

Hafnium Oxide/Graphene/Hafnium Oxide-Stacked Nanostructures as Resistive Switching Media

Tauno Kahro,* Aivar Tarre, Tanel Käämbre, Helle-Mai Piirsoo, Jekaterina Kozlova, Peeter Ritslaid, Arne Kasikov, Taivo Jõgiaas, Guillermo Vinuesa, Salvador Dueñas, Helena Castán, Aile Tamm, and Kaupo Kukli



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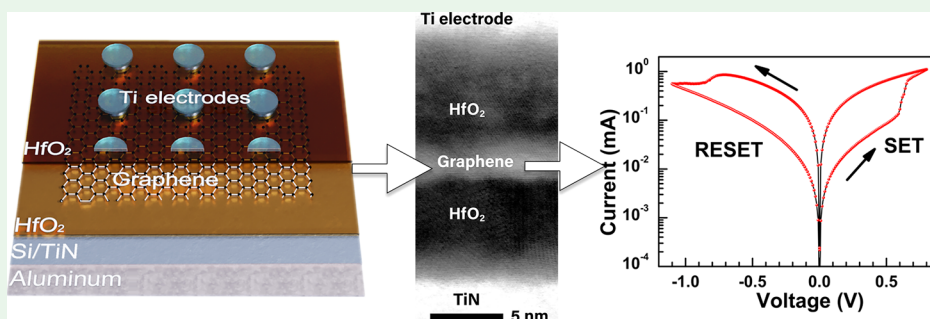


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ABSTRACT: Thin HfO₂ films were grown by atomic layer deposition on chemical vapor-deposited large-area graphene. The graphene was transferred, prior to the deposition of the HfO₂ overlayer, to the HfO₂ bottom dielectric layer pregrown on the Si/TiN substrate. Either HfCl₄ or Hf[N(CH₃)(C₂H₅)₄] was used as the metal precursor for the bottom layer. The O₂ plasma-assisted process was applied for growing HfO₂ from Hf[N(CH₃)(C₂H₅)₄] also on the top of graphene. To improve graphene transfer, the effects of the surface pretreatments of the as-grown and aged Si/TiN/HfO₂ substrates were studied and compared. The graphene layer retained its integrity after the plasma processes. Studies on resistive switching on HfO₂-graphene-HfO₂ nanostructures revealed that the operational voltage ranges in the graphene-HfO₂ stacks were modified together with the ratios between high- and low-resistance states.

KEYWORDS: graphene, atomic layer deposition, hafnium oxide, stacked nanostructures, resistive switching

INTRODUCTION

Metal oxide–graphene-based memristor switches have emerged as attractive and promising devices due to the possibility to downscale both switchable medium thickness and effective electrode area, together with further integration of the memory matrices with flexible substrates.^{1,2} Graphene may be used as an interfacial barrier layer controlling ionic transport between metal oxide-based medium and the electrode metal. The latter was proposed in a study by Lee et al., conducted on Ta/graphene-Ta₂O₅ stacks.³ The performance models of resistively switching devices have been presented and schematized in numerous studies on material stacks constituting such devices, including those containing graphene.^{1–3} Graphene has also been used in HfO₂-based resistive switching memory stacks as a complementary conducting layer between HfO₂ and top metal electrodes,⁴ or, as an interesting alternative, in the form of the edge electrode in contact with HfO₂-based switching medium.¹ In the former study,⁴ graphene layers were mounted between the HfO₂ surface and top electrodes made of inert gold metal, in order to

provide an effective oxygen reservoir at the oxide–metal interface.

Graphene layers are most commonly formed using H₂-assisted pyrolysis of methane, CH₄, via high-temperature chemical vapor deposition (CVD) on catalytic metal (Cu and Ni) substrates and thereafter transferred to the functional insulator substrates. It is important to note that the transfer procedure of CVD-grown graphene is commonly carried out at room temperature, which allows one to rely on the safety of the procedure in terms of its possible influence on the structure and composition of substrate layers. At the same time, if another functional insulator layer is to be deposited from the

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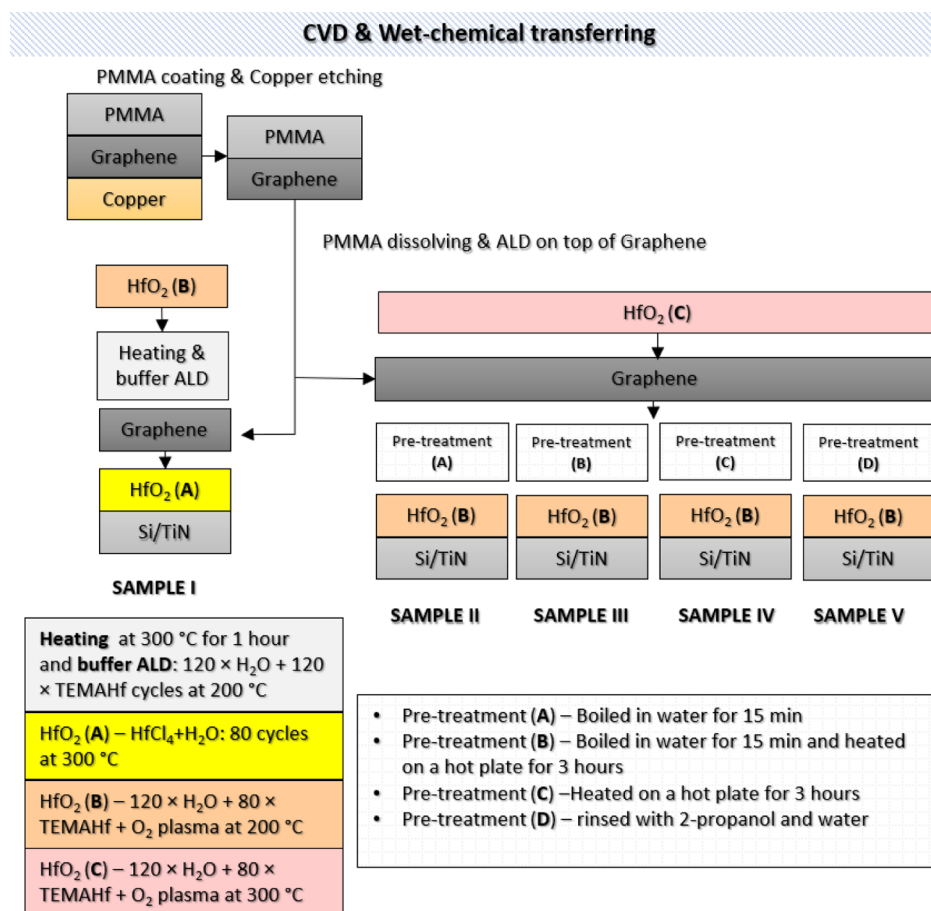


Figure 1. Schematic representation of the preparation process of the stacked nanostructures.

vapor phase on the transferred graphene, then one has to beware that the deposition process, which has often had to be carried out at elevated temperatures and using aggressive reactants, may, at first, distort or even destroy the integrity of the graphene layers. In this connection and if the goal is to build a functional device structure, such as a resistively switching cell, in consecutive processes demanding elevation of substrate temperatures and exploitation of, for example, plasma-assisted deposition, it would be imperatively necessary to thoroughly study the effect of such a sequence of processes on the quality of the transferred graphene.

