
Model	19.81	4	4.95	3.62	0.0069
Site	19.81	4	4.95	3.62	0.0069
Error	356.04	260	1.37		
Total	375.86	264			
LSD Fisher Test	; Alfa = 0	.05 DM	S = 0.4476	3	
LSD Fisher Test Error: 1.3694 df:	; Alfa = 0 260	0.05 DM	S = 0.4476	3	
LSD Fisher Test Error: 1.3694 df: Site	; Alfa = 0 260 Mean	n.05 DM	S = 0.4476	3	
LSD Fisher Test Error: 1.3694 df: Site Te1	; Alfa = 0 260 Mean 0.19	n 53	S = 0.4476	3	
LSD Fisher Test Error: 1.3694 df: Site Te1 Cu2	; Alfa = 0 260 Mean 0.19 0.50	n 53 53	S = 0.4476 A A	3 В	
LSD Fisher Test Error: 1.3694 df: Site Te1 Cu2 Cu1	$\begin{array}{c} \textbf{; Alfa = 0} \\ \hline 260 \\ \hline Mean \\ 0.19 \\ 0.50 \\ 0.58 \end{array}$	n 53 53 53	S = 0.4476 A A A	3 B B	C
LSD Fisher Test Error: 1.3694 df: Site Te1 Cu2 Cu1 Te2	$\begin{array}{c} \textbf{; Alfa} = \textbf{0} \\ \hline 260 \\ \hline Mean \\ 0.19 \\ 0.50 \\ 0.58 \\ 0.76 \end{array}$	n 53 53 53 53 53	S = 0.4476 A A A	3 B B B	C C

388 sampling sites (from the common growth period 1953-2005).

389 *Different letters point significant differences* ($*p \le 0.05$)

- 390 Table 6.Results of ANOVA and Fisher's test of the stabilized IADFs for the periods
- between 1886 and 1939 (sites indicated with letter *a*) and between 1940 and 2005 (sites
- indicated with letter *b*) in sampling sites Cu2, Cu1 and Te1.

ANOVA (SS type III)					
Variance factor	SS	DF	MS	F	p-value
Model	14.69	5	2.94	4.89	0.0002
Site	14.69	5	2.94	4.89	0.0002
Error	212.80	354	0.60		
Total	227.49	359			

LSD Fisher Test; Alfa = 0.05 DMS = 0.44763					
Error: 1.3694 df:	260				
Site	Mean	n			
Cu1_a	0.05	54	А		
Te1_a	0.10	54	А		
Cu2_a	0.12	54	А		
Te2_b	0.22	66	А		
Cu1_b	0.54	66		В	
Cu2_b	0.54	66		В	

393 Different letters point significant differences (* $p \le 0.05$)

Table 7. Pearson's correlation coefficients for the stabilized IADFs and the residual tree

	Crono-Te1	Crono-Te2	Crono-Cu3	Crono-Cu2	Crono-Cu1
Te1	-0.25***				
Te2		-0.28*			
Cu3			-0.39***		
Cu2				-0.30***	
Cu1					-0.31***

395 ring chronology for all comparisons between sampling sites.

396 (*p < 0.05 and ***p < 0.001).

397 Table 8. Climatic variables with a significant effect on predicting future IADFs in *Pinus*

_			Standard	Chi-square	
Parameter	DF	Estimator	error	de Wald	Pr>ChiSq
Intercept	1	13.329	0.6212	46.040	0.0319
pp-January	1	-0.0131	0.00195	450.416	<.0001
pp_March	1	-0.0180	0.00235	584.210	<.0001
pp_April	1	0.00565	0.00223	64.318	0.0112
pp_May	1	-0.0441	0.00253	3.022.477	<.0001
pp-June	1	0.00697	0.00165	178.479	<.0001
pp_July	1	-0.00987	0.00241	167.630	<.0001
pp_August	1	0.0126	0.00168	562.980	<.0001
pp_September	1	0.0113	0.00145	601.814	<.0001
pp_October	1	0.0121	0.00190	404.570	<.0001
pp_November	1	0.00840	0.00125	450.177	<.0001
tt_January	1	0.0779	0.0289	72.743	0.0070
tt_March	1	0.1226	0.0386	101.007	0.0015
tt_April	1	0.1737	0.0433	161.020	<.0001
tt_May	1	0.2827	0.0322	768.682	<.0001
tt_June	1	0.2457	0.0356	477.218	<.0001
tt_August	1	-0.7385	0.0434	2.891.261	<.0001
tt_September	1	0.1823	0.0313	338.904	<.0001
tt_October	1	-0.1219	0.0347	123.406	0.0004

398 *pinaster* under Mediterranean climate conditions.

399 Parameter: climate variable (pp: monthly precipitation; tt: mean monthly temperature).

400	Fig. 1. Sampling sites established across the natural distribution area of <i>Pinus pinaster</i>
401	in the Iberian Peninsula. Sampling sites: Te1, Te2, Cu1, Cu2 and Cu3. Meteorological
402	stations: Ce: Cella, Pan: Pantano de la Toba and Ye: Yemeda.
403	
404	Fig. 2. Nine different IADFs in <i>Pinus pinaster</i> in the Iberian Peninsula. White arrows
405	indicates the true tree ring boundary and black arrows the IADFs. The black scale bars
406	point 0.5mm width.
407	
408	Fig. 3. Stabilized IADF of <i>Pinus pinaster</i> at five sampling sites in the Iberian Peninsula.
409	The upper part of each figure shows the stabilized IADFs and the bottom part the
410	number of samples analyzed.
411	
412	Fig.4. Different anatomical features of one tree at three different sampling sites (Sites
413	Cu2, Cu1 and Te1). Arrow \rightarrow indicates the true tree ring boundary and arrow \leftarrow , the
414	IADFs. The black scale bars point 1mm width.
415	
416	Fig. 5. ROC curve of the IADF model for <i>Pinus pinaster</i> in Central Spain.













This article was submitted to Annals of Forest Sciences. Bogino S., Bravo F. Climate signals of stable carbon isotope ¹³C in tree ring of Pinus pinaster and Pinus sylvesrtis in Mediterranean environments. Annals of Forest Sciences.



Stable carbon isotope ¹³C in *Pinus pinaster* and *Pinus sylvestris* tree rings: climatic signals and water use efficiency in Mediterranean environments

RESUMEN

El objetivo de este capítulo es determinar las variables climáticas que controlan la variabilidad del isótopo carbono trece (δ^{13} C) en los anillos de crecimiento de *Pinus*. pinaster y Pinus sylvestris. Se seleccionaron cuatro árboles de dos sitios de muestreo, uno de P.pinaster y otro de P. sylvestris, emplazados en el centro de España. Se analizó material pulverizado de cada anillo de crecimiento para el período 1975-1999 con un espectrómetro. Se aplicó el coeficiente de correlación de Pearson entre todos los árboles de la misma especie y entre δ^{13} C y las cronologías residuales de ancho de anillo de crecimiento de un estudio previo con el objeto de determinar si existe relación entre δ^{13} C y el crecimiento radial. También se aplicó el coeficiente de correlación de Pearson entre δ^{13} C y las variables climáticas mensuales (máxima temperatura media mensual, precipitación mensual, humedad atmosférica mensual v horas de radiación solar) con el objeto de estimar qué variables climáticas son significativas sobre δ^{13} C. Las variables climáticas que correlacionaron con δ^{13} C se agruparon en modelos regresivos lineares. Los resultados muestran que: los árboles de P. pinaster tienen una correlación significativa entre todos los individuos (***p< 0,001) mientras que el nivel de significación para P. sylvestris varió entre los individuos (*p<0,05, ** p<0,01,*** p<0,001). Sólo dos árboles de P. sylvestris no mostraron una correlación significativa. Se determinó una correlación negativa y significativa entre δ^{13} C y el crecimiento radial para el período 1975-1999 para las dos especies. P. pinaster mostró que la humedad desde invierno hasta el verano (enero a julio) y la lluvia en primavera (abril y mayo) tienen una correlación negativa y significativa con δ^{13} C, mientras que la temperatura media mensual de marzo y las horas diarias de radiación solar en enero, abril y mayo mostraron un efecto positivo sobre la δ^{13} C. En *P. sylvestris* la humedad en verano (julio) y en otoño (octubre) y la precipitación de octubre mostraron un efecto negativo sobre $\delta^{13}C$, mientras que la temperatura media mensual de verano (junio y julio) y las horas de radiación solar de octubre mostraron un efecto positivo. Para P. pinaster la humedad relativa de enero a julio, la lluvia de enero a mayo, la temperatura máxima media mensual desde abril hasta julio y las horas de radiación solar de enero abril y mayo se seleccionaron para construir diferentes modelos regresivos lineares simples que relacionaron cada variable con δ^{13} C. Todas las variables mostraron una correlación significativa con δ^{13} C. Para P. sylvestris se seleccionaron la humedad relativa y la lluvia de julio y octubre, la temperatura máxima media mensual de junio y julio y las horas de radiación solar de octubre para construir los diferentes modelos de regresión simple. En conclusión, P. pinaster y P. sylvestris en los ambientes mediterráneos muestran una fuerte correlación con las variables climáticas lo que permite inferior su potencial como herramienta para el estudio del impacto del clima sobre los bosques mediterráneos.

1	Stable carbon isotopes ¹³ C in <i>Pinus pinaster</i> and <i>Pinus sylvestris</i> tree rings: climatic
2	signals and water use efficiency in Mediterranean environments
3	
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11	
12	Stable carbon isotopes in Pinus pinaster and Pinus sylvestris
13	
14	Dendrochronology /Maritime pine / Scots pine/ isotope
15	Dendrochronologie / pin maritime / pin sylvestre / isotope
16	

17 Abstract

18 The isotopic composition of carbon stored in tree rings may represent a record of the variation in carbon thirteen (δ^{13} C) as a result of physiological responses to environmental 19 changes. The objective of the present study was to estimate the climatic variables that 20 determine the values of δ^{13} C in tree rings of *Pinus pinaster* and *Pinus sylvestris* in central 21 Spain. Pearson's correlation analysis was applied to δ^{13} C data for all trees of the same 22 species, δ^{13} C and residual tree ring chronologies and δ^{13} C and monthly climatic variables. 23 The climatic variables that were best correlated with δ^{13} C were grouped to construct simple 24 linear regression models. The values of δ^{13} C data for all trees were significantly correlated 25 in *P. pinaster* but results showed a variable degree of significance in *P. sylvestris*. δ^{13} C was 26 significantly and negatively correlated with radial growth. In both species, $\delta^{13}C$ was 27 negatively correlated with moisture and precipitation and positively with maximum 28 temperature and hours of solar radiation. The linear regression models showed a significant 29 correlation between climatic variables and δ^{13} C. The values of δ^{13} C in *P. pinaster* and *P*. 30 31 sylvestris in Mediterranean environments were strongly correlated with the climatic 32 variables, which suggest that they may be of use for analysing tree behaviour under global change conditions. 33 34 35 Résumé 36 La composition isotopique du carbone fixé dans les cernes peut représenter un 37 enregistrement de la variabilité du carbone treize (δ^{13} C) qui est le résultat des réponses 38

- 39 physiologiques aux changements environnementaux. L'objectif de ce travail était d'estimer
- 40 les variables climatiques qui contrôlent le δ^{13} C dans les cernes de *P. pinaster* et de *P*.

sylvestris dans le centre de l'Espagne. Le coefficient de corrélation de Pearson a été 41 appliqué entre le δ^{13} C de tous les arbres de la même espèce, entre le δ^{13} C et les 42 chronologies résiduelles des cernes et entre le δ^{13} C et les variables climatiques mensuelles. 43 Les variables climatiques qui présentaient la meilleure corrélation avec le δ^{13} C ont été 44 45 regroupées pour élaborer des modèles de régression linéaire simple. Les résultats ont indiqué une corrélation significative entre les $\delta^{13}C$ de *P. pinaster*, tandis que les $\delta^{13}C$ des 46 exemplaires de P. sylvestris ont montré un niveau de signification variable. Une corrélation 47 négative significative a été déterminée entre le δ^{13} C et la croissance radiale. Les deux 48 espèces en question ont présenté une corrélation négative entre le δ^{13} C et l'humidité et les 49 précipitations, ainsi qu'une corrélation positive avec les températures maximales et les 50 51 heures de rayonnement solaire. Les modèles de régression linéaire ont révélé une corrélation significative entre les variables climatiques et le δ^{13} C. Les δ^{13} C de *P. pinaster* et 52 53 de P. sylvestris dans des environnements méditerranéens ont présenté une forte corrélation avec les variables climatiques, ce qui pourrait suggérer que le δ^{13} C constitue un instrument 54 55 adéquat pour analyser le comportement des arbres dans des conditions de changement 56 global.

57

58 Introduction

The isotopic composition of carbon stored in the growth rings of trees may represent a record of variations in ${}^{13}C/{}^{12}C$ as a result of physiological responses to environmental changes (Francey and Farquhar, 1982). This ratio is expressed as $\delta^{13}C$, the proportional deviation of the ${}^{13}C/{}^{12}C$ ratio from the international accepted PDB carbonate standard (Craig, 1957). Since cellulose is not transferred between annual growth rings, intra and 64 interannual seasonal events are recorded permanently in the δ^{13} C signal in tree rings (Tans

65 et al., 1978). The value of δ^{13} C depends on stomatal conductance and the photosynthesis:

66 when stomatal conductance predominates, relative humidity and soil moisture status are the

67 determining factors, and when photosynthetic rate predominates, the main determining

68 factors are solar radiation and temperature (McCarroll and Loaded, 2004).

69 Water use efficiency (WUE), the amount of carbon assimilated per unit leaf area per unit

70 time at per unit cost of water (Ehleringer et al., 1993) and δ^{13} C are positively related to each

71 other (Farquhar et al., 1989). The crucial interdependence between carbon and water

relations of plants can be particularly well studied by the use of stable carbon isotopes.

73 Determination of δ^{13} C was suggested as a sensitive method for long-term monitoring of

74 physiological changes (Francey and Farquhar, 1982). Stomata tend to close under drought

conditions as a mechanism for saving water, which leads to an improvement in the intrinsic

76 WUE, the study of carbon isotope variability in tree rings over time may be applied to

77 detect potential changes in WUE due to changing climate (Saurer et al., 2004). Previous

78 studies on pines species in Northern America and on conifers species in northern Eurasia

reasing trend in WUE in the last century (Saurer et al., 2004).

80 The Mediterranean climate is characterized by summer drought and high interannual

81 variability in precipitation and temperature. Species growing in dry sites, such as

82 Mediterranean sites, show annual changes in δ^{13} C in each tree ring as a result of the

83 variable climatic conditions (temperature and precipitation) and therefore δ^{13} C may provide

84 a strong indicator of both the severity of the climatic conditions and the changes in WUE

85 (McCarroll and Loader, 2004).

Projections of the impact of climate change on the European distribution of higher plants in
2050 suggest that the Iberian Peninsula may be particularly vulnerable in terms of species

88 loss (Bakkenes et al., 2002). Climate shifts have already taken place in the region, as

89 exemplified by the reduction in rainy days by 50 and 30% over the southern coast of Spain

and the Pyrenees, respectively, in the last century (Esteban-Parra et al., 1998; Rodrigo et

91 al., 2000). On the other hand, the mean annual temperature has increased by about 1.6° C in

92 the Iberian Peninsula over the last century (IPCC, 2007).

93 Maritime pine (P. pinaster Ait.) is a characteristic species in Mediterranean forests, and is

94 mainly located in the Iberian Peninsula (Blanco et al., 1997). This species shows a wide

95 ecological range (Nicolas and Gandullo, 1967), and can survive under high or low

96 temperatures, under regular or variable rainfall as well as under severe droughts. It is

97 adapted to the extremely cold winters of the continental climate in central Spain and to the

98 mild, temperate winters of the Atlantic coast in the western Iberian Peninsula (Alía et al.,

99 1996; Blanco et al., 1997).

100 Scots pine (*P. sylvestris* L.) is the most widely distributed species of pine in the world

101 (Schweingruber, 1996) and in the Iberian Peninsula occupies drier areas than in the other

102 parts of the world (Barbéro et al., 1998). These dry areas of distribution of this species

103 which usually grows in humid environments are the first places to investigate the effects of

104 increased aridity (Martínez-Vilalta and Piñol, 2002).

105 In Spain, the Maritime pine and Scots pine occupy 1.6 and 1.21 million ha, respectively, as

106 pure or mixed woodlands, and are two of the main species used in operational forestry

107 (DGCN, 1998; 2002).

108 Previous studies in the Iberian Peninsula have determined the carbon and oxygen isotopes

109 in tree rings of *Pinus nigra* Arnold, under Mediterranean climate conditions, and *P*.

110 sylvestris and Pinus uncinata Miller growing in the northern region of the country (Treydte

111 et al., 2007), but there is no information about stable carbon isotopes in tree rings of *P*.

112 pinaster and P. sylvestris growing under Mediterranean climate conditions. Given the high 113 vulnerability of Iberian plant communities to climate change (Bakkenes et al., 2002) and 114 the importance of *P. pinaster* and *P. sylvestris* forests in this region, stable carbon isotope 115 ratios may provide very valuable information about the climatic variables that affect this 116 variability and WUE as a result of globally changed conditions. These results may help us 117 to explore how populations will behave in the dramatic climatic shifts expected in the future. The objectives of the study were: a) To estimate the climatic variables that 118 determine the δ^{13} C signal in *P. pinaster* and *P. sylvestris* tree rings, and b) To analyse the 119 variability in δ^{13} C in relation to water use efficiency. 120

121

122 Material and Methods

Four trees were selected from each of two samples (one of *P. pinaster* and one of *P. sylvestris*) from a previous dendroclimatological study (Bogino and Bravo, 2008, Bogino et al., 2008 Fig.1, Tab. I). Four trees were used as this is the number of samples that will yield acceptable average absolute δ^{13} C values (McCarroll and Pawellek, 1998).

127 Samples were obtained with an increment borer, at 1.30 m above ground level. Cores were 128 glued on to channelled wood, dried for two weeks and polished with progressively thinner 129 sandpaper. In order to determine the calendar year in which a tree ring was formed, tree 130 rings were dated by use of a binocular microscope Nikon SMZ1000 (20X), according to 131 standard dendrochronological techniques (Stokes and Smiley, 1968; Fritts, 1976; Cook and 132 Kairiukstis, 1990). In a previous study, the cores in transverse sections were scanned and 133 the v6.06P COFECHA programme (Holmes, 2001; Grissino-Mayer, 2001; available at 134 www.ltrr.arizona.edu) was applied to assess measurement and dating accuracy (Bogino and 135 Bravo 2008, Bogino et al., 2008). Absolute dating is essential for dendroclimatological

136 studies, including isotope analysis, as it is impossible to compare climatic variables in one specific year with δ^{13} C isotope if the individual tree ring series are not dated correctly. 137 The δ^{13} C isotope was determined on whole wood (Schleser et al., 1999a; Babour et al., 138 139 2001). Cellulose was not extracted from the wood as these two components were shown to 140 yield highly correlated signals (Borella and Leuenberger, 1998) and even higher climatic 141 signal can be detected in the untreated material (Loader et al., 2003). Powdered material 142 was obtained for each tree ring with a Micromot 40E instrument with a 0.5 mm thick 143 needle. The samples were obtained after identification of the previously dated tree ring by 144 use of a binocular microscope. Each sample was analysed individually, the material was 145 weighted, and for each tree ring, 0.2-0.3 mg of powered material was placed into tin 146 capsules. The stable carbon isotopic was measured with an NA 2500 elemental analyser 147 (CE Instruments, Rodano, Italy), with an isotope ratio mass spectrometer (Finningan MAT Delta plus, Bremen, Germany). 148 The isotopic composition (δ^{13} C) of samples was determined with the formula, 149 150 $\delta^{13}C(\%_0) = [({}^{13}C/{}^{12}C \text{ sample}) / ({}^{13}C/{}^{12}C \text{ PDB} - 1)] \times 10^3$ 151 [1] 152 where δ^{13} C (%) is the proportional deviation from the international Peedee belemite (PDB) 153 carbonate standard (Craig, 1957). 154 155 As a previous study showed a changing association between climatic variables and growth of P. pinaster (Bogino and Bravo, 2008) from the 1980s onwards, and phenological 156 157 changes have been reported over the last 25 years (Peñuelas et al., 2002), the period 158 analysed was between 1975 and 1999. The same period was considered for *P. sylvestris*.

159 Pearson's correlation analysis was applied to all trees of the same species to detect common patterns in δ^{13} C, and between δ^{13} C and the residual tree ring chronologies of both species 160 (data from Bogino and Bravo 2008, Bogino et al., 2008) to detect any significant 161 associations between growth and isotope variability. Pearson's correlation analysis was also 162 used for δ^{13} C and monthly climatic variables [monthly relative humidity (RH), monthly 163 164 precipitation, monthly mean maximum temperature, and monthly hours of solar radiation] 165 in order to estimate which environmental variables were statistically significant. The monthly climatic variables that were best correlated with $\delta^{13}C$ were grouped to construct 166 167 simple linear regression models, as follows [***p <0.001; (Sokal and Rohlf, 1995)],

168

169 [2] y = a + bx + e

170

171 where *y* is δ^{13} C; *x* is the sum of climatic variables; *a* and *b*are unknown parameters of the model and 172 *e* is a normal random error N(0,1).

173

174 Statistic analysis was carried out with the Infostat programme (Di Rienzo et al., 2002).

175 Monthly precipitation, mean monthly temperature and mean monthly RH data from *Cuenca*

and monthly hours of solar radiation data from *Molina de Aragón (Guadalajara)*

177 meteorological stations for *P. pinaster* and data from *Soria* meteorological station for *P.*

178 sylvestris by the Agencia Estatal de Meteorología [Spain (Tab. II)] were used to detect

179 δ^{13} C. Climate diagrams from the *Cuenca* and *Soria* meteorological stations are included

180 (Fig. 2).

182 **Results**

183 The mean values characterizing each tree ring δ^{13} C in both species and in each year

analysed showed that the highest and the lowest values of δ^{13} C (-22.62‰ and -25.87‰)

185 corresponded to *P. pinaster*, whereas the corresponding values for *P. sylvestris* varied

186 between -23.85% and -25.8% (Fig. 3).

187 The mean values characterizing the eight trees analysed are shown in Tab. III. The standard

188 deviation for *P. sylvestris* was lower than 1% whereas *P. pinaster* was more variable

throughout the 25 years, as indicated by a standard deviation of 1.41% in tree 48.

190 Pearson's correlation coefficient for trees of the same species showed a positive significant

191 association in *P. pinaster* (***p < 0.001) and in *P. sylvestris* (*p < 0.05, **p < 0.01,***p < 0.01,**p <

192 0.001). In *P. sylvestris* only, trees 8 and 4 did not show any significant correlation (Tab.

193 IV).

194 A negative significant correlation between δ^{13} C and radial growth was found for the 1975-

195 1999 period. The Pearson's correlation coefficient for the residual tree ring chronologies

196 and the mean δ^{13} C was r: -0.83 (***p < 0.001) for *P. pinaster* and r:-0.41 (*p < 0.05) for *P*.

197 sylvestris.

198 Pearson's correlation coefficient for climatic variables and δ^{13} C in *P. pinaster* showed that

199 moisture is a driving factor affecting the variability of δ^{13} C between winter and summer

200 (January to July) (Fig. 4a). Rainfall also had a negative effect on δ^{13} C between winter and

spring although the effect was only statistically significant in April and May (Fig. 4b).

202 Monthly mean maximum temperature in March had a positive significant effect on δ^{13} C

203 (Fig. 4c) and monthly hours of solar radiation in January, April and May (Fig. 4d).

Pearson's correlation coefficient for climatic variables and δ^{13} C in *P. sylvestris* showed that moisture is a driving factor affecting δ^{13} C variability in summer (July) and in autumn 205 (October) (Fig. 5a). Rainfall in October also had a negative effect on δ^{13} C (Fig. 5b). 206 207 Monthly mean maximum temperature in summer (June and July) had a positive significant effect on δ^{13} C (Fig. 5c) as well as hours of solar radiation in October (Fig. 5d). 208 For *P. pinaster* RH from January to July, rainfall from January to May, maximum mean 209 temperature from April to July and hours of solar radiation of January, April and May were 210 211 selected to construct four different simple linear regression models that relate each variable and δ^{13} C (Fig. 6). For *P. sylvestris* RH in July and October months, rainfall in July and 212 213 October, maximum mean temperature in June and July and hours of solar radiation in 214 October were selected to construct different simple linear regression models (Fig. 7). All variables were significantly correlated with δ^{13} C in both species. 215

216

204

217 Discussion

- The δ^{13} C is a useful tool that provides both reliable information about the climatic variables 218
- 219 that affect the physiology of *P. pinaster* and *P. sylvestris* and WUE of these species
- 220 growing under Mediterranean climatic conditions.
- The confidence interval at 95% showed extremes values of δ^{13} C for trees in the same 221
- calendar year, which varied from -23.44% to -27.50% (year 1978) and from -22.83% to 222
- -26.45% (year 1994) in *P. pinaster* and *P. sylvestris*, respectively. This high variability 223
- 224 between trees suggests an individual tree response in relation to fractionation [the ratio of
- carbon isotope ratios in reactant and products (Farguhar et al., 1989)]. The values of δ^{13} C 225
- 226 data for all trees of *P. pinaster* were significantly correlated which suggests that this
- variability is driven by a strong environmental effect. The δ^{13} C values for individual *P*. 227

228	sylvestris trees were also significantly correlated but the coefficients were lower than in P.
229	<i>pinaster</i> and two trees did not show any significant association. The mean δ^{13} C for trees
230	ranged between 1.14‰ and 1.56‰, respectively in P. pinaster and P. sylvestris, and was
231	lower than the variability of P. sylvestris in Finland, Pinus edulis Engelm. in the south-
232	western EUA and Fitzroya cupressoides Johnst. in Patagonia as reported in previous
233	studies (Leavitt and Long, 1984; Leavitt and Lara, 1994; McCarroll and Pawellek, 1998).
234	The highly significant inverse correlation between δ^{13} C and tree ring width in <i>P. pinaster</i>
235	and <i>P. sylvestris</i> emphasises the potential usefulness of this type of study for analysing the
236	environmental factors that affect growth of P. pinaster and P. sylvestris under
237	Mediterranean climatic conditions. The results are consistent with previous statements that
238	suggest that species growing in variable environments such as Mediterranean environments
239	show a changing ratio of δ^{13} C in each tree ring as a result of the variables climatic
240	conditions (high temperature and low precipitation) and that $\delta^{13}C$ provides a strong
241	indicator of the severity of these climate variables (McCarroll and Loader, 2004).
242	The δ^{13} C was significantly correlated with moisture, rainfall, maximum temperature and
243	solar radiation, all of which are related to water availability and evapo-transpiration. The
244	negative effect of moisture and rainfall prior to and throughout the growing season, and the
245	positive effect of maximum temperature and solar radiation throughout the same period
246	confirm the hypothesis that δ^{13} C in conifers worldwide is an indicator of drought stress in
247	dry climates (Warren et al., 2001).
248	In <i>P. pinaster</i> the δ^{13} C accounted for rainfall from January to May and RH between January
249	and July was 44% and 52%, respectively. On the other hand, rainfall and humidity in July
250	and October on <i>P. sylvestris</i> accounted for 34% and 22% of the δ^{13} C, respectively. In <i>P</i> .
251	<i>sylvestris</i> growing in the Pyrenees mountains, October rainfall affects δ^{13} C whereas in

252 *Pinus longaeva* D.K.Bailey growing in White Mountains (California, USA) 46% of the 253 δ^{13} C was related to drought indexes (Leavitt, 1994; Treydte et al., 2007). These results, 254 together with the present results are consistent with a previous report that underlines the 255 importance of water availability as a driving factor for isotope fluctuation in both arid and 256 semiarid environments and in pine species (Warren et al., 2001).

257 Although temperature had a significant effect on drought (the higher the temperature, the 258 greater the effect of drought), the results showed that each climatic variable analysed may have a significant effect on δ^{13} C in these Mediterranean environments. In the present study, 259 260 maximum mean temperature was the only climatic variable that was more closely correlated with δ^{13} C in *P. sylvestris* than in *P. pinaster* (61% and 57%, respectively). This 261 262 association was highly significant in both species and the significant effect varied according 263 to the period analysed: summer temperature were significant for P. sylvestris (June and July) and spring temperatures (March) for *P. pinaster*. Correlations between δ^{13} C and 264 265 summer temperatures have previously been reported in Larix, Pinus and Picea trees 266 growing at high-latitude sites (59°–71°N) (Saurer et al., 2004). Part of this signal may be 267 due to the fact that hot summers are strongly correlated with high evaporation rates and 268 thus, with δ^{13} C.

As the carbon isotopic is a measure of the balance between stomatal conductance and photosynthetic rate, when moisture is limiting, stomatal conductance predominates and the determining environmental factors are air RH and soil moisture, which are strongly correlated with RH and precipitation (Gagen et al., 2004). Stomatal conductance in pines species is controlled by soil moisture and RH (Leavitt, 1993; McCarroll and Pawellek, 2001). Although in this study RH and rainfall were clearly correlated with δ^{13} C, it is impossible to reject the idea that temperature also has a significant effect on δ^{13} C. A

complex response of δ^{13} C to climate was also for *P. sylvestris* in Finland (McCarroll and 276 277 Pawellek, 2001), even though the trees were growing in environments where temperature is 278 a strongly growth-limiting factor. Considering that *P. sylvestris* in the Iberian Peninsula 279 grows within the limit of the worldwide distribution, and Schleser et al. (1999b) reported that under these conditions, δ^{13} C tends to respond to one atmospheric parameter, these 280 results are also consistent with those of McCarroll and Pawellek (2001) who concluded that 281 the δ^{13} C response is complex. 282 283 The rate of photosynthesis depends on radiation and temperature (Beerling, 1994) and McCarroll and Pawellek (2001) reported a strong correlation between δ^{13} C and hours of 284 285 summer sunshine, temperature, antecedent precipitation and RH according to the sampling 286 site in a study of Scots pine in four sites in Finland. Even though the climatic conditions in 287 the sites differ significantly from those in the present sites, summer temperature (June and

288 July) and rainfall (July) have the same significant effect on δ^{13} C.

289 It was previously concluded that the same species may have different isotopic responses in

290 different environments (Sternberg and DeNiro, 1983; Leavitt and Long, 1984), and *P*.

291 *pinaster* growing under maritime climatic conditions in Italy did not show any strong

292 variation in δ^{13} C in different years, even thought there was a severe drought during the

293 period analyzed (summer of 2001) and the authors commented on the limitation of the

application of stable carbon analysis in assessing the severity of drought in environments

characterized by seasonal aridity (De Micco et al., 2007). Even though we analysed the

same species, the present results showed that *P. pinaster* is an accurate tool for studying

297 climatic conditions, as shown by the significant correlation coefficients that underlined the

recommendation made by Leavitt and Long (1986) that results for δ^{13} C in one species

should not be extrapolated to other environments.

300 Even thought in same cases isotopes may be more closely correlated with climatic variables 301 than tree ring width, use of one does not limit the use of the other, as for example in sub fossil chronologies of Scots pine in Finland the use of δ^{13} C would be limited for climatic 302 303 reconstruction if not used with other estimates (McCarroll and Pawellek, 2001). Wood decay can affect the δ^{13} C and limit the use of carbon isotopes for the reconstruction of past 304 305 climate conditions (Schleser et al., 1999a). When WUE is analysed, the results suggest the importance of δ^{13} C studies for 306 307 understanding the physiological changes in trees in relation to general changing 308 environmental conditions. Phenological changes and the increased WUE in woody species 309 in the Iberian Peninsula have been already reported (Peñuelas et al., 2002), and the significant correlation between RH and δ^{13} C in *P. pinaster* and *P. sylvestris* also 310 311 corroborate these studies. 312 Even though environments in the Iberian Peninsula are suggested to be threatened under 313 climatic scenarios (Bakkenes et al., 2004), both species showed a significant association 314 with moisture, which indicates their capacity to cope with unfavourable climatic conditions. 315 It is difficult to agree with Harrison et al. (2006) who suggested an unsuitable environment for these species from the year 2050 because the δ^{13} C studies revealed a clear adaptable 316 317 capacity related to a better WUE. 318 In summary, P. pinaster and Pinus sylvestris growing in Mediterranean environments 319 showed a significant correlation with climatic conditions which suggests that they are a 320 very valuable tool for studying the effects of climate change. On the other hand, the 321 potential use of both species for analysing physiological changes (WUE) in species due to 322 changing environmental conditions emphasised the importance of this study for enhancing 323 other tools used in dendroclimatological research.

324

325 Acknowledgements

326 The authors thank Cristotal Ordóñez for field assistance, María José Fernández Nieto for

- 327 providing meteorological data and Christine Francis for improving the English version.
- 328 Thanks are also extended to Drs. Jens Dyckmans and Reinhard Langel of the Büsgen-
- 329 Institut Kompetenzzentrum Stabile Isotope (KOSI) Georg-August Universität, Göttingen,
- 330 Germany, for their help with the isotopic analysis. This study was supported by Research
- 331 projects from the Regional Castilla y León government (Spain) (Project Code VA096A05),
- the Spanish Ministry of Science and Education (Project Code AGL2007-65795-C02-01)
- 333 and by the Alβan Programme, the European Union Programme of High Level Scholarships

for Latin America (Scholarship No. E05D049920AR).

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499 Table I. Geographical positions, altitude and time span of *P. pinaster* and *P. sylvestris* trees used in

02° 30' 18"

1945-2005

	P.pinaster	P.sylvestris	
Latitude N	39° 48' 56"	42° 04' 36"	

01° 15' 36"

1947-2005

920

500 the isotope analysis.

Longitude W

Altitude (m)

Time span (years)

5	Δ	1
\mathcal{I}	υ	T

502 Table II. Descriptive statistic for climatic variables recorded at the *Cuenca*, *Soria* and *Molina de*

1676

503 Aragón meteorological stations.

Variable	Ν	Mean	S.D.	Min	Max
Pp_Cuenca (mm)	25	500.29	119.44	266.2	700.6
Ttma_Cuenca (°C)	25	18.83	0.687	17.71	20.13
Hu_Cuenca (%)	25	62.65	3.03	57.17	69.5
Ra_Molina (hours)	25	2046.92	127.3	1807.75	2288
Pp_Soria (mm)	25	505.77	102.92	370.4	859.6
Ttma_Soria (°C)	25	16.81	0.689	15.55	18
Ra_Soria (hours)	25	2093.54	138.18	1833.92	2331.5
Hu_Soria (%)	25	67.68	3.38	61	73.83

Pp: Mean annual rainfall, Ttma: mean annual maximum temperature, Hu: mean annual relative
 humidity and Ra: mean monthly hours of solar radiation for the 1975-1999 period.

505

507 Table III. Descriptive statistics of the four *P. pinaster* and *P. sylvestris* individuals used in the

508 isotope analysis in central Spain.

	n	Mean	S.D.	Min.	Max.
Pinus pinaster					
Tree 48	25	-24.52	1.41	-27.07	-22.2
Tree 44	25	-25.03	1.23	-27.1	-23.07
Tree 27	25	-23.89	0.96	-25.61	-22.07
Tree 53	25	-24.58	1.06	-26.05	-22.4
Pinus sylvestris					
Tree 23	25	-25.79	0.48	-26.65	-24.91
Tree 19	25	-24.23	0.78	-25.75	-22.85
Tree 8	25	-24.45	0.55	-25.51	-23.57
Tree 4	25	-24.6	0.58	-25.79	-23.2

- n: number of tree ring analyzed; Mean: mean value of δ^{13} C for each tree analyzed; SD: Standard deviation; Min and Max: extreme values. 509 510
- 511
- Table IV. Pearson's correlation coefficient for the values of δ^{13} C data for all trees in *P. pinaster* and 512
- 513 P. sylvestris.

Pinus pinaster						
	Tree 48	Tree 44	Tree 27			
Tree 44	0.82***					
Tree 27	0.8***	0.73***				
Tree 53	0.68***	0.7***	0.73***			
Pinus sylvestris						
	Tree 23	Tree 9	Tree 8			
Tree 19	0.59***					
Tree 8	0.41*	0.5**				
Tree 4	0.72***	0.78***	0.37			

*p < 0.05, **p < 0.01, ***p < 0.001, the absence of an asterisk shows that there was no significant association. 514 515

Figure 1. *P. pinaster* and *P. sylvestris* sampling sites in the Iberian Peninsula. The squares
indicate the meteorological stations: So *Soria*, Mo *Molina de Aragón*, Cu *Cuenca*. The
circles indicate the sampling sites: Syl *P. sylvestris*, Pin *P. pinaster*.

520

521 Figure 2 Climate-diagram for the *Cuenca* and *Soria* meteorological stations.

522

Figure 3 The mean values (thick lines) and confidence intervals at 95% (thin lines) forisotope analysis of Scots and Maritime pines in Spain.

525

526 Figure 4. Pearson's correlation coefficients for δ^{13} C isotopes in *P. pinaster* and monthly

527 RH (a), monthly rainfall (b), maximum mean monthly temperature (c) and monthly hours

528 of solar radiation (d). Bars outside <u>dashed</u> lines show significant coefficients at **p < 0.01.

529 Bars outside <u>dotted</u> lines show significant coefficients at *p < 0.05.

530

531 Figure 5. Pearson's correlation coefficients for δ^{13} C isotopes of *P. sylvestris* and monthly

532 RH (a), monthly rainfall (b), maximum mean monthly temperature (c) and monthly hours

533 of solar radiation (d). Bars outside <u>dashed</u> lines show significant coefficients at **p < 0.01.

Bars outside <u>dotted</u> lines show significant coefficients at *p < 0.05.

535

536 Figure 6. Linear regression models for δ^{13} C in *P. pinaster* and RH between January and

537 July (a), rainfall between January and May (b), maximum mean temperature between April

538 and July (c) and hours of solar radiation in January, April and May (d).

- 540 Figure 7. Linear regression between δ^{13} C in *P. sylvestris* and RH in July and October (a);
- 541 rainfall in July and October (b) maximum mean temperature in June and July (c) and hours
- 542 of solar radiation in October.











