

1 **Bioprocess intensification for isopropanol, butanol and ethanol (IBE) production by**
2 **fermentation from sugarcane and sweet sorghum juices through a gas stripping-**
3 **pervaporation recovery process**

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14
15 **ABSTRACT**

16
17 Butanol and isopropanol are important commodity chemicals with a variety of
18 applications. One of the main obstacles for biobutanol production by IBE (isopropanol-
19 butanol-ethanol) fermentation is the intensive energy consumption for product recovery
20 by conventional distillation due to low butanol titer in fermentation broth caused by
21 butanol toxicity to cells. In the present study, butanol production by batch IBE
22 fermentation coupled to an *in situ* gas stripping-pervaporation process to recover the
23 butanol is proposed using *Clostridium beijerinckii* DSM 6423 and a mixture of sugarcane-
24 sweet sorghum juices as substrate. Gas stripping was used to continuously remove
25 butanol from the fermentation broth, followed with pervaporation to further concentrate

26 butanol. The strategy used allows alleviating butanol inhibition and to recuperate a
27 condensate containing high butanol concentration (559 g/L). A kinetic model describing
28 butanol production by IBE fermentation was developed. Kinetic parameters and
29 experimental data were used to estimate the energy consumption of the sugarcane-sweet
30 sorghum IBE production process. It was found that although the IBE production process
31 showed less energy consumption (15%) than the butanol production process by ABE
32 (acetone-butanol-ethanol) fermentation, a substantial improvement is still necessary for
33 the process to be energetically/economically attractive.

34

35 **Keywords:** biobutanol, gas stripping, IBE fermentation, pervaporation, sugarcane, sweet
36 sorghum

37

38 **1. Introduction**

39

40 There is growing interest in the production of chemicals and fuels from renewable
41 resources due to climate change, global warming and energy security [1]. *n*-Butanol is a
42 four-carbon alcohol known both as an advanced biofuel and as a commodity chemical. It
43 can be produced through acetone-butanol-ethanol (ABE) or isopropanol-butanol-ethanol
44 (IBE) fermentation in which a solvent mixture is produced. The co-production of acetone
45 in the ABE process is not desirable because is corrosive to rubber engine parts and has
46 poor fuel properties [2]. Butanol production through ABE fermentation has also been
47 considered economically risky due to a potential oversupply of acetone [3]. Alternatively,
48 isopropanol can be produced instead of acetone by some *Clostridium* species. Isopropanol
49 is an important commodity chemical with a variety of applications and the solvent mixture

50 produced by fermentation (IBE) can be used as a fuel [4–6]. The microorganism best
51 known as natural IBE producer is *Clostridium beijerinckii* DSM 6423 [3,4,7,8].

52 Major challenges in biobutanol production are the cost of the raw material and the
53 intensive energy consumption in product recovery stages of the entirely IBE production
54 process [9–11]. Sugarcane and sweet sorghum are crops whose juices contain high
55 amounts of soluble fermentable sugars, and many essential nutrients for microbial growth
56 [8,12]. Both, mainly sugarcane, are currently used for fuel bioethanol production in
57 Uruguay. Furthermore, a residue (bagasse) is produced when juices are extracted, which
58 can be burnt for steam production to meet the energy demand of industrial processes
59 [13,14]. The low butanol concentrations that are reached in the fermentation broth due to
60 cellular toxicity or product inhibition, requires a high energy consumption in the product
61 recovery [15–17]. Alternative separation technologies have been studied to coupled
62 butanol production with an *in situ* extraction method to mitigate butanol inhibition [18–
63 20], such as liquid-liquid extraction [21], gas stripping [22,23], pervaporation [24,25],
64 and flash vacuum [26].

65 Among butanol recovery methods, gas stripping and pervaporation are the most
66 promising alternatives, and both have advantages and disadvantages. Gas stripping allows
67 the removal of volatiles from the fermentation broth, does not requires chemicals or
68 membranes, its operation is simple and does not harm the culture [16,27–29]. Its main
69 disadvantage is its low selectivity [30]. Pervaporation is a separation process in which a
70 feed solution is in contact with one side of the membrane, and the permeate is removed
71 as a low-pressure vapor on the other side. The driving force is given by a vacuum system
72 on the permeate side [19,31,32]. It presents high selectivity and less energy requirement
73 [18,30]. The main disadvantage of pervaporation is the operating cost due to membrane
74 fouling when used as an *in-situ* extraction method because of the presence of cells,

75 residual sugars and other components of the fermentation broth. While sugar conversion
76 could be improved by extracting butanol with an *in-situ* extraction method, obtaining
77 higher butanol concentrations with low energy consumption remains the challenge. By
78 using both methods, their advantages could be combined and enhanced. In the present
79 study, an integrated *in situ* gas stripping-pervaporation process is proposed, where gas
80 stripping is used to continuously remove butanol from fermentation broth, followed by
81 pervaporation to further condense butanol.

82 The energy consumption of several industrial processes has been successfully
83 modeled and predicted using computer simulations. Various researchers have reported
84 models for butanol production by ABE fermentation using Aspen Plus software from
85 different raw materials such as sugarcane, sugar cane molasses, and corn [33–38]. Some
86 researchers have specifically studied the use of energy of the butanol purification stages.
87 Mariano et al. [17] have evaluated flash fermentation technology whereas Cai et al.
88 [39,40] evaluated the use of energy of a gas stripping-distillation, gas stripping-
89 pervaporation-distillation and two stage pervaporation-distillation processes. However,
90 there are no energy evaluations for the butanol production by IBE fermentation from
91 sugarcane and sweet sorghum juices reported in the literature to the authors' knowledge.

92 In this work, butanol production by batch IBE fermentation coupled to an *in situ*
93 gas stripping-pervaporation process to recover the butanol was evaluated using *C.*
94 *beijerinckii* DSM 6423 and a mixture of industrial sugarcane-sweet sorghum juices as
95 substrate. Repeated-batch fermentations were also carried out. A kinetic model describing
96 butanol production by IBE fermentation was developed. The kinetic parameters obtained
97 and the experimental data of raw material composition, batch and repeated-batch
98 fermentations and purification stages, were combined into a model to estimate the energy
99 consumption of the integrated process using Aspen Plus software.

100

101 **2. Materials and methods**

102

103 *2.1. Experimental assays*

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105 *2.1.1. Medium, microorganism and inoculum preparation*

106

107 A mixture of industrial sugarcane and sweet sorghum juices, 75 and 25%,
108 respectively, provided by Alur-Bella Union, Uruguay, was utilized as culture medium.
109 The microorganism used was *C. beijerinckii* DSM 6423. The inoculum preparation using
110 the industrial sugarcane-sweet sorghum juices is described elsewhere, as well as the
111 composition of the juices used [41].