Direct growth of graphene on, for example, metal oxide substrates remains a challenge, and advancing of wet chemical transfer processes is still in order. Feasible deposition of dense dielectric metal oxides on graphene has also been a well-known issue along with the development of graphene-based junctions. Application of various metal precursor chemistries, reactor parameters, seed layers, and different graphene functionalization procedures has been examined.^{2,5–8} Atomic layer deposition (ALD) has herewith been applied in the wafer-scale fabrication of HfO₂ gate dielectrics for graphene channel transistors, whereby the HfO₂ films were grown using Hf[N(CH₃)(C₂H₅)₂]₄ (TEMAHf) and H₂O as precursors at 200 °C.⁹ Later, it has been reported that HfO₂ films can be grown by ALD from TEMA Hf and H₂O even at 120 °C on the graphene transistor channel, providing better defined device performance compared, for example, to the ALD-grown TiO₂ and Al₂O₃ gate dielectrics.¹⁰

Application of TEMA Hf in the ALD process¹¹ could nowadays be regarded as a part of possibly the most feasible route to HfO₂ films on graphene.^{9,10,12–16} Other hafnium alkylamides such as Hf[N(CH₃)₂]₄^{17,18} are also of interest because application of alkylamide-based precursor chemistry allows one to exploit rather low substrate temperatures in the range of 100–200 °C. Low temperatures effectively assist in increasing the nucleation density of films on carbon substrates.¹⁴

Complementary procedures can be applied in order to create and increase the density of nucleation sites on otherwise inert graphene. Electron-beam irradiation has reported to be useful on the graphene surface prior to ALD of HfO₂ at 90 °C from Hf[N(CH₃)₂]₄.¹⁸ Ion-beam-assisted treatment has been examined as a procedure assisting in removal of PMMA residues after the graphene transfer procedures and before ALD of HfO₂ at 200 °C. Atmospheric oxygen plasma treatment of graphene has been described as a useful procedure for increasing the nucleation density of thin ZrO₂ films upon ALD at 150 °C from Zr[N(CH₃)(C₂H₅)₂]₄.¹⁹ The latter is worth noting because of the similarity between Zr and Hf precursor chemistries and the crystalline structure of ZrO₂ and HfO₂.

Carbon-free HfCl₄ can be exploited as the hafnium precursor, providing crystal growth in HfO₂ films in the deposition temperature range of 220–750 °C.²⁰ HfCl₄ is resistant to thermal decomposition and can thus be applied in a much wider substrate temperature range compared to the metal alkylamide precursors. The application of HfCl₄ for the

ALD of HfO₂ on the top of graphene has earlier required prefunctionalization of graphene by growing the first HfO₂ layers on graphene from HfCl₄ and H₂O at a temperature as low as 180 °C,²¹ that is, at substrate temperatures reduced below those otherwise considered as those optimized for the given precursor system. Later, another study has been carried out to comparatively investigate the growth of HfO₂ films on graphene by ALD from Hf[N(CH₃)(C₂H₅)₂]₄ and HfCl₄ at 250 °C.²² In the latter study, the structural and electrical properties of the oxide grown in chloride-based ALD were actually claimed as superior, compared to those evaluated after the alkylamide-based ALD. Nevertheless, it is worth noting that the exploitation of the TEMAHf precursor may also allow crystallization, that is, growth of HfO₂ with an ordered structure at temperatures as low as 250 °C, when deposited using O₂ plasma as the oxygen precursor.²³

HfO₂-graphene-HfO₂ nanostructures with graphene embedded between HfO₂ host layers have seldom been publicly described to date. Few studies have employed either graphene oxide nanodots²⁴ or graphene platelets (domains)²⁵ as electron traps inside the HfO₂ insulator dielectric films, in order to engineer charge trapping memory cells.

HfO₂ is an ionic metal oxide which inevitably contains its metastable polymorphs and oxygen vacancies in its as-deposited state. At the same time, HfO₂ essentially crystallizes as dioxide, instead of suboxides. Besides inherent chemical stability, ALD of HfO₂ has been scaled up well enough in terms of the selection of precursor chemistry, reactor design, and deposition temperatures for processes on noncarbon substrates. HfO₂ is also a material partially accommodated to contemporary nanoelectronics whereby hafnium alkylamides, such as TEMAHf, are prospective chemicals, generally providing dense nucleation and growth of uniform nanolayers. In regard with the application of plasma-assisted growth, the influence of plasma on graphene-based substrate structures is yet to be described in the case of the present precursor chemistry and pretreatments, which is also one of the goals of the present study.

The present study is devoted to the examination of the feasibility of graphene transfer routes from the catalyst copper substrate onto HfO₂ films grown by ALD in either HfCl₄ or Hf[N(CH₃)(C₂H₅)₂]₄-based process. The graphene layers were afterward embedded between HfO₂ films, whereby the HfO₂ layers covering the graphene were grown by ALD using Hf[N(CH₃)(C₂H₅)₂]₄ and O₂ plasma as precursors. Spectroscopic and microscopic studies were subsequently conducted in order to evaluate possible affection of the plasma-assisted ALD on graphene. The performance of the HfO₂-graphene-HfO₂ nanostructures as resistive switching media was evaluated as a functional application of the engineered stacks.

EXPERIMENTAL SECTION

Sample Preparation. Graphene was grown on commercial 25 μm-thick polycrystalline copper foil (99.5%, Alfa Aesar) in an in-house-built CVD reactor. The foil was annealed, prior to the graphene deposition, at 1000 °C in Ar/H₂ (99.999%, Linde Gas) flow for 60 min and then additionally exposed to the mixture of 10% CH₄ (99.999%, Linde Gas) in Ar at 1000 °C for 120 min. Then, the foil was cooled down in Ar flow.

The graphene was transferred from copper foils onto reference Si/SiO₂ and Si/TiN/HfO₂ substrates using a wet transfer process. Poly(methyl-methacrylate) (PMMA, with a molecular weight of ~996,000 g/mol, Sigma-Aldrich) was dissolved in chlorobenzene (Sigma-Aldrich) and then spin-coated onto one side of the graphene/

Cu/graphene structures with a thickness of around 120 nm, and the graphene on the uncoated side was removed by plasma treatment. The Cu foil was dissolved in 1 M (NH₄)₂S₂O₈ solution overnight. To remove the residual etchant, the floating PMMA/graphene film was soaked several times in deionized water baths and transferred onto differently treated substrates (Figure 1). The samples were dried in air for 20 h and then heated on a hot plate at 100 °C for 1 h to improve the contact between graphene and the substrate. The PMMA layer was removed by dissolving it in dichloromethane (Sigma-Aldrich). Finally, graphene samples were rinsed with 2-propanol.

For the deposition of HfO₂ films, two ALD routes were used, distinctive on the basis of the precursor chemistry. HfCl₄ and TEMAHf were applied as metal precursors. HfCl₄ was, in the present study, considered as a carbon-free precursor effectively providing growth of HfO₂ films together with crystallization. O₂ plasma was exploited as the oxygen precursor together with TEMAHf. For the deposition of the first HfO₂ layer directly on the bottom TiN electrode as for the substrate to the subsequently transferred graphene, both HfCl₄ and TEMAHf were used as the metal precursor in separate experiments (Figure 1), whereas for the growth of the HfO₂ film on the top of the graphene layer, only the TEMAHf-based process was exploited. In order to form the base stack structure as a substrate for the further transfer of graphene domains, the HfO₂ films were grown either at 300 °C, by applying 80 ALD cycles with HfCl₄ and H₂O as precursors (Figure 1, Sample I), or at 200 °C, by first applying 120 pulses of H₂O followed by 80 ALD cycles consisting of alternate TEMAHf and O₂ plasma pulses (Figure 1, Samples II–V).

HfO₂ films were deposited from HfCl₄ and H₂O in an in-house-built hot-wall flow-type ALD reactor.²⁶ ALD of HfO₂ from Hf[N(CH₃)(C₂H₅)₂]₄ and remote O₂ plasma was carried out in a commercial Picosun R-200 Advanced ALD system. The bottom electrode substrates exploited were pieces of Si wafers that had been coated with a conductive and crystalline 10 nm-thick TiN layer. TiN was grown by pulsed CVD using a batch TiCl₄/NH₃ process^{27,28} at temperatures of 450–500 °C in an ASM A412 Large Batch 300 mm reactor at Fraunhofer IPMS-CNT.

