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Efficient biobutanol production by ABE fermentation from spent coffee grounds with microwave assisted dilute sulfuric acid pretreatment --Manuscript Draft--

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Abstract:	<p>The integral valorization of potential sugars (cellulosic and hemicellulosic) from spent coffee grounds (SCG), a lignocellulosic residue, is proposed in this work. With this aim, the microwave assisted dilute sulfuric acid pretreatment has been optimized, leading to a hemicellulosic sugar recovery in the pretreatment liquid (HSR L) and an enzymatic hydrolysis yield of 79 and 98%, respectively, at 160.47 °C and 1.5% H₂SO₄.</p> <p>Moreover, the complete digestibility of cellulose (enzymatic hydrolysis yield = 100%) was also discovered for non-pretreated SCG, which is very interesting. Secondly, the production of biobutanol, an advanced biofuel, is also proposed from pretreated SCG enzymatic hydrolysate and pretreatment liquid achieved under optimal conditions. These were fermented by Clostridium beijerinckii, yielding 95 kg butanol/t SCG (dry matter) and 151 kg acetone-butanol-ethanol/t SCG (dry matter).</p>

1 **Efficient biobutanol production by acetone-butanol-ethanol fermentation from**
2 **spent coffee grounds with microwave assisted dilute sulfuric acid pretreatment**

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9
10 **ABSTRACT**

11 The integral valorization of potential sugars (cellulosic and hemicellulosic) from
12 spent coffee grounds (SCG), a lignocellulosic residue, is proposed in this work. With
13 this aim, the microwave assisted dilute sulfuric acid pretreatment has been optimized,
14 leading to a hemicellulosic sugar recovery in the pretreatment liquid (HSR_L) and an
15 enzymatic hydrolysis yield of 79 and 98%, respectively, at 160.47 °C and 1.5% H₂SO₄.
16 Moreover, the complete digestibility of cellulose (enzymatic hydrolysis yield = 100%)
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18 production of biobutanol, an advanced biofuel, is also proposed from pretreated SCG
19 enzymatic hydrolysate and pretreatment liquid achieved under optimal conditions.
20 These were fermented by *Clostridium beijerinckii*, yielding 95 kg butanol/t SCG (dry
21 matter) and 151 kg acetone-butanol-ethanol/t SCG (dry matter).

22 **Keywords:** spent coffee grounds; microwave assisted dilute acid pretreatment;
23 biobutanol; gas stripping; fed-batch mode; *Clostridium beijerinckii*.

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24 **1. Introduction**

25 Coffee is a crucial agricultural product, as well as one of the most consumed
26 beverages in the world (Karmee, 2018). According to Ramalakshmi et al.(2009), about
27 50% of coffee grown across the world is assigned to the production of beverages. In
28 fact, it is the second most commercialized drink worldwide (Buratti et al., 2018), with a
29 production of 152 million/year (Janissen and Huynh, 2018). During the transformation
30 of coffee beans (processing, roasting, and generation of the beverage), huge quantities
31 of residues are generated, such as the husk, pulp, coffee silver skin and spent coffee
32 grounds (SCG) (Karmee, 2018; Kovalcik et al., 2018). In this way, it is worth
33 highlighting the generation of SCG, obtaining 650 kg/t green coffee beans (Murthy and
34 Madhava Naidu, 2012). In addition, when the soluble coffee is prepared, around 2 kg of
35 wet SCG are produced per kg of soluble coffee (Pfluger, 1975). Thus, taking into
36 account the high availability of SCG, as well as its important sugar content, this
37 lignocellulosic residue turns out to be an interesting valuable resource, as it could be
38 used to produce such renewable biofuels as biobutanol (McNutt and He, 2019).

39 In recent years, biobutanol has become increasingly interesting, since it is considered
40 an important industrial chemical and advanced biofuel. Moreover, it can be generated
41 through biological processes employing lignocellulosic residues as the raw material
42 (Maiti et al., 2018). Furthermore, biobutanol has some excellent properties; for instance,
43 it has a low corrosivity, great energy power (higher than ethanol and similar to
44 gasoline), as well as a low vapor pressure (lower than ethanol), making its handling
45 much safer. In addition, current engines are perfectly able to use this biofuel. Thus, the
46 substitution of gasoline with biobutanol could well be proposed (Lee et al., 2008). On
47 the other hand, biobutanol also has a great many applications as a chemical commodity;
48 for example, in enamels, pharmaceuticals, antibiotics, or the food industry, among

49 others (Satari et al., 2019).

50 There are three fundamental steps in the biological production of biobutanol:
51 pretreatment, enzymatic hydrolysis and ABE anaerobic fermentation using *Clostridia*
52 strains. Of these, the pretreatment is the crucial stage, as it breaks down the complex
53 structure of the lignocellulosic biomass, overcoming its recalcitrance, separates the
54 hemicellulose and lignin, and decreases the cellulose crystallinity, thus facilitating the
55 following process of enzymatic hydrolysis. The literature reports a wide variety of
56 pretreatments; for instance, steam explosion, liquid hot water, dilute acid, alkaline or
57 microwave (Kumar et al., 2020). One of the most relevant pretreatments is the dilute
58 acid pretreatment, since it obtains high hemicellulose recoveries (85-95%); pretreated
59 solids with high cellulose content, which can be easily hydrolyzed by enzymes; and it is
60 also viable economically and can be applied profitably on an industrial scale (Kumar et
61 al., 2020; Zheng et al., 2014). On the contrary, one of the main drawbacks of this type
62 of pretreatment is the production of inhibitory compounds, such as acetic and formic
63 acids, furfural, 5-hydroxymethylfurfural (HMF) and phenolic compounds, as a
64 consequence of the high temperatures used in the process (Chaturvedi and Verma,
65 2013). This type of undesirable compounds for the fermentation could be reduced using
66 different detoxification techniques, such as activated charcoal, ion-exchange resins or
67 overliming, among many others (Kim, 2018). On the other hand, the microwave
68 technique can also assist the dilute acid pretreatment, which is highly beneficial in
69 improving the heating homogeneity and reducing the extraction times; it is also able to
70 enhance the efficiency of the process (Santana et al., 2018).

71 Nowadays, regarding ABE fermentation, low biobutanol concentrations are
72 generated in this stage, probably due to the end-product cell inhibition (biobutanol),
73 which means that high energy amounts are consumed, thus making the process

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74 economically unprofitable (Pérez-Bibbins et al., 2018). In order to solve this problem,
75 diverse strategies, such as the use of new microorganisms able to put up with high
76 butanol levels (Huang et al., 2014), or the employment of different butanol extraction
77 methods, such as gas stripping, adsorption, pervaporation or liquid-liquid extraction
78 (Pérez-Bibbins et al., 2018), have been studied. Considering butanol extraction
79 methods, gas stripping is considered one of the most suitable for in situ product
80 recovery due to its simple handling, suitability for the cell, low energy requirement and
81 high profitability (Chen et al., 2019; Rochón et al., 2019).

82 The objective of this work was to evaluate the carbohydrate recovery from SCG
83 using a microwave assisted dilute sulfuric acid pretreatment, as well as the biological
84 transformation of these into biobutanol. With this aim, firstly, the effect of two different
85 factors (temperature and sulfuric acid concentration) on the acid pretreatment were
86 studied through a response surface methodology, the optimization criteria used being
87 the simultaneous maximization of the total sugar recovery from hemicellulose (liquid
88 fraction) and cellulose (solid fraction). Secondly, the raw SCG and the SCG achieved
89 under optimal pretreatment conditions were enzymatically hydrolyzed at a high biomass
90 loading (15% w/v) in order to obtain a solution rich in sugars, which could be butanol
91 fermented by ABE fermentation using *Clostridium beijerinckii* DSM 6422. Likewise,
92 the pretreatment liquid obtained under optimal pretreatment conditions was also
93 fermented to butanol. Finally, in order to remove, in situ, the fermentation end-product
94 generated and then to further improve butanol production, a fermentation process with
95 the enzymatic hydrolysate of SCG achieved under optimal pretreatment conditions was
96 performed in a bioreactor coupled to a gas stripping system, the pretreatment liquid
97 obtained under optimal pretreatment conditions was used as the feed. Although only a
98 few references to butanol production from coffee silverskin (Hijosa-Valsero et al., 2018;

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99 Niglio et al., 2019; Procentese et al., 2018) were found, there were none which used
100 SCG to generate butanol. Moreover, to the best of our knowledge, this is the first work
101 on butanol production from SCG using ABE fermentation in fed-batch mode.

