

1 **Bioprocess intensification for isopropanol, butanol and ethanol (IBE) production by**  
2 **fermentation from sugarcane and sweet sorghum juices through a gas stripping-**  
3 **pervaporation recovery process**

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14

15 **ABSTRACT**

16

17 Butanol and isopropanol are important commodity chemicals with a variety of  
18 applications. One of the main obstacles for biobutanol production by IBE (isopropanol-  
19 butanol-ethanol) fermentation is the intensive energy consumption for product recovery  
20 by conventional distillation due to low butanol titer in fermentation broth caused by  
21 butanol toxicity to cells. In the present study, butanol production by batch IBE  
22 fermentation coupled to an *in situ* gas stripping-pervaporation process to recover the  
23 butanol is proposed using *Clostridium beijerinckii* DSM 6423 and a mixture of sugarcane-  
24 sweet sorghum juices as substrate. Gas stripping was used to continuously remove  
25 butanol from the fermentation broth, followed with pervaporation to further concentrate

26 butanol. The strategy used allows alleviating butanol inhibition and to recuperate a  
27 condensate containing high butanol concentration (559 g/L). A kinetic model describing  
28 butanol production by IBE fermentation was developed. Kinetic parameters and  
29 experimental data were used to estimate the energy consumption of the sugarcane-sweet  
30 sorghum IBE production process. It was found that although the IBE production process  
31 showed less energy consumption (15%) than the butanol production process by ABE  
32 (acetone-butanol-ethanol) fermentation, a substantial improvement is still necessary for  
33 the process to be energetically/economically attractive.

34

35 **Keywords:** biobutanol, gas stripping, IBE fermentation, pervaporation, sugarcane, sweet  
36 sorghum

37

## 38 **1. Introduction**

39

40 There is growing interest in the production of chemicals and fuels from renewable  
41 resources due to climate change, global warming and energy security [1]. *n*-Butanol is a  
42 four-carbon alcohol known both as an advanced biofuel and as a commodity chemical. It  
43 can be produced through acetone-butanol-ethanol (ABE) or isopropanol-butanol-ethanol  
44 (IBE) fermentation in which a solvent mixture is produced. The co-production of acetone  
45 in the ABE process is not desirable because is corrosive to rubber engine parts and has  
46 poor fuel properties [2]. Butanol production through ABE fermentation has also been  
47 considered economically risky due to a potential oversupply of acetone [3]. Alternatively,  
48 isopropanol can be produced instead of acetone by some *Clostridium* species. Isopropanol  
49 is an important commodity chemical with a variety of applications and the solvent mixture

50 produced by fermentation (IBE) can be used as a fuel [4–6]. The microorganism best  
51 known as natural IBE producer is *Clostridium beijerinckii* DSM 6423 [3,4,7,8].

52 Major challenges in biobutanol production are the cost of the raw material and the  
53 intensive energy consumption in product recovery stages of the entirely IBE production  
54 process [9–11]. Sugarcane and sweet sorghum are crops whose juices contain high  
55 amounts of soluble fermentable sugars, and many essential nutrients for microbial growth  
56 [8,12]. Both, mainly sugarcane, are currently used for fuel bioethanol production in  
57 Uruguay. Furthermore, a residue (bagasse) is produced when juices are extracted, which  
58 can be burnt for steam production to meet the energy demand of industrial processes  
59 [13,14]. The low butanol concentrations that are reached in the fermentation broth due to  
60 cellular toxicity or product inhibition, requires a high energy consumption in the product  
61 recovery [15–17]. Alternative separation technologies have been studied to coupled  
62 butanol production with an *in situ* extraction method to mitigate butanol inhibition [18–  
63 20], such as liquid-liquid extraction [21], gas stripping [22,23], pervaporation [24,25],  
64 and flash vacuum [26].

65 Among butanol recovery methods, gas stripping and pervaporation are the most  
66 promising alternatives, and both have advantages and disadvantages. Gas stripping allows  
67 the removal of volatiles from the fermentation broth, does not requires chemicals or  
68 membranes, its operation is simple and does not harm the culture [16,27–29]. Its main  
69 disadvantage is its low selectivity [30]. Pervaporation is a separation process in which a  
70 feed solution is in contact with one side of the membrane, and the permeate is removed  
71 as a low-pressure vapor on the other side. The driving force is given by a vacuum system  
72 on the permeate side [19,31,32]. It presents high selectivity and less energy requirement  
73 [18,30]. The main disadvantage of pervaporation is the operating cost due to membrane  
74 fouling when used as an *in-situ* extraction method because of the presence of cells,

75 residual sugars and other components of the fermentation broth. While sugar conversion  
76 could be improved by extracting butanol with an *in-situ* extraction method, obtaining  
77 higher butanol concentrations with low energy consumption remains the challenge. By  
78 using both methods, their advantages could be combined and enhanced. In the present  
79 study, an integrated *in situ* gas stripping-pervaporation process is proposed, where gas  
80 stripping is used to continuously remove butanol from fermentation broth, followed by  
81 pervaporation to further condense butanol.

82         The energy consumption of several industrial processes has been successfully  
83 modeled and predicted using computer simulations. Various researchers have reported  
84 models for butanol production by ABE fermentation using Aspen Plus software from  
85 different raw materials such as sugarcane, sugar cane molasses, and corn [33–38]. Some  
86 researchers have specifically studied the use of energy of the butanol purification stages.  
87 Mariano et al. [17] have evaluated flash fermentation technology whereas Cai et al.  
88 [39,40] evaluated the use of energy of a gas stripping-distillation, gas stripping-  
89 pervaporation-distillation and two stage pervaporation-distillation processes. However,  
90 there are no energy evaluations for the butanol production by IBE fermentation from  
91 sugarcane and sweet sorghum juices reported in the literature to the authors' knowledge.

92         In this work, butanol production by batch IBE fermentation coupled to an *in situ*  
93 gas stripping-pervaporation process to recover the butanol was evaluated using *C.*  
94 *beijerinckii* DSM 6423 and a mixture of industrial sugarcane-sweet sorghum juices as  
95 substrate. Repeated-batch fermentations were also carried out. A kinetic model describing  
96 butanol production by IBE fermentation was developed. The kinetic parameters obtained  
97 and the experimental data of raw material composition, batch and repeated-batch  
98 fermentations and purification stages, were combined into a model to estimate the energy  
99 consumption of the integrated process using Aspen Plus software.

100

## 101 **2. Materials and methods**

102

### 103 *2.1. Experimental assays*

104

#### 105 *2.1.1. Medium, microorganism and inoculum preparation*

106

107 A mixture of industrial sugarcane and sweet sorghum juices, 75 and 25%,  
108 respectively, provided by Alur-Bella Union, Uruguay, was utilized as culture medium.  
109 The microorganism used was *C. beijerinckii* DSM 6423. The inoculum preparation using  
110 the industrial sugarcane-sweet sorghum juices is described elsewhere, as well as the  
111 composition of the juices used [41].