112

113 *2.1.2. Batch fermentation without and with in situ gas stripping*

114

115 Fermentation experiments were performed in a 5 L-bioreactor (Infors HT,
116 Switzerland) containing 2.5 L of industrial juices diluted to reach a total sugar
117 concentration of 60 g/L (expressed in glucose equivalents) and supplemented with yeast
118 extract (1 g/L). The pH was initially adjusted to 6.0 ± 0.1 with NaOH 1 M, and the
119 medium was swept with O₂-free N₂ (Linde, Uruguay), over the headspace of the
120 bioreactor, followed by sterilization at 121 °C for 15 min. When it reached room
121 temperature, 1% (v/v) of filter-sterilized buffer and mineral P2 stock solutions and a
122 commercial vitamin complex solution (Dispert ®, 1% (v/v)) were added. The P2 buffer
123 and mineral solutions contained: K₂HPO₄ 50 g/L, KH₂PO₄ 50 g/L, ammonium acetate
124 220 g/L and MgSO₄·7H₂O 20 g/L, MnSO₄·H₂O 1 g/L, FeSO₄·7H₂O 1 g/L, NaCl 1 g/L,

125 respectively. The vitamin complex solution composition was: thiamine mononitrate 0.12
126 g/L, riboflavin 0.020 g/L, pyridoxine hydrochloride 0.020 g/L, calcium pantothenate
127 0.061 g/L, niacinamide 0.61 g/L, and excipient qs. The bioreactor was inoculated with
128 8% (v/v) highly active, motile cells and the fermentation was carried out at 150 rpm and
129 35 °C. Samples were withdrawn at regular intervals for sugars, products, and optical
130 density analysis.

131 The fermentation with *in situ* gas stripping was conducted in the bioreactor
132 containing 1.5 L of the medium. The experimental set-up is detailed by Rochón et al. [41].

133

134 2.1.3. Repeated-batch fermentations

135

136 Repeated-batch IBE fermentations of the industrial juices were performed in
137 bottles of 250 mL with 100 mL of medium. The industrial juice mixture was diluted to
138 reach a total sugar concentration of 55-60 g/L and supplemented with yeast extract (1
139 g/L). The pH was adjusted initially to 6.0 ± 0.1 . The medium was swept with O₂-free N₂
140 over the headspace of the bottles. It was sterilized at 121 °C during 15 min. On cooling
141 to room temperature, 1% (v/v) of filter-sterilized P2 stock solutions and vitamin complex
142 Dispert® were added, followed by inoculation with 8% (v/v) highly motile cells. The
143 bottles were incubated in an orbital shaker (Infors HT Ecotron, Switzerland) at 150 rpm
144 and 35°C. At the end of each batch fermentation (48 h), 8 mL of the culture were taken
145 and inoculated into a bottle containing 92 mL of fresh medium (8% v/v). Two sets of
146 repeated-batch fermentations were carried out.

147

148 2.1.4. Pervaporation assays

149

150 Pervaporation assays were done with a polydimethylsiloxane (PDMS) membrane
151 with a total surface area of 50 cm² (Pervatech BV, the Netherlands). The feed solution
152 was heated to 70 °C and circulated at a flow rate of 50 mL/min. The pressure on the
153 permeate side was maintained at ~ 2 kPa by a vacuum pump IDP-3 (Agilent
154 Technologies, USA) monitored by a vacuum gauge. The permeated vapor was condensed
155 at -6 °C in vacuum traps immersed in a refrigerated circulating bath.

156 An IBE aqueous solution with the same condensate composition as that obtained
157 from a batch fermentation of sugarcane-sweet sorghum juices coupled with *in situ* gas
158 stripping using *C. beijerinckii* DSM 6423, was used as the feed solution. A schematic
159 diagram of the integrated reactor set up is shown in Figure 1. Samples of both retentate
160 and permeate were withdrawn every 3 h until 20 h and every 48 h until 38 h for solvent
161 analysis.

162

163 2.1.5. Analytical methods

164

165 Isopropanol, butanol and ethanol from the gas stripping assays, batch, repeated-
166 batch fermentation and fermentation with *in situ* gas stripping, both in the fermentation
167 broth and in the gas stripping condensate, were measured with a gas chromatograph (GC,
168 Shimadzu GC-2010) equipped with a flame ionization detector and a fused silica column
169 (RTX®-Wax, 30 m long, 0.5 µm film thickness and 0.32 mm ID, Restek). Sugars were
170 determined by HPLC (Shimadzu, Kyoto, Japan) using an Aminex 87-H column (Bio-Rad
171 Europe GmbH) at 45 °C, 0.01 N sulfuric acid as eluent at a flow rate of 0.3 mL/min and
172 a refractive index detector (RID).

173 Isopropanol, butanol and ethanol concentrations from pervaporation assays were
174 determined by HPLC using an Aminex 87-H column (Bio-Rad Europe GmbH) at 30 °C,

175 0.01 N sulfuric acid as eluent at a flow rate of 0.6 mL/min and a refractive index detector
176 (RID, Waters 2414).

177 In each sample, the total permeate mass was measured. Since all the permeates
178 presented phase separation, the mass of each of the phases was also measured using an
179 analytical balance.

180 To evaluate the pervaporation performances, the partial permeation flux of a given
181 component (J_i) and the separation factor were defined and calculated according to the
182 following equations [42]:

$$183 \quad J_i = \frac{W}{A \cdot t} \quad (1)$$

$$184 \quad \text{Separation factor} = \frac{\left(\frac{y}{1-y}\right)}{\left(\frac{x}{1-x}\right)} \quad (2)$$

185 where W is the weight of the permeated condensate (g), A is the PDMS membrane area
186 (m^2) and t is the operating time (h). x and y are the mass fractions of a given component
187 (isopropanol, butanol or ethanol) in the retentate and permeate samples of the
188 pervaporation system, respectively.

189

190 2.2. Process models

191

192 The modified Monod kinetic model with terms of product inhibition and bacterial
193 death [14] was used in this study to describe microbial growth, substrate consumption
194 and butanol production of an IBE fermentation. Therefore, the equations below were
195 developed as follows:

$$196 \quad \frac{dX}{dt} = \frac{\mu_m S}{K_s + S} X \left(1 - \frac{P}{K_p}\right)^a - k_d X \quad (3)$$

$$197 \quad -\frac{dS}{dt} = \frac{\mu X}{Y_{X/S}} = \frac{\mu_m S}{K_s + S} \left(1 - \frac{P}{K_p}\right)^a \frac{X}{Y_{X/S}} \quad (4)$$

$$198 \quad \frac{dP}{dt} = \frac{\mu X Y_{P/S}}{Y_{X/S}} = \frac{\mu_m S}{K_s + S} \left(1 - \frac{P}{K_p}\right)^a X \frac{Y_{P/S}}{Y_{X/S}} \quad (5)$$

199 where X is the dry cell weight (g/L), μ is the specific growth rate (h^{-1}), μ_m is the maximum
 200 specific growth rate (h^{-1}), S is the growth-limiting substrate concentration (g/L), K_s is the
 201 substrate saturation constant (g/L), k_d is the specific cell death rate (h^{-1}), P is the butanol
 202 concentration (g/L), K_p is the product concentration at which no cell growth occurs (g/L),
 203 a is the degree of product inhibition (-), $Y_{X/S}$ is the biomass yield coefficient (g/g) and $Y_{P/S}$
 204 is the butanol yield coefficient (g/g).

205 Parameter estimation was carried out using global optimization tools presented in
 206 MATLAB® software (MathWorks, Natick, MA, USA). The objective function was
 207 defined with the method of maximum-likelihood to minimize the differences between the
 208 experimental data obtained and the results of the model predictions. Fitting accuracy of
 209 the models was evaluated through analysis of coefficient of determination, R^2 .