Different surface treatment procedures were applied before completion of the switching stack devices. The description of procedures together with their possible effects on structural quality of graphene and metal oxide layers will fully be described below in order to allow one to decide on the necessity to apply these upon construction of functional, switchable nanodevices.

The CVD-grown graphene transferred to an as-deposited ALD-HfO₂ tended to roll off, wrinkle, and break, not adhering to the metal oxide layer. It is worth noting that the transfer process of the graphene could be improved, resulting in an appreciably homogeneous and reliable adhesion of the graphene domains on the HfO₂ film surface. The adhesion was strengthened after storing the HfO₂-covered substrates under laboratory air conditions over several days, sealed against sticking of dust particles, but open to humidity. After storage of HfO₂ in an air environment over 160 h, approximately, the graphene transferred onto the HfO₂ surface was adhered appreciably, without delamination, as observed in the case of Sample I (Figure 1). The observation implied the need for controlled pretreatment of the as-deposited oxide surface. For this, various substrate treatments before graphene transfer can be found in the literature. For Si/SiO₂ substrates, to increase hydrophilicity (for instance, to increase the density of OH groups), HF dip or plasma treatment could be used.²⁹ On the other hand, different substrate treatments will create the number of charged defects and could have a negative effect of fabricated graphene devices (for instance, mobility of charge carriers could decrease).^{29,30} For ALD-grown dielectrics, plasma treatment may reactivate the surfaces of objects. Therefore, milder surface treatment techniques, such as water, alcohols, or heating prior to the graphene transfer procedure, could be used to replace too aggressive treatments, as also shown in Figure 1, Samples II–V.

The growth of HfO₂ films on the top of graphene also required pretreatment of the substrate surface, as noted above. The top HfO₂ layer was grown on transferred graphene using TEMAHf and O₂ plasma in all cases. For the graphene on HfO₂ grown from HfCl₄ and

237 H₂O (Sample I, Figure 1) prior to the growth of top HfO₂, the surface
238 of graphene was preheated at 300 °C for 1 h to clean its surface from
239 possible residues and then exposed to 120 sequential H₂O pulses
240 followed by 120 TEMAf pulses at 200 °C. The latter treatment was,
241 at first, applied in order to prepare the graphene substrate by covering
242 it by a disordered oxide layer, assumptionally providing fast nucleation
243 of the functional HfO₂ film. Additionally, the purpose of the treatment
244 was to protect the graphene against the possible damaging effect of O₂
245 plasma via pregrowth of a buffer layer by applying a water-assisted
246 procedure. All the samples were exposed to an additional sequence of
247 120 H₂O pulses before the growth of the top HfO₂ layer, in order to
248 ensure the preoxidation of the buffer layer and protection of graphene.
249 The HfO₂ film on the top was, thereafter, formed by applying 80
250 HfO₂ cycles consisting of a sequence of 0.3–4.0 to 15.0–4.0 s for
251 TEMAf pulse-purge-O₂ plasma pulse-purge, respectively, either at
252 200 °C (Sample I, Figure 1) or at 300 °C (Samples II–V, Figure 1).
253 Similar reference HfO₂ structures were prepared using two different
254 ALD chemistries but without graphene embedded in HfO₂.

255 **Characterization.** Structural characterization of graphene-based
256 nanostructures was performed using a micro-Raman spectroscopic
257 system Renishaw inVia at an excitation wavelength of 514 nm, that is,
258 the excitation energy was 2.4 eV. The spectral resolution reached,
259 approximately, 1.5–2 cm⁻¹. The Si reference was used for calibration.
260 The surface morphology of the graphene and HfO₂ films was
261 evaluated by high-resolution scanning electron microscopy (HR-
262 SEM; FEI Helios NanoLab 600). The focused ion beam (FIB; FEI
263 Nanolab 600 dual-beam (SEM-FIB) system) in situ lift-off technique
264 was used to prepare thin samples for high-resolution transmission
265 electron microscopy (HR-TEM) study. TEM analysis was performed
266 in the scanning mode (STEM) at 200 kV using a Cs-probe-corrected
267 transmission electron microscope (FEI Titan Themis 200). The
268 thickness and crystal structure of the deposited HfO₂ films were
269 evaluated by X-ray reflectometry and by grazing incidence X-ray
270 diffractometry (GIXRD), respectively, using Cu K α radiation
271 (SmartLab, Rigaku).

272 The X-ray photoelectron spectroscopy (XPS) spectra were
273 collected at normal emission using a Gammadata/Scienta SES100
274 hemispherical analyzer and a Thermo VG Scientific XR3E2
275 nonmonochromatized dual anode X-ray source (Al K α /Mg K α).
276 The analyzer energy scale calibration was checked against the 4f_{7/2}
277 line from cleaned gold foil at 84.0 eV binding energy. Relevant to
278 estimating elemental composition from XPS survey scans, the
279 constant (i.e., independent of photoelectron kinetic energy) analyzer
280 transmission function was checked against accessible core level lines
281 of clean Au, Ag, and Cu samples and additionally asserted by constant
282 magnification in spatial imaging (in the nonenergy-dispersive
283 direction) of a structured test sample through the electron optics
284 over the entire used kinetic energy range. The minor sample charging
285 was corrected for by adjusting the adventitious carbon (typically
286 present in ex situ-measured samples) C 1s peak to 284.8 eV. Spectral
287 components were fitted, and elemental content from survey spectra
288 was estimated using CasaXPS software,³¹ used for the quasi-metallic
289 graphene sp² component appropriate asymmetric lineshapes³² to
290 conservatively estimate the relative contributions from impurity
291 carbon species (sp³ carbon- and oxygen-bonded). The overlayer
292 graphene C 1s analysis was further checked and refined using the
293 SPANCF package.^{33,34}

294 Optical measurements of the graphene nanostructures were
295 performed on spectroscopic ellipsometer GES-SE (Semilab Co)
296 using a microspot option where light is focused on a film surface via a
297 telescope. The converging angle of a beam was about 4°, and the spot
298 size was about 0.35 × 0.8 mm for 65° angle of incidence. Fitting was
299 performed using the program SEA and Tauc-Lorentz dispersion
300 model. Fit quality was characterized using a correlation function
301 between the measured and computed spectra R².

302 For the electrical measurements, HfO₂-graphene-HfO₂ stack
303 structures were supplied with titanium electrode electron beam
304 evaporated to thicknesses of 100–110 nm through a shadow mask at
305 230 °C. Backside contact to the measurement circuitry was provided
306 by the thermally evaporated aluminum layer with a thickness of 100

nm at room temperature. Electrical measurements were carried out in
a probe station. The Ti electrodes used in the measurement had either
a diameter of 50 μm (area 0.002 mm²) or 250 μm with an area of 0.05
mm². The samples were electrically characterized in both dc and ac
regimes using a Keithley 4200 SCS semiconductor analyzer. The bias
voltage was applied to the top electrode, while the bottom electrode
was grounded. The forming procedure was carried out as a voltage
sweep with positive bias, and a current compliance in the order of
microamperes was applied to avoid irreversible breakdown of devices.
In general, the formation took place between 1.5 and 4 V. The
current–voltage curves were obtained by applying positive and
negative voltage sweeps, while the memory maps were measured by
applying increasing voltage pulses while maintaining a reading voltage
of 0.1 V.

RESULTS AND DISCUSSION

Morphology and Structure. According to SEM analysis, the
as-transferred graphene was appreciably uniform and the film
coverage was, as estimated, more than 95%. It should be
mentioned that for the as-grown HfO₂ dielectrics, only boiling
in deionized water and then heating in a hot plate improve
graphene transfer, whereas 2-propanol-rinsed samples showed
more breaks and cracks. Furthermore, graphene layers on aged,
that is, openly stored, ALD dielectrics became similar, in terms
of continuity, to those on HfO₂ in Sample I and implied no
clear dependence on the selected pretreatments.