112

#### 113 *2.1.2. Batch fermentation without and with in situ gas stripping*

114

115 Fermentation experiments were performed in a 5 L-bioreactor (Infors HT,  
116 Switzerland) containing 2.5 L of industrial juices diluted to reach a total sugar  
117 concentration of 60 g/L (expressed in glucose equivalents) and supplemented with yeast  
118 extract (1 g/L). The pH was initially adjusted to  $6.0 \pm 0.1$  with NaOH 1 M, and the  
119 medium was swept with O<sub>2</sub>-free N<sub>2</sub> (Linde, Uruguay), over the headspace of the  
120 bioreactor, followed by sterilization at 121 °C for 15 min. When it reached room  
121 temperature, 1% (v/v) of filter-sterilized buffer and mineral P2 stock solutions and a  
122 commercial vitamin complex solution (Dispert ®, 1% (v/v)) were added. The P2 buffer  
123 and mineral solutions contained: K<sub>2</sub>HPO<sub>4</sub> 50 g/L, KH<sub>2</sub>PO<sub>4</sub> 50 g/L, ammonium acetate  
124 220 g/L and MgSO<sub>4</sub>·7H<sub>2</sub>O 20 g/L, MnSO<sub>4</sub>·H<sub>2</sub>O 1 g/L, FeSO<sub>4</sub>·7H<sub>2</sub>O 1 g/L, NaCl 1 g/L,

125 respectively. The vitamin complex solution composition was: thiamine mononitrate 0.12  
126 g/L, riboflavin 0.020 g/L, pyridoxine hydrochloride 0.020 g/L, calcium pantothenate  
127 0.061 g/L, niacinamide 0.61 g/L, and excipient qs. The bioreactor was inoculated with  
128 8% (v/v) highly active, motile cells and the fermentation was carried out at 150 rpm and  
129 35 °C. Samples were withdrawn at regular intervals for sugars, products, and optical  
130 density analysis.

131 The fermentation with *in situ* gas stripping was conducted in the bioreactor  
132 containing 1.5 L of the medium. The experimental set-up is detailed by Rochón et al. [41].

133

#### 134 2.1.3. Repeated-batch fermentations

135

136 Repeated-batch IBE fermentations of the industrial juices were performed in  
137 bottles of 250 mL with 100 mL of medium. The industrial juice mixture was diluted to  
138 reach a total sugar concentration of 55-60 g/L and supplemented with yeast extract (1  
139 g/L). The pH was adjusted initially to  $6.0 \pm 0.1$ . The medium was swept with O<sub>2</sub>-free N<sub>2</sub>  
140 over the headspace of the bottles. It was sterilized at 121 °C during 15 min. On cooling  
141 to room temperature, 1% (v/v) of filter-sterilized P2 stock solutions and vitamin complex  
142 Dispert® were added, followed by inoculation with 8% (v/v) highly motile cells. The  
143 bottles were incubated in an orbital shaker (Infors HT Ecotron, Switzerland) at 150 rpm  
144 and 35°C. At the end of each batch fermentation (48 h), 8 mL of the culture were taken  
145 and inoculated into a bottle containing 92 mL of fresh medium (8% v/v). Two sets of  
146 repeated-batch fermentations were carried out.

147

#### 148 2.1.4. Pervaporation assays

149

150 Pervaporation assays were done with a polydimethylsiloxane (PDMS) membrane  
151 with a total surface area of 50 cm<sup>2</sup> (Pervatech BV, the Netherlands). The feed solution  
152 was heated to 70 °C and circulated at a flow rate of 50 mL/min. The pressure on the  
153 permeate side was maintained at ~ 2 kPa by a vacuum pump IDP-3 (Agilent  
154 Technologies, USA) monitored by a vacuum gauge. The permeated vapor was condensed  
155 at -6 °C in vacuum traps immersed in a refrigerated circulating bath.

156 An IBE aqueous solution with the same condensate composition as that obtained  
157 from a batch fermentation of sugarcane-sweet sorghum juices coupled with *in situ* gas  
158 stripping using *C. beijerinckii* DSM 6423, was used as the feed solution. A schematic  
159 diagram of the integrated reactor set up is shown in Figure 1. Samples of both retentate  
160 and permeate were withdrawn every 3 h until 20 h and every 48 h until 38 h for solvent  
161 analysis.

162

#### 163 2.1.5. Analytical methods

164

165 Isopropanol, butanol and ethanol from the gas stripping assays, batch, repeated-  
166 batch fermentation and fermentation with *in situ* gas stripping, both in the fermentation  
167 broth and in the gas stripping condensate, were measured with a gas chromatograph (GC,  
168 Shimadzu GC-2010) equipped with a flame ionization detector and a fused silica column  
169 (RTX®-Wax, 30 m long, 0.5 µm film thickness and 0.32 mm ID, Restek). Sugars were  
170 determined by HPLC (Shimadzu, Kyoto, Japan) using an Aminex 87-H column (Bio-Rad  
171 Europe GmbH) at 45 °C, 0.01 N sulfuric acid as eluent at a flow rate of 0.3 mL/min and  
172 a refractive index detector (RID).

173 Isopropanol, butanol and ethanol concentrations from pervaporation assays were  
174 determined by HPLC using an Aminex 87-H column (Bio-Rad Europe GmbH) at 30 °C,

175 0.01 N sulfuric acid as eluent at a flow rate of 0.6 mL/min and a refractive index detector  
176 (RID, Waters 2414).

177 In each sample, the total permeate mass was measured. Since all the permeates  
178 presented phase separation, the mass of each of the phases was also measured using an  
179 analytical balance.

180 To evaluate the pervaporation performances, the partial permeation flux of a given  
181 component ( $J_i$ ) and the separation factor were defined and calculated according to the  
182 following equations [42]:

$$183 \quad J_i = \frac{W}{A \cdot t} \quad (1)$$

$$184 \quad \text{Separation factor} = \frac{\left(\frac{y}{1-y}\right)}{\left(\frac{x}{1-x}\right)} \quad (2)$$

185 where  $W$  is the weight of the permeated condensate (g),  $A$  is the PDMS membrane area  
186 ( $\text{m}^2$ ) and  $t$  is the operating time (h).  $x$  and  $y$  are the mass fractions of a given component  
187 (isopropanol, butanol or ethanol) in the retentate and permeate samples of the  
188 pervaporation system, respectively.

189

## 190 2.2. Process models

191

192 The modified Monod kinetic model with terms of product inhibition and bacterial  
193 death [14] was used in this study to describe microbial growth, substrate consumption  
194 and butanol production of an IBE fermentation. Therefore, the equations below were  
195 developed as follows:

$$196 \quad \frac{dX}{dt} = \frac{\mu_m S}{K_s + S} X \left(1 - \frac{P}{K_p}\right)^a - k_d X \quad (3)$$

$$197 \quad -\frac{dS}{dt} = \frac{\mu X}{Y_{X/S}} = \frac{\mu_m S}{K_s + S} \left(1 - \frac{P}{K_p}\right)^a \frac{X}{Y_{X/S}} \quad (4)$$

$$198 \quad \frac{dP}{dt} = \frac{\mu X Y_{P/S}}{Y_{X/S}} = \frac{\mu_m S}{K_s + S} \left(1 - \frac{P}{K_p}\right)^a X \frac{Y_{P/S}}{Y_{X/S}} \quad (5)$$

199 where  $X$  is the dry cell weight (g/L),  $\mu$  is the specific growth rate ( $\text{h}^{-1}$ ),  $\mu_m$  is the maximum  
 200 specific growth rate ( $\text{h}^{-1}$ ),  $S$  is the growth-limiting substrate concentration (g/L),  $K_s$  is the  
 201 substrate saturation constant (g/L),  $k_d$  is the specific cell death rate ( $\text{h}^{-1}$ ),  $P$  is the butanol  
 202 concentration (g/L),  $K_p$  is the product concentration at which no cell growth occurs (g/L),  
 203  $a$  is the degree of product inhibition (-),  $Y_{X/S}$  is the biomass yield coefficient (g/g) and  $Y_{P/S}$   
 204 is the butanol yield coefficient (g/g).

205 Parameter estimation was carried out using global optimization tools presented in  
 206 MATLAB® software (MathWorks, Natick, MA, USA). The objective function was  
 207 defined with the method of maximum-likelihood to minimize the differences between the  
 208 experimental data obtained and the results of the model predictions. Fitting accuracy of  
 209 the models was evaluated through analysis of coefficient of determination,  $R^2$ .