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103 **2. Materials and methods**

104 ***2.1. Raw material***

105 Spent coffee grounds (SCG) were kindly supplied by the coffee industry (Productos
106 Solubles S.A., Palencia). They were dried at 50 °C (moisture content < 1%), milled with
107 a coffee grinder (Moulinex, A505, France) (particle size < 1 mm) and homogenized,
108 being stored at 4 °C until use. The composition was (% w/w): cellulose, 16.3 ± 0.1;
109 hemicellulose, 27.7 ± 0.7 (mannose, 31.3 ± 0.8; galactose and arabinose monomers
110 were not detected); acid-insoluble lignin (AIL), 38.5 ± 0.7; acid-soluble lignin (ASL),
111 0.7 ± 0.1; extractives, 12.4 ± 0.4 (mannose in extractives, 0.2 ± 0.0); ash, 0.1 ± 0.0;
112 acetyl groups, 0.4 ± 0.0; fat, 9.9 ± 0.16; and protein, 9.4 ± 0.7.

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114 ***2.2. Microwave assisted dilute sulfuric acid pretreatment***

115 The SCG were pretreated in a Multiwave PRO SOLV reactor 50 Hz with Rotor type
116 16HF100 (Anton Paar GmbH, Austria, Europe). A total of 16 sample vessels can be
117 used, its capacity volume being 100 mL. The temperature monitoring was carried out
118 with a pressure/internal temperature sensor. Although this monitoring can only be done
119 in one of the vessels, the reactor contains an IR sensor, which is able to measure the
120 temperature of 16 vessels along the runs (for more details, see López-Linares et al.
121 (2019)).

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122 Five grams dry weight SCG and 50 mL sulfuric acid solution was used (10% w/v
123 solid-liquid ratio). The pretreatment time was initiated when each run attained the
124 required temperature, later being cooled to 50 °C.

125 Once the pretreatment had finished, the solid and liquid fractions were separated by
126 vacuum filtration. Then, the solid fraction was washed, dried at 40 °C and weighed, as
127 well as being used in enzymatic hydrolysis essays. The solid recovery (g solid
128 fraction/100 g SCG) was calculated for each experimental run. In addition, the optimal
129 pretreated solid was analyzed for its composition in structural carbohydrates, lignin,
130 ash, and protein. The liquid fractions were also measured, considering the content in
131 monosaccharides and degradation products (formic acid, acetic acid, furfural,
132 hydroxymethylfurfural (HMF) and total phenols). In order to evaluate the effect of the
133 microwave assisted dilute sulfuric acid pretreatment, the recovery of carbohydrates in
134 liquid fractions was calculated as a percentage of the sugar content in the unpretreated
135 SCG.

136 137 **2.3. Experimental design**

138 In order to choose the optimum conditions for the microwave assisted dilute sulfuric
139 acid pretreatment of SCG, a central composite experimental design was proposed ($\alpha =$
140 1.414). The factors selected were temperature (150-190 °C) and sulfuric acid
141 concentration (0.5-1.5% w/v), while the pretreatment time was fixed at 5 min, all of
142 which were chosen on the basis of previous results (data not shown). In this way, a
143 design with 13 experiments was proposed, with one point and four replicates at the
144 center of the domain selected for each factor under study. Table 1 displays the values of
145 each factor, in both coded and uncoded terms. The commercial software Statgraphics
146 Centurion XVIII was the tool used for design and statistical assesment of experiments.

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147 In order to study the strictness of the pretreatment, the Severity Factor (SF) and the
148 Combined Severity Factor (CSF) were calculated, according to MacAskill et al. (2018)
149 (Eqs. (1) and (2)).

$$\text{Severity Factor (SF)} = \text{Log} \left[t \times \exp \left(\frac{T - 100}{14.75} \right) \right] \quad (1)$$

$$\text{Combined Severity Factor (CSF)} = \text{SF} - \text{pH} \quad (2)$$

150 where t is time (min), T is temperature (°C) and the pH is that of the initial sulfuric acid
151 solution used in each run.

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153 **2.4. Enzymatic hydrolysis**

154 Enzymatic hydrolysis (EH) tests were carried out in triplicate in an orbital shaker
155 (Comecta Optic Ivymen system) at 50 °C, 150 rpm, 72 h, pH 4.8 and enzyme load of 15
156 Filter Paper Units (FPU)/g solid, using the pretreated solids attained in each
157 experimental run as substrate (including the non-pretreated and optimal pretreated
158 SCG). 1.25 g of pretreated SCG and 25 mL enzymatic solution were used (5% w/v
159 substrate loading), employing 100 mL Erlenmeyer flasks. A cellulolytic complex, called
160 Cellic CTec2, was the enzyme used, kindly provided by Novozymes A/S (Denmark),
161 and 0.05 M sodium citrate as buffer.

162 Samples were withdrawn, centrifuged and analyzed for their monosaccharide
163 content. Furthermore, enzyme blanks were also included to discount the
164 monosaccharides contained in the commercial enzymes. The EH yield was determined
165 as the quotient of the grams of glucose obtained by EH and the glucose content in the
166 untreated SCG.

167 On the other hand, in order to achieve a solution rich in sugars, the unpretreated and
168 optimal pretreated SCG were enzymatically hydrolyzed in a 2 L Labfors 5 BioEtOH

169 (Biogen Scientific, Spain). The EH conditions used were the same as those described
170 above, but at 80 rpm, with 15% w/v substrate loading (150 g substrate and 1000 mL
171 enzymatic solution) and using water as the solvent (adjusted to pH 4.8 with solid
172 NaOH) instead of sodium citrate buffer. Once the EH had finished, the enzymatic
173 hydrolysate was separated from the residual SCG by vacuum filtration, analyzed for its
174 monosaccharide and degradation products content, and then employed as fermentation
175 medium in ABE fermentation with *C. beijerinckii*.

177 **2.5. Microorganism**

178 *C. beijerinckii* DSM 6422, obtained from the German collection of microorganisms
179 (DSMZ, Leibniz, Germany), was used in the ABE fermentation. It was maintained and
180 grown according to Plaza et al. (2017). However, 100 mL penicillin flasks with rubber
181 septum and 50 mL Reinforced Clostridial Medium (RCM) were used in this case. Two
182 thermal shocks (for 2 min) were also performed to stimulate the germination of the
183 spores. The inoculum was grown in an orbital shaker (Comecta Optic Ivymen system)
184 at 35 °C, 135 rpm over 48 h.

186 **2.6. ABE fermentation**

187 The enzymatic hydrolysates (from both unpretreated and optimal pretreated SCG), as
188 well as the liquid fraction of the optimal pretreatment, were subjected to ABE
189 fermentation with *C. beijerinckii*. The ABE fermentation tests were performed in 100
190 mL serum bottles with rubber septum under anaerobic conditions (flushing O₂ free
191 nitrogen into the liquid), at 35 °C and 135 rpm. Vitamin, salt and acetate buffer
192 solutions were used under the same conditions as described by López-Linares et al.