210

211 2.3. Simulation methodology

212

213 2.3.1 Process Description

214

215 The facility processes 490 000 t of sugar cane and sweet sorghum per year (annual
 216 production in Uruguay) and works 180 days (24 h per day) per year since the crop is
 217 seasonally available. Isopropanol, butanol and ethanol purities were defined as 99.5%
 218 (w/w), 99.8% (w/w), and 88.4% (w/w), respectively. The solvent mixture presents a water
 219 concentration of $\sim 0.5\%$ which, according to literature, could be directly used as a fuel

220 [6]. In this way, the process could be evaluated as either butanol or IBE production
221 process. The simulated process can be grouped into juice treatment, fermentation with *in*
222 *situ* gas stripping, butanol or IBE recovery, and wastewater treatment. A detailed
223 description of juice treatment, inoculum development and wastewater treatment stages
224 was already done for ABE fermentation in a previous work [43].

225 Both sugarcane and sweet sorghum are sent to the industrial plant in trucks. The
226 transport energy consumption was estimated as 21 MJ/t from data reported for a
227 sugarcane ethanol production facility in Uruguay (average distance 20 km) [44]. The
228 material is transported to the mill by a conveyor belt. Water is added, the bagasse is
229 separated from the juice and sent to the boiler for steam generation. The pH is adjusted
230 to 7 by adding lime. The juice is heated at 105 °C by using two heat exchangers. Then
231 the juice is clarified in another tank where flocculant is added. The clarified juice is sent
232 to the fermenters which are subsequently inoculated by a direct transfer of a culture of *C.*
233 *beijerinckii* DSM 6423. Each seed train consists of bioreactors operating in batch mode
234 for 24 h at 35 °C. In the IBE fermentation stage, the inoculated cells are reutilized for a
235 period of no more than 288 h, in accordance to the results found in the repeated-batch
236 fermentations (section 3.2). Fermenters of 1700 m³ are used, a typical size of Uruguay
237 facility. RYield reactor type was used in the simulation. The fermenter temperature is
238 kept constant at 35 °C by pumping 2% of the medium through an external heat exchanger
239 [13]. Initial sugar concentration is fixed in 60 g/L to avoid substrate inhibition. Each
240 fermentation presents a duration of 84 h achieving a sugar consumption of 95% and a
241 biomass, isopropanol, butanol and ethanol concentrations of 5, 4, 15 and 1 g/L,
242 respectively. Gas stripping starts at 24 h when butanol concentration is approximately 5
243 g/L (butanol separator factor: 9). The off gasses (CO₂ /H₂) are then recycled at a flow rate
244 of 0.4 vvm (volume of gas/volume of medium min) and pass through the culture broth

245 until the fermentation is completed. Gas stripping is continued after the fermentation is
246 finished to recover butanol remaining in the fermentation broth. The fermented broth is
247 centrifuged to separate bacterial cells. Cells are reused in the next batch.

248 Regarding IBE purification section, it consists of a holding tank to store the
249 recovered condensate containing the IBE products which is then concentrated by a
250 pervaporation stage (butanol separator factor: 50). The energy consumption of the
251 pervaporation was calculated as reported by Vane [45]. The remaining water is removed
252 by a series of five distillation columns and a decanter. The first distillation column
253 separates an ethanol/isopropanol/water mixture from a butanol-water mixture. The
254 ethanol/isopropanol/water mixture is sent to another distillation column where ethanol is
255 separated from the top of the column. The isopropanol/water mixture is sent to a third
256 distillation column which separates isopropanol. Other two distillation columns and a
257 decanter separate the butanol/water mixture into butanol and water.

258 It is widely known that the application of many of the recovery technologies
259 allows only part of the desired product to be recovered. The separation efficiencies of the
260 recovery section, both for gas stripping during and post-fermentation and pervaporation
261 are detailed in sections 3.3. and 3.4. The amount of product remaining in the bioreactor,
262 not recovered by gas stripping after fermentation or by pervaporation, results in product
263 loss. The economic justification for incorporating a specific stage for its recovery could
264 depend on the scale of industrial plant. If it is not recovered, more substrate will be needed
265 to reach the determined production. For this reason, the *in-situ* recovery processes can be
266 complemented by incorporating the conventional process known as end of pipe [46]. In
267 some works, in which various *in-situ* removal methods are compared, it is assumed that
268 all processes have the same annual production and substrate consumption, but the
269 production will vary depending on the recovery efficiency of the process used. However,

270 to achieve a good economy, it should be considered that all products are recovered at
271 some stage of the process [47].

272 Based on the separation efficiencies obtained experimentally in this work, not all
273 the butanol, nor the rest of the solvents, are recovered after gas stripping and
274 pervaporation. To solve this, it was considered they were sent to another distillation
275 column to remove most of the water and other components present in the fermentation
276 medium. It then goes through various stages of distillation to achieve the desired purity
277 of butanol. For these stages, an estimated energy consumption was considered from the
278 data reported by Mariano et al. [17] and Vane and Alvarez [48].

279

280 *2.3.2 Process simulation*

281

282 The process was simulated using Aspen Plus® software (Aspen Technologies
283 Inc., Cambridge, MA version V8.8). The Aspen Plus model of the butanol/IBE
284 production plant was developed based on the results obtained in our laboratory for
285 fermentation, gas stripping and pervaporation stages presented in this work. Besides,
286 values from expert consultations were utilized in the clarification stage. Butanol and IBE
287 production scenarios were compared. Figure 2 shows a simplified flow diagram of the
288 process.

289 Due to the complexity of the process, two Aspen Plus® methods were used to
290 simulate the thermodynamic properties of the components. The non-random two liquid
291 method, Haiden O'Connell (NRTL-HOC) was used in most of the process as it is the most
292 suitable to evaluate the components properties (help from Aspen Plus® V 8.8; [37]). To
293 model the decanter used in the butanol purification stages, a variable of the universal

294 quasi-chemical method (UNIQUAC) called UNIQ2 was used as it is adequate to predict
295 liquid-liquid separations (help from Aspen Plus® V8.8; [48]).

296

297 **3. Results and discussion**

298

299 *3.1. Fermentation model*

300

301 Batch fermentation studies of *C. beijerinckii* DSM 6423 were performed with the
302 industrial juices. The Eqs. (1)-(3) fitted well to the experimental data (Figure 3). The
303 model allowed to describe biomass production, sugar consumption and butanol
304 production appropriately ($R^2_X = 0.97$, $R^2_S = 0.99$, $R^2_P = 0.99$). The model parameters and
305 coefficients of determination are presented in Table 1.

306 The maximum specific growth rate (μ_m) and biomass yield ($Y_{X/S}$) values
307 determined by the model were similar to those obtained for *C. acetobutylicum* DSM 792
308 in a glucose-based medium (0.23 h⁻¹ and 0.09 g/g, and 0.22 h⁻¹ and 0.11 g/g, for *C.*
309 *beijerinckii* DSM 6423 and *C. acetobutylicum* DSM 792, respectively) [14]. However, a
310 higher butanol yield ($Y_{P/S}$) was found, 0.22 and 0.19 g/g for *C. beijerinckii* DSM 6423
311 and *C. acetobutylicum* DSM 792, respectively. To the authors' knowledge, there are no
312 kinetic parameters for butanol production from an IBE fermentation using *C. beijerinckii*
313 DSM 6423 reported in literature for further comparison. These values were used in the
314 calculations corresponding to the design and operation of the bioprocess in the
315 fermentation section of the sugarcane-sweet sorghum juices based biobutanol plant model
316 performed with Aspen Plus.

317

318

319 3.2. Repeated-batch fermentations

320

321 The capacity of *C. beijerinckii* DSM 6423 to be reused in repeated-batch IBE
322 fermentations of a mixture of industrial juices of sugarcane and sweet sorghum was
323 evaluated to determine if the cells could be reused after the end of a batch fermentation
324 or if they degenerate due to long exposure to butanol. An initial batch fermentation
325 showed that the process finished at 48 h, when the total solvent concentration was 11.8
326 g/L and sugar conversion 72%. Solvents yield and productivity were 0.21 g/g and 0.21
327 g/Lh, respectively. Therefore, repeated-batch fermentations were performed every 48 h.
328 Final acids and solvents concentrations obtained for each of the fermentation sets are
329 shown in Figure 4. Table 2 shows the biomass concentration and the butanol and IBE
330 productivities obtained for each of the batches.

331 A total solvents concentration in the range 7.4-16.7 g/L (4.1-10.5 g/L of butanol)
332 was observed until the seventh batch fermentation. IBE productivities were in the range
333 0.12-0.32 g/Lh. Acetic and butyric acids were also produced (1.6-2.2 and 0.2-0.5 g/L,
334 respectively). Biomass concentration varied between 1.0 and 3.3 g/L. As expected, low
335 cell motility was observed after 48 h.

336 In the second batch of the set 1, very low solvents concentration was observed (<
337 1.5 g/L) possibly due to “acid crash” phenomenon. Acetic and butyric acids
338 concentrations were higher (2.3 and 1.8 g/L, respectively). From the seventh batch
339 onwards, solvent production decreased significantly. Acetic acid concentrations were
340 higher (2.1-2.2 g/L) and no biomass growth was observed (< 0.3 g/L).

341 Repeated-batch fermentations from a glucose-based medium (60 g/L) using *C.*
342 *beijerinckii* DSM 6423 immobilized on natural sugarcane bagasse was recently reported
343 by Vieira et al. [49]. They found that IBE production was not stable in repeated batches

344 and that IBE yield generally decreased throughout batches. Butanol concentrations
345 decreased from 5.4-6.2 g/L to 1.1-2.6 g/L after three batches for fermentations of 55 h.
346 This behavior was attributed to cell degeneration due to long exposure to butanol. For this
347 reason, they reduced the fermentation time from 55 to 36 h and carried out seven repeated
348 batches (257 h). Butanol concentrations in the range 1.5-8.6 g/L, IBE concentrations in
349 the range 3.9-14.3 g/L, and IBE productivities in the range 0.11-0.27 g/Lh were reached,
350 which were similar to those obtained in this work. In the present work, higher butanol and
351 IBE concentrations were found in some batches using an industrial medium (10.5 and
352 16.7 g/L respectively).

353 Although more studies are needed to understand the changes in the metabolism of
354 *C. beijerinckii* DSM 6423, the results showed that the cells could be reused for a period
355 of approximately 288 h (6 cycles of 48 h), saving operational costs due to the development
356 of inoculum. Results were incorporated in the butanol plant model for the energy
357 consumption estimation.

358

359 3.3. Fermentation with *in situ* gas stripping

360

361 Batch fermentation coupled with butanol extraction by *in situ* gas stripping was
362 performed to alleviate butanol inhibition. The average solvent concentration obtained in
363 the condensate after the use of gas stripping was: isopropanol 47 g/L, butanol 33 g/L, and
364 ethanol 5 g/L. Neither acetic nor butyric acids were detected in the condensate.

365 The separation efficiency of gas stripping for isopropanol, butanol and ethanol
366 was 53, 49 and 41% during the fermentation and 21, 32 and 21% during 40 h of gas
367 stripping post-fermentation, respectively. The overall gas stripping separation efficiency
368 for isopropanol, butanol and ethanol was 63, 60 and 60%, respectively.

369 Other results of batch fermentations with *in situ* gas stripping have been reported
370 by Rochón et al. [41].

371

372 3.4. Pervaporation assays

373

374 Since the one-stage butanol recovery process by *in situ* gas stripping is not
375 efficient enough to concentrate butanol at a high level [14], in this study it is proposed to
376 use a second stage of recovery by pervaporation for further purification.

377 Figures 5a and 5b show the solvent concentration profiles on the feed side (gas
378 stripping condensate as feedstock) of PDMS membrane and solvents flux *vs* its retentate
379 concentrations, respectively. Butanol concentration on the feed side decreased
380 significantly from 36 to 13 g/L, isopropanol decreased from 46 to 31 g/L and ethanol
381 scarcely permeated. This behavior was expected because of the selective separation of
382 volatile organic compounds by the PDMS membrane [39].

383 At the beginning of the pervaporation, butanol and IBE fluxes were 100 and 134
384 g/hm², respectively, which decreased to 39 and 52 g/hm² after 38 h due to the decrease in
385 their retentate concentrations. Isopropanol and ethanol fluxes were lower (9-32 and 1-2
386 g/hm², respectively). Separation factor for butanol varied in the range 50-78, while
387 isopropanol and ethanol values were stable at less than 6. The hydrophobic characteristic
388 of the PDMS contributed to the high selectivity for butanol and the low selectivity for
389 isopropanol and ethanol. Kiebllich et al. [32] have studied *in situ* butanol removal from
390 PBE (1,3-propanediol-butanol-ethanol) fermentation process by pervaporation obtaining
391 a separation factor of 40 with a PDMS membrane (Pervap 4060) at 50 °C. They also
392 reported a butanol flux of 517.3 g/m²h and a butanol concentration of 328 g/L when

393 operated at 50 °C and a feed flow rate of 4 L/min at a feed butanol concentration of 11
394 g/L demonstrating the potential of butanol removal by pervaporation.

395 Xue et al. [18] have studied an integrated ABE fermentation-gas stripping-
396 pervaporation process. They reported that the performance and efficiency of the
397 membrane were greatly affected by the solvent concentrations in the retentate. However,
398 a clear correlation between butanol concentration in the retentate and permeate was not
399 observed (Figure 5c). This could be due to adsorption of butanol into the tube and
400 membrane, and possibly desorption in different time periods. Permeate average
401 concentrations obtained were 140, 559 and 10 g/L of isopropanol, butanol and ethanol,
402 respectively. Butanol and ethanol concentrations were similar to those reported by Xue
403 et al. [18] for a similar process using ABE as feed solution. The results showed that the
404 membrane was effective in recovering butanol if a high butanol concentration feed was
405 used.

406 Table 3 presents the solvents concentration obtained by different authors. The
407 experimental results are compared with those obtained for ABE fermentation, since to
408 authors' knowledge there is no data in the literature for IBE fermentation using a two-
409 stage *in situ* recovery process. The butanol concentration reached in this study (559 g/L)
410 was the highest and total solvent concentration was relatively high compared to those
411 obtained by the other authors for ABE fermentation. Furthermore, to the author's
412 knowledge, total IBE concentration obtained (712 g/L) was the highest reported in the
413 literature. The two-stage gas stripping-pervaporation separation process provides a high
414 IBE concentration and, therefore could be a more efficient promising system than
415 conventional systems.

416 The separation efficiency (solvent in permeate-solvent in retentate ratio) were 16,
417 82 and 8% for isopropanol, butanol and ethanol, respectively. The losses of products

418 could be mainly attributed to sampling and solvent adsorption on tubes and membrane.
419 In addition, it should be noted that there are solvents present in the feed solution (31, 13
420 and 5 g/L of isopropanol, butanol and ethanol, respectively) at the end of the
421 pervaporation process (38 h). Longer times are required for pervaporation assays in these
422 conditions to achieve complete removal of solvents.

423

424 3.5. Energy consumption

425

426 The energy consumption of an industrial plant that produces IBE from the
427 industrial sugarcane-sweet sorghum juices through a batch fermentation strategy was
428 evaluated. Gas stripping was coupled to the fermentation as an *in-situ* recovery technique
429 followed by pervaporation for further product purification. As already mentioned,
430 experimental results presented above were used throughout the simulation (kinetic
431 parameters, batch and repeated batch fermentation, *in situ* gas stripping and pervaporation
432 results). Since the kinetic model did not consider neither isopropanol nor ethanol
433 production, experimental yield values obtained in the batch fermentation were used
434 ($Y_{\text{isopropanol/S}} = 0.07 \text{ g/g}$, $Y_{\text{butanol/S}} = 0.26 \text{ g/g}$, $Y_{\text{ethanol/S}} = 0.01 \text{ g/g}$) [8].

435 The energy required by the process was covered by the energy generated by
436 burning the bagasse. Butanol and IBE recovery stages presented the higher energy
437 consumption of the process (Table 4). They presented an energy consumption of 29.63
438 and 22.66 GJ/m³, for butanol and IBE production process, respectively, which are higher
439 than the estimated value reported by Cai et al. [39] (20.1 GJ/m³_{butanol}) for ABE production
440 with a similar recovery process (gas stripping-pervaporation-distillation). Pyrgakis et al.
441 [50] evaluated different scenarios for butanol production through IBE fermentation with
442 gas stripping coupled to adsorption/desorption and condensation methods. The scenarios

443 consisted in three different product portfolios with adsorption as the recovery method and
444 one portfolio for IBE production with condensation as recovery method. They concluded
445 that condensation was not sustainable due to the high energy cost that is required for the
446 recovery of alcohols. Grisales-Diaz and Tost [51] have recently reported an alternative
447 distillation system for IBE recovery with an energy requirement between 5.3 and 6.6
448 $\text{GJ/m}^3_{\text{IBE}}$, which is approximately half of that obtained in this work ($11.8 \text{ GJ/m}^3_{\text{IBE}}$). This
449 could probably be due to the alternative efficient distillation system proposed in their
450 work, which is a combination of azeotropic and extractive distillation.

451 Butanol production by ABE fermentation from sugarcane-sweet sorghum juices
452 in a similar plant and process configuration was evaluated previously [43]. The total
453 energy consumption of the butanol plant by IBE fermentation was 15% higher than that
454 through ABE fermentation. One reason could be the higher energy consumption in the
455 distillation, since it involves more distillation columns. However, if the IBE mixture is
456 considered as the final product, the energy consumption was lower (12%).

457 A mass balance of the overall process for biobutanol production from sugarcane
458 and sweet sorghum juices was performed. Isopropanol, butanol and ethanol production
459 were 2670, 9920 and 380 ton/year. Butanol and solvents yield of 25 and 32 g per kg of
460 juices, respectively, were reached by IBE fermentation whereas 19 g butanol per kg of
461 juices was obtained by ABE fermentation.

462 Regarding the two scenarios evaluated, as it was expected, the energy
463 consumption was lower (23%) when the IBE mixture was considered as the final product
464 (Table 4). Calorific value (lower heating value) of the IBE mixture was calculated as 26.1
465 GJ/m^3 based on data reported by Yanowitz et al. [52] for an I:B:E mass solvent relation
466 produced of 7:26:1. Unfortunately, both scenarios presented an energy consumption
467 higher than their calorific value, which suggests that improvements should be made in the

468 IBE production process from sugarcane-sweet sorghum juices either by genetic
469 engineering of the strain or by improvements in the fermentation and purification
470 processes.

471

472 **4. Conclusions**

473

474 The integrated gas stripping-pervaporation process utilized was successful in
475 terms of condensate concentrations obtained (140, 560, and 10 g/L for isopropanol,
476 butanol and ethanol, respectively). A modified Monod kinetic model with terms of
477 product inhibition and bacterial death showed satisfactory agreement with the
478 experimental data obtained with *C. beijerinckii* DSM 6423 in terms of cell growth, sugar
479 consumption, and butanol production which could be used in models for the design and
480 control of an IBE fermentation. *C. beijerinckii* DSM 6423 could be used in repeated-batch
481 fermentations, saving operational costs due to inoculum development although more in-
482 depth studies are required in order to have a more predictable performance. Kinetic
483 parameters and experimental data were used to estimate the energy consumption of the
484 sugarcane-sweet sorghum IBE production process. It was found that although the IBE
485 production process showed less energy consumption than the butanol production process
486 by ABE fermentation, a substantial improvement is still necessary for the process to be
487 energetically/economically attractive.

488

489 **CRedit authorship contribution statement**

490

491 **Eloísa Rochón:** Conceptualization, Methodology, Validation, Formal analysis,
492 Investigation, Writing-original draft., Visualization. **Gastón Cortizo:** Validation,

493 Investigation. **María Inés Cabot**: Validation, Investigation. **María Teresa García**
494 **Cubero**: Resources, Visualization, Supervision, Writing-review & editing. **Daniel**
495 **Ferrari**: Conceptualization, Methodology, Validation, Visualization, Writing-review &
496 editing. **Mónica Coca**: Visualization, Supervision, Writing-review & editing. **Claudia**
497 **Lareo**: Conceptualization, Methodology, Validation, Formal analysis, Resources,
498 Visualization, Supervision, Project administration, Funding acquisition, Writing-review
499 & editing.

500

501 **Declaration of interests**

502

503 The authors declare that they have no known competing financial interests of
504 personal relationships that could have appeared to influence the work reported in this
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506

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513

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702

703

704 **Figure captions**

705

706 **Figure 1.** Schematic diagram of the integrated process: batch fermentation-gas stripping-
707 pervaporation.

708 **Figure 2.** Simplified flow diagram of the isopropanol, butanol and ethanol production
709 from sugarcane and sweet sorghum juices in Aspen Plus®.

710 **Figure 3.** Glucose, biomass and butanol concentration profiles during a batch
711 fermentation of the industrial juices. Experimental (symbols); simulated (lines).

712 **Figure 4.** Solvents and acetic and butyric acid concentrations for repeated-batch
713 fermentations of *C. beijerinckii* DSM 6423 at 48 h using a mixture of industrial juices of
714 sugarcane and sweet sorghum. a) set 1: b) set 2.

715 **Figure 5.** Performance of the pervaporation process. a) solvent concentration profile in
716 the feed; b) solvent flux as a function of their concentration in the retentate; c) solvent
717 concentration on the permeate side.

718

719 **Tables**720 **Table 1.** Kinetic model parameters.

Parameter	Unit	Value
μ_m	h^{-1}	0.23
K_s	g/L	2.0
$Y_{X/S}$	g/g	0.09
$Y_{P/S}$	g/g	0.22
K_p	g/L	9.7
k_d	h^{-1}	0.03
a		2.1
R^2_X	-	0.97
R^2_S	-	0.99
R^2_P	-	0.99

721

722 R^2_X , R^2_S , R^2_P are coefficient of determination for Eq. (1), Eq. (2) and Eq. (3), respectively
723 [14].

724 **Table 2.** Repeated-batch fermentation parameters of *C. beijerinckii* DSM 6423 at 48 h.

Set 1				Set 2			
Batch number	Butanol productivity (g/Lh)	IBE productivity (g/Lh)	X (g/L)	Batch number	Butanol productivity (g/Lh)	IBE productivity (g/Lh)	X (g/L)
1	0.13	0.19	1.7	1	*	*	1.0
2	*	*	**	2	0.18	0.27	2.5
3	0.17	0.25	3.3	3	0.08	0.12	2.7
4	0.18	0.25	1.9	4	0.15	0.21	2.1
5	0.13	0.29	**	5	0.18	0.26	**
6	0.21	0.32	2.8	6	0.15	0.26	1.4
7	0.20	0.28	3.2	7	*	*	**
8	*	*	**	8	*	*	**

725 (*) not calculated. Butanol and IBE concentration produced at the end of the batch was
 726 less than 0.05 g/L, and 0.15 g/L, respectively.

727 (**) not measured.

728

Table 3. Comparison of the solvent concentration obtained in the condensate by ABE and IBE fermentations using different two-stage separation processes.

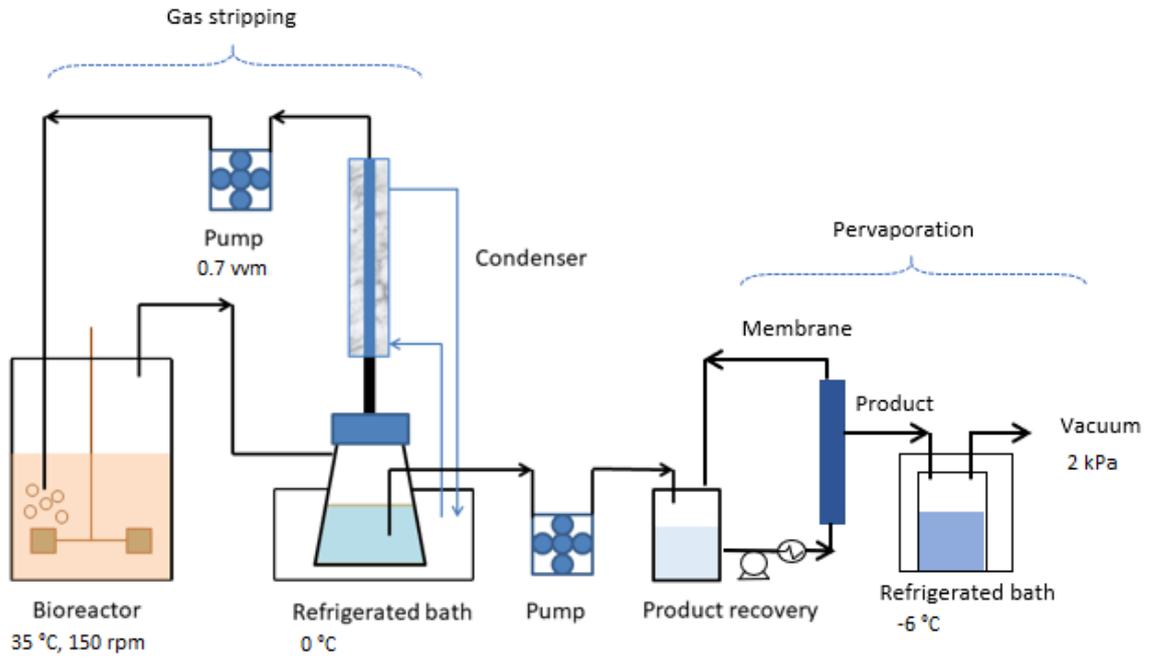
Strain	Substrate	Fermentation strategy	Strategy	Acetone (g/L)	Isopropanol (g/L)	Butanol (g/L)	Ethanol (g/L)	Total solvents (g/L)	Reference
<i>C. acetobutylicum</i> JB200	Glucose	Batch with immobilized cells	Two stage gas stripping	94.0	na	420.3	18.0	532.3	[9]
<i>C. acetobutylicum</i> JB200	Glucose	Fed batch with immobilized cells	Gas stripping-pervaporation	91.5	na	521.3	10.1	622.9	[18]
<i>C. acetobutylicum</i> ABE 1401	Glucose	Fed batch with immobilized cells	Gas stripping-pervaporation	169.9	na	482.5	54.2	706.7	[39]
<i>C. acetobutylicum</i> ABE 1201	Glucose	Continuous	Two stage pervaporation	304.6	na	451.9	26.0	782.5	[40]
<i>C. acetobutylicum</i> ABE 1201	Sweet sorghum bagasse	Batch	Gas stripping-salting out	203.5	na	520.3	23.8	747.6	[53]
<i>C. beijerinckii</i> DSM 6423	Sugarcane-sweet sorghum	Batch	Gas stripping-pervaporation	na	140.0	558.9	10.0	712.4	This study

na: not applicable

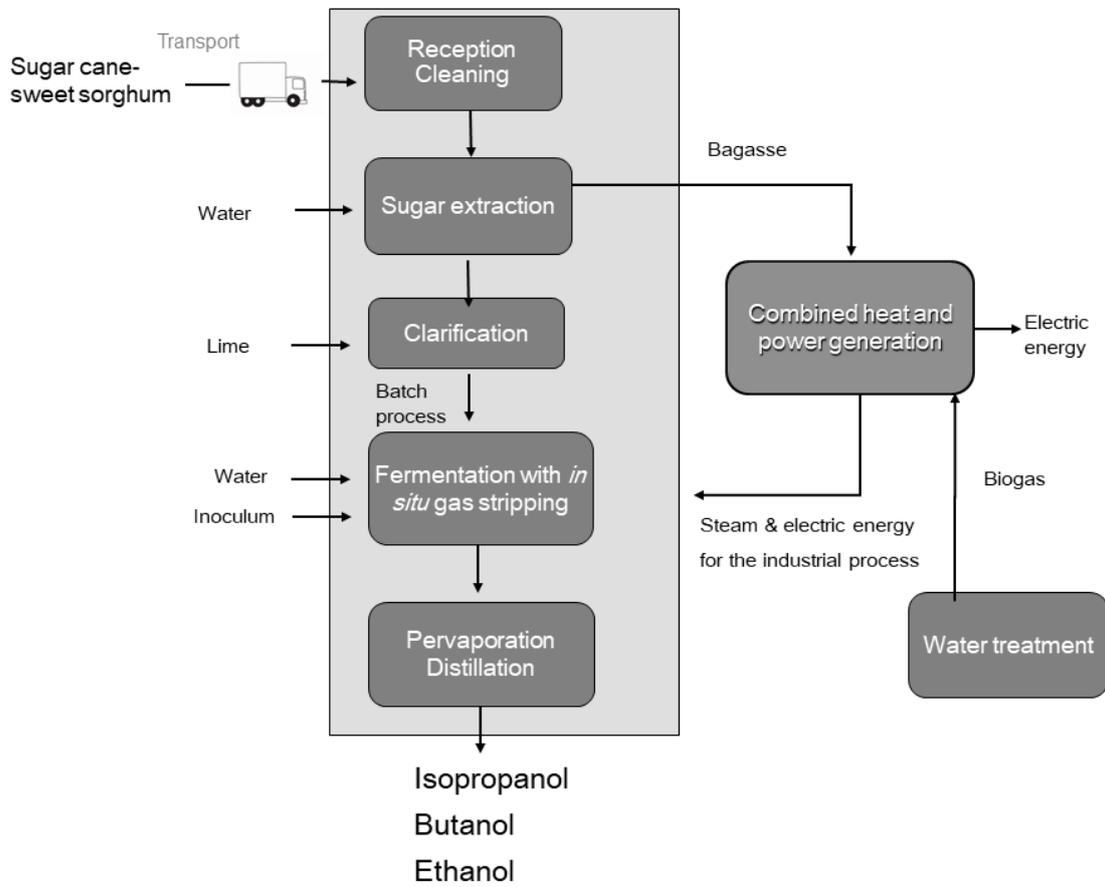
1 **Table 4.** Energy consumption for butanol and IBE production from sugarcane-sweet
 2 sorghum juices.
 3

Stages of the process	Energy consumption	
	Butanol production (GJ/m ³ _{butanol})	IBE production (GJ/m ³ _{IBE})
Transport	0.85	0.65
Milling	1.26	0.97
Clarification	9.91	7.58
Inoculum development and fermentation	0.41	0.31
Recovery	29.63	22.66
Water treatment	0.32	0.25
Total	42.38	32.41

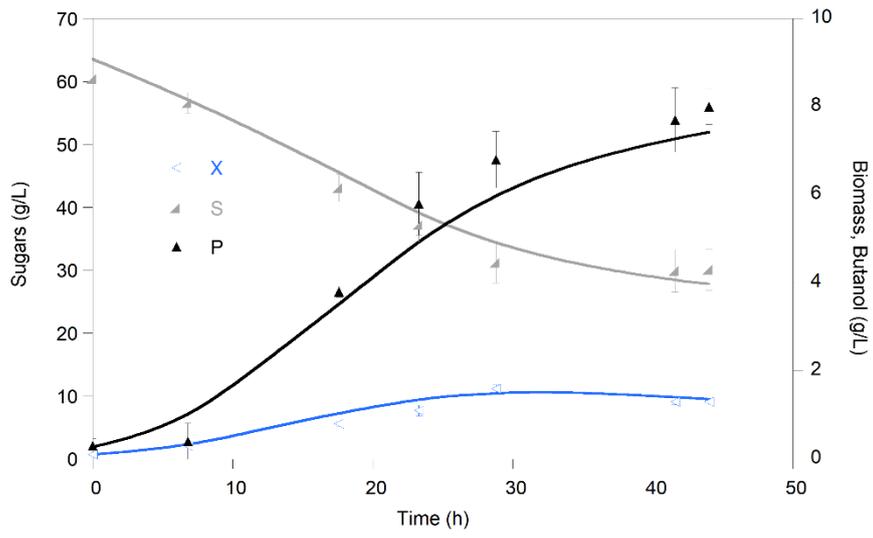
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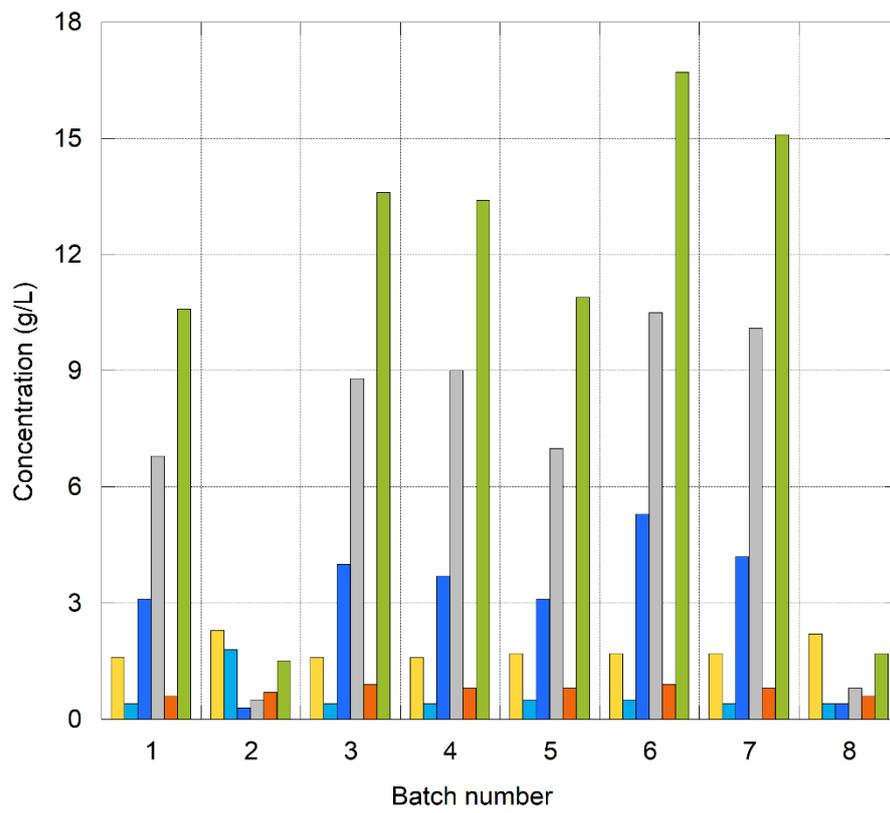


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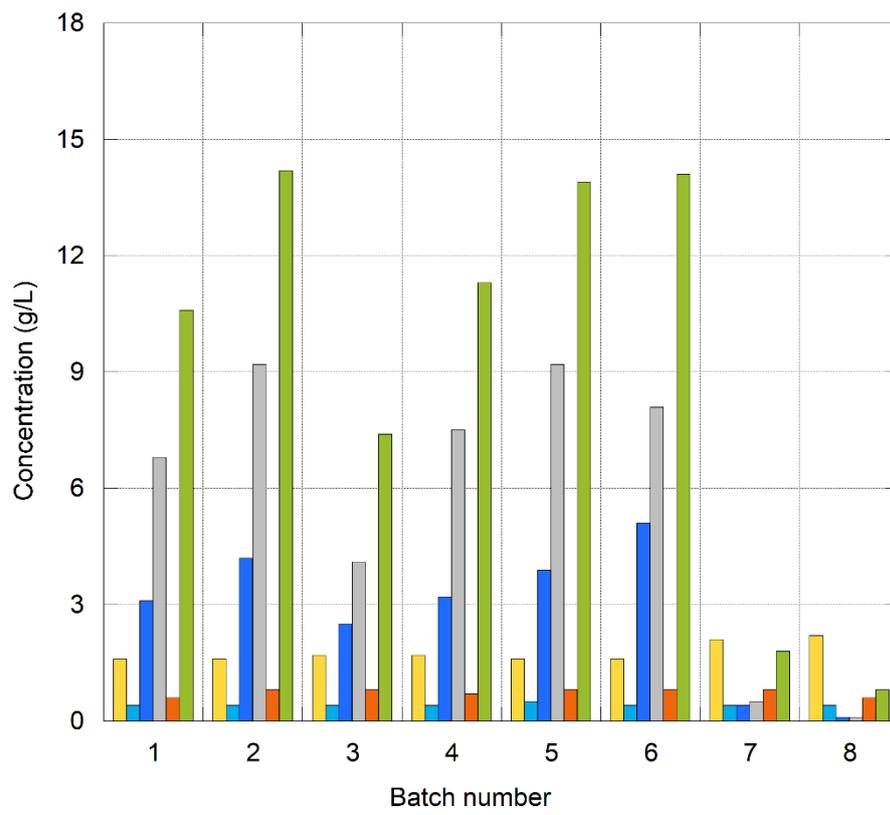
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A)



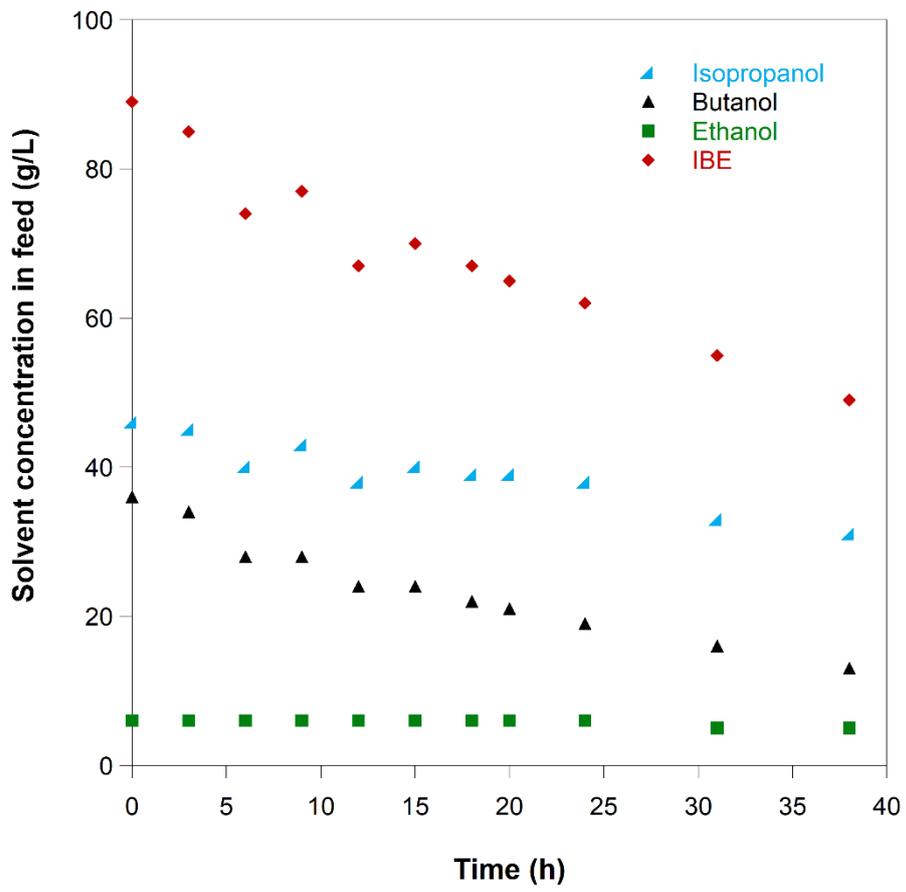
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B)



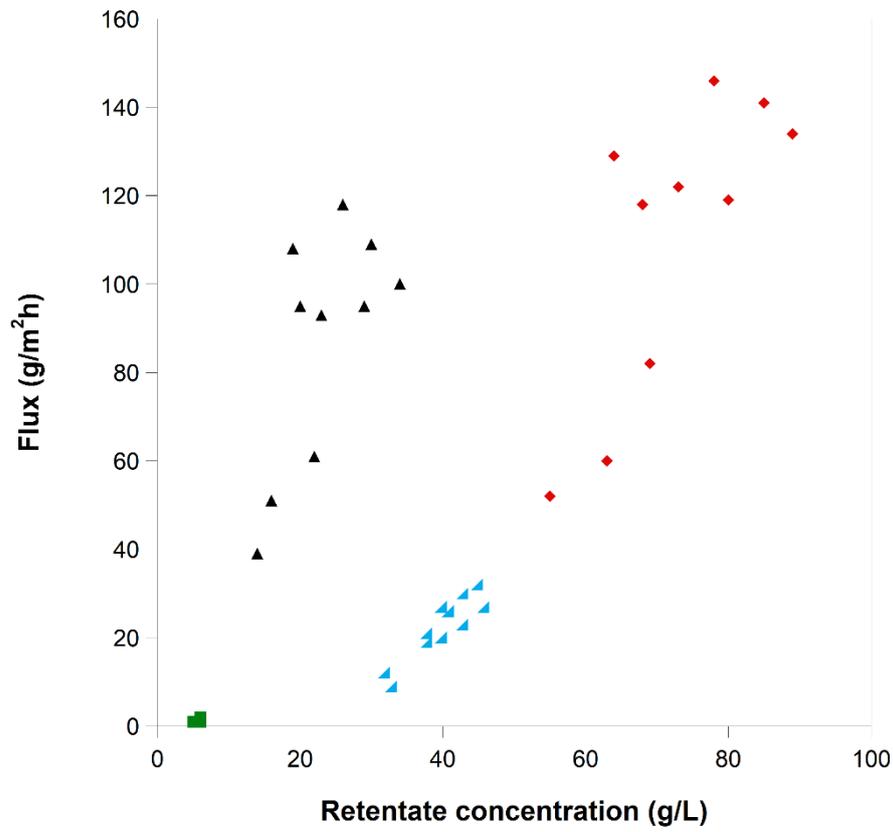
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A)



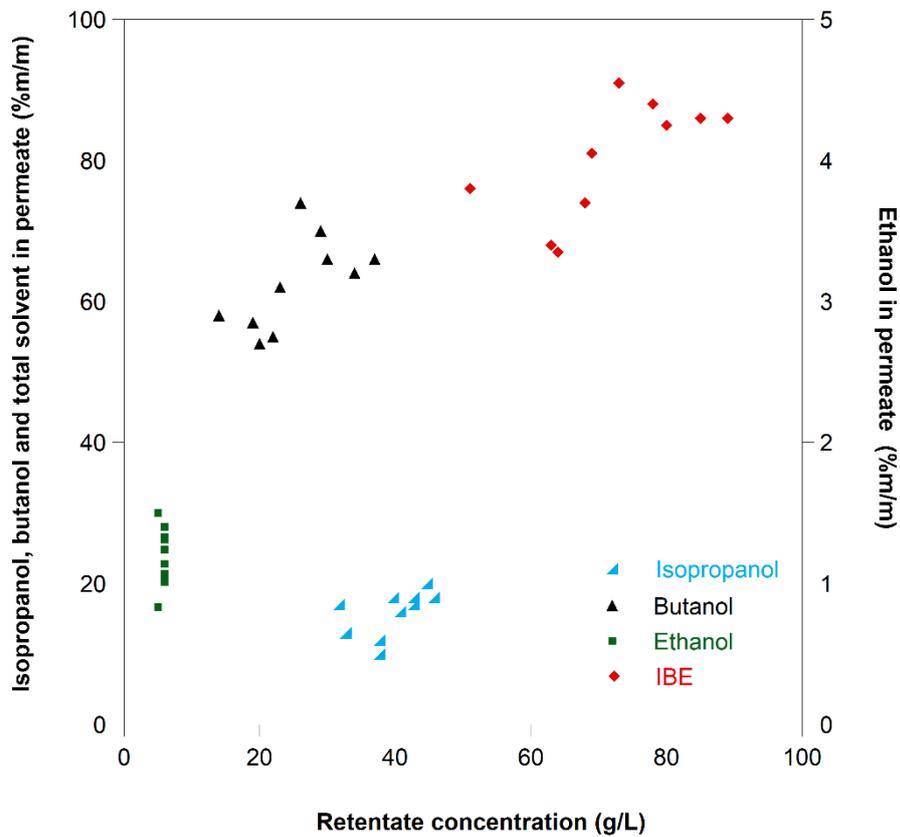
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B)



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C)



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