

1 **A feasibility study on the bioconversion of CO<sub>2</sub> and H<sub>2</sub> to biomethane by gas sparging**  
2 **through polymeric membranes**

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NOTATION

$c_{Gmem_{H_2}}$	Concentration of H <sub>2</sub> in the stream supplied to the membrane (g/m <sup>3</sup> )
$c_{IN,G_{H_2}}$	Concentration of H <sub>2</sub> in the feed gas (g/m <sup>3</sup> )
$c_{L_{H_2}}$	Concentration of H <sub>2</sub> in the liquid phase (g/m <sup>3</sup> )
$c_{OUT,G_{H_2}}$	Concentration of H <sub>2</sub> in the effluent gas (g/m <sup>3</sup> )
$f_X$	Fraction of H <sub>2</sub> employed for microorganisms growth
$H_{CH_4}$	Dimensionless Henry's law constant for CH <sub>4</sub>
$H_{H_2}$	Dimensionless Henry's law constant for H <sub>2</sub>
$k_L a_{CO_2}$	Liquid film mass transfer coefficient for CO <sub>2</sub> (h <sup>-1</sup> )
$k_L a_{H_2}$	Liquid film mass transfer coefficient for H <sub>2</sub> (h <sup>-1</sup> )
$\dot{m}_{G \rightarrow L_{H_2}}$	Mass flow rate of H <sub>2</sub> transferred from gas to liquid phase (g/d)
$\dot{m}_{IN,G_{H_2}}$	Feed mass flow rate of H <sub>2</sub> gas (g/d)
$\dot{m}_{OUT,G_{CH_4}}$	Effluent mass flow rate of CH <sub>4</sub> gas (g/d)
$(\dot{m}_{OUT,G_{CH_4}})_{H_2,eq}$	Effluent mass flow rate of CH <sub>4</sub> gas as equivalent H <sub>2</sub> according to equation 1 (g/d)
$\dot{m}_{OUT,G_{H_2}}$	Effluent mass flow rate of H <sub>2</sub> gas (g/d)
$\dot{m}_{OUT,L_{H_2}}$	Effluent mass flow rate of dissolved H <sub>2</sub> (g/d)
$\eta_{H_2}$	Efficiency of H <sub>2</sub> utilization (%)
$OLR$	Organic loading rate (m <sup>3</sup> <sub>H<sub>2</sub></sub> /m <sup>3</sup> <sub>R</sub> d)
$Q_{IN,G_{H_2}}$	Gas feed rate of H <sub>2</sub> (m <sup>3</sup> /d)
$Q_{RC,G}$	Gas recirculation rate (m <sup>3</sup> /d)
$Q_{OUT,G}$	Gas effluent rate (m <sup>3</sup> /d)
$Q_{OUT,G_{H_2O}}$	Gas effluent rate of water vapor (m <sup>3</sup> /d)
$r_{ut_{H_2}}$	H <sub>2</sub> utilization rate (g/h)
$U$	Specific substrate utilization rate (gCO <sub>2</sub> /gvssd)
$V_{m_{CO_2}}$	Molecular volume of CO <sub>2</sub> (mL/mol)
$V_{m_{H_2}}$	Molecular volume of H <sub>2</sub> (mL/mol)
$V_R$	Working volume of the bioreactor (L)
$X$	Concentration of microorganisms (gvss/L)
$x_{CH_4}$	Molar fraction of CH <sub>4</sub>
$Y_{CH_4}$	Methane yield (m <sup>3</sup> <sub>CH<sub>4</sub></sub> /m <sup>3</sup> <sub>H<sub>2</sub></sub> )

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### 13 **Abstract**

14 In this study, the potential of a pilot hollow-fiber membrane bioreactor for the conversion  
15 of H<sub>2</sub> and CO<sub>2</sub> to CH<sub>4</sub> was evaluated. The system transformed 95% of H<sub>2</sub> and CO<sub>2</sub> fed at a  
16 maximum loading rate of 40.2m<sup>3</sup><sub>H<sub>2</sub></sub>/m<sup>3</sup><sub>R</sub>d and produced 0.22 m<sup>3</sup> of CH<sub>4</sub> per m<sup>3</sup> of H<sub>2</sub> fed at  
17 thermophilic conditions. H<sub>2</sub> mass transfer to the liquid phase was identified as the limiting  
18 step for the conversion, and k<sub>L</sub>a values of 430h<sup>-1</sup> were reached in the bioreactor by sparging  
19 gas through the membrane module. A simulation showed that the bioreactor could upgrade  
20 biogas at a rate of 25m<sup>3</sup>/m<sup>3</sup><sub>R</sub>d, increasing the CH<sub>4</sub> concentration from 60 to 95%v. This  
21 proof-of-concept study verified that gas sparging through a membrane module can  
22 efficiently transfer H<sub>2</sub> from gas to liquid phase and that the conversion of H<sub>2</sub> and CO<sub>2</sub> to  
23 biomethane is feasible on a pilot scale at noteworthy load rates.