193 (2020). The inoculum loading used was 10% (v/v), the initial pH of the fermentation
194 being 5.5, without control during the fermentation.

195 On the other hand, the enzymatic hydrolysate from optimal pretreated SCG was also
196 subjected to ABE fermentation in a bioreactor coupled to a gas stripping system, in fed
197 batch mode. The fermentation test was carried out in a Biostat B Plus reactor
198 (Sartorius®) at 35 °C and 50 rpm, under the conditions described previously for serum
199 bottles, but using 550 mL of fermentation medium. The gas stripping process, as well as
200 the fed-batch mode, was started at 24 h of fermentation to mitigate the butanol
201 inhibition, and it was maintained until 168 h. A flow rate of 0.8 vvm was used in the gas
202 stripping system, recycling the off-gases (such as CO₂ and H₂) through the system. With
203 this objective, a twin-head Masterflex ® peristaltic pump and size 18 Tygon pump
204 tubing (Cole-Parmer, Vernon Hills, U.S.A.) were used, the ABE vapors being cooled in
205 a condenser using a glycerol-water (30% (v/v) solution, which was kept at 0 °C through
206 a refrigerated circulating bath (Fisher scientific, Pittsburgh, U.S.A.). In order to collect
207 the condensate, a 250 mL flask was submerged in the refrigerated circulating bath. The
208 fed-batch mode was performed using the liquid fraction of the optimal pretreatment,
209 employing a feed flow of 14.5 mL/h, which was introduced using a peristaltic pump
210 (Watson Marlow, Cornwall, UK).

211 The fermentation tests were performed in triplicate. Samples were taken in triplicate
212 during the fermentation tests, centrifuged and analyzed to determine the sugar
213 consumption (glucose and mannose) and acetone, butanol and ethanol production.

214 215 **2.7. Analytical methods**

216 The structural carbohydrate, lignin and ash content of the optimal pretreated SCG
217 were analyzed by the analytical methods of the National Renewable Energy Laboratory

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218 (NREL) (Sluiter et al., 2011, 2008); while its total protein content was determined using
219 the Kjeldahl acid digestion method described in AOAC (1990) 955.04, a conversion
220 factor of 6.25 being applied.

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221 High Performance Liquid Chromatography (HPLC) was the analytic technique used
222 to measure sugars (glucose, mannose, arabinose and galactose), degradation products
223 (acetic and formic acids, furfural and HMF), ABE solvents (acetone, butanol and
224 ethanol) and other organic acids (lactic and butyric acids). A refractive index detector
225 (Waters 2414) and an Aminex HPX-87H column (at 30 °C (solvents) or 60 °C (sugars,
226 organic acids, furfural and HMF)) were employed, with 0.01 N H₂SO₄ (0.6 mL/min) as
227 mobile phase. The Aminex HPX-87P column, at 80 °C with ultrapure water (0.6
228 mL/min) as mobile phase, was used for the determination of galactose. Previously, all
229 samples were centrifuged (at 13400 rpm for 10 min) and filtered (by 0.2 µm nylon
230 filters).

231 In order to measure the oligomeric sugar contained in the liquid fractions of
232 pretreatment, an acid hydrolysis (120 °C, 3% w/v H₂SO₄, 30 min) was performed. The
233 oligomeric sugar content was calculated as the subtraction of the total free sugars before
234 and after acid hydrolysis.

235 The Folin-Ciocalteu method (Singleton and Rossi, 1965), using gallic acid as
236 standard, was used to determine the total phenols content. All analytical determinations
237 were carried out in triplicate and the average results are shown.

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239 **3. Results and discussion**

240 ***3.1. Effect of microwave assisted dilute sulfuric acid pretreatment on SCG*** 241 ***solubilization***

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242 A microwave assisted dilute sulfuric acid pretreatment was proposed to solubilize
243 hemicellulosic sugars from the SCG while the cellulose is remained in the pretreated
244 SCG. The influence of the pretreatment on the SCG was evaluated using the CSF
245 parameter, which is typically used in the study of acid pretreatments (MacAskill et al.,
246 2018) since it looks at the combination of temperature, time and sulfuric acid
247 concentration.

248 Table 1 displays the solid recoveries (SR) achieved for each experimental run. As
249 can be seen, in general, a decrease in the solid recovery was observed by increasing the
250 CSF parameter. In this way, solid recoveries ranged from 54% (CSF=2.57, run 11) to
251 90% (CSF=0.83, run 4), corresponding to the highest and lowest severity factors,
252 respectively. Considering the center of the domain (runs 1, 2, 3, 8 and 12), solid
253 recovery rates of 69-73% were attained (170 °C and 1% H₂SO₄).

254 pH values measured in the liquid fractions of pretreatment, as well as concentrations
255 and recoveries (GR_L, HSR_L) of carbohydrates achieved in these fractions, are also
256 shown in Table 1. So, the pH ranged between 0.91 (run 13) and 1.5 (run 5), which
257 corresponds to the highest and the lowest sulfuric acid concentrations (1.71 and 0.29%),
258 respectively. Regarding the carbohydrates content, a total sugar concentration ranging
259 between 5.8 g/L (CSF=0.83, run 4) and 29.0 g/L (CSF=1.95, run 13) was achieved, the
260 largest quantity being in monomeric form (oligomeric sugars < 10.8%). In this way, only
261 when essayed pretreatment conditions were soft (runs 4 and 6) was a considerable
262 oligomeric sugar content detected (6.9 and 10.8%, respectively). Glucose was detected
263 in all the obtained liquid fractions of the pretreatment, which increased with the severity
264 factor, reaching values of 9.6 g/L (GR_L = 53.5%) at the highest severity conditions
265 essayed (CSF=2.57, run 11). This glucose content obtained in the liquid fractions of the
266 pretreatment probably came from the solubilization of the amorphous cellulose fraction

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267 contained in the SCG (Romero et al., 2018). Nevertheless, mannose was the main sugar
268 measured in the liquid fractions of the pretreatment (Table 1), with concentrations
269 ranging between 5.3 (CSF=0.83, run 4) and 25.2 g/L (CSF=1.95, run 13).
270 Hemicellulosic sugar recoveries in the liquid fraction (HSR_L) followed the same trend
271 as described above for mannose concentrations, the highest value also being attained for
272 run 13 ($HSR_L = 80.7\%$). On the contrary, for $CSF > 1.95$ (runs 7, 9 and 11), mannose
273 concentrations and hemicellulosic sugar recoveries reduced because of the
274 hemicellulosic sugar degradation reactions. Likewise, at low pretreatment severity (CSF
275 < 1 , runs 4 and 6), very low hemicellulosic sugar recoveries were also obtained ($< 20\%$)
276 due to the use of pretreatment conditions that are too mild to solubilize the
277 hemicellulose from the SCG.

278 The concentration of inhibitor compounds (acetic and formic acids, furfural, HMF
279 and phenolic compounds) generated for each experimental run as a result of the
280 pretreatment process are shown in Table 2. It is worth mentioning that the type and
281 concentration of inhibitors originated depend on the type of pretreatment as well as its
282 severity, being toxic for subsequent fermentation processes (Rajendran et al., 2018). As
283 can be seen in Table 2, in general, except for the highest pretreatment severity ($CSF >$
284 2 ; runs 7, 9 and 11), the concentration of inhibitor compounds is low (< 0.9 g/L), or
285 even not detected. Low concentrations of inhibitor compounds were also found by
286 Juarez et al. (2018) after dilute acid hydrolysis of this same lignocellulosic biomass
287 (SCG). Regarding acetic acid (originated by hydrolysis of acetyl groups) (Larsson,
288 2000), its concentration was negligible in all experimental runs carried out (< 0.2 g/L).
289 However, more considerable values of HMF (from the degradation of glucose) and total
290 phenols (from extractives and lignin degradation) (Larsson, 2000) (1.4 and 1.7 g/L,
291 respectively) were reached for the most severe conditions of pretreatment ($CSF = 2.57$,

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292 run 11). Concentrations up to 3 g/L were also detected for formic acid (from HMF and
293 furfural) (Larsson, 2000), also at the highest severity factor (run 11), this being the
294 inhibitor compound found in higher concentrations. It is worth mentioning that furfural
295 was not detected in any experimental run, as it originates from the degradation of
296 pentoses and these are not contained in SCG, unlike other type of lignocellulosic
297 residues such as brewer's spent grains (López-Linares et al., 2019), rapeseed straw
298 (Romero et al., 2018) or olive tree biomass (Martínez-Patiño et al., 2018).

