

1 Hydrothermal fractionation of woody biomass: lignin effect  
2 over sugars recovery

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11 **Abstract**

12 Subcritical water was employed to fractionate woody biomass into carbohydrates and  
13 lignin. Nine urban trees species (hardwood and softwood) from Spain were studied. The  
14 experiments were carried out in a semi-continuous reactor at 250°C for 64 min. The  
15 hemicellulose and cellulose recovery yields were between 30% wt. and 80% wt. while the  
16 lignin content in the solid product ranged between 32% wt. and 92% wt. It was observed  
17 that an increment of solubilized lignin disfavored the hydrolysis of hemicelluloses. It was  
18 determined that the maximum extraction of hemicellulose was achieved at 20 min of  
19 solid reaction time while the extraction of celluloses not exhibited a maximum value. The  
20 hydrolysis of hemicellulose and cellulose would be governed by the hydrolysis kinetic and  
21 the polymers accessibility. In addition, the extraction of hemicellulose was negatively  
22 affected by the lignin content in the raw material while cellulose hydrolysis was not  
23 affected by this parameter.

24 **Keywords:** Biorefinery, Glucose, Process flexibility, Xylose

## 25 1. Introduction

26 Lignocellulosic biomass has emerged as a potential renewable resource for the  
27 production of fuels (Thangavelu et al., 2014), energy and added value chemical products  
28 (Wijaya et al., 2014). To achieve this, different treatments should be applied to the raw  
29 material in a concept industry called: Biorefinery (Bozell, 2008). Lignocellulosic biomass  
30 is a complex material composed mainly of three biopolymers: hemicellulose, cellulose  
31 and lignin. The nature of these polymers is quite different, hemicellulose is composed of  
32 C-5 molecules like xylose, arabinose, mannose and galactose while cellulose is only  
33 composed of glucose. On the other hand lignin is the most complex polymer of biomass  
34 composed of phenolic units linked in a three dimensional network (Cantero et al., 2015).  
35 These polymers interact between them by covalent, hydrogen and Van der Waals bonds.  
36 So, prior the production of chemicals and fuels in a selective way, it is needed to break  
37 the interactions between the three main polymers of biomass, which will allow the  
38 separation of them.

39 Sub and supercritical water (SCW) have gained attention as a promising solvent  
40 for performing the reactions of fractionation and hydrolysis of biomass. One of the main  
41 advantages of SCW is that the used solvent is only water. Water is environmentally  
42 friendly and represents an alternative to corrosive and toxic solvents being an attractive  
43 reaction media for a large number of applications. The variations in the properties of  
44 water near to its critical point (374°C and 22.1 MPa) only by changing pressure and  
45 temperature make it a promising reaction medium to set different reaction conditions  
46 depending on the desired product. The main properties of water that can be modified by  
47 pressure and temperature and will define the identity of the medium are: dielectric

48 constant, ionic product, density, miscibility and transport properties (Pavlovič et al., 2013;  
49 Toor et al., 2011). Water can adopt different roles in the reaction medium: as solvent,  
50 reactant or catalyst (Knez et al., 2015). The ionic reactions are favored at high densities  
51 and high ionic products while the radical reactions are favored at supercritical water  
52 conditions (Peterson et al., 2008). The challenge is to find the experimental conditions  
53 which can be adaptable to all kind of biomass contributing to the decentralization and  
54 versatility of the process to industrial scale (Arai et al., 2009).

55         The most abundant organic source on the earth is woody biomass with the annual  
56 production of approximately  $5.64 \cdot 10^{10}$  Mg-C (Liu et al., 2012). The forest activity produces  
57 a massive amount of resources and residues which can be used to produce added value  
58 products or energy through biorefinery operations, improving the health status of  
59 forests. This activity is greatly available in Spain. An increasing trend since 1990 has been  
60 observed. According to Eurostat data, since 1990, Spain has increased its forest area to  
61 annual rate of 2.19%, being the second country (Sweden leads the first place) with major  
62 total forest area in Europe. According to the data from Ministry of Agriculture, Food and  
63 Environment (2011), Spain has 8.6 (46.4% of forest area) and 6.4 (34.5% of forest area)  
64 millions of hectares of hardwoods and softwoods species and forests and forest land  
65 occupy 54% of the national area. Since 1975, has been doubled the woody volume from  
66 456.7 to 927.8 millions of m<sup>3</sup> (Forestales, 2013). The use of woody biomass is imperative  
67 to world economy and Spain has the resources for a sustainable society.

68         Several studies consider woody biomass as potential feedstock for the production  
69 of chemicals and fuels. Numerous pretreatments have been studied to determine the  
70 optimum conditions to obtain high yield of carbohydrates from plant biomass (Wijaya et

71 al., 2014). The pretreatment is necessary to alter the structure of biomass and to increase  
72 the hydrolysis of hemicelluloses and celluloses to the enzymes for monosaccharides  
73 production (Gong et al., 1999) and increase the porosity of the materials. The  
74 fractionation of vegetal biomass using liquid hot water has been investigated obtaining  
75 high recovery of the biomass components in relative low treatment times. The hydrolysis  
76 enables the depolymerization of hemicelluloses (Grenman et al., 2011), the hydrolysis of  
77 lignin (Hu et al., 2014) and the hydrolysis of celluloses. These hydrolysis reactions are  
78 usually followed by the formation of byproducts such as furfural, 5-HMF, acetic acid and  
79 lactic acid (Du et al., 2010; Li et al., 2014). The hydrothermal fractionation of biomass is  
80 usually carried out between 150°C and 250°C, at pressures lower than 10 MPa (Mok &  
81 Antal Jr, 1992; Sun et al., 2014b; Wei et al., 2011). The recovery yields of hemicellulose  
82 usually range between 60% wt. and 100% wt. while cellulose recovery is lower than 60%  
83 wt. However, the fractionation yields of plant biomass showed to be highly affected by  
84 the nature of the biomass: structural and chemical properties. So, the selection of the  
85 experimental conditions play an important role in the obtaining of high yields of the  
86 hydrolyzed biopolymer. For instance, hardwood hemicelluloses can be  
87 removed/hydrolyzed at lower temperatures than the softwood hemicelluloses (Wei et  
88 al., 2011). The main compound in hemicellulose is xylose for hardwood and mannose for  
89 softwood. The content of lignin for hardwood is generally lower than softwood (Lim &  
90 Lee, 2013). The hardwood has higher content of acetyl groups than softwood, which  
91 increase the concentration of acetic acid during the hydrolysis of hemicelluloses being  
92 this specie a catalyst in the hydrolysis of carbohydrates (Garrote et al., 1999).

93 In this work the influence of the composition and nature of the biomass on the  
94 hydrothermal treatment of hardwood and softwood material was studied. The

95 experimentation was focused in the obtaining of high hemicelluloses and cellulose yield  
96 with low byproduct generation and a solid rich in lignin. The main objective was studied  
97 the system flexibility using different raw materials trying to find a general trend relating  
98 these yields with the initial lignin content in biomass. This manuscript will contribute to  
99 understanding the effect of the biomass composition in the processes of plant biomass  
100 fractionation into sugars and lignin.