24

25 **Keywords:** biomethane, biogas upgrading, hydrogenotrophic archaea, MBR, methanation

26

### 27 **1. Introduction**

28 The emissions of greenhouse gases are a major concern for environmental conservation as  
29 they are directly linked to climate change; most of the recent global warming can be  
30 attributed to the release of CO<sub>2</sub> and other heat-trapping gases from human activities (NRC,  
31 2010). Decreasing CO<sub>2</sub> emissions can be achieved by reducing the amount of CO<sub>2</sub>  
32 produced and by managing the utilization of CO<sub>2</sub> or the storage and fossilization of CO<sub>2</sub>

33 (Yang et al., 2008). Although technology that can increase the efficiency of combustion  
34 processes and hence reduce the amount of fossil fuels burnt is evolving, only the  
35 development of mitigation technologies can decrease the actual CO<sub>2</sub> concentration from its  
36 current value (370 ppm) to the pre-industrial concentration (280 ppm). For this reason,  
37 several technologies are subject of ongoing research to better capture, transform, utilize and  
38 storage CO<sub>2</sub> (Mikkelsen et al., 2010), with a particular focus on biological alternatives, as  
39 these can achieve carbon fixation with low or none use of chemical products, while also  
40 avoiding extreme operational conditions, such as high pressure or temperature (Burkhardt  
41 and Busch, 2013; Lam et al., 2012).

42

43 The technology to fix CO<sub>2</sub> by means of the chemoautotrophic conversion of CO<sub>2</sub> and H<sub>2</sub> to  
44 biomethane (equation 1) by methanogenic *archaea* is still undeveloped because most of the  
45 H<sub>2</sub> production worldwide comes from steam reforming of CH<sub>4</sub> (Ullman, 2000). However, it  
46 is gaining attention in the actual context of renewable energies implementation. On the one  
47 hand, H<sub>2</sub> production from wind and solar power through water electrolysis has been  
48 proposed in order to circumvent the limitations of intermittency and site-specificity  
49 associated with these sources (Levene et al., 2007). Furthermore, the low density of H<sub>2</sub>  
50 requires high storage volumes, and the technology for transportation and direct utilization is  
51 still under development. As a consequence, its transformation to biomethane, which can be  
52 injected into natural gas (NG) grids or employed as fuel for vehicles, is very attractive  
53 (Deublein and Steinhauser, 2011). On the other hand, biogas production, with a typical  
54 content of 60% CH<sub>4</sub> and 40% CO<sub>2</sub> from the anaerobic digestion (AD) of organic wastes  
55 and by-products, is a well-established renewable energy technology in the EU

56 (EurObserver, 2013). Incentives and feed-in tariffs initially boosted electricity generation  
57 from biogas, despite the low engines efficiency when using this feed, however recent cuts  
58 and European policies to develop alternative fuels which reduce energetic dependence are  
59 leading to the fast development of biogas upgrading plants that remove CO<sub>2</sub> and produce  
60 biomethane (Petersson et al., 2007). By upgrading biogas with hydrogenotrophic *archaeas*  
61 through equation 1, and an external source of H<sub>2</sub> from wind or solar power, a synergy could  
62 be reached due to the fact that commercial upgrading plants are based on physical/chemical  
63 processes (i.e. absorption, adsorption and membrane separation) that only separate CH<sub>4</sub>  
64 from CO<sub>2</sub>, thus requiring further steps to avoid carbon emissions (Bauer et al., 2013).

65



67

68 Literature shows two different approaches when considering the development of a  
69 technology that takes advantage of hydrogenotrophic methanogenesis to remove CO<sub>2</sub>.  
70 Firstly, the addition of H<sub>2</sub> to anaerobic digesters of organic matter in order to remove CO<sub>2</sub>  
71 from biogas while increasing the production of biomethane (Luo and Angelidaki, 2013;  
72 Luo et al., 2012; Wang et al., 2013) and, secondly, the supply of H<sub>2</sub> and a CO<sub>2</sub> (or biogas)  
73 to an exclusively methanogenic bioreactor rich in hydrogenotrophic *archaeas* (Burkhardt  
74 and Busch, 2013; Ju et al., 2008; Kim et al., 2013; Lee et al., 2012; Luo and Angelidaki,  
75 2012; Peillex et al., 1990). Both lines of research found that the barrier to the successful  
76 development of the technology on an industrial scale is the gas-liquid mass transfer of H<sub>2</sub>,  
77 due to its low solubility (dimensionless Henry's constant, H<sub>H<sub>2</sub></sub> = 50 and 55 g/L<sub>G</sub>/g/L<sub>H<sub>2</sub>O</sub> at