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300 **3.2. Enzymatic hydrolysis of pretreated SCG**

301 In order to evaluate the influence of the microwave assisted dilute sulfuric acid
302 pretreatment on SCG, the solids resulting from the pretreatment in each experimental
303 run were enzymatically hydrolyzed.

304 In this way, the enzymatic hydrolysis of pretreated SCG resulted in hydrolysates with
305 glucose and mannose concentrations ranging from 4.5 to 12 g/L and 0 to 1 g/L,
306 respectively (Table 3). As can be seen, except for the highest pretreatment severity
307 assayed (CSF > 2.01; runs 9 and 11), very similar glucose concentrations (10-12 g/L)
308 were achieved in all experimental runs carried out. In addition, no mannose was
309 detected for these high pretreatment severities. Table 3 also shows the values of the EH
310 yield attained for each experimental run. As can be appreciated, a complete or almost
311 complete digestibility of cellulose (99-100%) was achieved for CSF < 1.3 (runs 4, 6, 5
312 and 10), which were the lowest pretreatment severities assayed. In this context, it is
313 worth highlighting that, as can be seen in Table 3, the enzymatic hydrolysis test was
314 also performed directly using the non-pretreated SCG, the complete digestibility of the
315 cellulose being achieved (EH yield = 100%). This behavior could be due to the fact that
316 the SCG has a sufficiently broken and disordered structure and cellulose with a

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317 sufficiently low crystallinity to allow the access of the enzymes without requiring a
318 previous pretreatment step, unlike what happens with most lignocellulosic residues
319 reported in the literature, with such materials as brewer's spent grains (López-Linares et
320 al., 2019), sugarcane bagasse (Gomes et al., 2020), hybrid *Pennisetum* (Wang et al.,
321 2020) or poplar (Chu et al., 2019). **The morphological changes obtained by SEM
322 analysis on SCG before and after microwave assisted dilute sulfuric acid pretreatment,
323 supports this hypothesis, since the same morphological structure can be observed on the
324 SCG before and after pretreatment (supplementary data).** On the other hand, the EH
325 yield decreased when the most severe pretreatment conditions were used (CSF > 1.74),
326 reaching EH yields as low as 27% for the highest pretreatment severity assayed (CSF =
327 2.57; run 11), which could be because the degradation of glucose takes place
328 simultaneously with the hydrolysis of polysaccharides (Mussatto et al., 2011; Díaz-
329 Blanco et al., 2018). Therefore, the main objective of using the microwave assisted
330 dilute sulfuric acid pretreatment in this work was the recovery of the hemicellulosic
331 sugars contained in SCG (27.7%) in the resulting pretreatment liquid, which cannot be
332 recovered effectively by enzymatic hydrolysis.

333 Concerning *overall sugar recovery* (Table 3), which is a parameter that considers
334 both sugars hydrolyzed in the pretreatment liquids, as well as glucose and mannose
335 contained in the enzymatic hydrolysates (referred to total sugars contained in
336 unpretreated SCG), ranged between 48.9% (run 11, CSF = 2.57) and 90.4% (run 13,
337 CSF = 1.95). It is worth mentioning that this parameter was proposed in order to assess
338 the global efficiency of the microwave assisted dilute sulfuric acid pretreatment. As can
339 be appreciated, even though the lowest overall sugar recovery (52.3%) was achieved for
340 the lowest pretreatment severity (CSF = 0.83, run 4), the highest value (90.4%) was
341 obtained at 170 °C and 1.71% H₂SO₄ (run 13, CSF = 1.95). High overall sugar

342 recoveries (83-86.6%) were also attained at the central point (170 °C and 1% H₂SO₄).

343 Thus, in conclusion, a percentage as high as 90% of the potential sugars contained in

344 SCG can be recovered using the microwave assisted dilute sulfuric acid pretreatment.

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346 ***3.3. Optimization of the microwave assisted dilute sulfuric acid pretreatment***

347 The microwave assisted dilute sulfuric acid pretreatment of SCG was assessed

348 considering the generation of sugars from hemicellulose and cellulose fractions, with

349 two independent variables (temperature and acid concentration) being varied for this

350 purpose. Therefore, the hemicellulosic sugar recovery in the pretreatment liquid (HSR_L)

351 and the EH yield were selected as responses. From the experimental results of the HSR_L

352 (Table 1) and the EH yield (Table 3), quadratic models with interaction between the

353 factors were obtained in terms of coded factors (Eqs. (3) and (4), respectively):

$$354 \quad \text{HSR}_L = 71.50 + 5.44 T + 6.24C - 20.05 T C - 23.03 T^2 \quad (3)$$

$$355 \quad (R^2 = 0.9928; \quad R^2 \text{ adjust} = 0.9880)$$

$$356 \quad \text{EH yield} = 92.35 - 25.50 T - 4.90C - 7.70 T C - 14.42 T^2 \quad (4)$$

$$357 \quad (R^2 = 0.9989; \quad R^2 \text{ adjust} = 0.9982)$$

358 where T is the temperature (°C) and C is the sulfuric acid concentration (% w/v). As

359 the values of R² and adjusted R² (Eqs. (3) and (4)) are displayed, as well as the

360 confidence level (95%, p < 0.05); a good agreement between the experimental and

361 predicted values was achieved for both responses.

362 Regarding the HSR_L, as can be seen in Eq. (3), both temperature and sulfuric acid

363 concentration factors have a positive influence, the effect of the sulfuric acid

concentration being higher. On the other hand, a significant negative interaction

between the temperature and acid concentration was also appreciated, demonstrating

that the combined effect of both temperature and acid concentration can lead to a

reduction in the HSR_L. This could be due to an incomplete hemicellulose hydrolysis or

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364 sugar degradation at very soft or severe pretreatment conditions, respectively. This same
365 trend can also be seen in Fig. 1a, which is the response surface plot for the HSR_L
366 response, considering the temperature and sulfuric acid concentration factors.

367 Concerning the EH yield response (Eq. (4)), both temperature and sulfuric acid
368 concentration factors negatively affected this response, the influence of the temperature
369 being much higher than the acid concentration. In addition, a considerable negative
370 interaction between temperature and acid concentration was also discovered. This
371 behavior, which can also be seen in Fig. 1b, is in agreement with the complete
372 digestibility of cellulose (99-100%) described previously (*Section 3.2*) for unpretreated
373 SCG and for pretreated SCG with soft pretreatment conditions ($CSF < 1.3$, runs 4, 6, 5
374 and 10).