In the image of transferred graphene on the Si/TiN/HfO₂
substrate (Figure 2a), one can see wrinkles, which may have
resulted from the transferring process. The structure and
morphology of the bottom HfO₂ dielectric layer were similar in
all cases regardless of the precursor used. The morphology

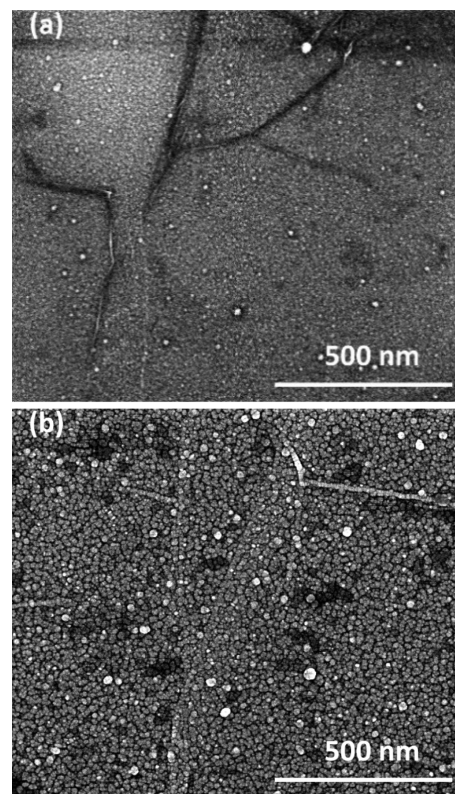
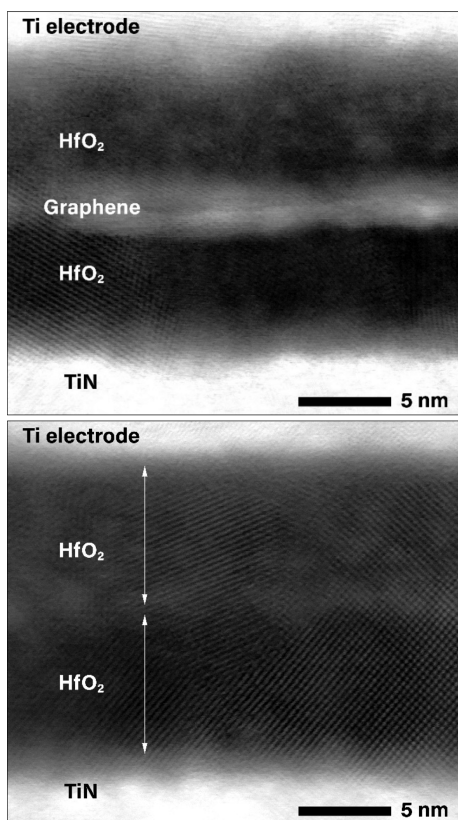


Figure 2. SEM images of (a) Si/TiN/HfO₂/graphene (Sample IV) before the top HfO₂ layer and (b) Si/TiN/HfO₂/graphene/HfO₂ nanostructures (Sample IV).

337 and/or the film coverage of top-layer HfO₂ on Si/TiN/HfO₂
338 and Si/TiN/HfO₂/graphene substrates was also uniform.

339 The surfaces were uniformly covered by grain-like features
340 with an average size of about 20 nm, as evaluated (with ImageJ
341 software) on the bird-eye SEM images. However, some darker
342 areas, possibly “valleys” on the top layer of HfO₂ grown on
343 graphene, can be seen in Figure 2b, which may be due to the
344 morphological effect, that is, adhesion can vary between
345 graphene and the Si/TiN/HfO₂ substrate.

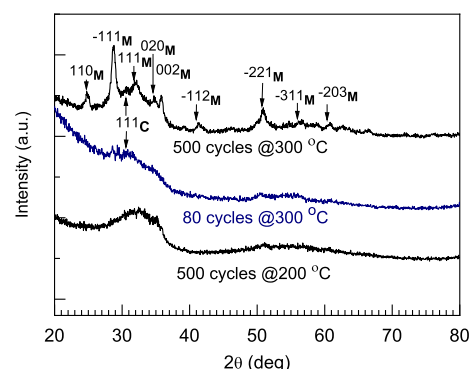
346 The HfO₂ films were grown to the thicknesses ranging from
347 7.5 to 9.0 nm on Si substrates, in accordance with the XRR
348 analysis. The thicknesses of HfO₂ films deposited both below
349 and on the top of the transferred graphene layers were 9 nm
350 (on Si/TiN substrates), as evaluated by spectroscopic
351 ellipsometry. Similar layer thicknesses were also seen in the
352 TEM images (Figure 3).



353 **Figure 3.** Cross-sectional high-resolution STEM bright-field image of
354 the Si/TiN/HfO₂/graphene/HfO₂/Ti-electrode (Sample I, top) and
355 Si/TiN/HfO₂/HfO₂/Ti-electrode (Sample I without graphene,
356 bottom) stack structures.

353 TEM measurements showed that the different layers from
354 the nanostructures are clearly distinguishable (Figure 3). The
355 result visually resembles that earlier observed in the case of
356 ZrO₂-graphene-ZrO₂ stacks³⁵ and in Ta-graphene-Ta₂O₅
357 stacks.³ The top layer of HfO₂ both on graphene and on the
358 bottom HfO₂ had a lower density as compared to the bottom
359 HfO₂ film on the Si/TiN substrate. However, the top layer
360 thickness was comparable to the bottom and both values were
361 in accordance with the results of XRR and ellipsometry.
362 Furthermore, the results showed that the HfO₂ films were at
363 least partially crystallized, that is, possessed certain short-range
364 ordering and nanocrystallinity.

The HfO₂ films grown on Si and Si/TiN substrate surfaces
365 were formed as strongly disordered, that is, layers containing
366 crystallites with a size of approximately 2 nm as estimated on
367 the basis of GIXRD results (Figure 4) The diffractograms of
368 f4



369 **Figure 4.** GIXRD patterns of HfO₂ films grown at different deposition
370 temperatures with 80 and 500 growth cycles, indicated by labels.
371 Miller indexes corresponding to the reflections of monoclinic (M)
372 and cubic (C) polymorphs of HfO₂ are also indicated by labels.

373 the HfO₂ films grown from both TEMAHf and HfCl₄
374 precursors were similar. The results indicated that the first
375 unambiguously identified reflections began to appear in the
376 diffractograms from the films grown at 250 °C (not shown)
377 and when using at least 500 growth cycles. In the latter,
378 reference, the film was grown to a thickness of 50 nm,
379 approximately, and the HfO₂ was crystallized in a dominantly
380 monoclinic phase (card 34-0104), as revealed by reflections of
381 moderate intensity but clearly apparent in the diffraction
382 pattern. In the case of 80 growth cycles as used in the present
383 work to deposit 7–9 nm-thick functional HfO₂ layers on TiN
384 and on graphene, the growth of nanocrystals in the reference
385 HfO₂ films was revealed only by weak and broad trace
386 reflections at 28 and 30.3°, referring to the formation of
387 metastable cubic polymorph of HfO₂ (card 96-900-9017) in
388 addition to the stable monoclinic phase. In the reference films
389 grown at 200 °C, implications of ordering became hardly
390 detectable in the diffraction patterns.

387 **Raman Spectroscopy.** The Raman spectra of graphene
388 transferred on the reference Si/SiO₂ substrate showed two
389 main bands at 1587 cm⁻¹ for G and at 2688 cm⁻¹ for 2D bands
390 (Figure 5). The positions of the bands slightly varied from
391 sample to sample; therefore, the average values of each band
392 position were used for further analysis. The 2D band had a
393 narrow Lorentzian shape, which is one of the characteristic
394 features of single-layer graphene.^{36,37} The defect-related D
395 band at 1350 cm⁻¹ and the D' band at 1620 cm⁻¹ were initially
396 absent in the Raman spectra, but they appeared after
397 deposition of the upper HfO₂ dielectric layer on graphene
398 either with or without “buffer ALD” treatments.

399 Positions of Raman bands characteristic of graphene varied
400 on differently treated substrates, Figure 5, right panel. This
401 shifting and widening of bands can be explained by strain³⁶ or
402 more likely doping by charge transfer between graphene and
403 the substrate,³⁸ which may be enhanced also by the inevitable
404 presence of residual impurities. The position of the G band
405 thus changes with (electron) doping. The frequency of the G
406 band reaches its minimum value when the Fermi level is at the
407 Dirac point. At room temperature, this value, ω_G, has been
408 estimated to be 1579.2 cm⁻¹,^{36,39} and the Raman shift has 408

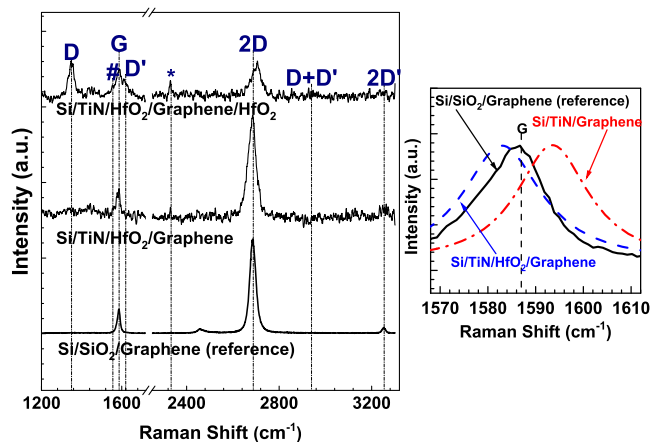


Figure 5. Representative Raman spectra of graphene-based stack structures in the case of differently stacked structures described by labels and normalized by the G band according to G band intensities where additional Raman bands at 1556 cm^{-1} , labeled by #, and those at 2333 cm^{-1} , labeled by *, indicate adsorbed oxygen and nitrogen molecules, respectively. The right panel represents Raman spectra normalized to the G band of the graphene-based stack structures in the energy range of $1570\text{--}1610\text{ cm}^{-1}$.

409 increased with the concentrations of either holes or
410 electrons.⁴⁰ The G band width has been reported to decrease
411 symmetrically as the concentrations of electrons or holes
412 increase.³⁶ The position of the 2D band also depends on the
413 Fermi level, increasing with the hole concentration and
414 decreasing with the electron concentration.^{36,40} In addition,
415 the integrated intensity of the 2D band has decreased as the
416 Fermi level increases.³¹

417 Usually, CVD-grown graphene has been regarded as a
418 slightly p-type conductor (i.e., hole doped due to the presence
419 and charge transfer effect of oxygen and/or water).^{40–43} In the
420 present study, after transferring the CVD-graphene onto 7.5–
421 9.0 nm-thick HfO_2 dielectric layers, downshifts of the positions
422 and widenings of the G and 2D bands could be detected and
423 measured, as shown in Figure 5. Similar behavior has recently
424 been reported in a study by Ben Maad et al.,⁴¹ where graphene
425 was transferred on HfO_2 films of different thicknesses, and
426 similar shifting and broadening of bands were measured, most
427 prominent in the case of thinnest (5 nm) HfO_2 films. The high
428 density of carriers transferred to graphene from such thin
429 dielectrics (10 nm or less) may be explained by the localization
430 of traps at the Si/SiO₂/HfO₂ interface but still closer to the
431 graphene layer as compared to thicker dielectric layer/
432 graphene structures.⁴¹

433 In the present study, the Raman measurements revealed that
434 the positions of G and 2D bands reached the lowest values in
435 the case where no water or other additional treatments were
436 applied on the HfO_2 surface (deposited from HfCl_4) before the
437 graphene transfer procedure (Figure 1, Sample I). It is thus
438 indeed likely that either the pretreatment procedures
439 conducted on the HfO_2 surface have covered the oxide with
440 layers of chemical groups which at least partially blocked the
441 charge transfer and accompanying the graphene doping
442 process or HfO_2 deposited from TEMAHf has a lower amount
443 of localized traps compared to the HfO_2 deposited from HfCl_4 .
444 The treatment procedures with boiled water or 2-propanol
445 on Si/TiN/HfO₂ substrates may have created a dense layer of
446 hydroxyl groups on the dielectric surface, and therefore, the
447 electron transfer (doping) from HfO_2 to graphene was at least

partially hindered. Under such conditions, the significance of
448 p-type doping may have increased somewhat, but still in lesser
449 extents than in the case of the stacks consisting of graphene
450 transferred to the Si/SiO₂ substrate.⁴⁴ It should be noted that,
451 in accordance with the Raman results, the effect of the selected
452 surface treatments on graphene on aged (i.e., openly stored
453 under laboratory air conditions) HfO_2 dielectrics was weak,
454 also showing similar (p-type) trends with implications of
455 doping effects.
456

457 After the deposition of the top HfO_2 layers on graphene, the
458 Raman signatures of graphene in the stacks became more
459 uniform in terms of the positions and widths of G and 2D
460 bands. The largest shift (22 cm^{-1}) in the location of bands has
461 occurred in the case of HfO_2 grown in plasma-assisted ALD
462 from TEMAHf on graphene transferred on HfO_2 grown from
463 HfCl_4 (Sample I, Figure 1). However, after the deposition of
464 the top HfO_2 layers, the positions of 2D bands increased rather
465 than being decreased in terms of the frequency, being
466 indicative of behavior opposite to the expected one, as the
467 shift should presumably imply the increasing concentration of
468 electrons and significance of n-doping. A possible explanation
469 is that the HfO_2 films grown from HfCl_4 on TiN (Sample I,
470 Figure 1) were additionally heated at $300\text{ }^\circ\text{C}$ for 1 h, together
471 with the transferred graphene layer. Upon heating at $300\text{ }^\circ\text{C}$,
472 before the deposition of the upper HfO_2 layer, the surface of
473 graphene was, probably, cleaned and more bonding sites for
474 OH groups after H_2O treatment were provided, as proposed
475 earlier in the literature.⁴⁵ The OH groups could further prevent
476 electron doping from the upper-layer dielectric.⁴⁴ The result of
477 the lesser extent of electron doping was a shift of the 2D band
478 to higher frequencies, that is, higher significance of p-type
479 doping, because OH groups can uptake electrons from
480 graphene or HfO_2 . In the cases of stacks, where the HfO_2
481 films were grown from TEMAHf and exposed to 120 H_2O
482 pulses (Samples II–V, Figure 1), no extra heating was
483 performed before 120 H_2O pulses. When the top layer of
484 HfO_2 was deposited on graphene, similar Raman band shifts
485 were observed, implying some significance of p-type doping.
486 The shift from the initial positions, that is, from the positions
487 recorded before the deposition of the top HfO_2 film on
488 graphene, remained smaller than that in the case of Sample I
489 (Figure 1).

490 To some extent, damaging graphene during the deposition
491 of metal oxide layers on the top of graphene can hardly be
492 avoided. In the present case, after the plasma-assisted ALD of
493 the upper HfO_2 film, the D and D' bands have emerged in the
494 graphene Raman spectrum (Figure 5). The presence of these,
495 generally defect-related, bands, D and D' can mean either
496 physical damage⁴⁶ or the exhibition of sp^3 hybridization^{43,47,48}
497 or be due to amorphous carbon complementarily formed on
498 graphene.⁴⁹ In addition, the observed broadening of the
499 Raman bands could also be a consequence of defects⁵⁰ or due
500 to higher amplitudes of charge fluctuations (“puddles”)
501 which could arise from charged impurities in the disordered
502 dielectric layer and polar adsorbates (OH groups) between
503 graphene and dielectrics.
504

505 On the other hand, the (larger) broadenings and (up and
506 down) shifting of the bands could be an averaging effect over
507 nanometer-scale strain variations.⁵¹
508

509 Interestingly, some Raman spectra (Figure 5) showed
510 narrow bands at 1556 cm^{-1} and 2333 cm^{-1} , which are probably
511 indicative of O_2 and N_2 molecules, respectively. These species
512 could have been trapped in the pores of the HfO_2 film during
513

511 the deposition process (O_2 from oxygen plasma and N_2 from
512 carrier gas) or, alternatively, adsorbed on the surface of stack
513 structures from the atmosphere.^{52,53}

514 **X-ray Photoelectron Spectroscopy.** The elemental
515 composition of reference HfO_2 ALD films, as-deposited and
516 graphene-coated, was estimated from XPS survey spectra (not
517 shown) and showed close agreement with stoichiometric
518 hafnium to oxygen content ratios, that is, corresponded to that
519 of HfO_2 , for the as-deposited oxide layers without the graphene
520 cover layer, whereas the relative oxygen content was found to
521 be somewhat in excess compared to that in the graphene-
522 coated samples.

523 However, the main purpose of the application of XPS was to
524 evaluate the presence of possible residual species associated
525 with the PMMA-assisted graphene transfer process, thus
526 probing the quality of the as-transferred graphene layer. XPS
527 can, thereby, provide additional information about surface
528 defects/impurities and have been used for the characterization
529 of nanographite/graphene structures in this regard before.⁴⁸ In
530 the present study, the C 1s spectrum from the HfO_2 film
531 covered by the transferred graphene (Figure 6, upper panel)

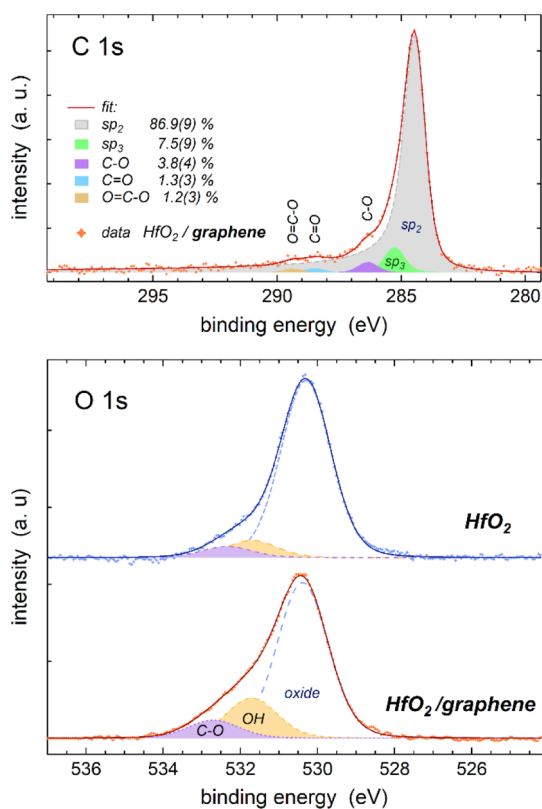
532 valid for pristine graphene.^{55–57} This was appropriate in order
533 to avoid underestimation of additional, possibly impurity-
534 originated shoulders, and asymmetric shape inadequately
535 dominating in the spectrum, even if some authors have
536 reported that the peak asymmetry can be enhanced in the
537 presence of defects.⁵⁶

538 Herewith, the purity of graphene was estimated rather
539 conservatively from C 1s XPS analysis and that the residual
540 content found here can be plausibly seen as the upper limit
541 values for the impurities in the sample. The XPS results for the
542 C 1s spectrum (Figure 6, upper panel) indicated that the
543 relative content of what is identified as oxidized carbon
544 remained below 5–6% of the total signal, while the separate
545 ($\sim 7.5\%$) sp^3 feature at least partly associated to dangling bonds
546 at edges of graphene patches.⁵⁷ The latter was partially
547 supported by the Raman measurements, described above,
548 which confirmed that the D band was, in practice, absent in the
549 case of graphene not covered by the top HfO_2 layer.
550

551 It is worth noting that for the PMMA used in the
552 transferring process of the graphene layer to the oxide surface,
553 even the residue after subtracting the sp^2 component from the
554 C 1s spectrum bears no close resemblance to its C 1s spectrum
555 of PMMA,⁵⁸ suggesting that there are virtually no PMMA
556 residues left on graphene in our experiments.
557

558 In Figure 6, bottom panel, one can see that the O 1s
559 photoelectron spectrum measured on a HfO_2 film, not coated
560 with transferred graphene, reveals a minor contribution from
561 hydroxyl groups (approximately 9% of the overall oxygen
562 signal), present on the HfO_2 film surface, and from adsorbed
563 hydrocarbons (6%). For the graphene-covered HfO_2 sample,
564 the spectral component referring to the presence of hydro-
565 carbons grew by around 1.5 times in relation to the main oxide
566 peak, which was consistent with the C 1s signal other than that
567 from the sp^2 -hybridized carbon signal originating from
568 graphene sheets. At the same time, the dominating lowest
569 binding energy component from the HfO_2 remained consistent
570 with the stoichiometric ratio between oxygen and hafnium in
571 the probed volume, as derived from the signal ratios for both
572 these samples in survey spectra (not shown). However, the
573 middle component at ~ 531.5 eV binding energy, which
574 commonly originates in surface hydroxyl,⁵⁹ grew approximately
575 twice, plausibly originating from the hydroxylated metal oxide
576 surface under the graphene layer. This is also supported by an
577 observation, according to which excessive oxygen-bonded
578 carbon was not present in the C 1s spectrum.
579

580 The Hf 4f spectra for both graphene-covered and bare HfO_2
581 (not shown) could be well fitted by a single spin–orbit split
582 pair of Voigt profiles, hence indicating that the samples were
583 chemically homogeneous, as expected for these thick oxide
584 films.⁶⁰ The Hf $4f_{7/2}$ binding energy of 16.9 eV agrees with
585 several previous studies of HfO_2 films^{60–62} even if the values
586 closer to 18 eV have also been considered.⁶³ However, such a
587 divergence of values may stem from the common but lately
588 criticized^{64,65} practice of referencing binding energies to
589 adventitious carbon (often still the best available option),
590 which can be circumvented using different internal references,
591 viz., the Si 2p signal for very thin films on such a substrate,^{65,66}
592 whose use has previously visualized overcorrection using the
593 carbon reference,^{60,66} and raised reconsiderations if the surface
594 carbon is, necessarily, in a sufficiently intimate contact to the
595 surface, providing charge equilibrium with the oxide surface
596 beneath.
597



532 was fitted in the common manner using the asymmetric
533 Doniach–Sunjic (DS) line shape³² for the (metallic) sp^2
534 component characteristic of graphene and Gaussian–Lorentzian
535 symmetric shapes for the other components,⁵⁴ after
536 subtracting Shirley background. The asymmetry of the DS line
537 was limited to a value of 0.14, which has earlier been found

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536 subtracting Shirley background. The asymmetry of the DS line
537 was limited to a value of 0.14, which has earlier been found

600 **Resistive Switching Behavior.** In order to follow the
 601 effect of the graphene layer on electrical properties, selected
 602 stacks with graphene and without graphene were subjected to
 603 resistive switching measurements. Figure 7 depicts the

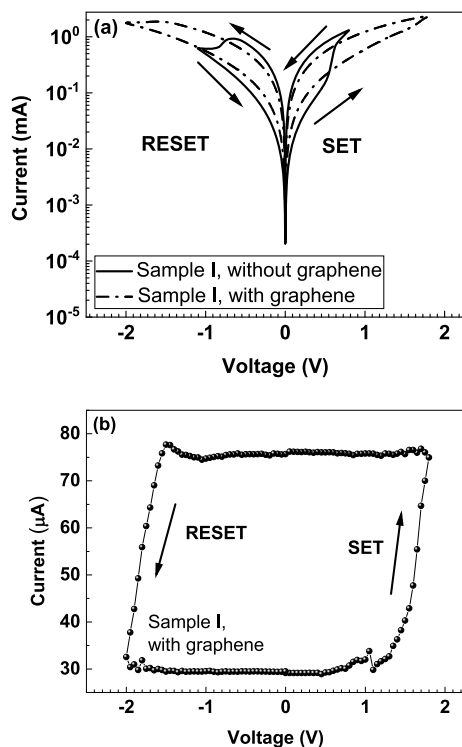


Figure 7. Current–voltage curves of the HfO₂–HfO₂ reference double layers, as well as HfO₂–graphene–HfO₂ stacks (a), and a current–voltage memory map from HfO₂–graphene–HfO₂ stacks (b). The electrode area was 0.002 mm². In both double layers and graphene-containing stacks, the first HfO₂ layer was grown in the chloride-based ALD process, and the top HfO₂ layer was grown from the alkylamide precursor (Sample I).

604 switching behavior observed in both types of stacks, where
 605 the first HfO₂ layer was grown using HfCl₄ and H₂O, whereas
 606 the second HfO₂ layer was grown using Hf[N(C₂H₅)(CH₃)₂]₂
 607 and O₂ plasma (Sample I, Figure 1). One can see that the
 608 switching performance was achieved and repeatable with and
 609 without the graphene interlayer between bottom and top HfO₂
 610 films. The conventional resistive switching current–voltage
 611 characteristics were measured along with linear voltage sweeps
 612 and resulted in uniform switching with a defined high
 613 resistivity state (HRS) and low resistivity state (LRS), although
 614 the ratio between those states did not exceed 8, that is, did not
 615 reach an order of magnitude. The resistive switching behavior
 616 observed was bipolar and proceeded counterclockwise. This
 617 means that switching from one resistive state to another
 618 required a different polarity of the applied voltage. The
 619 switching from the HRS to LRS, that is, the SET procedure,
 620 occurred during sweeping positive voltage on the Ti electrode
 621 in relation to the bottom TiN electrode and, correspondingly,
 622 switching from the LRS to HRS, that is, the RESET process
 623 took place during the negative voltage sequence.

624 The bottom and top HfO₂ layers were, as described above,
 625 grown in different ALD processes using HfCl₄ and Hf[N-
 626 (CH₃)(C₂H₅)₂]₂ as precursors, respectively. The chloride-based
 627 process results in films inevitably containing chlorine as the

residual impurity,²⁰ which was confirmed by XRF in this work 628
 as well and remained in the range of 0.6 at. %, whereas the 629
 alkylamide-based process results in films containing more 630
 carbon.⁶⁷ In addition to chemically somewhat different 631
 compositions of these films contributing the double-layer 632
 stack, the HfO₂ grown from the chloride precursor may form 633
 as somewhat more intensely crystallized compared to that 634
 formed in the alkylamide process.^{20,67} Both structural and 635
 chemical differences, that is, process-related discontinuity in 636
 the complete stack, plausibly cause energetic barriers of 637
 moderate height for charge carriers, in addition to the 638
 interfaces between bottom and top oxide layers. This may be 639
 a possible reason to the current jump (Figure 7a) during 640
 switching events, which in the case of the SET process 641
 occurred at +0.6 V and in the case of RESET occurred at –0.7 642
 V. 643

In the case of the stacked nanostructures with the graphene 644
 interlayer, the voltages for SET and RESET appeared 645
 somewhat more symmetric and the “humps” were noticeably 646
 weakened (Figure 7a). Also decreased was the LRS/HRS ratio, 647
 which did not exceed 2.5 in the case of the embedded 648
 graphene layer. It is possible that the introduction of naturally 649
 conductive graphene with possibly accompanied doping, 650
 together with increased defect densities, has significantly 651
 decreased the effect of the barrier to the conducting pathways 652
 (possibly filaments), suppressing both the stepwise switching 653
 process and resistance state differences. Note that the 654
 conduction currents through the graphene-containing stack 655
 (Figure 7a) were increased by almost an order of magnitude, 656
 compared to those measured in the reference HfO₂ stack 657
 (Figure 7a). 658

In connection with the conventional resistive switching 659
 measurements, one has to consider that rectangular voltage 660
 pulses are applied on the electrodes, with the amplitude 661
 increasing along with the number of pulses in sequence. At the 662
 increasing voltage pulses, values of current are recorded, which 663
 sequentially and cumulatively form the current–voltage curve 664
 until the transition (switching) to the LRS. Upon back-and- 665
 forth sweeping of the sequence of voltage pulses with gradually 666
 and alternately increasing and decreasing amplitudes, the 667
 envelope curves of the current–voltage dependences are 668
 acquired (Figure 7a), showing typical resistive switching 669
 behavior in such a medium. In order to better measure this 670
 hysteresis, low reading voltage curves can be recorded, and this 671
 means that whenever we increase the applied voltage, the 672
 current value is measured at the reading voltage, instead of at 673
 the increased voltage value. Such hysteretic curves can be 674
 termed hysterons, which demonstrate the nonvolatile effect of 675
 the MIM device studied, and improve the distinction between 676
 low and high conduction memory states, as was observed also 677
 in the present study for the stack containing the graphene 678
 interlayer (Figure 7b). In the latter case, currents were read at 679
 voltage values of 0.1 V in between the sequential sampling bias 680
 voltage pulses. The value of the measured current was, 681
 dominantly, determined by the two resistance states achieved 682
 alternately. Two clearly defined plateaus were reached and 683
 passed through before and after the sequential SET and 684
 RESET transitions (Figure 7b). Current–voltage loop with 685
 remarkable squareness, alternatively defined as a current 686
 memory map, was thus formed with the memory window 687
 between the high and low current states (in the present case, 688
 with a nominal LRS/HRS ratio of 1.5 only) distinctively 689
 separated by 45 μA. 690

691 The HfO₂-graphene-HfO₂ stacks with HfO₂ films both
 692 grown from the Hf[N(C₂H₅)(CH₃)₄] precursor demonstrated
 693 resistive switching behavior defined somewhat better com-
 694 pared to that observed in the stacks where the bottom HfO₂
 695 film was grown from HfCl₄ and H₂O. The results are
 696 exemplified by Figure 8, inset, depicting hysteretic switching

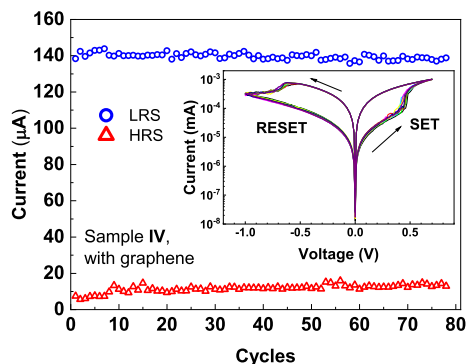


Figure 8. Retention characteristic, measured from the TiN/HfO₂/graphene/HfO₂/Ti (Sample IV) stack, where HfO₂ layers were grown using TEMAHf and O₂ plasma. The inset shows 10 sequential current–voltage envelope curves. The electrode dot area was 0.05 mm². The retention current values were registered at the voltage values of 0.1 V.

697 behavior of TiN/HfO₂/graphene/HfO₂/Ti nanostructures
 698 (Sample IV), in which the bottom HfO₂ layer was heat-
 699 treated without boiling in water before the graphene transfer
 700 process. In Figure 8, inset, the current loops formed during 10
 701 subsequential back-and-forth voltage sweeps are depicted.

702 The switching between two distinctive resistivity states took
 703 place at approximately 0.5 and –0.6 V in the case of both SET
 704 and RESET processes, respectively. The ratio between low-
 705 and high-resistance states (LRS/HRS) reached an order of
 706 magnitude, that is, 10, approximately, at 0.02 V. Notably, the
 707 LRS/HRS ratio remained appreciably stable along with cycling
 708 the switching voltages over several tens of times (Figure 8).
 709 The latter result could be regarded as promising in terms of the
 710 first retention characteristics.