78 35 and 55°C respectively (Ju et al., 2008)). Studies with gas diffusers on lab-scale CSTR  
79 were shown to require high stirring speed; Peillex et al. (1990) attained an organic loading  
80 rate (OLR) of  $1488 \text{ m}^3_{\text{H}_2}/\text{m}^3_{\text{R}}\text{d}$  with a methane yield of  $0.19 \text{ m}^3_{\text{CH}_4}/\text{m}^3_{\text{H}_2}$  employing a pure  
81 culture of *Methanobacterium thermoautotrophicum* at 65°C. More modest loads were  
82 found when employing mixed methanogens cultures at thermophilic conditions (55°C)  
83 (Luo and Angelidaki, 2012), increasing the content of CH<sub>4</sub> in biogas from 60 to 90% at a  
84 rate of  $14.4 \text{ m}^3_{\text{H}_2}/\text{m}^3_{\text{R}}\text{d}$ . Another experiment with packed columns bioreactors reported a  
85 load of  $5.7 \text{ m}^3_{\text{H}_2}/\text{m}^3_{\text{R}}\text{d}$  with a mixed culture at mesophilic conditions obtaining a yield of  
86  $0.23 \text{ m}^3_{\text{CH}_4}/\text{m}^3_{\text{H}_2}$  (Lee et al., 2012) (close to the stoichiometric maximum). Membrane  
87 bioreactors (MBR) were also evaluated for the transfer of H<sub>2</sub> by gas diffusion through the  
88 membrane material, reaching a final concentration of biomethane in upgraded biogas of  
89 more than 95% (Strevett et al., 1995; Wang et al., 2013), as well as high methanogenic  
90 activity even at low pH values or high concentrations of reaction intermediates (Ju et al.,  
91 2008).

92

93 Literature on reactors with a working volume larger than 10L is scarce, and limited to  
94 mesophilic temperature. Employing a 26.8L, Burkhardt and Busch (2013) found a yield of  
95  $0.26 \text{ m}^3_{\text{CH}_4}/\text{m}^3_{\text{H}_2}$  in a trickled-bed bioreactor at a rate of  $4.52 \text{ m}^3_{\text{H}_2}/\text{m}^3_{\text{R}}\text{d}$  and in Kim et al.  
96 (2013) a load of  $18 \text{ m}^3_{\text{H}_2}/\text{m}^3_{\text{R}}\text{d}$  was reached in a 100L CSTR at moderate stirring speed,  
97 showing a slightly lower yield ( $0.23 \text{ m}^3_{\text{CH}_4}/\text{m}^3_{\text{H}_2}$ ). Consequently, applied research should  
98 focus on developing viable bioreactor configurations that achieve both a high load and a

99 high CH<sub>4</sub> yield on larger scales. This paper aims to study the feasibility of producing CH<sub>4</sub>  
100 from H<sub>2</sub> and CO<sub>2</sub> at thermophilic conditions on a pilot scale MBR.

101

## 102 **2. Materials and Methods**

103

### 104 2.1 Pilot plant description

105 One 40L cylindrical reactor (176mm x 1200mm) with a working volume of 31L was taken.  
106 The reactor was insulated and the walls were heated with electric resistance. Feed gas was  
107 obtained from gas cylinders, and the rate was regulated with rotameters. Feed line was  
108 preheated in a thermostatic bath (55°C), mixed with the recirculation, filtered by 0.45µm  
109 (Millex, Millipore) and connected to the upper part of the membrane module as shown in  
110 Figure 1. The hollow-fiber membrane module (Porous fibers, Spain) was placed in the  
111 bioreactor to generate gas bubbles. The module consisted of 232 polymeric fibers (PVDF)  
112 with a pore size of 0.4µm and fiber length of 550mm. The total membrane surface was  
113 0.93m<sup>2</sup> and the module occupied 2.6L. The bioreactor was equipped with a gas pump to  
114 recirculate biogas from the headspace through the membrane module, and one peristaltic  
115 pump to mix the liquid at a constant rate of 700mL/min.

116

### 117 2.2 Operating conditions

118 The reactor was inoculated with 31L of anaerobic sludge from a thermophilic pilot plant  
119 anaerobic digester at our laboratory treating activated sludge from Valladolid WWTP. We  
120 set up the reactor by supplying H<sub>2</sub> and CO<sub>2</sub> (ratio according to equation 1) at an organic

121 loading rate of  $5.03\text{m}^3_{\text{H}_2}/\text{m}^3_{\text{R}}\text{d}$  with a gas recirculation rate ( $Q_{RC,G}$ ) of  $0.10\text{m}^3/\text{d}$  for 30d. All  
122 the values of volumetric flow rates from the study are expressed at  $55^\circ\text{C}$  and 1atm.

123

124 After the set-up period, the experiment started. The experiment was performed at  
125 thermophilic conditions ( $55\pm 1^\circ\text{C}$ ) and divided into 6 stages (I-VI), each corresponding to a  
126 certain gas load rate, in order to determine the maximum OLR that could be applied with a  
127 95% conversion efficiency for  $\text{H}_2$  ( $\eta_{\text{H}_2}$ ). Different  $Q_{RC,G}$  were applied for some stages  
128 (Table 1) in order to evaluate mass transfer conditions and reactor performance. Nutrients  
129 required for microbial activity, and a phosphate buffer solution, were supplied when the  
130  $\text{NH}_4^+$  concentration fell below 500 mg/L, specifically, during day 19, 52, 82 and 108.