375 On the other hand, as the purpose of the microwave assisted dilute sulfuric acid
376 pretreatment of SCG was the recovery of the highest possible amount of sugars
377 contained in this lignocellulosic residue, both the HSR_L and EH yield responses were
378 maximized simultaneously using the so-called desirability function (Bukzem et al.,
379 2016). In this way, the optimal conditions found by the model were 160.47 °C and 1.5%
380 H_2SO_4 , predicting values for the HSR_L and EH yield of 79.5 and 100%, respectively.
381 Then, in order to validate the model, the optimal conditions were experimentally
382 reproduced, yielding experimental values for the two responses studied (HSR_L and EH
383 yield) of 79 and 98%, respectively. So, by comparing the experimental and predicted
384 values, differences lower than 1% were detected for both responses, which demonstrates
385 the high reliability of the optimization process carried out in this study. What is more,
386 the pretreatment carried out under optimal conditions led to a cellulose-enriched solid
387 (20.5 vs 16.3% in unpretreated SCG), which still contained a considerable mannans
388 content (9%). Likewise, a pretreatment liquid with a concentration of monomeric sugars

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389 as high as figure g/L was achieved (glucose, 2.9 g/L; mannose, 24.7 g/L), its level of
390 inhibitor compounds being low at 1.1 g/L (HMF, 0.4 g/L; formic acid, 0.2 g/L; acetic
391 acid, 0.2 g/L; total phenols, 0.3 g/L).

392 Finally, it can be concluded that, considering both sugars hydrolysed in the
393 pretreatment liquids as well as glucose and mannose contained in the enzymatic
394 hydrolysates, an overall sugar recovery of 93% was achieved under optimal conditions
395 of pretreatment. This result is also comparable to those reported by other authors using
396 dilute sulfuric acid pretreatment. Thus, for instance, López-Linares et al. (2020)
397 recovered 87% of the carbohydrates contained in brewer's spent grain using this type of
398 pretreatment. Rojas-Chamorro et al. (2020) also reached total sugars recoveries as high
399 as 94% (referred to sugar content in raw biomass) from brewer's spent grain via the
400 dilute sulfuric acid pretreatment.

401 402 **3.4. Batch fermentation from SCG hydrolysates**

403 In order to obtain a sugar solution with a concentration high enough to be fermented
404 to butanol, the unpretreated and optimal pretreated SCG were enzymatically hydrolyzed
405 using a substrate loading as high as 15% w/v and Cellic CTec2 (a cellulolytic complex).
406 In this way, enzymatic hydrolysates with a sugar concentration as high as 39.1 g/L
407 (glucose, 33.2 g/L; mannose, 5.9 g/L) and 37.5 g/L (glucose, 36.2 g/L; mannose, 1.3
408 g/L) were achieved from unpretreated and optimal pretreated SCG, respectively. These
409 were used as the initial ABE fermentation broth. Moreover, the optimal pretreatment
410 liquid, which unlike enzymatic hydrolysates was formed mainly by hemicellulosic
411 sugars, was also subjected to ABE fermentation with *C. beijerinckii*. The levels of toxic
412 compounds to fermentation were not high, and nor were the enzymatic hydrolysates or
413 optimal pretreatment liquid (< 0.4 g/L, mainly total phenols).

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414 Regarding the ABE fermentation, Fig. 2 shows the initial and final monosaccharide
415 concentrations, as well as the butanol and ABE concentrations for enzymatic
416 hydrolysates and optimal pretreatment liquid. As can be seen in the three cases, almost
417 all the sugars were consumed by *C. beijerinckii* at 48 h fermentation (sugar uptakes =
418 99.2-99.7%) (Table 4). As can be seen in Fig. 2, butanol concentrations of 7.7 and 6.7
419 g/L were achieved for unpretreated and optimal pretreated SCG enzymatic hydrolysates,
420 respectively, which correspond to high butanol yields (0.23 and 0.21g/g sugars
421 consumed, respectively) and productivities (0.160 and 0.140 g/L·h, respectively) (Table
422 4). High ABE concentrations were also yielded for both enzymatic hydrolysates (11.4
423 and 10.4 g/L ABE, respectively), resulting in ABE yields of 0.34 and 0.33g/g sugars
424 consumed, respectively, and ABE productivities of 0.238 and 0.216 g/L·h, respectively.
425 On the other hand, the fermentation of the optimal pretreatment liquid also led to high
426 butanol and ABE yields (0.20 and 0.33 g/g sugars consumed, respectively), similar to
427 those obtained for enzymatic hydrolysates. However, productivities obtained in this
428 case were lower (0.074 and 0.121 g/L· h, respectively), probably due to slightly higher
429 inhibitor levels found in the optimal pretreatment liquid (mainly of HMF, increasing the
430 synergistic effect originated in this case). Butanol and ABE concentrations of 3.6 and
431 5.8 g/L, respectively, were attained in this case. Therefore, considering the enzymatic
432 hydrolysate and pretreatment liquid resulting from the SCG pretreatment under optimal
433 conditions, 95 kg butanol/t SCG_(DM) (dry matter) and 151 kg ABE/t SCG_(DM) can be
434 attained, thus highlighting the usefulness of pretreating the SCG to recover the
435 hemicellulose fraction contained in the SCG. Furthermore, no detoxification was
436 necessary in any of the fermentations carried out.

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437 **Some examples of fermentation of enzymatic hydrolysate and pretreatment liquid**
438 **reported from different lignocellulosic biomass and by different butanol producing**

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439 microorganisms were collected and analyzed (data was shown in supplementary
440 material). As can be observed, the sulfuric pretreatment was the most used, mainly in
441 low concentrations. In this way, as can be seen, the bioconversion of these hydrolysates
442 in ABE fermentation processes resulted in ABE concentrations ranging from 6.7 g/L to
443 11.8 g/L. Nguyen et al. (2019) reported 8.5 g/L ABE from green macroalgae
444 *Enteromorpha intestinalis* by fermentation with *C. acetobutylicum*, when both cellulosic
445 and hemicellulosic fractions after pretreatment with sulfuric acid (121 °C, 60 min, 270
446 mM H₂SO₄) were used. López-Linares et al. (2020), who pretreated brewer's spent
447 grain with dilute sulfuric acid (147 °C, 2 min, 1.26% H₂SO₄), also using the microwave
448 technique and fermenting with *C. beijerinckii* both cellulosic and hemicellulosic
449 fractions, after detoxification with activated charcoal, were able to achieve butanol and
450 ABE concentrations of 8.2 and 11.8 g/L, respectively. Nimbalkar et al. (2017) reached a
451 butanol production of 4.4 g/L with a total ABE of 6.7 g/L from the batch fermentation
452 with *C. acetobutylicum* of detoxified press mud slurry pretreated with sulfuric acid at
453 121°C, 15 min and 1.5% H₂SO₄.

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454 Therefore, the results obtained in this work, considering both enzymatic hydrolysate
455 (6.7 g/L butanol and 10.4 g/L ABE) and pretreatment liquid (3.6 g/L butanol and 5.8
456 g/L ABE), are comparable to those reported with other biomasses, even with the same
457 microorganism. Furthermore, it is worth highlighting that the results attained in this
458 work were favorable compared to those reported by Hijosa-Valsero et al. (2018) also
459 with a coffee residue (coffee silverskin). These authors attained butanol and ABE
460 concentrations as low as 7.0 and 11.4 g/L, respectively, but a slightly higher butanol
461 yield (0.27 g/g), when fermenting the slurry enzymatic hydrolysate of coffee silverskin
462 pretreated by autohydrolysis (170 °C, 20 min) with *C. beijerinckii*.