210

## 211 2.3. Simulation methodology

212

### 213 2.3.1 Process Description

214

215 The facility processes 490 000 t of sugar cane and sweet sorghum per year (annual  
 216 production in Uruguay) and works 180 days (24 h per day) per year since the crop is  
 217 seasonally available. Isopropanol, butanol and ethanol purities were defined as 99.5%  
 218 (w/w), 99.8% (w/w), and 88.4% (w/w), respectively. The solvent mixture presents a water  
 219 concentration of ~ 0.5% which, according to literature, could be directly used as a fuel

220 [6]. In this way, the process could be evaluated as either butanol or IBE production  
221 process. The simulated process can be grouped into juice treatment, fermentation with *in*  
222 *situ* gas stripping, butanol or IBE recovery, and wastewater treatment. A detailed  
223 description of juice treatment, inoculum development and wastewater treatment stages  
224 was already done for ABE fermentation in a previous work [43].

225 Both sugarcane and sweet sorghum are sent to the industrial plant in trucks. The  
226 transport energy consumption was estimated as 21 MJ/t from data reported for a  
227 sugarcane ethanol production facility in Uruguay (average distance 20 km) [44]. The  
228 material is transported to the mill by a conveyor belt. Water is added, the bagasse is  
229 separated from the juice and sent to the boiler for steam generation. The pH is adjusted  
230 to 7 by adding lime. The juice is heated at 105 °C by using two heat exchangers. Then  
231 the juice is clarified in another tank where flocculant is added. The clarified juice is sent  
232 to the fermenters which are subsequently inoculated by a direct transfer of a culture of *C.*  
233 *beijerinckii* DSM 6423. Each seed train consists of bioreactors operating in batch mode  
234 for 24 h at 35 °C. In the IBE fermentation stage, the inoculated cells are reutilized for a  
235 period of no more than 288 h, in accordance to the results found in the repeated-batch  
236 fermentations (section 3.2). Fermenters of 1700 m<sup>3</sup> are used, a typical size of Uruguay  
237 facility. RYield reactor type was used in the simulation. The fermenter temperature is  
238 kept constant at 35 °C by pumping 2% of the medium through an external heat exchanger  
239 [13]. Initial sugar concentration is fixed in 60 g/L to avoid substrate inhibition. Each  
240 fermentation presents a duration of 84 h achieving a sugar consumption of 95% and a  
241 biomass, isopropanol, butanol and ethanol concentrations of 5, 4, 15 and 1 g/L,  
242 respectively. Gas stripping starts at 24 h when butanol concentration is approximately 5  
243 g/L (butanol separator factor: 9). The off gasses (CO<sub>2</sub> /H<sub>2</sub>) are then recycled at a flow rate  
244 of 0.4 vvm (volume of gas/volume of medium min) and pass through the culture broth

245 until the fermentation is completed. Gas stripping is continued after the fermentation is  
246 finished to recover butanol remaining in the fermentation broth. The fermented broth is  
247 centrifuged to separate bacterial cells. Cells are reused in the next batch.

248         Regarding IBE purification section, it consists of a holding tank to store the  
249 recovered condensate containing the IBE products which is then concentrated by a  
250 pervaporation stage (butanol separator factor: 50). The energy consumption of the  
251 pervaporation was calculated as reported by Vane [45]. The remaining water is removed  
252 by a series of five distillation columns and a decanter. The first distillation column  
253 separates an ethanol/isopropanol/water mixture from a butanol-water mixture. The  
254 ethanol/isopropanol/water mixture is sent to another distillation column where ethanol is  
255 separated from the top of the column. The isopropanol/water mixture is sent to a third  
256 distillation column which separates isopropanol. Other two distillation columns and a  
257 decanter separate the butanol/water mixture into butanol and water.

258         It is widely known that the application of many of the recovery technologies  
259 allows only part of the desired product to be recovered. The separation efficiencies of the  
260 recovery section, both for gas stripping during and post-fermentation and pervaporation  
261 are detailed in sections 3.3. and 3.4. The amount of product remaining in the bioreactor,  
262 not recovered by gas stripping after fermentation or by pervaporation, results in product  
263 loss. The economic justification for incorporating a specific stage for its recovery could  
264 depend on the scale of industrial plant. If it is not recovered, more substrate will be needed  
265 to reach the determined production. For this reason, the *in-situ* recovery processes can be  
266 complemented by incorporating the conventional process known as end of pipe [46]. In  
267 some works, in which various *in-situ* removal methods are compared, it is assumed that  
268 all processes have the same annual production and substrate consumption, but the  
269 production will vary depending on the recovery efficiency of the process used. However,

270 to achieve a good economy, it should be considered that all products are recovered at  
271 some stage of the process [47].

272 Based on the separation efficiencies obtained experimentally in this work, not all  
273 the butanol, nor the rest of the solvents, are recovered after gas stripping and  
274 pervaporation. To solve this, it was considered they were sent to another distillation  
275 column to remove most of the water and other components present in the fermentation  
276 medium. It then goes through various stages of distillation to achieve the desired purity  
277 of butanol. For these stages, an estimated energy consumption was considered from the  
278 data reported by Mariano et al. [17] and Vane and Alvarez [48].

279

### 280 *2.3.2 Process simulation*

281

282 The process was simulated using Aspen Plus® software (Aspen Technologies  
283 Inc., Cambridge, MA version V8.8). The Aspen Plus model of the butanol/IBE  
284 production plant was developed based on the results obtained in our laboratory for  
285 fermentation, gas stripping and pervaporation stages presented in this work. Besides,  
286 values from expert consultations were utilized in the clarification stage. Butanol and IBE  
287 production scenarios were compared. Figure 2 shows a simplified flow diagram of the  
288 process.

289 Due to the complexity of the process, two Aspen Plus® methods were used to  
290 simulate the thermodynamic properties of the components. The non-random two liquid  
291 method, Haiden O'Connell (NRTL-HOC) was used in most of the process as it is the most  
292 suitable to evaluate the components properties (help from Aspen Plus® V 8.8; [37]). To  
293 model the decanter used in the butanol purification stages, a variable of the universal

294 quasi-chemical method (UNIQUAC) called UNIQ2 was used as it is adequate to predict  
295 liquid-liquid separations (help from Aspen Plus® V8.8; [48]).

296

### 297 **3. Results and discussion**

298

#### 299 *3.1. Fermentation model*

300

301 Batch fermentation studies of *C. beijerinckii* DSM 6423 were performed with the  
302 industrial juices. The Eqs. (1)-(3) fitted well to the experimental data (Figure 3). The  
303 model allowed to describe biomass production, sugar consumption and butanol  
304 production appropriately ( $R^2_X = 0.97$ ,  $R^2_S = 0.99$ ,  $R^2_P = 0.99$ ). The model parameters and  
305 coefficients of determination are presented in Table 1.

306 The maximum specific growth rate ( $\mu_m$ ) and biomass yield ( $Y_{X/S}$ ) values  
307 determined by the model were similar to those obtained for *C. acetobutylicum* DSM 792  
308 in a glucose-based medium (0.23 h<sup>-1</sup> and 0.09 g/g, and 0.22 h<sup>-1</sup> and 0.11 g/g, for *C.*  
309 *beijerinckii* DSM 6423 and *C. acetobutylicum* DSM 792, respectively) [14]. However, a  
310 higher butanol yield ( $Y_{P/S}$ ) was found, 0.22 and 0.19 g/g for *C. beijerinckii* DSM 6423  
311 and *C. acetobutylicum* DSM 792, respectively. To the authors' knowledge, there are no  
312 kinetic parameters for butanol production from an IBE fermentation using *C. beijerinckii*  
313 DSM 6423 reported in literature for further comparison. These values were used in the  
314 calculations corresponding to the design and operation of the bioprocess in the  
315 fermentation section of the sugarcane-sweet sorghum juices based biobutanol plant model  
316 performed with Aspen Plus.