131 200mL of macronutrients solution, 20mL of micronutrients solution diluted in 180mL of  
132 distilled water and 200mL of buffer solution were added on the days mentioned. The  
133 macronutrient solution was prepared like the stock solution A reported in Angelidaki and  
134 Sanders (2004), while the micronutrients solution was a version that was modified (by  
135 adding 500mg/L of resazurine) from the trace-metal solution also from Angelidaki and  
136 Sanders (2004) and the phosphate buffer solution was prepared with  $\text{K}_2\text{HPO}_4 \cdot 3\text{H}_2\text{O}$  and  
137  $\text{KH}_2\text{PO}_4$  to a final pH of 7.2 with a concentration of  $1\text{mol/L PO}_4^{3-}$ .

138

### 139 2.3 Monitoring and Experimental analysis

140 Headspace pressure was monitored with a Cerabar PMC131 probe (Endress Hauser) and  
141 temperature was controlled with a PID and a PT100 probe. Effluent gas rate was measured  
142 daily by liquid displacement, and gas composition (dry basis) was determined by gas

143 chromatography (GC-TCD) as described in Díaz et al. (2010). The liquid effluent was  
144 collected and measured daily in a graduated cylinder.  
145  
146 Volatile fatty acids concentration was measured weekly by gas chromatography (GC-FID)  
147 following the method reported in Alcántara et al. (2014).  
148  
149 Dissolved H<sub>2</sub> concentration ( $c_{LH_2}$ ) was measured periodically by gas –liquid partition with  
150 a modified version of the method described in Yu et al. (2006). 8 mL of liquid were  
151 sampled from the reactor and subsequently injected into a 10 mL gas-tight serological  
152 bottle. The bottles contained 200µL of concentrated H<sub>2</sub>SO<sub>4</sub> in order to prevent any  
153 biological activity in the sample. They were closed with butyl septa, sealed with aluminum  
154 caps and degassed with helium prior to the sample injection. H<sub>2</sub> in the headspace of the  
155 bottles was measured 8h after sample injection by GC-TCD and liquid concentration was  
156 estimated through mass balances. A higher variability between replicates is expected in this  
157 modified version since analyses were only performed in duplicate in comparison to the  
158 original method where triplicate aqueous samples were withdrawn. Due to the nature of the  
159 GC detection limit for H<sub>2</sub> (1% in volume), the minimum  $c_{LH_2}$  that can be measured is  
160 0.022mg/L.  
161  
162 pH, TSS (total suspended solids), VSS (volatile suspended solids) and NH<sub>4</sub><sup>+</sup> concentration  
163 were measured weekly according to standard methods (APHA et al., 2005).  
164



165 **3. Calculation**

166 Methane yield ( $Y_{CH_4}$ ) was defined as the volume of  $CH_4$  generated per volume of  $H_2$  fed to  
167 the bioreactor, and was calculated with equation 2.  $CH_4$  in the liquid effluent can be  
168 neglected due to the low solubility of  $CH_4$  in water ( $H_{CH_4} = 43$  at  $55^\circ C$ ) and the low liquid  
169 effluent rate.

$$170 Y_{CH_4} = (Q_{OUT,G} - Q_{OUT,G_{H_2O}}) \cdot x_{CH_4} / Q_{IN,G_{H_2}} \quad (\text{eq. 2})$$

171 where  $Q_{OUT,G}$  is the volumetric gas effluent rate,  $Q_{OUT,G_{H_2O}}$  the volumetric flow rate of  
172 water in the gas effluent (calculated with vapor pressure given by Antoine equation),  $x_{CH_4}$   
173 the molar fraction of  $CH_4$  (dry basis) in gas effluent and  $Q_{IN,G_{H_2}}$  volumetric gas feed rate of  
174  $H_2$ .

175 In a similar way, the efficiency of  $H_2$  utilization was defined by equation 3.

$$176 \eta_{H_2} = 100 \cdot (\dot{m}_{IN,G_{H_2}} - \dot{m}_{OUT,G_{H_2}}) / \dot{m}_{IN,G_{H_2}} \quad (\text{eq. 3})$$

177 where  $\dot{m}_{IN,G_{H_2}}$  is the mass flow rate of  $H_2$  fed and  $\dot{m}_{OUT,G_{H_2}}$  the mass flow rate of  $H_2$  in  
178 the effluent gas.  $H_2$  in the liquid effluent can be neglected as well as it is several orders of  
179 magnitude lower than the mass flow rates of  $H_2$  in gaseous streams.

180 A mass balance to the gas phase in the bioreactor (equation 4) was performed to calculate  
181 the mass transfer coefficient for  $H_2$ ,  $k_L a_{H_2}$

$$182 \dot{m}_{IN,G_{H_2}} = \dot{m}_{OUT,G_{H_2}} + \dot{m}_{G \rightarrow LH_2} \quad (\text{eq. 4})$$

183 where  $\dot{m}_{G \rightarrow LH_2}$  is the mass flow rate of  $H_2$  transferred from the gas to the liquid phase in the  
184 bioreactor. In steady-state conditions,  $\dot{m}_{G \rightarrow LH_2}$  is given by equation 5 assuming that all the  
185 resistance to mass transfer is in the gas/liquid interphase.