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463 On the other hand, in order to increase the butanol production, while mitigating the
464 butanol inhibition at the same time, a fed-batch fermentation system with in situ gas
465 stripping was proposed. The initial fermentation broth used was the enzymatic
466 hydrolysate resulting from SCG pretreated under optimal conditions, while the fed-
467 batch mode was performed using the optimal pretreatment liquid. Fig. 3 displays the (a)
468 sugars (glucose and mannose) and (b) products (butanol, ethanol and acetone) profiles
469 through the fermentation. As can be seen, the total amount of sugars were consumed by
470 *C. beijerinckii* at 22 h of fermentation. However, when the fed-batch mode was started
471 (at 22 h fermentation), although the glucose continued to be consumed in its entirety, a
472 progressive accumulation of mannose was observed during the fermentation. Likewise,
473 the total solvents originated (considering solvents collected in the gas stripping
474 condensate and those remaining in the fermentation broth) (Fig. 3b) also decreased
475 through the fermentation. This can be due to the presence of inhibitor compounds in
476 both the enzymatic hydrolysate and the optimal pretreatment liquid. Although the levels
477 of inhibitors are low in both hydrolysates and were then correctly fermented in the batch
478 mode, in the fed-batch mode these compounds are accumulated progressively, so *C.*
479 *beijerinckii* could be inhibited. Moreover, other toxic compounds different from those
480 measured in this work (such as caffeine, caffeic acid or chlorogenic acid, among others)
481 could also be found in both hydrolysates (Karmee, 2018), which increases the inhibition
482 of the microorganism. In addition, a possible synergistic effect between them could also
483 take place, significantly increasing the inhibition of *C. beijerinckii* (Domínguez et al.,
484 1999). Furthermore, the flow rate used in the gas stripping system should also be
485 investigated more closely, as it has been found to be one of the main factors affecting
486 the solvent/ABE recovery system (Ezeji et al., 2005). Therefore, further research should
487 be done to look for operating strategies with stripping (for instance, pulse feeding,

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488 intermittent stripping ...) which allows the fermentation productivity and performance to
489 be improved.

490

491 **4. Conclusions**

492 A microwave assisted dilute sulfuric acid pretreatment is proposed in this work, which
493 has proven to be a suitable method to recover both cellulosic and hemicellulosic sugars
494 from SCG. The optimal extraction conditions were found to be 160.47 °C and 1.5%
495 H₂SO₄, recovering 79% of the hemicellulosic sugar contained in the SCG and almost
496 the complete digestibility of cellulose (EH yield = 98%) from the resulting pretreated
497 SCG. Moreover, it was possible to valorize these sugars recovered by ABE
498 fermentation to biobutanol, achieving 95 kg butanol/t SCG_(DM) and 151 kg ABE/t
499 SCG_(DM).

500

501 **Acknowledgements**

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503 Castilla y Leon and the EU-FEDER (VAG028G19, CLU 2017-09, UIC 129).

504 **Appendix A. Supplementary data**

505 **E-supplementary data for this work can be found in e-version of this paper online.**

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663 **TABLES**

664 **Table 1.** Carbohydrate content (g/L), oligomeric sugar (%) and pH of liquid fractions
 665 after microwave assisted dilute sulfuric acid pretreatment of SCG. Recovery (%) of
 666 solid (SR), glucose (GR) and hemicellulosic sugars (HSR) in the liquid (subscript L)
 667 fractions.

Run	T	%H ₂ SO ₄ (w/v)	CSF	SR (%)	pH	Glucose (g/L)	Mannose (g/L)	Oligomeric sugars (%)	GR _L (%)	HSR _L (%)
1	170	1	1.74	69.5	1.05	2.5 ± 0.0	22.2 ± 0.0	0.1	13.7	70.9
2	170	1	1.74	69.2	1.04	2.5 ± 0.1	22.9 ± 0.1	n.d.	14.2	73.1
3	170	1	1.74	73.0	1.05	2.3 ± 0.0	20.9 ± 0.2	n.d.	13.0	66.9
4	150	0.5	0.83	90.3	1.28	0.5 ± 0.0	5.3 ± 0.0	6.9	2.9	17.0
5	170	0.29	1.24	85.2	1.5	1.0 ± 0.1	10.0 ± 0.3	1.5	5.7	31.9
6	142	1	0.91	90.2	1.05	0.6 ± 0.0	5.6 ± 0.0	10.8	3.5	18.0
7	190	0.5	2.01	62.8	1.32	4.8 ± 0.2	21.9 ± 0.2	n.d.	26.7	69.9
8	170	1	1.74	68.6	1.07	2.5 ± 0.0	22.8 ± 0.1	n.d.	14.1	73.0
9	190	1.5	2.46	55.2	0.97	9.0 ± 0.1	13.1 ± 0.1	n.d.	50.2	42.0
10	150	1.5	1.28	86.0	0.94	1.1 ± 0.0	9.0 ± 0.0	0.6	6.3	28.9
11	198	1	2.57	53.8	1.07	9.6 ± 0.0	9.6 ± 0.3	n.d.	53.5	30.7
12	170	1	1.74	69.5	1.07	2.5 ± 0.0	22.3 ± 0.4	0.2	14.0	71.2
13	170	1.71	1.95	62.9	0.91	3.8 ± 0.2	25.2 ± 0.0	n.d.	21.2	80.7

668 SR: solid recovery (%).

669 GR_L (glucose recovery in liquid fractions): g glucose in liquid fractions/100 g glucose in SCG670 HSR_L (hemicellulosic sugar recovery in liquid fractions): g hemicellulosic sugars in liquid
671 fractions/100 g hemicellulosic sugars in SCG

672 n.d.: not detected

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681 **Table 2.** Inhibitor compounds content (g/L) of liquid fractions after microwave assisted
 682 dilute sulfuric acid pretreatment of SCG.

Run	CSF	Acetic acid (g/L)	Formic acid (g/L)	HMF (g/L)	Total phenols (g/L)
1	1.74	0.2 ± 0.0	0.2 ± 0.0	0.6 ± 0.0	0.4 ± 0.0
2	1.74	0.1 ± 0.0	0.2 ± 0.0	0.6 ± 0.1	0.4 ± 0.0
3	1.74	0.2 ± 0.0	0.2 ± 0.0	0.5 ± 0.0	0.4 ± 0.0
4	0.83	0.1 ± 0.0	n.d.	n.d.	0.2 ± 0.0
5	1.24	0.1 ± 0.0	0.1 ± 0.0	0.1 ± 0.0	0.2 ± 0.0
6	0.91	0.1 ± 0.0	n.d.	n.d.	0.2 ± 0.0
7	2.01	0.2 ± 0.0	0.7 ± 0.0	1.9 ± 0.1	0.7 ± 0.0
8	1.74	0.2 ± 0.0	0.2 ± 0.0	0.6 ± 0.0	0.4 ± 0.0
9	2.46	0.2 ± 0.0	2.6 ± 0.2	1.2 ± 0.0	1.3 ± 0.1
10	1.28	0.1 ± 0.0	0.1 ± 0.0	0.1 ± 0.0	0.2 ± 0.0
11	2.57	0.2 ± 0.0	3.0 ± 0.1	1.4 ± 0.1	1.7 ± 0.1
12	1.74	0.1 ± 0.0	0.2 ± 0.0	0.5 ± 0.0	0.4 ± 0.0
13	1.95	0.2 ± 0.0	0.5 ± 0.0	0.9 ± 0.1	0.5 ± 0.0

683 n.d.: not detected

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696 **Table 3.** Enzymatic hydrolysis of the pretreated solids after microwave assisted dilute
 697 sulfuric acid pretreatment of SCG.

Run	CSF	Carbohydrate concentration (g/L)		EH yield (%)	Overall sugar recovery (%)
		Glucose	Mannose		
1	1.74	12.0 ± 0.0	0.5 ± 0.0	92.9	85.3
2	1.74	11.9 ± 0.0	0.5 ± 0.0	92.3	86.6
3	1.74	11.5 ± 0.0	0.5 ± 0.0	94.0	83.0
4	0.83	10.0 ± 0.0	1.0 ± 0.0	100.0	52.3
5	1.24	10.4 ± 0.0	0.8 ± 0.0	99.0	61.1
6	0.91	10.1 ± 0.0	0.9 ± 0.0	100.0	53.0
7	2.01	11.3 ± 0.0	0.2 ± 0.0	79.4	83.6
8	1.74	11.9 ± 0.1	0.5 ± 0.0	91.4	86.1
9	2.46	6.6 ± 0.0	0.0 ± 0.0	40.5	59.8
10	1.28	10.3 ± 0.1	0.6 ± 0.0	98.6	58.7
11	2.57	4.5 ± 0.0	0.0 ± 0.0	27.0	48.9
12	1.74	11.9 ± 0.0	0.5 ± 0.0	92.6	85.4
13	1.95	12.0 ± 0.1	0.3 ± 0.0	84.2	90.4
Non-pretreated SCG		9.9 ± 0.6	2.3 ± 0.1	100.0	29.1

698 EH yield, %: g glucose achieved in enzymatic hydrolysis/100 g glucose in
 699 unpretreated SCG.

700 Overall sugar recovery (%):g glucose and mannose achieved in enzymatic
 701 hydrolyzates and pretreatment liquid /100 g total sugars in unpretreated SCG.