317

318

319 3.2. Repeated-batch fermentations

320

321 The capacity of *C. beijerinckii* DSM 6423 to be reused in repeated-batch IBE  
322 fermentations of a mixture of industrial juices of sugarcane and sweet sorghum was  
323 evaluated to determine if the cells could be reused after the end of a batch fermentation  
324 or if they degenerate due to long exposure to butanol. An initial batch fermentation  
325 showed that the process finished at 48 h, when the total solvent concentration was 11.8  
326 g/L and sugar conversion 72%. Solvents yield and productivity were 0.21 g/g and 0.21  
327 g/Lh, respectively. Therefore, repeated-batch fermentations were performed every 48 h.  
328 Final acids and solvents concentrations obtained for each of the fermentation sets are  
329 shown in Figure 4. Table 2 shows the biomass concentration and the butanol and IBE  
330 productivities obtained for each of the batches.

331 A total solvents concentration in the range 7.4-16.7 g/L (4.1-10.5 g/L of butanol)  
332 was observed until the seventh batch fermentation. IBE productivities were in the range  
333 0.12-0.32 g/Lh. Acetic and butyric acids were also produced (1.6-2.2 and 0.2-0.5 g/L,  
334 respectively). Biomass concentration varied between 1.0 and 3.3 g/L. As expected, low  
335 cell motility was observed after 48 h.

336 In the second batch of the set 1, very low solvents concentration was observed (<  
337 1.5 g/L) possibly due to “acid crash” phenomenon. Acetic and butyric acids  
338 concentrations were higher (2.3 and 1.8 g/L, respectively). From the seventh batch  
339 onwards, solvent production decreased significantly. Acetic acid concentrations were  
340 higher (2.1-2.2 g/L) and no biomass growth was observed (< 0.3 g/L).

341 Repeated-batch fermentations from a glucose-based medium (60 g/L) using *C.*  
342 *beijerinckii* DSM 6423 immobilized on natural sugarcane bagasse was recently reported  
343 by Vieira et al. [49]. They found that IBE production was not stable in repeated batches

344 and that IBE yield generally decreased throughout batches. Butanol concentrations  
345 decreased from 5.4-6.2 g/L to 1.1-2.6 g/L after three batches for fermentations of 55 h.  
346 This behavior was attributed to cell degeneration due to long exposure to butanol. For this  
347 reason, they reduced the fermentation time from 55 to 36 h and carried out seven repeated  
348 batches (257 h). Butanol concentrations in the range 1.5-8.6 g/L, IBE concentrations in  
349 the range 3.9-14.3 g/L, and IBE productivities in the range 0.11-0.27 g/Lh were reached,  
350 which were similar to those obtained in this work. In the present work, higher butanol and  
351 IBE concentrations were found in some batches using an industrial medium (10.5 and  
352 16.7 g/L respectively).

353         Although more studies are needed to understand the changes in the metabolism of  
354 *C. beijerinckii* DSM 6423, the results showed that the cells could be reused for a period  
355 of approximately 288 h (6 cycles of 48 h), saving operational costs due to the development  
356 of inoculum. Results were incorporated in the butanol plant model for the energy  
357 consumption estimation.

358

### 359 3.3. Fermentation with *in situ* gas stripping

360

361         Batch fermentation coupled with butanol extraction by *in situ* gas stripping was  
362 performed to alleviate butanol inhibition. The average solvent concentration obtained in  
363 the condensate after the use of gas stripping was: isopropanol 47 g/L, butanol 33 g/L, and  
364 ethanol 5 g/L. Neither acetic nor butyric acids were detected in the condensate.

365         The separation efficiency of gas stripping for isopropanol, butanol and ethanol  
366 was 53, 49 and 41% during the fermentation and 21, 32 and 21% during 40 h of gas  
367 stripping post-fermentation, respectively. The overall gas stripping separation efficiency  
368 for isopropanol, butanol and ethanol was 63, 60 and 60%, respectively.

369 Other results of batch fermentations with *in situ* gas stripping have been reported  
370 by Rochón et al. [41].

371

### 372 3.4. Pervaporation assays

373

374 Since the one-stage butanol recovery process by *in situ* gas stripping is not  
375 efficient enough to concentrate butanol at a high level [14], in this study it is proposed to  
376 use a second stage of recovery by pervaporation for further purification.

377 Figures 5a and 5b show the solvent concentration profiles on the feed side (gas  
378 stripping condensate as feedstock) of PDMS membrane and solvents flux *vs* its retentate  
379 concentrations, respectively. Butanol concentration on the feed side decreased  
380 significantly from 36 to 13 g/L, isopropanol decreased from 46 to 31 g/L and ethanol  
381 scarcely permeated. This behavior was expected because of the selective separation of  
382 volatile organic compounds by the PDMS membrane [39].

383 At the beginning of the pervaporation, butanol and IBE fluxes were 100 and 134  
384 g/hm<sup>2</sup>, respectively, which decreased to 39 and 52 g/hm<sup>2</sup> after 38 h due to the decrease in  
385 their retentate concentrations. Isopropanol and ethanol fluxes were lower (9-32 and 1-2  
386 g/hm<sup>2</sup>, respectively). Separation factor for butanol varied in the range 50-78, while  
387 isopropanol and ethanol values were stable at less than 6. The hydrophobic characteristic  
388 of the PDMS contributed to the high selectivity for butanol and the low selectivity for  
389 isopropanol and ethanol. Kiebllich et al. [32] have studied *in situ* butanol removal from  
390 PBE (1,3-propanediol-butanol-ethanol) fermentation process by pervaporation obtaining  
391 a separation factor of 40 with a PDMS membrane (Pervap 4060) at 50 °C. They also  
392 reported a butanol flux of 517.3 g/m<sup>2</sup>h and a butanol concentration of 328 g/L when

393 operated at 50 °C and a feed flow rate of 4 L/min at a feed butanol concentration of 11  
394 g/L demonstrating the potential of butanol removal by pervaporation.

395         Xue et al. [18] have studied an integrated ABE fermentation-gas stripping-  
396 pervaporation process. They reported that the performance and efficiency of the  
397 membrane were greatly affected by the solvent concentrations in the retentate. However,  
398 a clear correlation between butanol concentration in the retentate and permeate was not  
399 observed (Figure 5c). This could be due to adsorption of butanol into the tube and  
400 membrane, and possibly desorption in different time periods. Permeate average  
401 concentrations obtained were 140, 559 and 10 g/L of isopropanol, butanol and ethanol,  
402 respectively. Butanol and ethanol concentrations were similar to those reported by Xue  
403 et al. [18] for a similar process using ABE as feed solution. The results showed that the  
404 membrane was effective in recovering butanol if a high butanol concentration feed was  
405 used.

406         Table 3 presents the solvents concentration obtained by different authors. The  
407 experimental results are compared with those obtained for ABE fermentation, since to  
408 authors' knowledge there is no data in the literature for IBE fermentation using a two-  
409 stage *in situ* recovery process. The butanol concentration reached in this study (559 g/L)  
410 was the highest and total solvent concentration was relatively high compared to those  
411 obtained by the other authors for ABE fermentation. Furthermore, to the author's  
412 knowledge, total IBE concentration obtained (712 g/L) was the highest reported in the  
413 literature. The two-stage gas stripping-pervaporation separation process provides a high  
414 IBE concentration and, therefore could be a more efficient promising system than  
415 conventional systems.

416         The separation efficiency (solvent in permeate-solvent in retentate ratio) were 16,  
417 82 and 8% for isopropanol, butanol and ethanol, respectively. The losses of products

418 could be mainly attributed to sampling and solvent adsorption on tubes and membrane.  
419 In addition, it should be noted that there are solvents present in the feed solution (31, 13  
420 and 5 g/L of isopropanol, butanol and ethanol, respectively) at the end of the  
421 pervaporation process (38 h). Longer times are required for pervaporation assays in these  
422 conditions to achieve complete removal of solvents.

423

### 424 3.5. Energy consumption

425

426 The energy consumption of an industrial plant that produces IBE from the  
427 industrial sugarcane-sweet sorghum juices through a batch fermentation strategy was  
428 evaluated. Gas stripping was coupled to the fermentation as an *in-situ* recovery technique  
429 followed by pervaporation for further product purification. As already mentioned,  
430 experimental results presented above were used throughout the simulation (kinetic  
431 parameters, batch and repeated batch fermentation, *in situ* gas stripping and pervaporation  
432 results). Since the kinetic model did not consider neither isopropanol nor ethanol  
433 production, experimental yield values obtained in the batch fermentation were used  
434 ( $Y_{\text{isopropanol/S}} = 0.07 \text{ g/g}$ ,  $Y_{\text{butanol/S}} = 0.26 \text{ g/g}$ ,  $Y_{\text{ethanol/S}} = 0.01 \text{ g/g}$ ) [8].

435 The energy required by the process was covered by the energy generated by  
436 burning the bagasse. Butanol and IBE recovery stages presented the higher energy  
437 consumption of the process (Table 4). They presented an energy consumption of 29.63  
438 and 22.66 GJ/m<sup>3</sup>, for butanol and IBE production process, respectively, which are higher  
439 than the estimated value reported by Cai et al. [39] (20.1 GJ/m<sup>3</sup><sub>butanol</sub>) for ABE production  
440 with a similar recovery process (gas stripping-pervaporation-distillation). Pyrgakis et al.  
441 [50] evaluated different scenarios for butanol production through IBE fermentation with  
442 gas stripping coupled to adsorption/desorption and condensation methods. The scenarios

443 consisted in three different product portfolios with adsorption as the recovery method and  
444 one portfolio for IBE production with condensation as recovery method. They concluded  
445 that condensation was not sustainable due to the high energy cost that is required for the  
446 recovery of alcohols. Grisales-Diaz and Tost [51] have recently reported an alternative  
447 distillation system for IBE recovery with an energy requirement between 5.3 and 6.6  
448  $\text{GJ/m}^3_{\text{IBE}}$ , which is approximately half of that obtained in this work ( $11.8 \text{ GJ/m}^3_{\text{IBE}}$ ). This  
449 could probably be due to the alternative efficient distillation system proposed in their  
450 work, which is a combination of azeotropic and extractive distillation.

451 Butanol production by ABE fermentation from sugarcane-sweet sorghum juices  
452 in a similar plant and process configuration was evaluated previously [43]. The total  
453 energy consumption of the butanol plant by IBE fermentation was 15% higher than that  
454 through ABE fermentation. One reason could be the higher energy consumption in the  
455 distillation, since it involves more distillation columns. However, if the IBE mixture is  
456 considered as the final product, the energy consumption was lower (12%).

457 A mass balance of the overall process for biobutanol production from sugarcane  
458 and sweet sorghum juices was performed. Isopropanol, butanol and ethanol production  
459 were 2670, 9920 and 380 ton/year. Butanol and solvents yield of 25 and 32 g per kg of  
460 juices, respectively, were reached by IBE fermentation whereas 19 g butanol per kg of  
461 juices was obtained by ABE fermentation.

462 Regarding the two scenarios evaluated, as it was expected, the energy  
463 consumption was lower (23%) when the IBE mixture was considered as the final product  
464 (Table 4). Calorific value (lower heating value) of the IBE mixture was calculated as 26.1  
465  $\text{GJ/m}^3$  based on data reported by Yanowitz et al. [52] for an I:B:E mass solvent relation  
466 produced of 7:26:1. Unfortunately, both scenarios presented an energy consumption  
467 higher than their calorific value, which suggests that improvements should be made in the

468 IBE production process from sugarcane-sweet sorghum juices either by genetic  
469 engineering of the strain or by improvements in the fermentation and purification  
470 processes.

471

#### 472 **4. Conclusions**

473

474 The integrated gas stripping-pervaporation process utilized was successful in  
475 terms of condensate concentrations obtained (140, 560, and 10 g/L for isopropanol,  
476 butanol and ethanol, respectively). A modified Monod kinetic model with terms of  
477 product inhibition and bacterial death showed satisfactory agreement with the  
478 experimental data obtained with *C. beijerinckii* DSM 6423 in terms of cell growth, sugar  
479 consumption, and butanol production which could be used in models for the design and  
480 control of an IBE fermentation. *C. beijerinckii* DSM 6423 could be used in repeated-batch  
481 fermentations, saving operational costs due to inoculum development although more in-  
482 depth studies are required in order to have a more predictable performance. Kinetic  
483 parameters and experimental data were used to estimate the energy consumption of the  
484 sugarcane-sweet sorghum IBE production process. It was found that although the IBE  
485 production process showed less energy consumption than the butanol production process  
486 by ABE fermentation, a substantial improvement is still necessary for the process to be  
487 energetically/economically attractive.

488

#### 489 **CRedit authorship contribution statement**

490

491 **Eloísa Rochón:** Conceptualization, Methodology, Validation, Formal analysis,  
492 Investigation, Writing-original draft., Visualization. **Gastón Cortizo:** Validation,

493 Investigation. **María Inés Cabot**: Validation, Investigation. **María Teresa García**  
494 **Cubero**: Resources, Visualization, Supervision, Writing-review & editing. **Daniel**  
495 **Ferrari**: Conceptualization, Methodology, Validation, Visualization, Writing-review &  
496 editing. **Mónica Coca**: Visualization, Supervision, Writing-review & editing. **Claudia**  
497 **Lareo**: Conceptualization, Methodology, Validation, Formal analysis, Resources,  
498 Visualization, Supervision, Project administration, Funding acquisition, Writing-review  
499 & editing.

500

#### 501 **Declaration of interests**

502

503 The authors declare that they have no known competing financial interests of  
504 personal relationships that could have appeared to influence the work reported in this  
505 paper.

506

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702

703

704 **Figure captions**

705

706 **Figure 1.** Schematic diagram of the integrated process: batch fermentation-gas stripping-  
707 pervaporation.

708 **Figure 2.** Simplified flow diagram of the isopropanol, butanol and ethanol production  
709 from sugarcane and sweet sorghum juices in Aspen Plus®.

710 **Figure 3.** Glucose, biomass and butanol concentration profiles during a batch  
711 fermentation of the industrial juices. Experimental (symbols); simulated (lines).

712 **Figure 4.** Solvents and acetic and butyric acid concentrations for repeated-batch  
713 fermentations of *C. beijerinckii* DSM 6423 at 48 h using a mixture of industrial juices of  
714 sugarcane and sweet sorghum. a) set 1: b) set 2.

715 **Figure 5.** Performance of the pervaporation process. a) solvent concentration profile in  
716 the feed; b) solvent flux as a function of their concentration in the retentate; c) solvent  
717 concentration on the permeate side.

718

719 **Tables**720 **Table 1.** Kinetic model parameters.

Parameter	Unit	Value
$\mu_m$	$h^{-1}$	0.23
$K_s$	g/L	2.0
$Y_{X/S}$	g/g	0.09
$Y_{P/S}$	g/g	0.22
$K_p$	g/L	9.7
$k_d$	$h^{-1}$	0.03
a		2.1
$R^2_X$	-	0.97
$R^2_S$	-	0.99
$R^2_P$	-	0.99

721

722  $R^2_X$ ,  $R^2_S$ ,  $R^2_P$  are coefficient of determination for Eq. (1), Eq. (2) and Eq. (3), respectively  
723 [14].

724 **Table 2.** Repeated-batch fermentation parameters of *C. beijerinckii* DSM 6423 at 48 h.

Set 1				Set 2			
Batch number	Butanol productivity (g/Lh)	IBE productivity (g/Lh)	X (g/L)	Batch number	Butanol productivity (g/Lh)	IBE productivity (g/Lh)	X (g/L)
1	0.13	0.19	1.7	1	*	*	1.0
2	*	*	**	2	0.18	0.27	2.5
3	0.17	0.25	3.3	3	0.08	0.12	2.7
4	0.18	0.25	1.9	4	0.15	0.21	2.1
5	0.13	0.29	**	5	0.18	0.26	**
6	0.21	0.32	2.8	6	0.15	0.26	1.4
7	0.20	0.28	3.2	7	*	*	**
8	*	*	**	8	*	*	**

725 (\*) not calculated. Butanol and IBE concentration produced at the end of the batch was  
 726 less than 0.05 g/L, and 0.15 g/L, respectively.

727 (\*\*) not measured.

728

**Table 3.** Comparison of the solvent concentration obtained in the condensate by ABE and IBE fermentations using different two-stage separation processes.

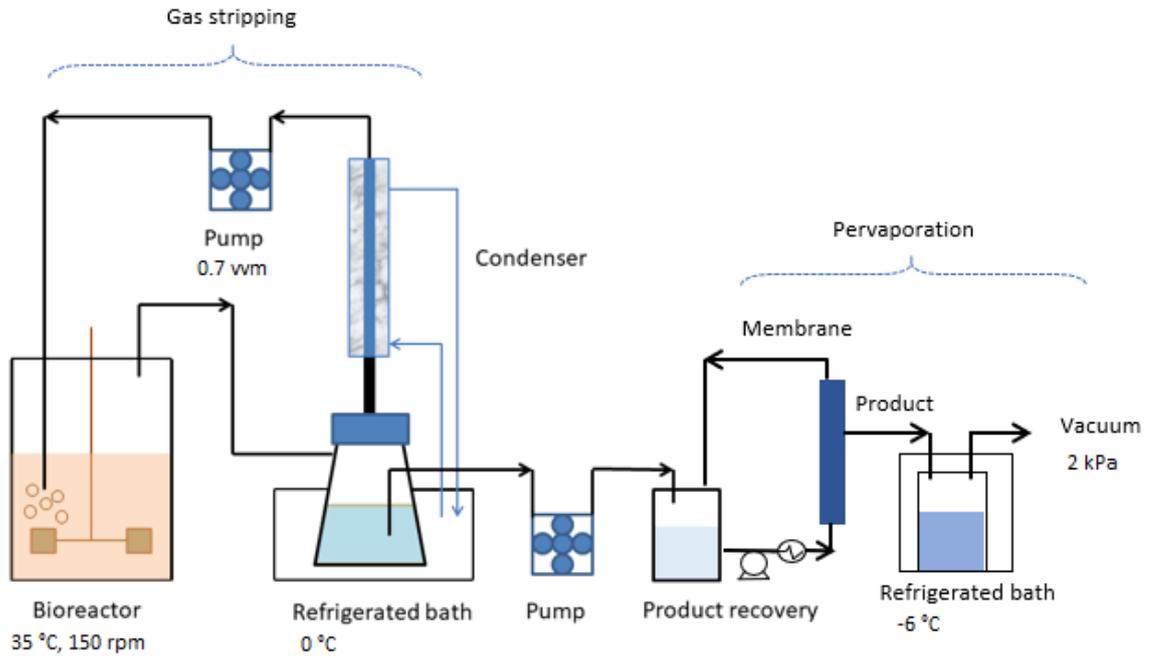
Strain	Substrate	Fermentation strategy	Strategy	Acetone (g/L)	Isopropanol (g/L)	Butanol (g/L)	Ethanol (g/L)	Total solvents (g/L)	Reference
<i>C. acetobutylicum</i> JB200	Glucose	Batch with immobilized cells	Two stage gas stripping	94.0	na	420.3	18.0	532.3	[9]
<i>C. acetobutylicum</i> JB200	Glucose	Fed batch with immobilized cells	Gas stripping-pervaporation	91.5	na	521.3	10.1	622.9	[18]
<i>C. acetobutylicum</i> ABE 1401	Glucose	Fed batch with immobilized cells	Gas stripping-pervaporation	169.9	na	482.5	54.2	706.7	[39]
<i>C. acetobutylicum</i> ABE 1201	Glucose	Continuous	Two stage pervaporation	304.6	na	451.9	26.0	782.5	[40]
<i>C. acetobutylicum</i> ABE 1201	Sweet sorghum bagasse	Batch	Gas stripping-salting out	203.5	na	520.3	23.8	747.6	[53]
<i>C. beijerinckii</i> DSM 6423	Sugarcane-sweet sorghum	Batch	Gas stripping-pervaporation	na	140.0	558.9	10.0	712.4	This study

na: not applicable

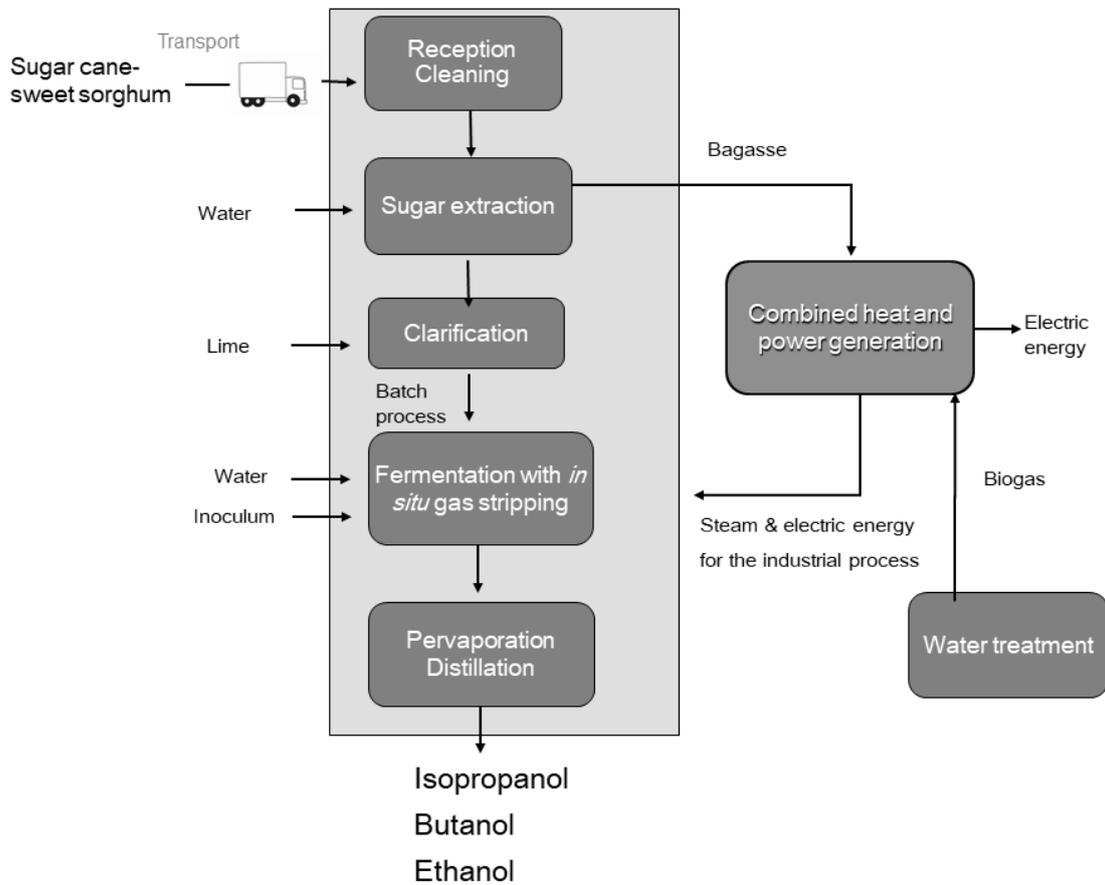
1 **Table 4.** Energy consumption for butanol and IBE production from sugarcane-sweet  
 2 sorghum juices.  
 3