186  $\dot{m}_{G \rightarrow LH_2} = V_R \cdot k_L a_{H_2} (c_{Gmem_{H_2}}/H_{H_2} - c_{LH_2})$  (eq. 5)

187 where  $c_{LH_2} \approx 0$  when the high turbulence provoked by gas sparging rate prevents a  
 188 concentration gradient in the liquid phase and dissolved  $H_2$  is consumed completely by  
 189 methanogens. Then, combining eqs. 4 and 5,  $k_L a_{H_2}$  can be obtained (equation 6)

190  $k_L a_{H_2} = \frac{\dot{m}_{IN,G_{H_2}} - \dot{m}_{OUT,G_{H_2}}}{V_R(c_{Gmem_{H_2}}/H_{H_2})}$  (eq. 6)

191 where  $V_R$  is the reactor working volume (31L).  $c_{Gmem_{H_2}}$  is given by equation 7

192  $c_{Gmem_{H_2}} = \frac{c_{IN,G_{H_2}} \cdot Q_{IN,G} + c_{OUT,G_{H_2}} \cdot Q_{RC,G}}{Q_{IN,G} + Q_{RC,G}}$  (eq. 7)

193  $c_{IN,G_{H_2}}$  and  $c_{OUT,G_{H_2}}$  are the  $H_2$  concentrations in feed and effluent gas respectively,  $Q_{IN}$  the  
 194 volumetric gas feed rate and  $Q_{RC,G}$  the volumetric gas recirculation rate.

195 Yu et al. (Yu et al., 2006) demonstrated that the mass transfer coefficient for a given  
 196 gaseous substrate can be estimated when the coefficient for a reference gas is known in the  
 197 same reactor and under the same operating conditions (equation 8); thus, the mass transfer  
 198 coefficient for  $CO_2$  ( $k_L a_{CO_2}$ ) was estimated.

199  $k_L a_{CO_2}/k_L a_{H_2} = \left(1/V_{m_{CO_2}}\right)^{0.4} / \left(1/V_{m_{H_2}}\right)^{0.4}$  (eq. 8)

200 where  $V_{m_{H_2}}$  and  $V_{m_{CO_2}}$  are the molecular volume of  $H_2$  and  $CO_2$  (14.3 and 34mL/mol  
 201 respectively) (Wilke and Chang, 1955).

202 From  $\dot{m}_{G \rightarrow LH_2}$ , some parameters of the biological kinetics and stoichiometry were  
 203 calculated performing a mass balance to  $H_2$  in the liquid phase of the bioreactor (equation  
 204 9)

205  $\dot{m}_{G \rightarrow LH_2} = \dot{m}_{OUT,LH_2} + r_{ut_{H_2}}$  (eq. 9)

206 where  $r_{ut_{H_2}}$  is the H<sub>2</sub> utilization rate. From  $r_{ut_{H_2}}$ ,  $U$ , the specific substrate utilization rate,  
207 was obtained with equation 10 including the conversion factors: 8g<sub>COD</sub>/g<sub>H<sub>2</sub></sub> and 24h/d

$$208 \quad U = 0.33 \cdot r_{ut_{H_2}} / (X V_R) \quad (\text{eq. 10})$$

209 where  $X$  is the microorganisms concentration.

210 Finally,  $f_X$ , the fraction of H<sub>2</sub> employed for microorganisms growth (anabolism), was  
211 estimated (equation 11) given the fact that the mass flow rate of H<sub>2</sub> consumed to produce  
212 energy (catabolism) can be obtained from the methane production rate ( $\dot{m}_{OUT,GCH_4}$ )

213 according to equation 1

$$214 \quad f_X = \frac{r_{ut,H_2} - (\dot{m}_{OUT,GCH_4}/2)}{r_{ut,H_2}} \quad (\text{eq. 11})$$

215 where the term  $\dot{m}_{OUT,GCH_4}/2$  is defined as the mass flow rate of CH<sub>4</sub> as equivalent H<sub>2</sub>

216  $(\dot{m}_{OUT,GCH_4})_{H_2eq}$  according to equation 1.

217

## 218 **4. Results and Discussion**

219

### 220 4.1. Performance of the conversion of H<sub>2</sub> and CO<sub>2</sub> to CH<sub>4</sub>

221 The experiment started (stage Ia) with a  $\dot{m}_{IN,GH_2}$  of 22.9g/d and a  $Q_{RC,G}$  of 0.10m<sup>3</sup>/d. The  
222 mass balance performed to the gas phase (Figure 2a) showed that less than 90% of the H<sub>2</sub>  
223 fed was converted during these first days. Next, biogas recirculation rate was increased  
224 stepwise according to Table 1 until 1.61m<sup>3</sup>/d, with the purpose of raising  $\eta_{H_2}$ . The  
225 bioreactor presented an unstable behavior until day 20,  $\eta_{H_2}$  varied between 65% and 90%