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712 **Table 4.** ABE fermentation of the untreated and optimal pretreated SCG enzymatic
 713 hydrolysates, and optimal pretreatment liquid. Initial monosaccharide concentration
 714 (g/L), final acetic acid concentration (g/L), final butyric acid concentration (g/L),
 715 butanol and ABE yields ($Y_{\text{BUT/sugars}}$, $Y_{\text{ABE/sugars}}$ expressed as g/g sugars consumed), and
 716 butanol and ABE productivities (P_{BUT} , P_{ABE} expressed as g/L·h) at the time of
 717 maximum production of butanol and ABE.

	t (h)	Sugar uptake (%)	Acetic acid (g/L)	Butyric acid (g/L)	$Y_{\text{BUT/sugars}}$ (g/g)	$Y_{\text{ABE/sugars}}$ (g/g)	P_{BUT} (g/L·h)	P_{ABE} (g/L·h)
Unpretreated SCG enzymatic hydrolysate	48	99.6 ± 0.0	0.4± 0.1	0.4± 0.1	0.23	0.34	0.160	0.238
Optimal pretreated SCG enzymatic hydrolysate	48	99.7 ± 0.0	0.4± 0.0	0.3± 0.0	0.21	0.33	0.140	0.216
Optimal pretreatment liquid	48	99.2 ± 0.1	0.7± 0.1	0.4± 0.1	0.20	0.33	0.074	0.121

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735 **Figure captions**

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2 736 **Fig. 1.** Response surface plots for (a) hemicellulosic sugar recovery (HSR_L) and (b) EH
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4 737 yield as a function of temperature and sulfuric acid concentration.

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7 738 **Fig. 2.** ABE fermentation of unpretreated and optimal pretreated SCG enzymatic
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9 739 hydrolysates, and optimal pretreatment liquid.

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11 740 **Fig. 3.** Fermentation fed-batch profiles with in situ gas stripping of optimal pretreated
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13 741 SCG enzymatic hydrolysate. The fed-batch mode was performed using the optimal
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15 742 pretreatment liquid. Dashed lines show the total production considering solvents
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17 743 collected in the gas stripping condensate and those remaining in the fermentation broth.
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19 744 The continuous vertical line in gray colour shows the time in which the gas stripping
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21 745 and feed-batch processes are started (at 22 h of fermentation).
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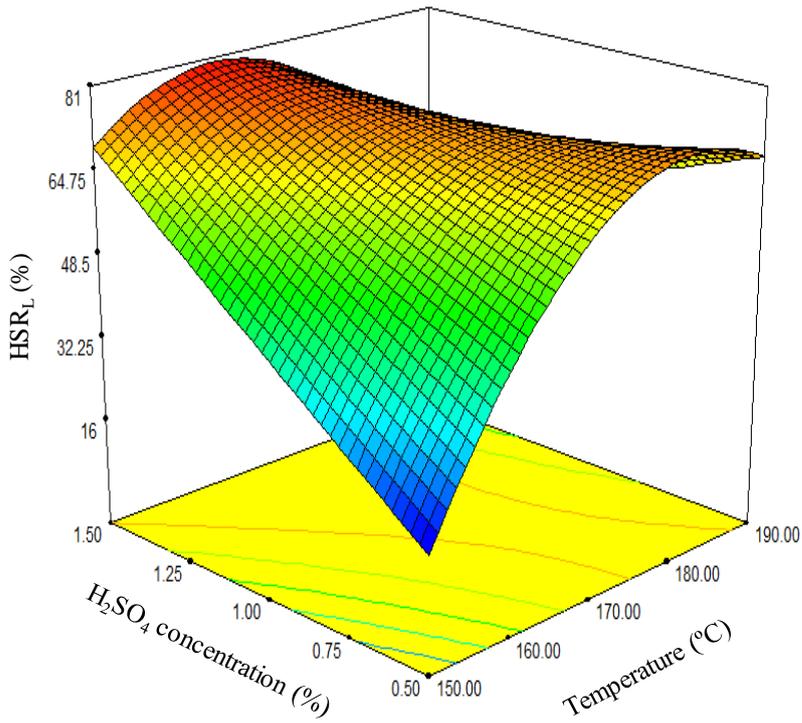
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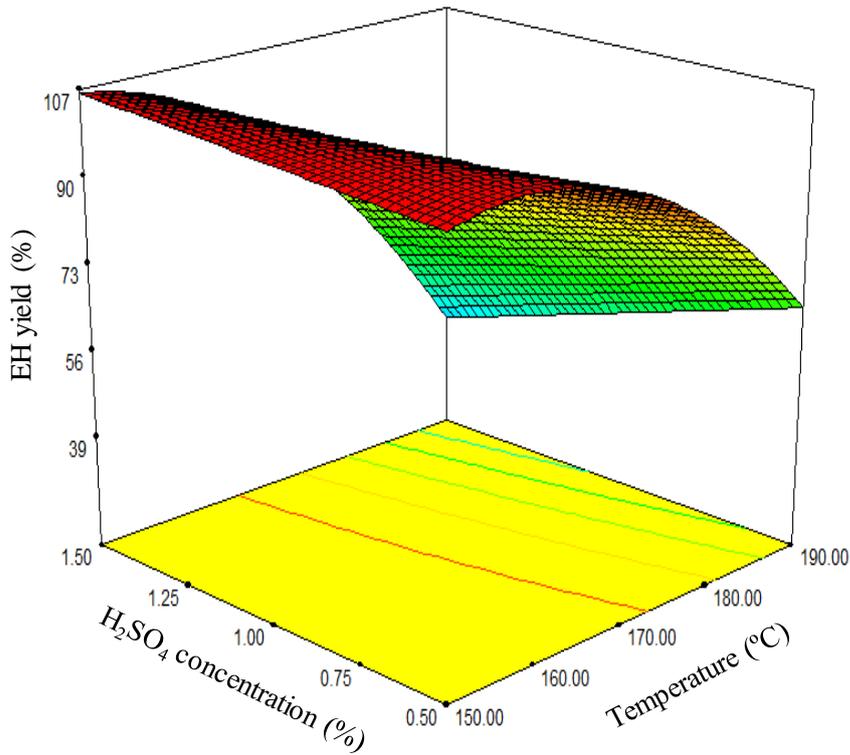
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763 **Fig. 1.**