## 101 2. Materials and methods

### 102 2.1. Materials

103 The raw materials used in this work to conduct the extraction/hydrolysis process  
104 were 9 species of urban trees from Valladolid region, in Spain. These species were: Linden  
105 (*Large-leaved linden*), Plane (*Platanus x acerifolia*), Eucalyptus (*Eucalyptus globulus*),  
106 Catalpa (*Catalpa bignonioides*), Holm oak (*Quercus ilex*), Maple (*Acer saccharum*),  
107 Almond (*Prunus dulcis*), Pine (*Pinus pinea*) and Cedar (*Juniperus oxycedrus*).

108 The standards used in High Performance Liquid Chromatography (HPLC) analysis  
109 were: cellobiose (>98%), glucose (>99%), fructose (>99%), glyceraldehyde (95%),  
110 pyruvaldehyde (40%), arabinose (>99%), 5-hydroxymethylfurfural (99%), lactic acid  
111 (85%), formic acid (98%), acrylic acid (99%), mannose (>99%), xylose (>99%) and  
112 galactose (>99%) purchased from Sigma and used without further modification.

113 For the determination of extractives, n-hexane (96%) supplied by Sigma was used. For  
114 the determination of carbohydrates, lignin and ash, sulfuric acid (96%) and calcium  
115 carbonate ( $\geq 99.0\%$ ) were purchased from Panreac and used as reagents without further  
116 modification. Distilled water was used as reaction medium in the experiment and Milli-Q  
117 water was used as mobile phase in the HPLC analysis.

## 118 2.2. Methods

119 Two products were obtained after the hydrothermal treatment (explained in  
120 section 2.3) of the raw material: solid and liquid. The solid sample was the remaining  
121 amount of biomass in the fixed bed reactor after the treatment. On the other hand, the  
122 liquid sample was produced due to the extraction/hydrolysis of the hemicellulose and  
123 cellulose fractions of the raw material during the treatment. The raw materials and  
124 samples (liquid and solid) taken during hydrothermal fractionation were analyzed to  
125 determine the carbohydrates and lignin content. The content of hemicelluloses,  
126 celluloses, lignin and ash were determined according to the National Renewable Energy  
127 Laboratory (NREL) – Determination of Structural Carbohydrates and Lignin in Biomass  
128 (Laboratory, 2011; Sluiter et al., 2010; Sun et al., 2014a). The content of extractives was  
129 determined according to the Determination of Extractives in Biomass (A. Sluiter, 2008;  
130 Sluiter et al., 2010). The solid samples were dried at 105°C to constant weight to obtain  
131 chemical composition in dry weight basis.

### 132 2.2.1. Liquid chemical composition

133 The liquid samples were subjected to different analysis. First, the pH of the sample  
134 was measured just after the sample was taken. The total organic carbon was also  
135 determined directly to the taken sample. The composition of sugars from cellulose and  
136 hemicellulose was determined by hydrolyzing the extracted oligo- and polysaccharides to  
137 their simple monomer: glucose, xylose, arabinose, mannose and galactose. To do so, 10  
138 ml of the liquid sample was set in a hydrolysis bottle (100 mL) together with 4 ml of  
139 sulfuric acid. The bottle was incubated at 30°C for 30 min and then, 84 ml of distilled  
140 water was added. After this, the hydrolysis bottle was incubated at 121°C for 1 h. After

141 that, the bottle was cooled to room temperature and calcium carbonate was added to  
 142 neutralize the medium obtaining a final pH between 6 and 7. Then, the sample was  
 143 filtrated with nylon filters membranes (0.20  $\mu\text{m}$ ) and analyzed by HPLC. The HPLC  
 144 chromatograms were analyzed using Fast Fourier Transform and band-adjustment by  
 145 Gaussian functions. The yield of hemicellulose products was determined in terms of initial  
 146 mass and initial hemicellulose as shown in equation 1 and 2 respectively. In the same  
 147 way, cellulose yield was determined in function of initial mass and initial cellulose as  
 148 shown in equations 3 and 4 respectively. The hydrolysis product yield was determined by  
 149 equation 5.

$$150 \quad \text{Hemicelluloses}(g/g_{\text{biomass}}) = [\text{xylose}(g) + \text{arabinose}(g) + \text{galactose}(g) + \text{mannose}(g)] * m_{\text{biomass}}(g)^{-1} \quad (1)$$

$$151 \quad \text{Hemicelluloses}(g/g_{\text{hemibiomass}}) = [\text{xylose}(g) + \text{arabinose}(g) + \text{galactose}(g) + \text{mannose}(g)] * [m_{\text{biomass}}(g) * X_{\text{hemibiomass}}]^{-1} \quad (2)$$

$$152 \quad \text{Celluloses}(g/g_{\text{biomass}}) = [\text{glucose}(g) + \text{fructose}(g) + \text{cellobiose}(g)] * m_{\text{biomass}}(g)^{-1} \quad (3)$$

$$153 \quad \text{Celluloses}(g/g_{\text{cellulosebiomass}}) = [\text{glucose}(g) + \text{fructose}(g) + \text{cellobiose}(g)] * [m_{\text{biomass}}(g) * X_{\text{cellulosebiomass}}]^{-1} \quad (4)$$

$$154 \quad \text{Derived-products}(g/g_{\text{biomass}}) = [\text{glyceraldehyde}(g) + \text{pyruvaldehyde}(g) + \text{lactic-acid}(g) + \text{formic-acid}(g) \\ 155 \quad + \text{acrylic-acid}(g) + 5\text{-hydroxymethylfurfural}(g)] * m_{\text{biomass}}(g)^{-1} \quad (5)$$

156 The amount of extraction for each component was calculated as it is shown in  
 157 equation 6.

$$158 \quad dX_y = C_y \cdot f \cdot dt \quad (6)$$

159 Where  $m_{\text{biomass}}$  is the initial content of biomass in the reactor (gr);  $X_{\text{hemibiomass}}$  is  
 160 the initial content of hemicelluloses in the biomass (% wt.);  $X_{\text{cellulosebiomass}}$  is the initial  
 161 content of celluloses in the biomass (% wt.);  $X_y$  is concentration of component y in gr; C  
 162 is concentration of component y in ppm; f is the flow in ml/min and t is the time in min.