226 (Figure 2b), and we found a significant difference between  $\dot{m}_{G \rightarrow LH_2}$  and  $\left(\dot{m}_{OUT, GCH_4}\right)_{H_2eq}$   
 227 until day 9, which indicates that a large part of the  $H_2$  fed in these first days was transferred  
 228 to the liquid phase and consumed, but was not employed for  $CH_4$  production, probably due  
 229 to biomass adaptation to the substrate. The bioreactor converted at least 95% of the  $H_2$  fed  
 230 only after day 20. During stage Ie, the average  $\eta_{H_2}$  was 97% and the average  $Y_{CH_4}$  was  
 231  $0.20m^3_{CH_4}/m^3_{H_2}$ .  
 232  
 233 On day 27,  $\dot{m}_{IN, GH_2}$  was raised to 45.7g/d while  $Q_{RC, G}$  was maintained at 1.61m<sup>3</sup>/d (stage  
 234 II). The increase in the mass flow rate provoked a slightly decrease in  $\eta_{H_2}$ , which remained  
 235 around 95% for this period, thus indicating that mass transfer conditions were still  
 236 acceptable even when the OLR was doubled. Besides, the average  $Y_{CH_4}$  was  
 237  $0.19m^3_{CH_4}/m^3_{H_2}$ , somewhat lower than at the end of the previous period. Given the fact that  
 238 the conversion efficiency did not substantially fall during stage II, we increased  $\dot{m}_{IN, GH_2}$  to  
 239 68.6g/d on day 40 (stage IIIa) and maintained  $Q_{RC, G}$ . In this case,  $\eta_{H_2}$  decreased to an  
 240 average 93% but the average  $Y_{CH_4}$  was not altered.  
 241  
 242 On day 58,  $Q_{RC, G}$  was augmented to 2.41m<sup>3</sup>/d (stage IIIb). Under these conditions, the  
 243 performance of the bioreactor improved significantly,  $\eta_{H_2}$  reached 95% while  $Y_{CH_4}$   
 244 increased to  $0.23m^3_{CH_4}/m^3_{H_2}$ , much closer to the stoichiometric value. Furthermore, the  
 245 difference between  $\dot{m}_{G \rightarrow LH_2}$  and  $\left(\dot{m}_{OUT, GCH_4}\right)_{H_2eq}$  was drastically lower than in previous

246 stages (Figure 2a) thus indicating that *archaeas* employed almost all H<sub>2</sub> transferred in order  
247 to produce CH<sub>4</sub>.

248

249 The maximum  $\dot{m}_{IN,G_{H_2}}$  supplied to the bioreactor was 103g/d during stage IV, in  
250 combination with a recirculation flow rate of 4.83m<sup>3</sup>/d, the maximum capacity of gas  
251 pump. Throughout this period,  $\eta_{H_2}$  never reached the targeted 95%, instead averaging 91%  
252 while  $Y_{CH_4}$  was 0.21m<sup>3</sup><sub>CH<sub>4</sub></sub>/m<sup>3</sup><sub>H<sub>2</sub></sub>. On day 98 (at the end of stage IV), the operation was  
253 stopped and the bioreactor opened in order to observe the state of the membrane. There was  
254 no biomass attachment to the membrane, in contrast to the biofilm found on the MBRs  
255 employed for H<sub>2</sub> conversion to CH<sub>4</sub> in the literature (Ju et al., 2008; Wang et al., 2013),  
256 which operated without gas bubbles, probably due to the turbulence provoked by the high  
257 recirculation rates employed here to form bubbles while in Ju et al. (2008) and Wang et al.  
258 (2013) gas diffusion through the membrane was the transference mechanism.

259

260 The operation was restarted a few hours later with  $\dot{m}_{IN,G_{H_2}}$  of 57.2g/d (stage V). This  
261 lower rate was chosen because during the technical stop some liquid was lost and replaced  
262 with approximately 2 L of distilled water.  $\eta_{H_2}$  reached 96% after 2 days and  $Y_{CH_4}$  was  
263 0.23m<sup>3</sup><sub>CH<sub>4</sub></sub>/m<sup>3</sup><sub>H<sub>2</sub></sub>, similar values to those found on stage IIIb with a comparable OLR. In  
264 stage VIa, the rates of feed and recirculation were raised to 91.5g/d and 4.43m<sup>3</sup>/d  
265 respectively on day 111 and the maximum recirculation capacity was applied from day 124  
266 (stage VIb). During stage VIb,  $\eta_{H_2}$  was 95% in average while the CH<sub>4</sub> yield was  
267 0.22m<sup>3</sup><sub>CH<sub>4</sub></sub>/m<sup>3</sup><sub>H<sub>2</sub></sub>. In brief, the bioreactor successfully transformed at least 95% of the H<sub>2</sub> fed

268 at OLR between 10 and  $40.2\text{m}_{\text{H}_2}^3/\text{m}_{\text{R}}^3\text{d}$  adjusting the gas recirculation rate and  
269  $40.2\text{m}_{\text{H}_2}^3/\text{m}_{\text{R}}^3\text{d}$  is the maximum OLR that could be supplied to the system while converting  
270 95% of the  $\text{H}_2$  fed since the application of a higher loading rate (as in stage IV) failed to  
271 achieve a such a conversion at the maximum recirculation rate provided by the gas pump.