Stages of the process	Energy consumption	
	Butanol production (GJ/m <sup>3</sup> <sub>butanol</sub> )	IBE production (GJ/m <sup>3</sup> <sub>IBE</sub> )
Transport	0.85	0.65
Milling	1.26	0.97
Clarification	9.91	7.58
Inoculum development and fermentation	0.41	0.31
Recovery	29.63	22.66
Water treatment	0.32	0.25
Total	42.38	32.41

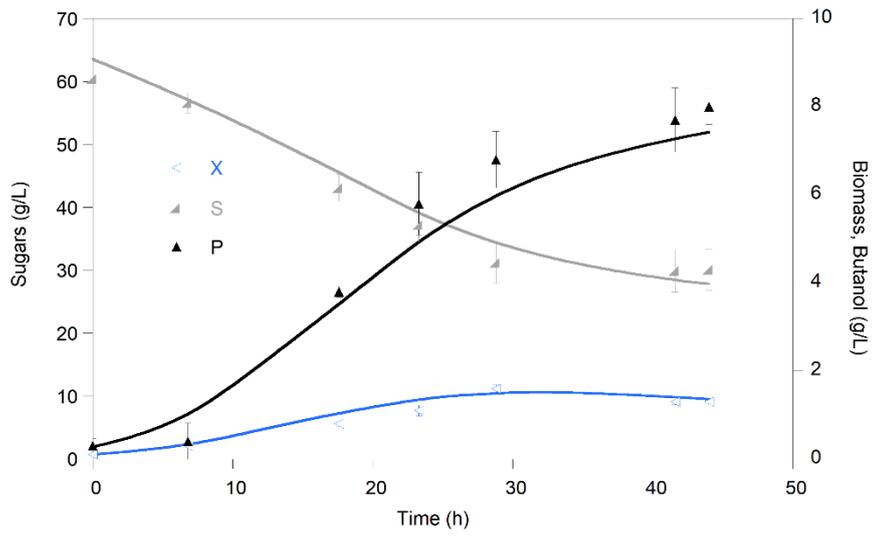
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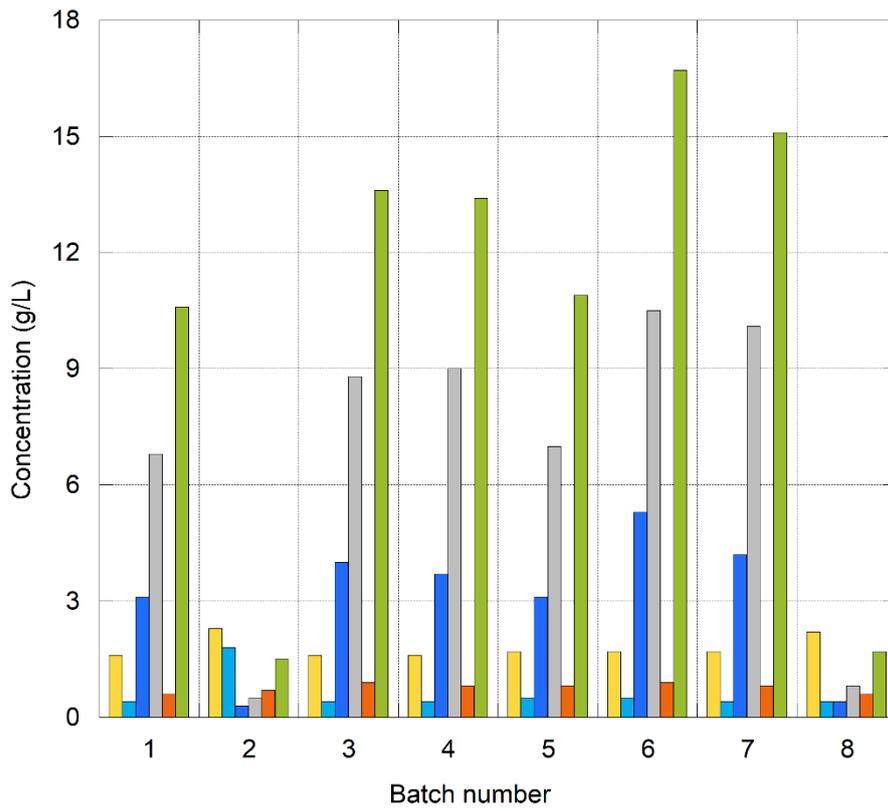


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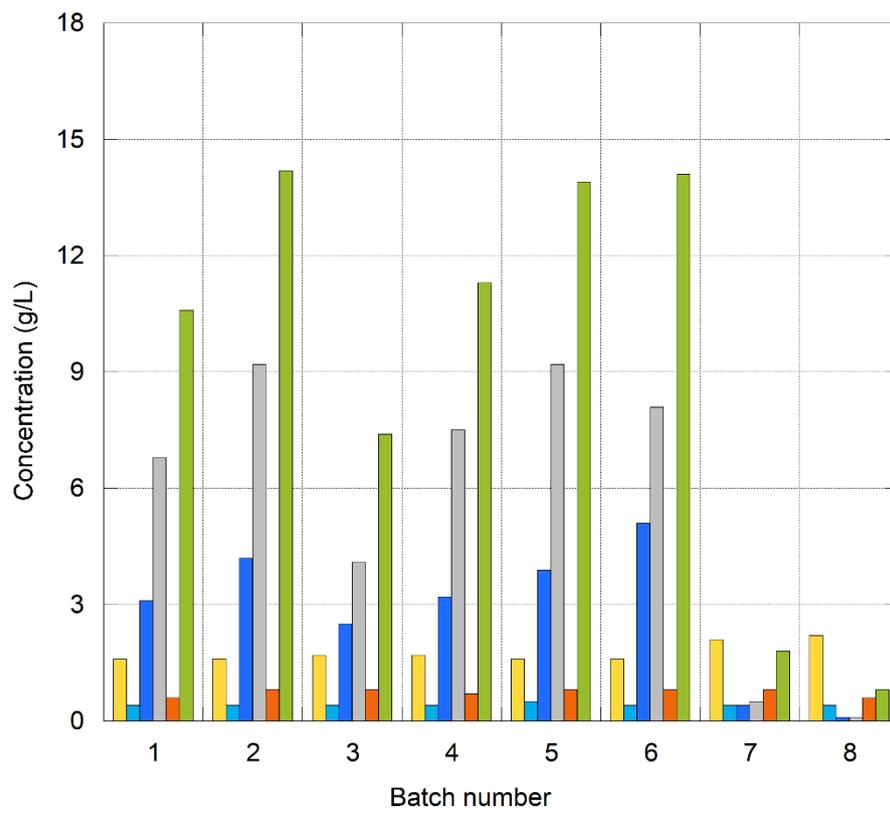
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A)



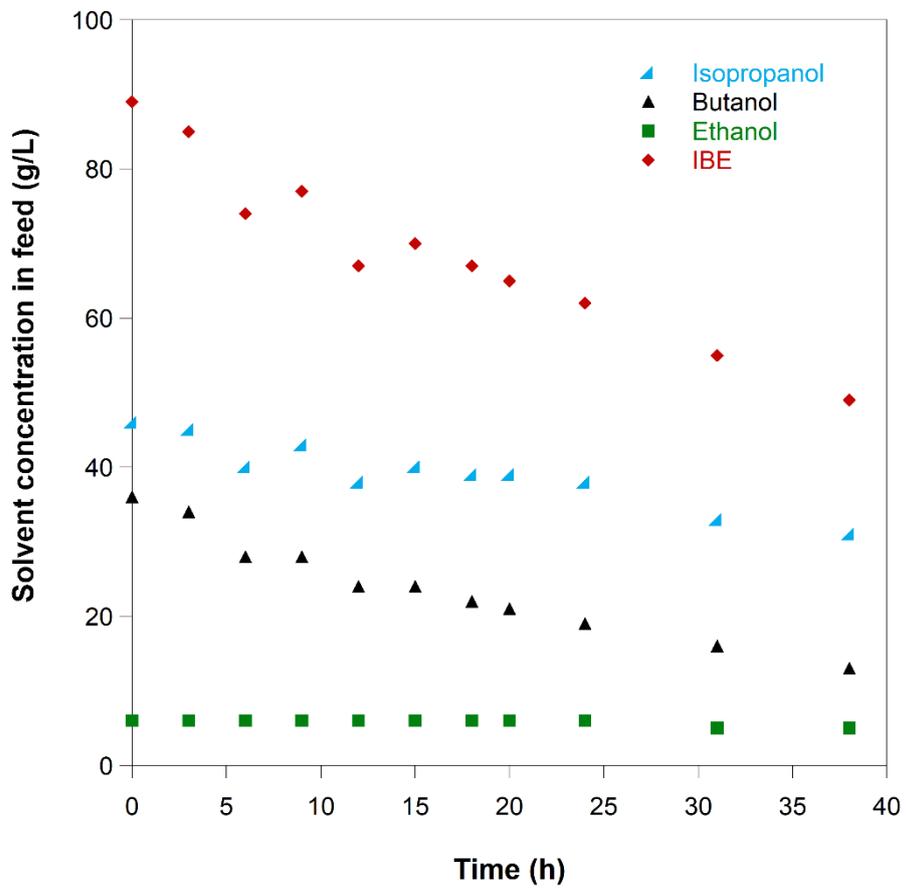
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B)



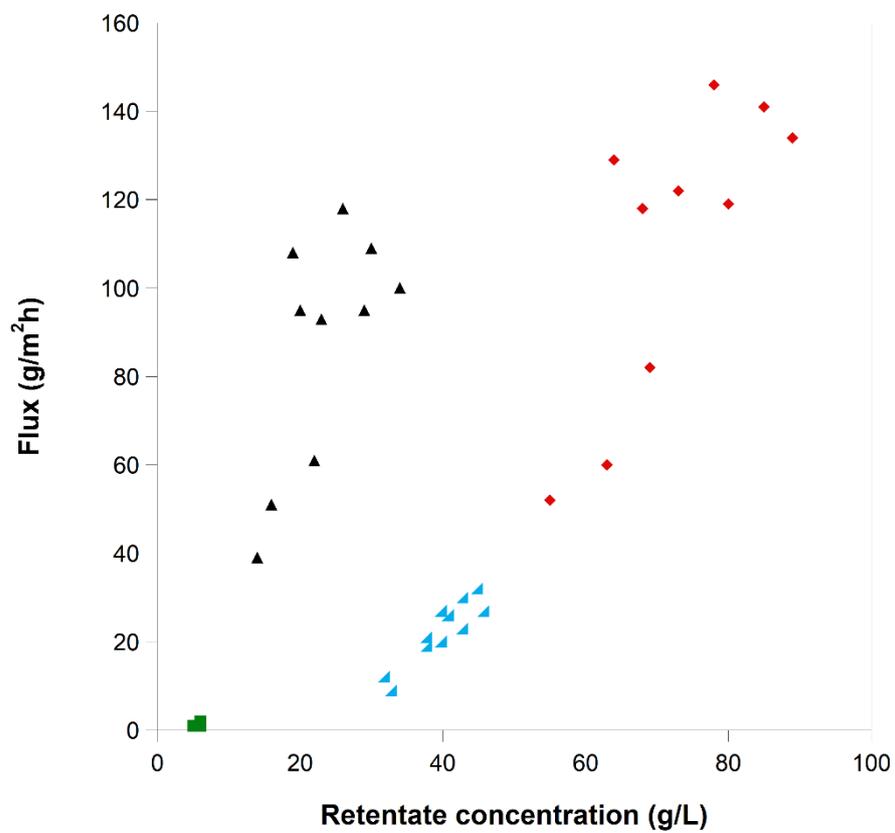
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A)



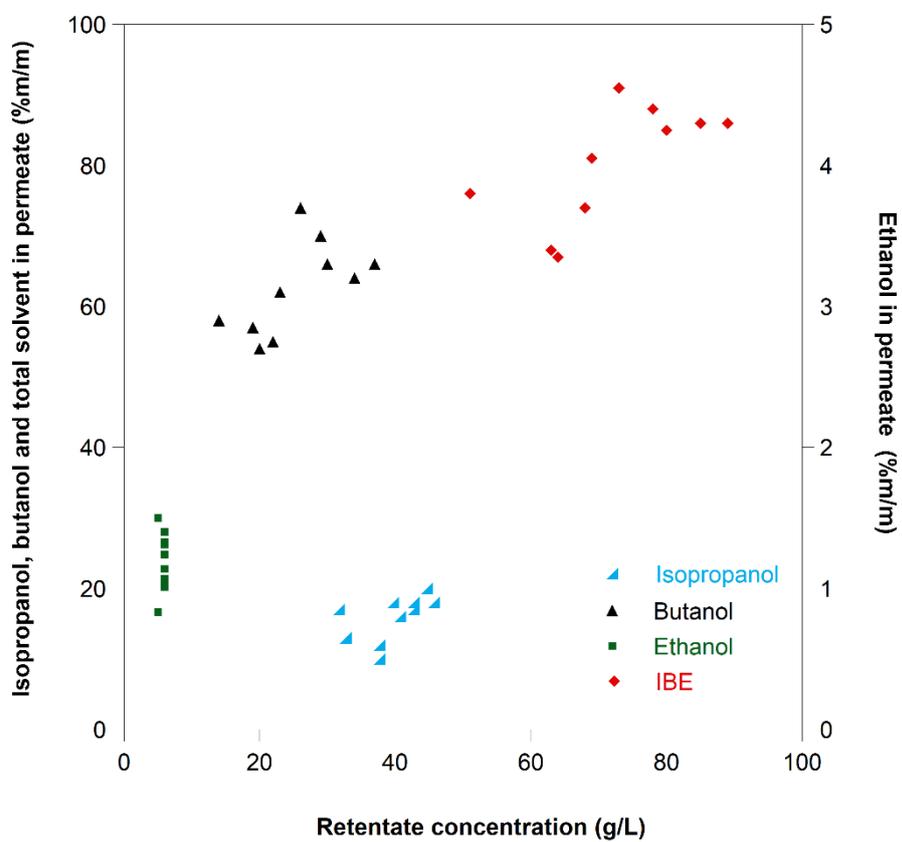
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B)



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C)



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