### 163 2.2.2. Solid chemical composition



164 The raw material and the solid samples taken from the reactor after the  
165 hydrothermal treatment were analyzed in the same way for carbohydrate and lignin  
166 determination. However, the raw materials were subjected to an extraction process using  
167 n-hexane as solvent prior the acid hydrolysis. For carbohydrates and lignin content  
168 determination, 300 mg ( $W_1$ ) of the solid samples were set in a hydrolysis bottle together  
169 with 3 mL (72%) of sulfuric acid and it was incubated at 30°C for 30 min. Then, 84 mL of  
170 distilled water was added to the bottle and it was incubated at 121°C for 1 h. Finally, the  
171 bottle was cooled to room temperature and the hydrolysates were filtrated under  
172 vacuum obtaining two products: a solid composed of insoluble lignin and ash, and a liquid  
173 composed of carbohydrates and soluble lignin. The solid was dried at 105°C for 24 h ( $W_2$ )  
174 and then it was heated at 550°C for 24 h in a muffle and weighted ( $W_3$ ). The content of  
175 acid insoluble lignin (AIL) and ash (A) were calculate using the equations (7) and (8)  
176 respectively.

$$177 \quad AIL(g) = \frac{W_2(g) - W_3(g)}{W_1(g)} 100 \quad (7)$$

$$178 \quad A(g) = \frac{W_3(g)}{W_1(g)} 100 \quad (8)$$

179 The obtained liquid after acid hydrolysis was divided into two aliquots of 50 ml.  
180 Calcium carbonate was added to one of these sample to neutralize the medium obtaining  
181 a pH between 6 and 7 and then was analyzed by HPLC. The other sample was analyzed  
182 using a UV-visible spectrophotometer to determine the soluble lignin content. The  
183 wavelength was set at 320 nm and the absorptivity value was 30 L·g<sup>-1</sup>·cm<sup>-1</sup>. The soluble  
184 lignin content was determined by equation 9 (Laboratory, 2011).

185 
$$SL(g) = \frac{UV_{abs} * volume_{filtrate} * Dilution}{\epsilon * ODW * Pathlength} \quad (9)$$

186 Where SL is the insoluble lignin (g/gbiomass);  $UV_{abs}$  is the average UV-Visible  
187 absorbance; volume filtrate is the volume hydrolysis liquor (ml); Dilution is the dilution  
188 factor (adim);  $\epsilon$  is Absorptivity of biomass at specific wavelength; ODW is the weight of  
189 sample (milligrams) and Pathlength is pathlength of UV-Vis cell (cm).

### 190 2.2.3. Analysis

191 The content of total organic carbon (TOC) and pH were analyzed in the liquid  
192 samples. TOC was measured using a Shimadzu TOC-VCSH analyzer. The pH was measured  
193 by Nahita model 903 pH-meter using an electrode Glass- Body ElectroJelly PH5101-3B.

194 The sugars content was determined by HPLC. A SH-1011 column from Shodex was used  
195 to perform the separation of the compounds with 0.01 N of sulfuric acid as mobile phase  
196 with a flow of 0.8 ml/min and at 50°C. The HPLC apparatus is equipped with two  
197 detectors: Waters IR detector 2414 (210 nm) to identify the carbohydrates and their  
198 derived products and Waters dual  $\lambda$  absorbance detector 2487 at 254 nm to identify the  
199 5-hydroxymethylfurfural.

200 The raw materials and the solid obtained after the fractionation-hydrolysis were  
201 analyzed by Fourier transform infrared spectroscopy (FTIR) and scanning electron  
202 microscopy (SEM). The FTIR analysis were carried out in a Bruker Tensor 27 spectrometer.  
203 The analyzed region was between 4000-400  $\text{cm}^{-1}$  with a resolution of 4  $\text{cm}^{-1}$  and 32 scans  
204 was recorded. The surface morphology of these samples was determined by SEM JSM-  
205 820 (Joel). A gold evaporator Balzers SCD003 with a gold thickness 25-30 nm was used.

206 The accelerating voltage was 20 kV. The samples were placed under high vacuum  
207 conditions.

#### 208 2.2.4. Determination of reaction time

209 Two reaction times were calculated in the semicontinuous system: a solid and a  
210 liquid reaction time. The solid reaction time was always defined as 64 min. This is the time  
211 that the insolubilized fraction of the raw material remains inside the reactor. The liquid  
212 reaction time depends on the flowrate and the mass inside of the reactor (variable value).  
213 The liquid reaction time was calculated as the average between the initial and final  
214 reaction time determined by equation 10 and 11 respectively.

$$215 \quad LRT_i = [VR - (m_i / \text{density})] / f \quad (10)$$

$$216 \quad LRT_f = [VR - (m_f / \text{density})] / f \quad (11)$$

217 Where  $LRT_i$  is initial liquid residence time in min; VR is the volume of the reactor in  $m^3$ ;  $m_i$   
218 is the initial solid inside of the reactor in Kg; density is the density of the material inside  
219 of the reactor in  $Kg \cdot m^3$ ; f is the flow in  $ml \cdot min^{-1}$ ;  $LRT_f$  is final liquid residence time in min;  
220  $m_f$  is the solid inside of the reactor after the solid residence time in Kg.

#### 221 2.3. Heat integration

222 A simplified energy balance to know the recovery energy and the value of overall  
223 heat transfer coefficient (U) were calculated. The heat integration was achieved by  
224 installing a heat exchanger between water inlet and product outlet.

225 The overall heat transfer coefficient is calculated as shown in equation 12:

$$226 \quad U = \frac{Q}{A * \Delta T_{lm}} \quad (12)$$

227 Where U is overall heat transfer coefficient ( $W \cdot ^\circ C \cdot m^2$ ), Q is heat load (W), A is internal  
228 exchanger area ( $m^2$ ),  $\Delta T_{lm}$  is logarithmic mean temperature difference ( $^\circ C$ ).

229 The efficiency of a counter flow heat exchanger is calculated as shown in equation  
230 13:

$$231 \quad \eta = \frac{Q}{Q_{\max}} \quad (13)$$

232 Where  $\eta$  is the efficiency of the system and  $Q_{\max}$  (W) is the maximum heat load obtained.

#### 233 2.4. Experimental setup and operation

234 The process configuration is shown in the Figure 1. The hydrothermal process was  
235 carried out in a semi-continuous reactor. The system essentially consists of a pump  
236 feeding system (PU-2080 model), a preheater (E-01, 200 cm of 1/8" AISI 316 piping), a  
237 reactor (R-01, 38 cm length, 1/2" O.D. SS316 piping), an oven (HP5680 model) and two  
238 heat exchangers (E-02, 15 cm of concentric tube heat exchanger 1/4"-3/8"  
239 countercurrent operation and E-03, 70 cm of concentric tube heat exchanger 1/4"-3/8"  
240 countercurrent operation). The pressure of the system was controlled using a go-back  
241 pressure valve (BPV-01).

242 The reactor was charged approximately with 5 gr of dried trees sawdust. The  
243 particle size was between 3 mm and 6 mm. Two filters were placed at the top and the  
244 bottom of the reactor to avoid the loss of raw material during the assays. The heat  
245 exchanger E-01 was used to pre-heat the flow and the heater E-02 was used to get the  
246 water stream at the desired temperature. The working temperature was 250 $^\circ C$ , the  
247 process time was 64 min, the flow was 10 ml/min and the pressure was fixed to 10 MPa.

248 Liquid samples were taken every 10 min. After the process time, the pump was set to  
249 zero flow and the system was cooled down back to room temperature and depressurized.  
250 The solid inside the reactor was analyzed as well as the liquid samples.