272

273 This OLR is higher than that achieved on similar pilot-scale bioreactors, such as packed  
274 column bioreactors ( $4.5\text{m}_{\text{H}_2}^3/\text{m}_{\text{R}}^3\text{d}$ ) (Burkhardt and Busch, 2013) or CSTR ( $18\text{m}_{\text{H}_2}^3/\text{m}_{\text{R}}^3\text{d}$ )  
275 (Kim et al., 2013); on the other hand,  $Y_{\text{CH}_4}$  was somewhat lower than in those experiments,  
276 which found 0.26 and  $0.23\text{m}_{\text{H}_2}^3/\text{m}_{\text{R}}^3\text{d}$  respectively. Nevertheless, OLR during stage VIb  
277 was more than double that applied in Kim et al. (2013), while the reactor yield decreased  
278 only slightly. Hence, a membrane can be employed to transfer  $\text{H}_2$  at a high rate, allowing  
279 the biological conversion to take place satisfactorily. Further research should focus on the  
280 long-term stability of the bioconversion rates found during this study.

281

#### 282 4.2. Mass transfer capacity in the MBR

283 The concentration of dissolved  $\text{H}_2$  in the liquid phase was below the detection limit during  
284 the whole experiment (Figure 3). As a consequence, the assumption that all the resistance to  
285 mass transfer is in the gas/liquid interphase was correct. The correlation coefficient  
286 between the experimental data and the predicted values (equation 12) was 0.990, thus  
287 confirming that  $\text{H}_2$  mass transfer to the liquid phase can be described accurately by  
288 equation 6 for the range of volumetric flow rates tested.

289

290  $k_L a_{H_2} = 0.0645(Q_{IN,G} + Q_{RC,G}) + 1.1866$  (eq. 12)

291

292 The  $k_L a_{H_2}$  values observed (Figure 4) ranged from  $30\text{h}^{-1}$  for the lowest total gas flow  
293 through the membrane ( $Q_{IN,G} + Q_{RC,G}$ ) to  $430\text{h}^{-1}$  (for the highest) and the estimated  
294  $k_L a_{CO_2}$  from 20 to  $300\text{h}^{-1}$ .

295

296 It should be pointed out that this maximum  $k_L a_{H_2}$  value is higher than  $k_L a$  values found in  
297 bioreactors with traditional gas diffusers (at equivalent gas rates), and in the range of CSTR  
298 with high agitation speeds (700rpm) (Kreutzer et al., 2005). This is a consequence of the  
299 large sparging area of the membrane module employed (sparging area to reactor working  
300 volume ratio is  $30\text{m}^2/\text{mR}^3$ ), however, this ratio is lower than employed by Wang et al.  
301 (2013) when membranes were used to transfer  $\text{H}_2$  by diffusion only ( $62\text{m}^2/\text{mR}^3$ ).  
302 Conversely, gas sparging implies power consumption on gas recirculation to achieve a high  
303  $k_L a_{H_2}$  while this power input is prevented when  $\text{H}_2$  is transferred only by diffusion through  
304 the membrane.

305

306 Conversely, much higher  $k_L a$  values, as high as  $3600\text{h}^{-1}$ , were found in Peillex et al.  
307 (1990) using  $\text{H}_2$  diffusion through porous glass and a Rushton impeller; however, the  
308 stirring speeds employed (over 1000 rpm) would presumably result in an extremely energy-  
309 consuming system on a larger scale.

310

311 A comparison between the maximum potential transfer rates ( $k_L a(c_{Gmem}/H)$ ) from the gas  
312 to the liquid phase showed that the ratio  $k_L a_{H_2}(c_{Gmem_{H_2}}/H_{H_2}) / k_L a_{CO_2}(c_{Gmem_{CO_2}}/H_{CO_2})$   
313 is around  $0.01 \text{ g}_{H_2}/\text{h} / \text{g}_{CO_2}/\text{h}$  under the experimental conditions. This is another indicator  
314 of  $H_2$  transfer limitations in the bioreactor because  $0.18 \text{ g}$  of  $H_2$  is required per  $\text{g}$  of  $CO_2$  to  
315 perform the conversion according to stoichiometry (Equation 1).

316

### 317 4.3. Biological activity

318 The maximum specific utilization rate ( $U$ ) observed during the study was around  
319  $7 \text{ g}_{COD}/\text{g}_{VSSd}$  (Figure 5). This experimental value is higher than the typical design value  
320 suggested for methanogens growing on  $H_2$  and  $CO_2$  ( $2.2 \text{ g}_{COD}/\text{g}_{VSSd}$ ) (Rittman, 2001).  
321 Nevertheless, a review of kinetic parameters for different pure cultures of hydrogenotrophic  
322 *archaea* showed that  $U$  ranges from  $2\text{-}90 \text{ g}_{COD}/\text{g}_{VSSd}$  depending on the specific strain  
323 (Pavlostathis and Giraldo-Gomez, 1991). The higher the  $U$ , the larger the  $H_2$  rate that can  
324 be converted to  $CH_4$  in a specific bioreactor before the reaction's limiting factors overtake  
325 the  $H_2$  mass transfer. Therefore,  $U$  values found during this experiment appear not to be the  
326 potential maximum, and are limited by  $H_2$  mass transfer in the system, since  $c_{LH_2}$  was  
327 always below the detection limit, indicating a lack of limitations for the biological reaction.