711 In Figure 9, average resistive switching voltage curves are
 712 depicted. These loops are representative for the samples with

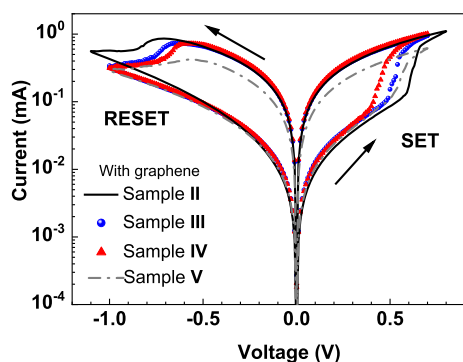


Figure 9. Representative average current–voltage envelope curves measured from the TiN/HfO₂/graphene/HfO₂/Ti stack, where all the HfO₂ layers were grown using TEMAHf and O₂ plasma as precursors. The bottom HfO₂ surface treatment procedures are denoted by the labels presented in the legend in accordance with Figure 1.

713 differently treated HfO₂ surfaces before the graphene transfer
 714 process. It is worth noting that the stacks behaved quite
 715 similarly in terms of the LRS/HRS ratio and switching voltage
 716 values, except the one which has been rinsed in 2-propanol
 717 followed by rinsing in water (Sample V) (Figure 9). This can
 718 be better demonstrated in the memory map comparison of
 719 Figure 10. Plausibly, 2-propanol and water treatment has

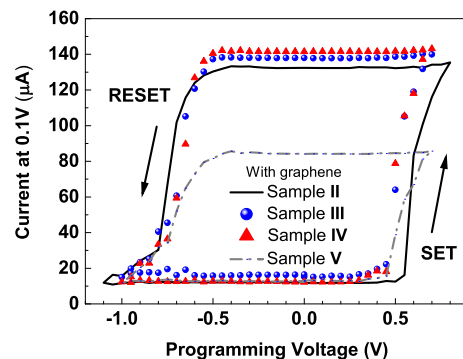


Figure 10. Current–voltage memory maps, measured from TiN/HfO₂/graphene/HfO₂/Ti nanostructures, where all HfO₂ layers were grown using TEMAHf and O₂ plasma. The bottom HfO₂ surface treatment procedures are denoted by the labels within the legend in accordance with Figure 1.

720 resulted in densification of a layer of the hydrocarbon species
 721 in addition to the graphene, giving rise to the electronic defect
 722 densities. The latter has, also plausibly, led to the narrower
 723 memory window expressed by both the current envelope curve
 724 (Figure 9) and current memory map (Figure 10) measured for
 725 Sample V (Figure 1), when compared to the rest of the
 726 samples. As the number of defects increased, the leakage
 727 current in the bulk increased. The applied energy was
 728 dispersed in the whole bulk, and thus, less energy was
 729 employed to form the conductive filaments, making them
 730 weaker (less conductive) than in the other cases. As a result,
 731 lower currents were obtained in the LRS state of Sample V.

CONCLUSIONS

732 Chemical vapor-deposited graphene layers were successfully
 733 transferred between embedding layers of HfO₂ grown by ALD.
 734 The HfO₂ films grown as substrates to graphene were
 735 deposited from HfCl₄ and water or Hf[N(CH₃)(C₂H₅)₄]
 736 and O₂ plasma as precursors, whereby the HfO₂ films on
 737 graphene were grown only from Hf[N(CH₃)(C₂H₅)₄]
 738 and plasma. Different aging and rinsing treatments were applied
 739 prior to both graphene transfer procedures and HfO₂ ALD,
 740 in order to improve adhesion of graphene on the bottom HfO₂
 741 layer and nucleation of top HfO₂ on graphene, respectively.
 742 Raman probing allowed one to decide that the structure of
 743 graphene was essentially retained after the plasma ALD
 744 process. However, also in accordance with Raman, defects
 745 were created in graphene during plasma ALD of HfO₂,
 746 regardless of protective treatment procedures. Nevertheless,
 747 after deposition of the upper layer HfO₂, the Raman spectra
 748 from the differently treated graphene nanostructures became
 749 uniformly similar. The HfO₂ dielectric layers grew homo-
 750 geneously and were nanocrystalline.

751 Resistive switching measurements revealed that the HfO₂-
 752 graphene-HfO₂ stacks consisting of HfO₂ layers both grown
 753 from hafnium alkylamide and O₂ plasma can demonstrate 754

755 more reliable resistive switching behavior compared to the
756 devices, where the bottom HfO₂ film is deposited from carbon-
757 free chloride and water precursors. In the case of the chloride-
758 based growth process, the application of the graphene barrier
759 between constituent HfO₂ films could somewhat decrease the
760 low- to high-resistance ratio in current–voltage switching
761 loops. The switching behavior remains measurable, never-
762 theless, and emerges further when recording current–voltage
763 memory maps in the small-signal measurement regime.

764 All the stacks with both HfO₂ layers grown from
765 Hf[N(C₂H₅)(CH₃)₄] and plasma demonstrated similar
766 resistive switching behavior in terms of current–voltage
767 envelope curves. Thus, despite the variability and options for
768 both oxide surface and graphene treatment procedures, the
769 nanodevice preparation processes have demonstrated appreci-
770 able robustness, only moderately affecting the electrical
771 performance. None of the processes applied influenced the
772 graphene or contact layers destructively. Nevertheless, differ-
773 ent bottom HfO₂ film surface treatments can have slightly differing
774 effects on the RS behavior. Comparing HfO₂ films grown on
775 TiN substrates and boiled in water with samples prepared after
776 the plate was only heated without water treatment, one could
777 see and may also further expect somewhat lower commutation
778 voltages in both polarities, possibly because the contact
779 between the graphene layer and the substrate may have been
780 further improved. Unequivocally, lower current values in the
781 low-resistance state may be reached after treatments in 2-
782 propanol and water, despite the increase in electronic defect
783 densities, and accompanying higher leakage currents in the
784 high-resistance state. The increased number of defects results
785 in a higher leakage current. This causes the applied energy to
786 be dispersed in the whole bulk. Therefore, the energy actually
787 used to form the conductive filaments decreases, resulting in
788 lower currents in the LRS state.

789 ■ AUTHOR INFORMATION

790 Corresponding Author

791 **Tauno Kahro** – Institute of Physics, University of Tartu,
792 50411 Tartu, Estonia; orcid.org/0000-0002-8243-902X;
793 Email: tauno.kahro@ut.ee

794 Authors

795 **Aivar Tarre** – Institute of Physics, University of Tartu, 50411
796 Tartu, Estonia

797 **Tanel Käämbre** – Institute of Physics, University of Tartu,
798 50411 Tartu, Estonia

799 **Helle-Mai Piirsoo** – Institute of Physics, University of Tartu,
800 50411 Tartu, Estonia

801 **Jekaterina Kozlova** – Institute of Physics, University of Tartu,
802 50411 Tartu, Estonia; orcid.org/0000-0002-7775-1246

803 **Peeter Ritslaid** – Institute of Physics, University of Tartu,
804 50411 Tartu, Estonia; orcid.org/0000-0002-3603-2237

805 **Aarne Kasikov** – Institute of Physics, University of Tartu,
806 50411 Tartu, Estonia

807 **Taivo Jõgiaas** – Institute of Physics, University of Tartu,
808 50411 Tartu, Estonia

809 **Guillermo Vinuesa** – Department of Electronics, University of
810 Valladolid, 47011 Valladolid, Spain

811 **Salvador Dueñas** – Department of Electronics, University of
812 Valladolid, 47011 Valladolid, Spain

813 **Helena Castán** – Department of Electronics, University of
814 Valladolid, 47011 Valladolid, Spain

Aile Tamm – Institute of Physics, University of Tartu, 50411
Tartu, Estonia; orcid.org/0000-0002-0547-0824

Kaupo Kukli – Institute of Physics, University of Tartu, 50411
Tartu, Estonia

Complete contact information is available at:

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Notes

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