### 251 **3. Results and Discussion**

252 Different species of urban trees were hydrothermally treated in a fixed bed  
253 reactor using water as solvent. The goal of these experiments was the extraction and  
254 hydrolysis of the cellulosic and hemicellulosic sugars of biomass. The used solvent, hot  
255 pressurized water, was able to extract and hydrolyze hemicellulose and cellulose while  
256 most of the lignin fraction remained insolubilized. So, after hydrothermal treatment of  
257 plant biomass two main products were obtained: a liquid and a solid product. These two  
258 products can be obtained from hydrothermal treatment at temperatures between 150°C  
259 and 400°C and pressures between 10 and 25 MPa independently of the operation way  
260 (batch, semi-batch or continue) (Cantero et al., 2015). The reaction time is closely related  
261 with the treatment temperature. Generally, the reaction times for hydrothermal  
262 treatment at mild conditions (250°C) range between 30 min and 90 min. However, the  
263 reaction time is drastically decreased when the hydrolysis temperature is close to the  
264 critical point of water. In those situations, the use of continuous reactors is mandatory to  
265 set reaction times lower than minutes, which will avoid degradation reactions (Cantero  
266 et al., 2015). In this work, the fractionation of different plant biomass was carried out at  
267 250°C in a semi-continuous reactor. This temperature was selected from previous studies  
268 because it was the optimum for extracting the maximum amount of carbohydrates (Yedro  
269 et al., 2014). The pressure was fixed to 10 MPa for all the experiments in order to ensure  
270 a liquid phase extraction and hydrolysis. After 64 min of hydrothermal treatment, it was

271 observed that most of the cellulose and hemicellulose have been extracted, so, the  
272 reaction time of the raw material inside the reactor was set to 64 min. It should be taken  
273 into account that 2 different reaction times were defined for a fixed bed reactor as  
274 explained in section 2.2.4. The liquid flowing through the reactor has a residence time  
275 that is a function of the reactor volume and the flow (reaction time liquid). Although high  
276 solid reaction times are desired in order to extract most of the hemicellulose and  
277 cellulose fraction, the liquid reaction time should be as low as possible to avoid sugars  
278 degradation. Depending on the reaction time of these sugars in the reactor, considerable  
279 amount of degradation products can be produced. The addition of small amount of  
280 NaOH can be prevented the formation of undesirable degradation products recovering a  
281 major amount of hemicelluloses (Li et al., 2014), however in this work any mineral acids  
282 or bases were added to avoid the formation of byproducts from mineral acid  
283 neutralization and to do the process environmentally friendly using water as solvent . In  
284 the experimental system used in this work, the liquid reaction time was between 3 and  
285 3.6 min considering the variations in the porosity of the reactor.

### 286 **3.1. Raw materials characterization**

287 The content of lignin, cellulose, hemicellulose, ash and extractives for each urban tree  
288 were analyzed in duplicate samples following the protocol described in section 2.2.2. The  
289 content of lignin was calculated as the sum of acid soluble and insoluble lignin. After the  
290 hydrolysis, the content of hemicellulose was calculated as the sum of xylose, arabinose,  
291 galactose, mannose and acetic acid in the liquid hydrolysate. In the same way, the  
292 concentration of cellulose was determined as the sum of glucose, fructose and cellobiose.  
293 In Figure 2 it is shown the composition of the woody raw materials used in this study. The

294 lignin content was between 21.6 and 39.1 %wt. The maximum amount of acid soluble  
295 lignin was 2.14% wt. indicating that the lignin content is due mainly to the presence of  
296 acid insoluble lignin. It can be seen that Linden contained the lowest amount of lignin  
297 while Cedar the highest amount of lignin. The amount of hemicellulose was similar for all  
298 urban trees analyzed. These values were between 20.0% wt. (Almond) and 25.5% wt.  
299 (Pine). On the other hand, the content of cellulose was variable depending on the raw  
300 material. The content of cellulose was between 21.7% wt. (Almond) and 45.2 % wt.  
301 (Eucalyptus). A low content of ash and extractives was observed for all the analyzed raw  
302 materials. The ash content was lower than 1% wt. for all the studied raw materials and  
303 the amount of extractives varied from 0.6 % wt. (Plane) to 5.1% wt. (Linden).

### 304 **3.2. Product distribution**

305 In Figure 3 it is shown the removal percentage of hemicellulose, cellulose, derived  
306 products and lignin from raw material as well as the solid residue obtained after the  
307 hydrothermal treatment. The hemicellulose and cellulose yields were calculated as it was  
308 explained in section 2.2.1. The main compound produced from sugars hydrolysis were  
309 glyceraldehyde, glycolaldehyde, 5-HMF, formic acid, levulinic acid and acetic acid. Also,  
310 lactic acid was observed in the liquid sample from the hydrolysis of Almond wood. The  
311 solubilized lignin was calculated as the difference between the content of lignin in raw  
312 material and the content of lignin in the solid residue.

313 The mass balance ranged from 0.75 to 1 g/g, however, most of the experiments  
314 gave a mass balance higher than 0.8 g/g. These values were acceptable considering the  
315 small amount of solid used as raw material. The main loss of material can be attributed  
316 to: filling and emptying of the reactor and the difficulty to identify hemicellulose and

317 cellulose derived products by HPLC. Also, it should be taken into account that 8 samples  
318 were taken in each experiment to represent a 64 min experiment. So, an uncertainty  
319 should be added to the calculation of the accumulative yield of cellulose and  
320 hemicellulose.

321 The sum of hemicellulose and solubilized lignin yields was similar for all the  
322 experiments, representing about 0.3 g/g initial biomass. The studied urban trees  
323 exhibited a similar tendency: the presence of high amount of hydrolyzed lignin together  
324 with a low amount of hydrolyzed hemicellulose (Mok & Antal, 1992). The cellulosic sugars  
325 collected after the hydrothermal treatment ranged from 0.11 to 0.28 g/g initial biomass.  
326 The amount of derived products was between 0.10 and 0.27 g/g initial biomass. The  
327 content of acetic acid was higher in hardwood than softwood species as it was also  
328 observed in literature (Du et al., 2010).

### 329 **3.3. Liquid Products**

330 The sampling of the liquids products started just before the pumping was started.  
331 The samples taken from the reactor outlet were subjected to many analysis as explained  
332 in section 2.2.1. One of the easiest ways to follow the fractionation of plant biomass is  
333 the measurement of the pH. In Figure 4 it can be seen the pH values of the liquid samples  
334 in function of the solid reaction time for the 9 analyzed urban trees. First, the pH values  
335 for Eucalyptus globulus were on-line measured every 1 min. From that measurements, it  
336 can be observed that the pH shows 3 different behaviors along solid reaction time. First  
337 the pH was increased, then it was reduced drastically and finally the pH is increased softly.  
338 The values of pH for Eucalyptus fractionation were used to decide the sampling time. The  
339 first sample was taken at zero time, then the second sample was taken around the pH



340 peak (4 min). The third sample was taken after the minimum pH is achieved (14 min).  
341 Finally, five samples were taken every 10 min in the last part of the experiment. In this  
342 way, the analytical and time expenditures were reduced. The pH started at about 5.5  
343 corresponding to the distilled water value. The increase in the pH ( $0 \text{ min} < t < 4 \text{ min}$ ) from  
344 5.5 (distilled water value) to almost 6 can be attributed to the extraction of ash, which  
345 will increase the basicity of the medium. In order to test it, an experiment was run in  
346 which the pumping and heating were stopped after 4 min of treatment. The purpose of  
347 this experiment was to determine the composition of the solid inside the reactor.  
348 Fortunately, it was observed that 40% wt. of the biomass ashes were extracted in the first  
349 4 min suggesting that the pH increment in the first minutes of the treatment takes place  
350 due to the ash solubility. The decrease in pH from  $t=4 \text{ min}$  to  $t=10 \text{ min}$  is due to the  
351 release of acetyl groups linked to hemicellulose during the hydrolysis. The release of  
352 acetic acid generate a decrease of the pH (Liu, 2010) and acts as a catalyst in the  
353 polysaccharide hydrolysis and degradation of carbohydrates because of the presence of  
354 hydronium ions (Kumar et al., 2011). The last behavior of pH was detected from  $t= 10$   
355 min to  $t= 64 \text{ min}$ . In that stage of the hydrolysis, the pH value was slightly increase from  
356 3.3 to 3.7. This effect can be attributed to a dual effect: (1) an increase because the  
357 hydrolysis product concentration decreases along time, so the pH should increase to  
358 reach the distilled water value and (2), production of degradation products (Sasaki et al.,  
359 1998) such as 5-hydroxymethylfurfural (5-HMF), formic (da Silva et al., 2013), furfural,  
360 uronic acid (Li et al., 2014), which will maintain the pH low. This behavior was observed  
361 for all the experimented cases. As shown in Figure 3, the minimum pH values for the 9  
362 studied species were between 3.2 and 3.6 at around 10 min of hydrothermal treatment.  
363 The variability in the minimum pH value and the extraction time which the pH was

364 minimum can be related with the biomass structure and the content of solubilized lignin  
365 in the liquid samples (Mok & Antal, 1992). The final pH values were between 3.7 and 3.9.  
366 These values were lower compared to the range 4-7 recommended for other researchers  
367 to prevent the formation of derived products (Kumar et al., 2011).

368         The liquid products were composed of oligosaccharides and saccharides from  
369 hemicellulose and cellulose and also their derived products. The concentration of these  
370 components after the hydrothermal process depends strongly on two parameters: the  
371 liquid reaction time and temperature. At 180°C the hemicellulose is hydrolyzed and some  
372 lignin is removed at about 200°C (Bobleter, 1994). At high temperatures, the kinetics of  
373 hemicellulose and cellulose hydrolysis are fast, which is desirable to reduce the reactors  
374 volume. However, if the reaction time is high a big amount of derived products will be  
375 produced (Cantero et al., 2015). The choice of operational conditions is important to  
376 avoid the formation of undesirable products.

377         The hemicellulose recovery was plotted along solid reaction time in Figure 5-A. It  
378 can be observed that the hemicellulose recovery was quite different depending on the  
379 starting biomass. The recovery yield varied from 0.28 to 0.79 g/g hemicellulose in raw  
380 material. The main component of hemicellulose was xylose and it can be used as indicator  
381 to follow the hydrolysis of this fraction. The maximum extraction of hemicellulose was  
382 observed around 20 min of solid reaction time. An increase of the process time favored  
383 the formation of undesired products. The cellulose recovery was plotted along solid  
384 reaction time in Figure 5-B. As it was observed for hemicellulose, the cellulose recovery  
385 was highly dependent of the initial raw material, ranging the yield from 0.36 to 1.00 g/g  
386 cellulose in raw material. The process time was not enough to get the maximum

387 extraction value of cellulose indicating that the complete hydrolysis of celluloses was not  
388 achieved for 64 min in most of the experiments.

389         The hemicellulose and cellulose have chemical structures and composition very  
390 different. Hemicellulose polymerization of its compositional saccharides takes place in a  
391 branch way and the hemicellulose has low degree of polymerization compared with  
392 cellulose (Liu et al., 2012). For cellulose, the polymerization of glucose take place in a  
393 linear way allowing the fibers of cellulose to interact between them forming crystals  
394 (Cantero et al., 2015). This difference makes hemicellulose a more accessible polymer for  
395 the hydrolysis process. So, it was expected a different behavior under the hydrothermal  
396 treatment. This can be one of the main reasons because of cellulose needs more  
397 time/temperature than hemicellulose to be completely hydrolyzed. In fact, the  
398 hemicellulose recovery yield (Figure 5-A) and the cellulose recovery yield (Figure 5-B)  
399 follow different behaviors.

400         The hydrolysis of hemicellulose and cellulose seems to be governed by two  
401 parameters: the kinetic of hydrolysis and the accessibility of the polymer. The maximum  
402 yield of hemicellulose for each specie was achieved at the same solid reaction time,  
403 around 20 min. This suggests that the kinetic of hemicellulose hydrolysis was the same  
404 for the different studied species. However, the maximum recovery yield varied a lot  
405 depending in the treated biomass. This suggests that the availability of the hemicellulose  
406 fraction in the raw material was different for each biomass. As it was analyzed for  
407 hemicellulose, the maximum values of cellulose recovery yield would be affected by the  
408 accessibility of cellulose in the raw material. Therefore, the hydrolysis of hemicellulose

409 and cellulose will be affected by the composition of the raw material and the distribution of  
410 the cellulose and hemicellulose fractions in the biomass.

411 As it can be seen in Figure 5, the hydrolysis of hemicellulose and cellulose depends  
412 on the treated raw material. In order to evaluate the interaction of the biomass polymers  
413 (hemicellulose, cellulose and lignin), the extraction yield of hemicellulose and cellulose  
414 was analyzed in function of the lignin content in biomass. So, the final amount of  
415 hemicellulose and cellulose extracted against the lignin content in the raw material was  
416 plotted in Figure 6-A and 6-B respectively. The extraction of hemicellulose showed to be  
417 improved when the lignin content of the biomass was reduced. In spite of one  
418 experimental point (Holm Oak), which did not follow the trend, a general tendency was  
419 found for the extraction of hemicellulose (Figure 6-A). A low lignin content may suggest  
420 a big hemicellulose accessibility. However, the extraction of cellulose was not influenced  
421 by the lignin content of the raw material. In Figure 6-B, it can be seen that the recovery  
422 of cellulose yielded  $60 \pm 10$  % wt independently of the used biomass. Following the  
423 analysis of these results, it can be concluded that the low content of lignin makes more  
424 accessible the hemicellulose fraction. Even, it can be thought that hemicellulose is mainly  
425 placed around/between three dimensional lignin structures. On the other hand, the  
426 cellulose fractions seem to be embedded inside the lignin matrix making cellulose  
427 hydrolysis independent of lignin content.

428 The carbohydrates produced from cellulose and hemicellulose hydrolysis can  
429 follow mainly two different reaction pathways: dehydration or retro-aldol condensation.  
430 The formation of 5-HMF is due to a dehydration reaction while that the formation of  
431 glycolaldehyde is due to a retro-aldol condensation reaction (Cantero et al., 2015). The

432 5-HMF is a degradation product from hexose sugars that could act as inhibitor during the  
433 fermentation of sugars to produce ethanol (Kumar et al., 2011). In those cases, it is  
434 necessary a previous detoxification step, which increase the fermentation cost at  
435 industrial scale to obtain this alcohol (Li et al., 2014). After the hydrothermal treatment  
436 of the biomass, 5-HMF and glycolaldehyde were observed in the liquid samples (see  
437 Figure S.1 in Supplementary Information). The yield of 5-HMF was lower than 0.05 gr/gr  
438 biomass and it was below the threshold limit of fermentation inhibitory level (Gong et al.,  
439 1999). The content of glycolaldehyde at 64 min was lower than 0.06 gr/gr biomass.

#### 440 **3.4. Solid Products**

441 The content of solid residue after hydrolysis process varied from 0.10 to 0.32 gr/gr  
442 initial biomass (see Table 1) and the color of these solids was completely black. These  
443 values were lower than the initial content of lignin in the raw material indicating that  
444 some lignin was hydrolyzed. The structure of lignin could be composed of an amorphous  
445 and crystalline regions; the amorphous region would be easily hydrolysable while that  
446 the crystalline region would be more resistant to attack by water molecules (Kumar &  
447 Gupta, 2009). The solid residue was analyzed for carbohydrates, lignin and ash content.  
448 The ash content was not detected. The carbohydrates were the most common  
449 contaminant in the solid (Fengel & Wegener, 1983). The lignin content was between 0.41  
450 and 0.92 gr/gr biomass being the main component in the solid product. These values  
451 corresponded to Linden and Pine respectively. The content of lignin in raw material was  
452 higher in Pine than in Linden. The difference in the quality of solid (measured as lignin  
453 content) can be due to the lignin component is more resistant to thermal degradation.

454 In the Figure S.2 (in Supplementary Information) it can be seen the structural  
455 characterization by FTIR of Cedar and Linden before and after hydrothermal process. The  
456 aromatic skeleton vibration can be seen at 1610 and 1460  $\text{cm}^{-1}$  while the vibrations at  
457 1135  $\text{cm}^{-1}$  represents the aromatic C-H for syringyl type. These bands were observed in  
458 the raw material and the solid product suggesting that the aromaticity properties  
459 remained after the hydrolysis process (Zhang et al., 2010). At 1735  $\text{cm}^{-1}$  it can be observed  
460 the linkage between hemicellulose and lignin (Thangavelu et al., 2014). This band was  
461 observed in the two raw materials as well as in the Linden solid product but not in the  
462 Cedar solid product suggesting that the linkage was broken. This agree with the results  
463 presented before, where the presence of low amount of hydrolyzed lignin increases the  
464 hydrolysis of hemicelluloses. The results proved that the solid residue was composed of  
465 the aromatics groups as it was discussed earlier (see Table 1). The peak at 765  $\text{cm}^{-1}$   
466 corresponds to presence of polysaccharide (Thangavelu et al., 2014) and it was observed  
467 in all samples indicating the presence of carbohydrates in the raw material as well as in  
468 the solid residue. This agree with the results showed in the Table 1.

### 469 **3.5. Heat integration and recovery energy**

470 The use of high temperatures and pressures in this process leads to the realistic  
471 solutions to make the process more economically and energetically efficient. For this  
472 reason, the use of a heat exchanger recovering energy was installed (E-01, Figure 1). The  
473 reaction temperature was, in all cases, at 250°C and the flow was 10 mL/min. The overall  
474 heat transfer coefficient ( $U$ ) was 1674  $\text{W}\cdot\text{m}^{-2}\cdot^{\circ}\text{C}^{-1}$  and the heat recovery was 95.6%. The  
475 high heat recovery is an important point to industrial scale, due practically any demand  
476 of heat the system is required. The second heat exchanger (E-03, Figure 1) was not used  
477 because the temperature of outlet stream was between 35 and 40°C.

478 Renmatix has shown that using the supercritical hydrolysis technology is possible to  
479 produce carbohydrates from biomass making this process economically viable (Colakyan,  
480 2012). In the same way, an economic analysis (nine options) was carried out in the  
481 ethanol production from surplus lignocellulosic biomass as raw material (Dias et al.,  
482 2011). The authors concluded that the integration of two process: first and second  
483 production decreased the ethanol production costs.

#### 484 **4. Conclusions**

485 The hydrolysis of carbohydrates using a hydrothermal medium at 250°C, at 10 mL/min  
486 for 64 min was studied. The hemicelluloses and cellulose yield varied from 0.28 to 0.79  
487 gr/grhemicellulose and 0.36 to 1 g/grcellulose, respectively. The hydrolysis kinetic of  
488 hemicelluloses was independent of biomass used while that the accessibility to hydrolyze  
489 carbohydrates depended on biomass suggesting that the distribution of carbohydrates  
490 inside of matrix-biomass depended on the raw material used. Finally, the hydrolysis of  
491 hemicelluloses can be improved if the lignin content in the raw material is reduced  
492 suggesting that the accessibility of hemicelluloses depended on the low lignin content.  
493 Contrary, the hydrolysis of celluloses was not affected by this parameter.

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- 603

604 **Table and Figure Captions**

605 **Table 1.** Amount and characterization of solid obtained after the hydrothermal process.

606 **Figure 1.** Schema of the hydrolysis process. Equipment: D-01 Feeder, P-01 Pump, E-01

607 Heat exchanger, E-02 Preheater, R-01 Reactor, H-01 oven, E-03 Heat exchanger, BPV-01

608 Go-backpressure valve, D-02 Liquid sampling vessel.

609 **Figure 2.** Chemical composition of the raw materials.

610 **Figure 3.** Material balance for hydrothermally urban trees.

611 **Figure 4.** Behavior of pH during wood autohydrolysis process.

612 **Figure 5.** Yield of hemicelluloses (A) and celluloses (B) recovered after hydrolysis process

613 at 250°C and 64 min.

614 **Figure 6.** Yield of hemicelluloses (A) and celluloses extracted (B) after the hydrolysis

615 process using nine species of urban trees at 250°C.

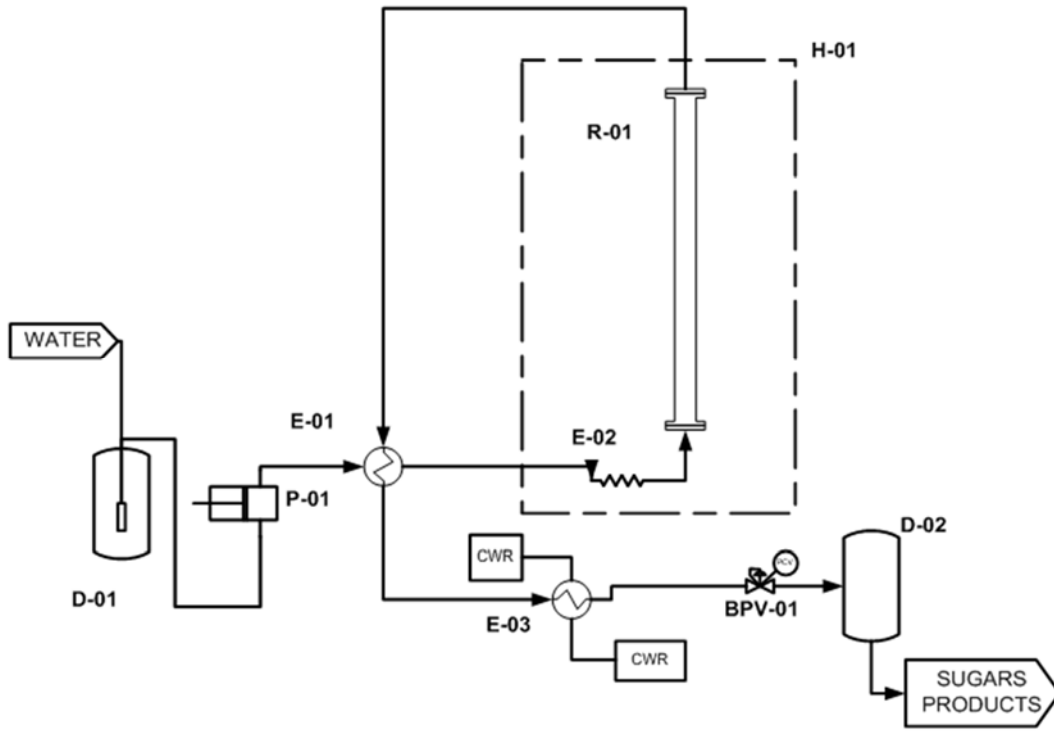
616 Table 1.

Biomass	Solid after hydrolysis (gr/gr biomass)	Lignin content (gr/gr biomass)
Linden	0,25	0,41
Plane	0,14	0,63
Eucalyptus	0,11	0,56
Catalpa	0,10	0,69
Holm Oak	0,32	0,32
Maple	0,15	0,56
Almond	0,19	0,63
Pine	0,17	0,92
Cedar	0,11	0,78

617

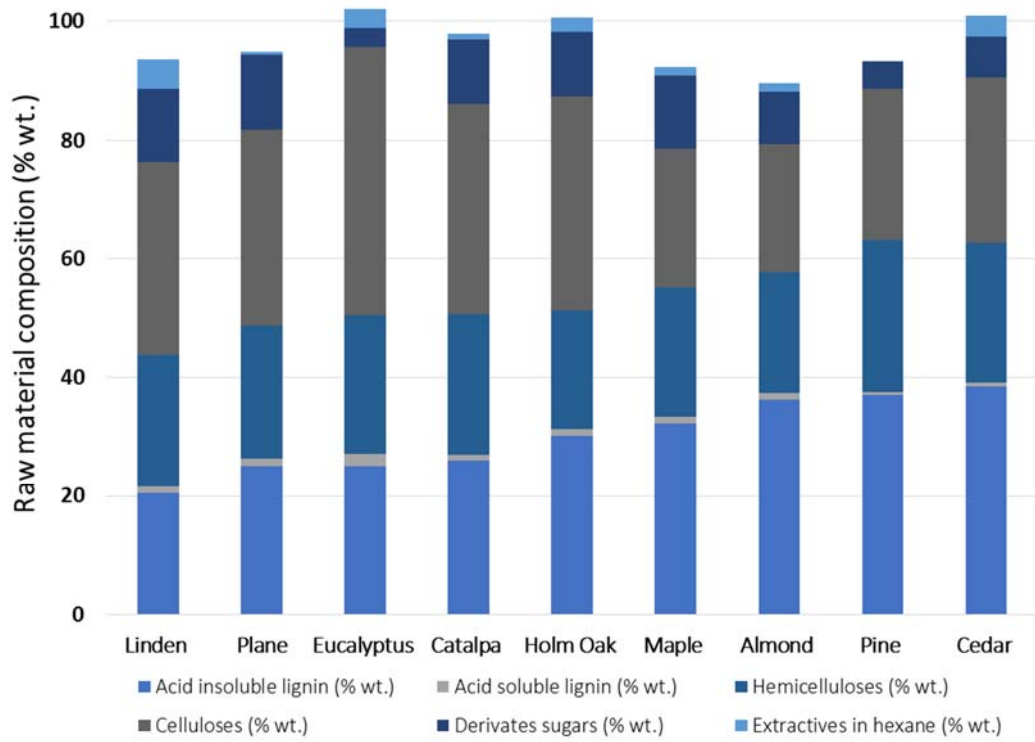
618

619 Figure 1.



620

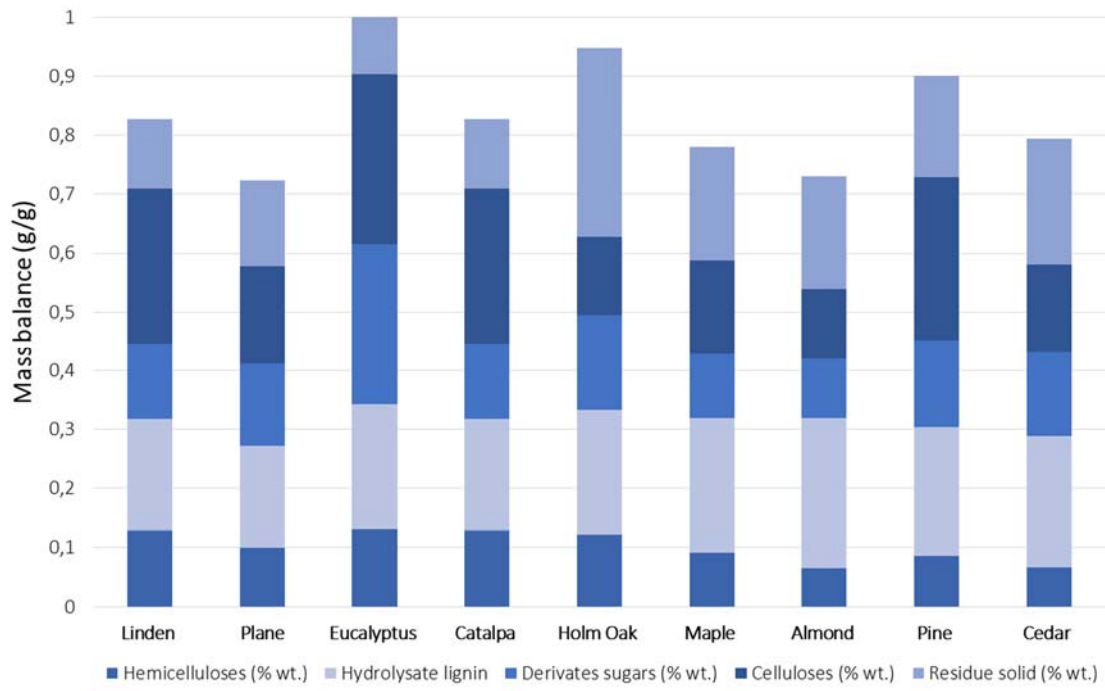
621 Figure 2.



622



623 Figure 3.

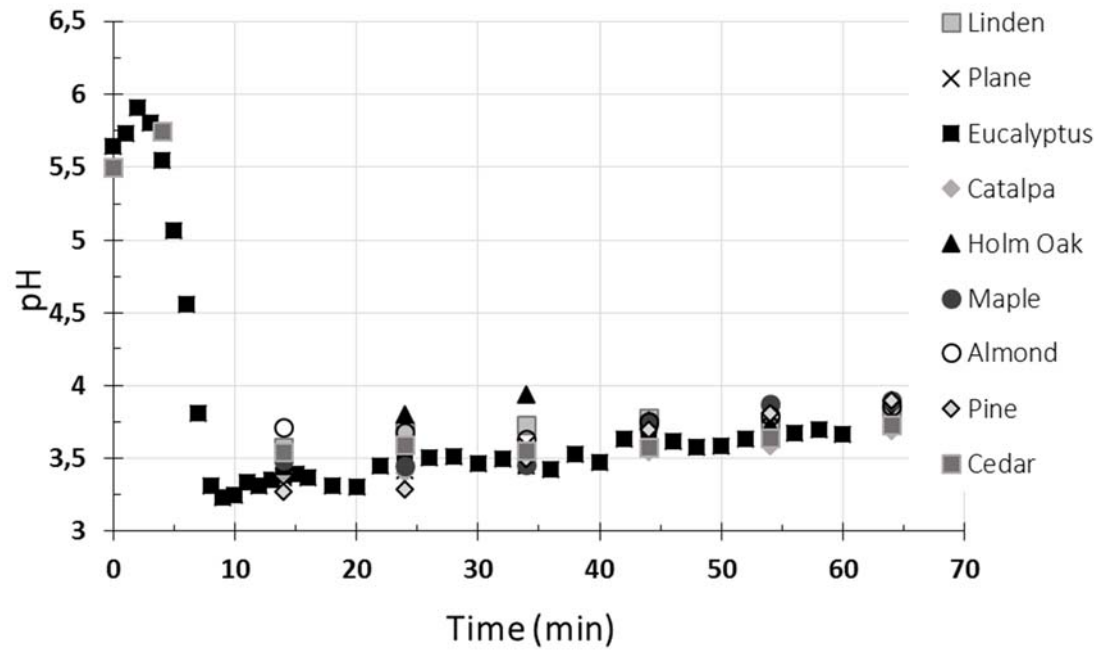


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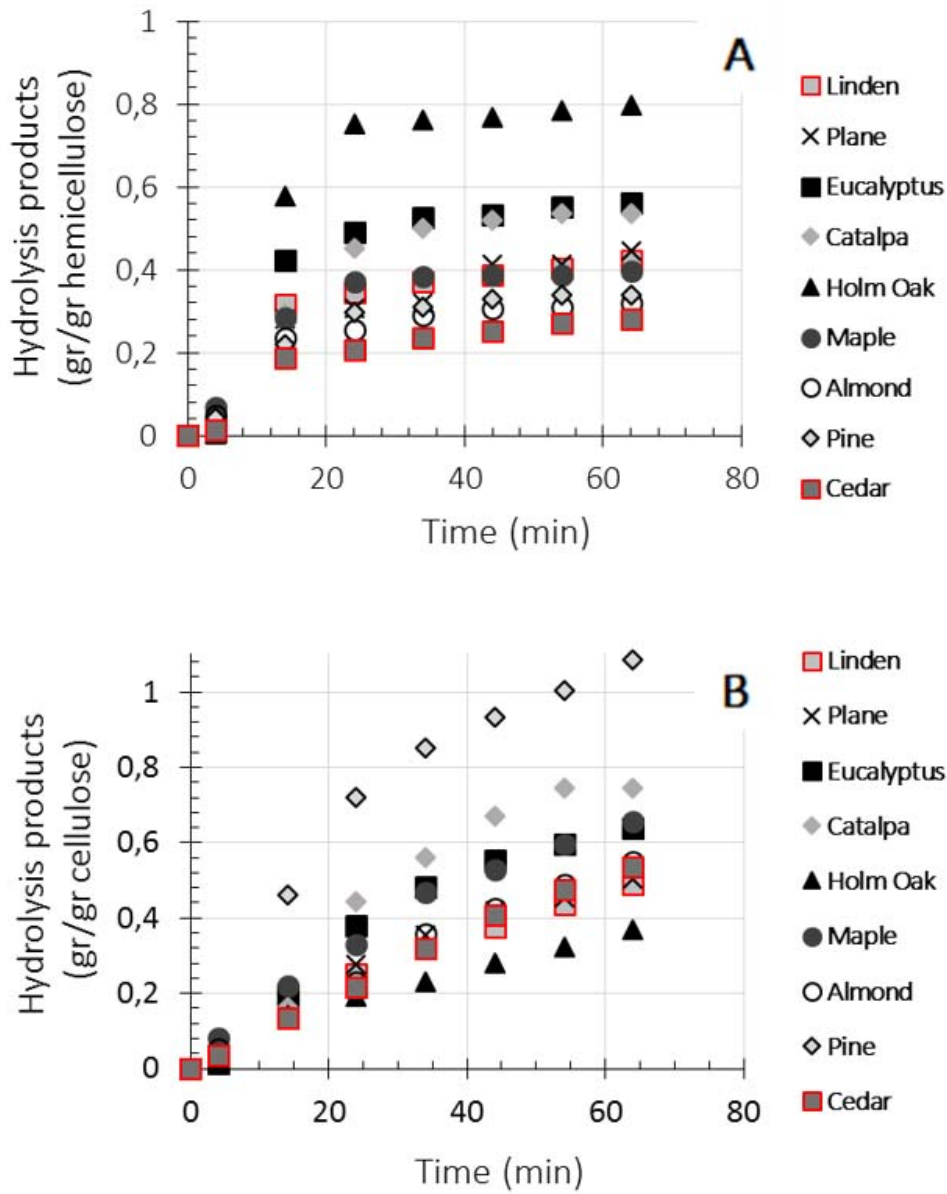
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Figure 4.

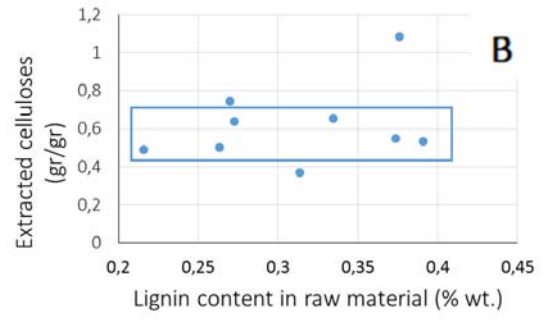
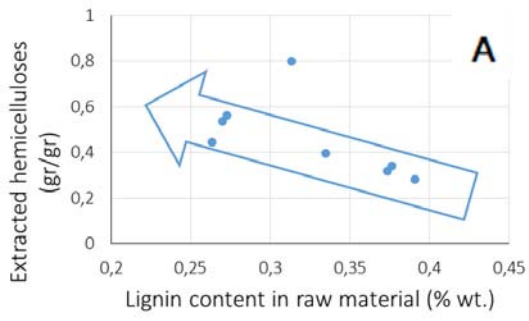


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628 Figure 5.



630 Figure 6.



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