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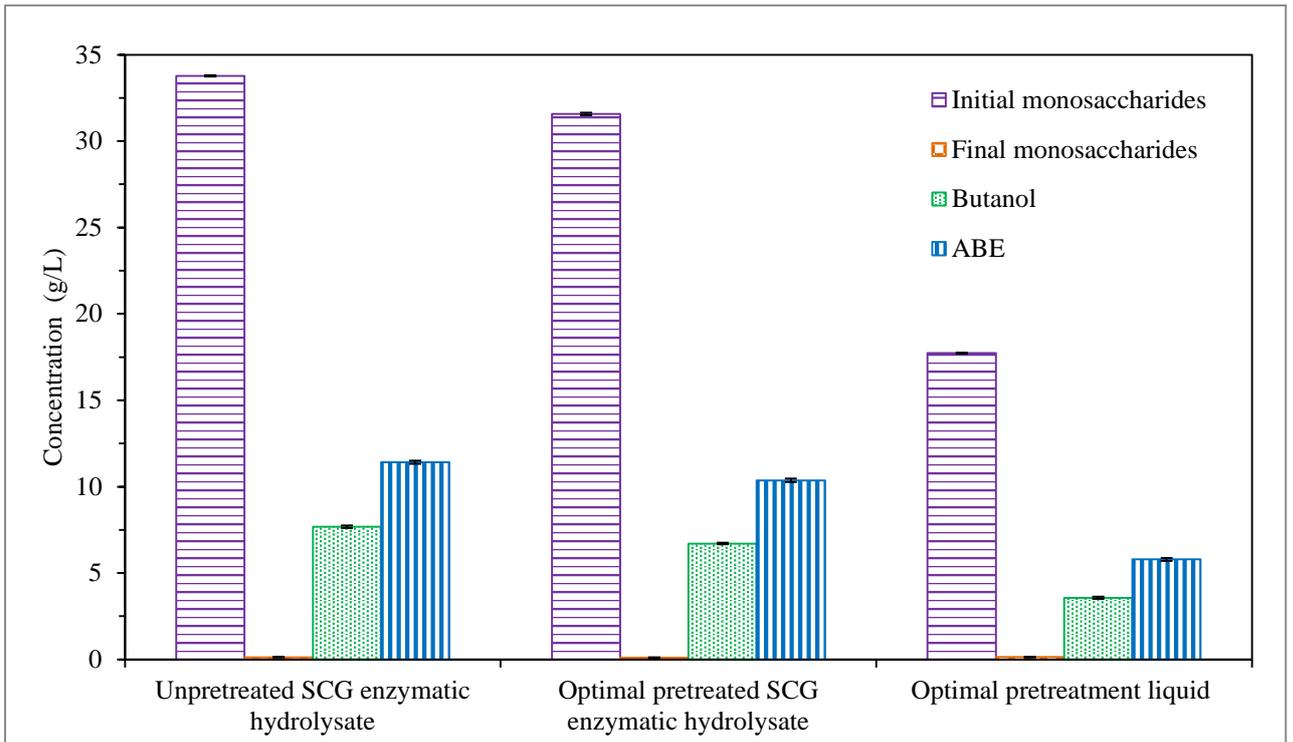


Fig. 2.

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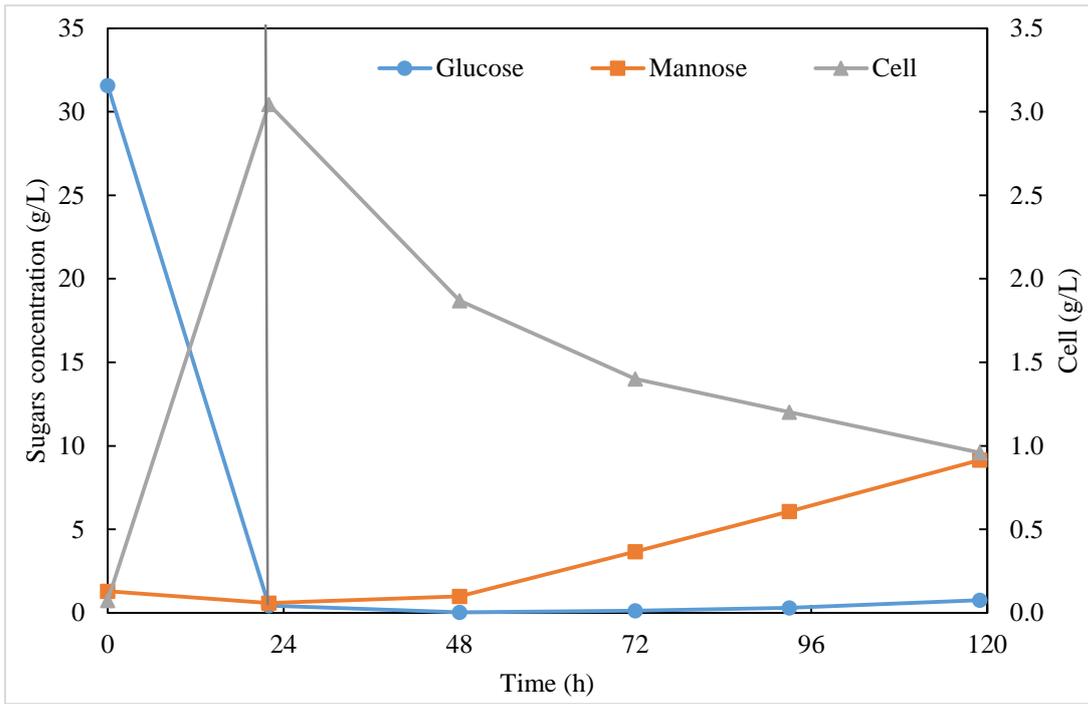
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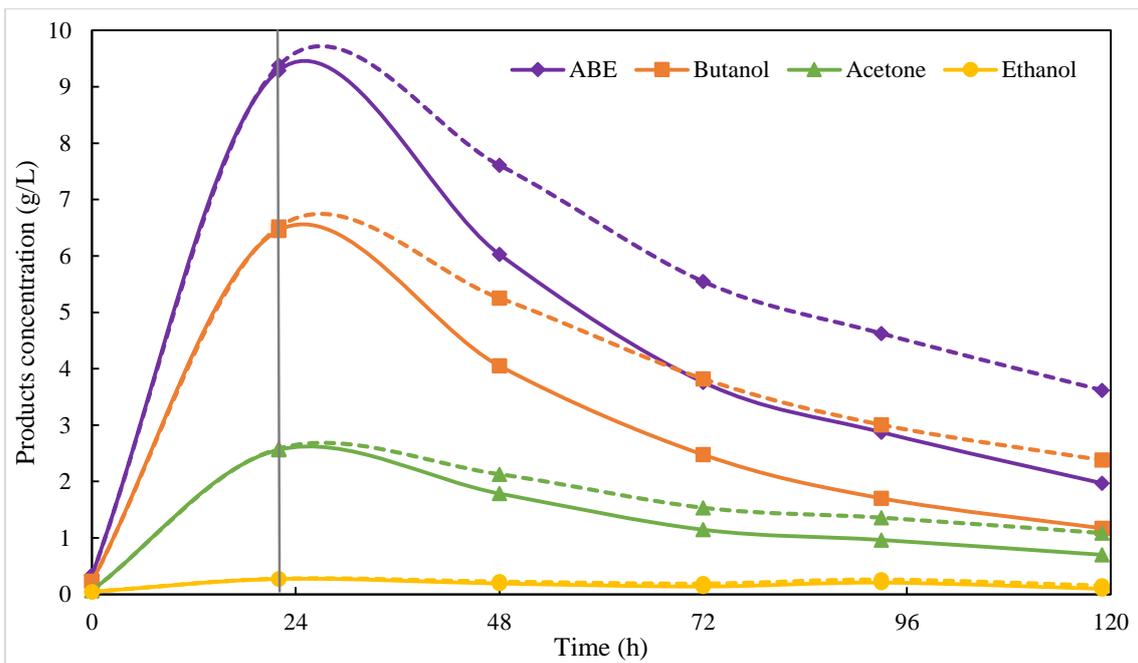
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783 **Fig. 3.**

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Credit Author Statements

Juan C. López-Linares → Investigation, methodology, writing-original draft

María Teresa García-Cubero → Conceptualization, supervision, writing-original draft

Mónica Coca → Conceptualization, formal analysis, supervision

Susana Lucas → Conceptualization, writing-review editing, project administration

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: