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## Improvement of electrocatalytic effect in voltammetric sensors based on phthalocyanines

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Dedicated to Professor Kevin M. Smith on the occasion of his 70th birthday

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ABSTRACT: Voltammetric sensors based on phthalocyanines have been used to detect a variety of compounds. In this paper, the state of the art of sensors prepared using classical techniques will be revised. Then, new strategies to improve the performance of the sensors will be described using as example sensors chemically modified with lutetium bisphthalocyanine (LuPc<sub>2</sub>) dedicated to the detection of phenols of interest in the food industry. Classical LuPc<sub>2</sub> carbon paste electrodes can detect phenols such as catechol, caffeic acid or pyrogallol with limits of detection in the range of  $10^{-4}-10^{-5}$  M. The performance can be improved by using nanostructured Langmuir-Blodgett (LB) or Layer by Layer (LbL) films. The enhanced surface to volume ratio produce an increase in the sensitivity of the sensors. Limits of detection of  $10^{-5}$ - $10^{-7}$  M are attained, which are one order of magnitude lower than those obtained using conventional carbon paste electrodes. Moreover, these techniques can be used to co-immobilize two electrocatalytic materials in the same device. The limits of detection obtained in LB sensors combining LuPc<sub>2</sub>/AuNPs or LuPc<sub>2</sub>/CNT are further improved. Finally, the LB technique has been used to prepare biosensors where a phenol oxydase (such as tyrosinase or lacasse) is immobilized in a biomimetic environment that preserves the enzymatic activity. Moreover, LuPc<sub>2</sub> can be co-immobilized with the enzyme in a lipidc film formed by arachidic acid (AA).  $LuPc_2$  can act as an electron mediator facilitating the electron transfer. These biomimetic sensors formed by LuPc2/AA/enzyme show Limits of detection of 10<sup>-8</sup> M and an enhanced selectivity. 

**KEYWORDS:** voltammetric sensor, phthalocyanine, bisphthalocyanine, phenol, nanoparticle, biosensor.

## 47 INTRODUCTION48

Phthalocyanines have been extensively used as sensing materials in electrochemical sensors due to their remarkable electrochemical and electrocatalytic properties [1–5]. Electrochemical sensors chemically modified with phthalocyanines can work under different principles including potentiometry, amperometry, voltammetry or

impedance measurements and have been used for the determination of many organic and inorganic compounds [6, 7]. In amperometric or voltammetric sensors, the electrode surface is covered with a sensing material that facilitates the charge-transfer reactions between the electrode and the solution. The sensing layer can thus reduce the oxidation potential of the analyte while increasing the intensity of the response. Usually in amperometric sensors, measurements of the intensity are carried out at a fixed potential. Which corresponds with the value at which the analyte is oxidized. Voltammetric sensors are superior

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because the whole curve contains information about the
 analyte and can be used to identify it. For this reason,
 voltammetric sensors based on phthalocyanines have been
 successfully used to detect a variety of compounds such
 as phenols, amines or organic acids among many others.

6 Voltammetric sensors based on phthalocyanines can 7 be prepared using a range of classical techniques. They 8 can be deposited on the surfaces by physical adsorption, 9 casting, sping coating or electropolymerization [8, 9]. 10 Phthalocyanines can also be incorporated into an electrode matrix (i.e. carbon paste electrodes or screen 11 12 printing inks), entrapped in a polymeric or organic matrix 13 or attached covalently to a surface [10-12]. Sol-gel 14 technique is a valuable tool to disperse phthalocyanines 15 in a carbon matrix [13].

16 In the last years new developments in the field of 17 nanotechnology have helped to improve the sensitivity 18 of the electrochemical sensors [14]. Nanotechnology 19 provides new nanomaterials (nanoparticles, nanocarbons, 20 etc.) with improved electrocatalytic properties. Further-21 more, traditional sensing materials such as phthalocy-22 anines can be deposited as nanostructured films using 23 self-assembling [15], or by the Layer by Layer (LbL) 24 [16] or the Langmuir–Blodgett (LB) techniques [17–19]. 25 In this paper, recent works in classical amperometric 26 and voltammetric sensors based on phthalocyanines will 27 be revised. Then, using a specific phthalocyanine, the 28 lutetium bisphthalocyanine (LuPc2), examples will be 29 given to illustrate how nanostructured films can improve

30 the performance of voltammetric sensors.

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# CLASSICAL ELECTROCATALYTIC ELECTRODES BASED ON PHTHALOCYANINES

37 Electrodes modified with phthalocyanines have the 38 ability to catalyze the oxidation or reduction of solved 39 compounds by lowering the potential required for the 40 catalyzed redox systems, when compared with the 41 unmodified electrode. The number of papers published 42 in this field is quite large and include a variety of 43 phthalocyanine compounds, electrode designs, and target 44 molecules. From the large class of the phthalocyanine 45 molecules, metallophthalocyanines (MPc), where a 46 transition metal is coordinated with a phthalocyanines 47 ring have been the most widely studied derivatives. In 48 MPcs, the electrocatalytic process occurs through the oxidation/reduction of the central metal ion. Cobalt 49 50 phthalocyanine (CoPc) and its derivatives are excellent 51 electrocatalytic materials due to their high reactivity 52 which, depending on the target, involves the couples 53 Co<sup>III</sup>/Co<sup>II</sup>Pc<sup>2-</sup> or Co<sup>II</sup>/Co<sup>I</sup>Pc<sup>2-</sup> [20]. Other MPcs such as 54 NiPc or FePc also present a good electrocatalytic activity 55 owing to the MII/MIII redox process [2].

56 Sandwich-type derivatives  $(LnPc_2)$  in which two Pc 57 rings are coordinated with a rare earth ion  $(Ln^{III})$ , have attracted considerable interest as voltammetric sensors [1, 5, 20]. The electrocatalytic effect shown by  $LnPc_2$ , is not related to the oxidation/reduction of the central metal ion, but to the oxidation/reduction of the phthalocyanine ring [18, 21–23]. The catalytic activity of MPcs or  $LnPc_2$  can be "tuned" by manipulating the E° formal potential, using proper substituent groups on the macrocyclic ligand. The chemical modification can also be used to provide other interesting properties such as solubility in a certain solvent, chemical or thermal stability, required to prepare electrodes using a particular technique.

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Sensors chemically modified with phthalocyanines have been dedicated to the electrocatalytic determination of many important compounds such as nitrite and cyanide, hydrogen peroxide, nitric oxide (biological medium), hydrazine, thiols and sulphurated organic compounds, glucose and polysaccharides, aliphatic amines, ascorbic acid, glutathione, phenols or serotonin. The applications mentioned are only an illustration of the wide variety of targets evaluated instead of an exhaustive list (see Ref. 2 for more details). Some examples are described in the next paragraphs.

In classical works phthalocyanines are adsorbed directly to the electrode surface [24]. For instance, a graphite electrode modified with CoPc has been used to detect thiols and disulfides [24] or lipoic acid [25]. Carbon Paste Electrodes (CPEs) prepared by mixing graphite with a phthalocyanine and a mineral oil or an epoxy resin have been successfully used to detect phenols [26] amitrol [27] nitrites [28] or citric acid [29] among many others. CPEs modified with phthalocyanines have been used as sensing units in electronic tongues [30]. Drop casting on a bare graphite electrode or spin coating are also popular techniques [31]. Electropolymerized metal phthalocyanines possess excellent electrocatalytic properties towards H<sub>2</sub>O<sub>2</sub>, thiols, S<sup>2-</sup> or NO among many other targets [32, 33]. MPcs can be encapsulated during the surface preparation using the sol-gel method. A glutathione sensor based on CoPc has been developed using this method [32]. CoPc-modified screen-printed carbon electrodes have been investigated as disposable sensors for the measurement of many compounds such as nitric acid [34], phenols [12] or TNT [35] and also as the sensing units in electronic tongues [36].

## IMPROVEMENT OF THE PERFORMANCE THROUGH THE USE OF NANOMATERIALS AND NANOSTRUCTURED FILMS

Electrodes with improved characteristics have been52obtained by mixing phthalocyanines with nanomaterials53such as nanoparticles, nanotubes or graphene.54Functionalization of nanomaterials with phthalocyanines55has also been widely used to prepare electrochemical56sensors. The number of papers in this field is quite57

large and only some examples will be shown in next
 paragraphs.

3 It has been demonstrated that the combination of 4 MPcs with gold nanoparticles (AuNPs) can improve 5 the catalytic properties of the electrodes [37-40]. Similarly, electrochemical sensors have been developed 6 7 by combining phthalocyanines with carbon nanotubes 8 on carbon electrodes [41-44]. CoPc has been dispersed on graphene to detect glucose and hydrogen peroxide 9 10 [45]. Electrocatalytic behavior has also been found in tetraamino-phthalocyanine in the presence of a 11 12 composite formed by nanotubes and reduced graphene 13 [46]. In a more sophisticated method, pre-grafted screen-printed gold electrodes have been used to attach 14 functionalized single-walled carbon nanotubes and 15 metal tetra-amino phthalocyanines using Schiff-base 16 17 reactions [47]. A hybrid nanocomposite based on CoPc 18 immobilized on nitrogen-doped graphene has been used 19 to modify glassy carbon electrode and used to detect 20 thiols with improved sensitivity [48]. Nanocomposites 21 of graphene, tetrasulphonic phthalocyanine and polyaniline have been used to modify screen printed 22 23 electrodes (SPE) for selective determination of ascorbic 24 acid [49]. By comparing the electrocatalytic effect 25 of conjugates formed by phthalocyanines-carbon 26 nanotubes or phthalocyanines-gold nanoparticles it has 27 been demonstrated that single walled carbon nanotube 28 complexes show better performances towards bisphenol 29 A than gold nanoparticle conjugates [50]. The sol-gel 30 technique or electrodeposition techniques can produce 31 mesoporous materials with an increased surface-to-32 volume ratio that have been successfully used to detect a variety of substances such as oxalic acid or phenols. 33 34 [9, 51, 52]. A soluble sulfonic phthalocyanine has been 35 immobilized in a mesoporous silica xerogel and used to 36 detect dopamine [53].

37 The organization of the structure at the nanometric 38 level causes the film properties to differ from those 39 obtained with the same materials in the form of thick films 40 [54, 55]. Self-assembled monolayers (SAM) of thiolic 41 or carboxylic phthalocyanine derivatives deposited onto 42 gold have demonstrated an enhanced catalytic activity with very fast responses towards thiols [56] and nitrite 43 44 [57]. LbL sensors have been prepared by alternating 45 anionic phthalocyanines with a variety of polycations 46 [58]. For instance, electrochemical sensors made 47 from layered double hydroxide nanosheets and cobalt 48 phthalocyanines have been successfully used to detect 49 dopamine [59]. LbL electrodes alternating layers of 50 polyaniline (PANI) and tetrasulfonated phthalocyanines, are also able to detect dopamine efficiently. Furthermore, 51 52 they can distinguish between dopamine and ascorbic 53 acid, a natural interfering molecule usually present in 54 biological samples [60]. Similary, LbL films constructed 55 from layers of polyallilamine hydrochloride (PAH) and 56 iron phthalocyanine have been able to detect dopamine 57 with a detection limit of 10<sup>-6</sup> M [61]. LB films of many different phthalocyanines have been used to obtain electrochemical sensors for the detection of many compounds including amines, phenols, pesticides or organic acids among others [17, 23, 62–65].

SAM, LbL and LB techniques are interesting methods for fabrication of films combining phthalocyanines with nanomaterials with electrocatalytic properties. LbL films have been used to obtain mixed films of gold nanoparticles and nickel phthalocyanine that show an increased electrocatalytic activity towards hydrogen peroxide [66]. Similarly, the introduction of silver nanoparticles (AgNPs) in PAH/iron phthalocyanine LbL films show increased sensitivity towards dopamine [61]. Nanocomposites formed by tetrasulfonic phthalocyanine and functionalized graphene synthesized by electrostatic self-assembly method, have also been used as sensors to detect bisphenol A [67]. LB films combining phthalocyanines and NPs have been rarely studied as gas sensors [68] and only one attempt has been made to analyze the role of the interactions of phthalocyanines and AuNPs in electrochemical sensors [69].

## CASE STUDY: ELECTROCHEMICAL SENSORS BASED ON BISPHTHALOCYANINES FOR THE DETECTION OF PHENOLS WITH IMPROVED PERFORMANCE

In previous sections, the state of the art of amperometric and voltammetric sensors based on phthalocyanines has been revised. In the present section, the particular case of voltammetric sensors based on lutetium bisphthalocyanine  $(LuPc_2)$  will be used to show three strategies followed by our group to improve the electrocatalytic properties towards phenols.

LnPc<sub>2</sub> are double decker derivatives in which two Pc rings are coordinated with a rare earth ion (Fig. 1) [5, 6, 70, 71]. In the range from -1.0 V to +1.0 V they show two reversible redox pairs associated to the one electron oxidation and the one electron reduction of the phthalocyanine ring respectively [1]. A variety of LnPc<sub>2</sub> have been investigated as the sensing material for voltammetric electrodes [18, 19, 72].



Fig. 1. Lutetium bisphthalocyanine (LuPc<sub>2</sub>)

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**Fig. 2.** Voltammetric response of (a) a bare CPE electrode and (b) a CPE modified with LuPc<sub>2</sub> towards 10<sup>-3</sup> M catechol in 0.1 M KCL. Scan rate 100 mV/s

18 19 The lutetium derivative, LuPc<sub>2</sub> has been considered as 20 the model to illustrate the electrochemical and sensing 21 behavior of lanthanide bisphthalocyanines and has been the subject of many studies. LuPc<sub>2</sub> can be used to prepare 22 23 cheap CPEs, in which the phthalocyanine is mixed 24 (15% w/w) with graphite powder (Ultracarbon, Ultra F purity). Nujol oil is added until a paste is obtained. Paste 25 26 is packed into the body of a 1 mL plastic syringe and 27 compressed. A metallic wire is used as the contact [10, 73]. 28 LuPc<sub>2</sub> has demonstrated to be an efficient electrocatalysts 29 toward phenols. This is illustrated in Fig. 2, where the 30 responses of a bare CPE electrode and an electrode 31 modified with LuPc<sub>2</sub> immersed in 10<sup>-3</sup> M catechol is 32 shown. The curves show a redox pair caused by the 33 reversible oxidation of the diphenol to the quinoid form. 34 The presence of LuPc<sub>2</sub> not only increases the intensity of 35 the response, but also decreases the separation between the anodic and the cathodic waves indicating that the 36 reversibility of the process is facilitated. The intensity of 37 the peaks is proportional to the concentration and a limit 38 39 of detection (LOD) of 10<sup>-4</sup> M is easily attained. LODs in 40 the range of  $10^{-4}$ – $10^{-5}$  are attained for other phenols such 41 as pyrogallol or hydroquinone [18, 23].

As shown in the above paragraph LuPc<sub>2</sub> is an excellent
electrocatalytic material for the detection of phenols.
Nanotechnology has helped to develop new strategies
to improve the performance of the LuPc<sub>2</sub> sensors. Three
main strategies have been followed to improve their
performance.

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#### 49 50 Strategy 1: Nanostructured sensors

Ultrathin nanostructured thin films can improve the
sensitivity of chemical sensors due to several reasons.
Ultra-thin films show high surface uniformity and an
enhanced number of active sites that produce an increase
in the intensity, and lower the detection limits.

Nanostructured LB films based on lanthanide bisphthal ocyanines have been frequently used as voltammetric

sensors to analyze phenols. LB films are formed by spreading a chloroform solution of a mixture of LuPc2 and an amphihilic substance (1:10) onto the water surface of a Langmuir trough. The amphiphile is required to decrease the rigidity of the LB films and to facilitate the formation of the monolayer. It can be a fatty acid such as arachidic acid (AA) [74, 75] or a phospholipid such as DPPG acid (or dimyristoyl phosphatidic phospholipid acid) [62]. Upon compression, the molecules are oriented at the interface giving rise to an ordered monolayer. This floating monolayer can be transferred to a solid substrate by dipping an ITO substrate perpendicularly to the water sub-phase. Repeated dippings allow multilayers to be obtained where the thickness can be controlled by the number of dipping cycles [6]. Typically 10-20 monolayer LB films are used as voltammetric sensors.

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35 The response of LuPc<sub>2</sub>-LB electrodes is similar to that observed in CPEs, but LB films facilitate the 36 electron transfer, the peaks are better resolved, and the 37 repeatability is improved. The electrochemical studies 38 also indicate that nanostructured films show faster 39 kinetics because ultra-thin films with enhanced surface to 40 volume ratio, can allow the analyte molecules to adsorb 41 or desorb from the molecular sites more readily. LODs 42 calculated for mono- di- and tri-phenols are one order 43 of magnitude lower than those found in CPE sensors 44 and can easily reach 10<sup>-5</sup>-10<sup>-6</sup> M and 10<sup>-7</sup> M has been 45 reached in some cases [62]. The LbL technique has also 46 demonstrated to be a good alternative for the preparation 47 of LuPc<sub>2</sub> sensors. In the LbL technique multilayers are 48 assembled by immersing the substrate in a cationic and 49 an anionic aqueous solution alternatively. Thus, layers 50 of different charges are deposited thanks to electrostatic 51 interactions. A method has been developed to use the 52 LbL technique to deposit alternate layers of a water 53 soluble polycation, the poly(allylamine hydrochloride) 54 (PAH) and a chloroform solution of LuPc<sub>2</sub>. Such LbL 55 films have been used to detect catechol with excellent 56 limit of detection of 10<sup>-6</sup> M [61] (Fig. 3). 57



Fig. 3. Cyclic voltammograms recorded using a PAH/LuPc<sub>2</sub> LbL film immersed in catechol at concentrations ranging from 50 to 500  $\mu$ M

## Strategy 2: Nanostructured films combining electrocatalytic materials: synergy

The control of the molecular architectures afforded by the LB and LbL methods has led to a variety of devices where synergy is achieved by combining electroactive materials, including LuPc2-inorganic hybrids. Combina-tions of electrocatalytic materials can improve the performance of the sensors. For instance, LuPc<sub>2</sub> has been combined with functionalized gold nanoparticles (11-mercaptoundecyl)tetra(ethylene glycol) (SAuNP) using a new method developed specially for this goal. In the method developed, a chloroform solution of AA and  $LuPc_2$  (1:1) is spread onto the water subphase of an LB trough. AuNPs are injected underneath a floating film formed by the fatty acid (AA) and the LuPc<sub>2</sub>. Then, the floating monolayer is compressed and transferred to ITO glass [69]. 

Voltammograms registered when LuPc2/DODAB/ SAuNPs (Fig. 4) are immersed in hydroquinone show more intense peaks than those obtained in LuPc<sub>2</sub>/ DODAB. LOD calculated from the slope of the calibration curve are one order of magnitude lower than the obtained with LuPc<sub>2</sub>/DODAB. According to this, the interaction between phthalocyanines and gold nanoparticles facilitated by the LB technique induces a synergistic electrocatalytic effect towards phenols [69]. 

Similar electrocatalytic effect has been observed in LB films of LuPc<sub>2</sub> combined with carbon nanotubes. Such films show highly sensitive responses towards phenols or citric acid. In the latest case, the synergy is promoted by the to  $\pi$ - $\pi$  interactions between both electrocatalytic materials [76].

#### 53 Strategy 3: Nanostructured biosensors

54 It is well-known that the incorporation of enzymes 55 to build electrochemical biosensors can help to improve 56 the selectivity of the sensing devices. During the reaction 57 enzymes consume oxygen (*e.g.* the oxydases), or produce



**Fig. 4.** Voltammetric Response of an LB film of  $LuPc_2/DODAB/$ SAuNP toward hydroquinone (10<sup>-4</sup> to 10<sup>-6</sup> M in Phosphate buffer 10<sup>-1</sup> M)

hydrogen peroxide or produce the reduced form of the NAD(P)H (*e.g.* dehydrogenases). These transformations can be measured by electrochemical methods. Sensors containing phenol oxidases such as tyrosinase, laccase or horseradish peroxidase can be used to detect phenols [77].

The immobilization of the enzyme at the electrode substrate is a key step in the development of biosensors with high enzymatic activity [78]. Enzymes can be immobilized using different methods and different electrode supports [79–85]. The incorporation of electron mediators facilitates the electron transfer between the enzyme and the electrode, improving the sensitivity of the electrochemical biosensors. Phthalocyanines are excellent electron mediators for the oxidase reactions [86, 87].

LB, LbL and SAM are of special interest for enzyme immobilization because they allow preparing biocompatible systems, where the enzyme is adsorbed in a lipidic layer mimicking the cellular membrane. This biomimetic environment can increase the enzymatic activity. With these techniques, film fabrication is performed under mild conditions, which is particularly important for preserving activity of biomolecules a wide diversity of materials may be employed [88, 89]. The LB technique can also be advantageous because the electron mediator can be co-immobilized with the enzyme in the same lipidic layer, facilitating the electron transfer process (Fig. 5). In particular, our group has demonstrated that tyrosinase or laccase co-immobilized with LuPc<sub>2</sub> in lipidic LB films show an excellent electrocatalytic and electron mediator behavior [90, 91]. The excellent functionality of the enzyme obtained using a biomimetic immobilisation method, the selectivity afforded by enzyme catalysis, the signal enhancement caused by the lutetium bisphthalocyanine mediator 

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19Fig. 5. Voltammetric response of an LB film of LuPc2/AA/20Laccase toward hydroquinone  $(10^{-4} \text{ to } 10^{-6} \text{ M in Phosphate})$ 21buffer  $10^{-1} \text{ M}$ )

23 and the increased selectivity of the curves due to the 24 occurrence of two redox processes make these sensors 25 exceptionally suitable for the detection of phenolic 26 compounds [92]. Detection limits attained using these 27 biomimetic biosensors depend on the type of phenol 28 (mono-di- or tri-phenol) due to the different affinity of 29 the enzymes to different compounds. Detection limits as 30 low as 10<sup>-8</sup> M have been attained [93, 94]. 31

Biosensors based on LB films have been combined to 32 form an array of sensors. Such an array combined with 33 an appropriate pattern recognition software has been 34 the basis of a bioelectronic tongue [95]. Such system 35 combines the characteristics of electronic tongues (which 36 provide global information about the composition of the 37 sample) with the specificity of biosensors. These systems 38 have been succesfully used to discriminate grape juices 39 according to their phenolic content [94]. 40

## CONCLUSION

Voltammetric sensors based on phthalocyanines have
been widely applied for the analysis of a variety of
compounds. Lutetium bisphthalocyanine is of particular
interest due to their excellent electrochemical properties.
This compound has been used to illustrate several
strategies to improve the performance towards phenols.

Classical LuPc<sub>2</sub> CPE sensors prepared by mixing 49 50 LuPc<sub>2</sub> with graphite, showed limits of detection in the 51 range of 10<sup>-4</sup>–10<sup>-5</sup> M. Their performance can be improved by preparing the sensing layers using the Langmuir-52 53 Blodgett or the Layer by Layer techniques which provide 54 nanostructured surfaces with an improved surface to 55 volume ratio. These techniques are also suitable to obtain mixed films formed by two electrocatalytic materials. 56 Improvement of the performance of voltammetric 57

sensors by means of synergy between phthalocyanines and metallic nanoparticles or carbon nanotubes has been achieved.

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Finally, the LB and LbL techniques are of particular interest for enzyme immobilization because they allow preparing biomimetic systems, where tyrosinase (or laccase) are adsorbed in a lipidic layer. When  $LuPc_2$  is introduced simultaneously in the sensing film, it can act as electron mediator facilitating the electron transfer between the redox enzyme and the electrode. Using this biomimetic assembly, LODs pf  $10^{-8}$  M can be easily attained.

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