328

329 A high  $c_{LH_2}$  inhibits propionate and butyrate conversion to acetate or  $H_2$  and  $CO_2$  during  
330 anaerobic digestion occasioning lower yields or the whole process breakdown (Speece,  
331 2008). Therefore, the fact that  $H_2$  could be transferred at a high rate without any  
332 accumulation in the liquid phase is an important advantage of the technique studied, since it



333 might be applied to the own anaerobic digester, thus avoiding additional units for biogas  
334 upgrading. In fact, in situ biogas upgrading was found feasible by Wang et al. (2013) where  
335 H<sub>2</sub> was transferred only through diffusion and H<sub>2</sub> and CO<sub>2</sub> were partly consumed in the  
336 biofilm developed over the membrane surface. Conversely, gas sparging impedes biofilm  
337 formation and methanogenesis takes place totally in the bulk phase; then, additional  
338 research is required to evaluate if  $c_{LH_2}$  would remain as low as in this experiment if  
339 anaerobic digestion and upgrading were combined.

340

341 From another point of view, the adaptation of an unspecific anaerobic sludge to H<sub>2</sub> and CO<sub>2</sub>  
342 led to the development of an acclimated population for the production of biomethane with  
343 yields of 0.22 m<sup>3</sup><sub>CH<sub>4</sub></sub>/m<sup>3</sup><sub>H<sub>2</sub></sub> at 40.2m<sup>3</sup><sub>H<sub>2</sub></sub>/m<sup>3</sup><sub>R</sub>d and 0.23m<sup>3</sup><sub>CH<sub>4</sub></sub>/m<sup>3</sup><sub>H<sub>2</sub></sub> at 30.2m<sup>3</sup><sub>H<sub>2</sub></sub>/m<sup>3</sup><sub>R</sub>d. These  
344 yields are larger than the yields achieved employing specific strains of *Methanobacterium*  
345 *Thermoautotrophicum* (Jee et al., 1988; Peillex et al., 1990) (0.19 and 0.18m<sup>3</sup><sub>CH<sub>4</sub></sub>/m<sup>3</sup><sub>H<sub>2</sub></sub>) or  
346 *Methanococcus thermolithotrophicus* (Peillex et al., 1988) (0.16m<sup>3</sup><sub>CH<sub>4</sub></sub>/m<sup>3</sup><sub>H<sub>2</sub></sub>) at high  $\eta_{H_2}$   
347 values. This fact implies that the acquisition costs of specific strains of hydrogenotrophic  
348 methanogens could be avoided on an industrial scale by employing unspecific anaerobic  
349 sludge as inoculum instead, since higher yields could be reached, and given the fact that the  
350 current process is limited by H<sub>2</sub> mass transfer.

351

352 The fraction of H<sub>2</sub> employed for methanogen growth ( $f_X$ ) calculated with equation 11 was  
353 larger during the first stages of the experiment than in the latter (Figure 5).  $f_X$  dropped  
354 progressively from values around 0.7 at the beginning of the experiment to below 0.1 after

355 day 60. This result is supported by the fact that VSS concentration increased from 2.5g/L,  
356 at the beginning of the study, to 3.6 g/L the day 58, and remained around this value during  
357 the rest of the experiment (Figure 3). This was also the reason underlying the fact that  $Y_{CH_4}$   
358 was always below  $0.20\text{m}^3_{CH_4}/\text{m}^3_{H_2}$  until day 58, in spite of high  $\eta_{H_2}$  values, because an  
359 important fraction of  $H_2$  was utilized for microbial growth. Then,  $f_X$  was higher when  
360  $\dot{m}_{G \rightarrow LH_2}$  was low (also pointed by the important difference between  $\dot{m}_{G \rightarrow LH_2}$  and  
361  $\left(\dot{m}_{OUT, GCH_4}\right)_{H_2 eq}$  in the first stages) whereas it was lower when  $\dot{m}_{G \rightarrow LH_2}$  rose, thus  
362 indicating an uncoupling of microbial growth (anabolism) and  $H_2$  conversion to  $CH_4$   
363 (catabolism). This finding is in agreement with Fardeau and Belaich, (1986) and with  
364 Schönheit et al. (1980), where this phenomenon had already been reported. An extensive  
365 discussion about not fixed stoichiometry in methanogenic environments from a biochemical  
366 point of view can be found in Kleerebezem and Stams (2000). Additionally, since the  
367 inoculum employed in this study was adapted to the treatment of activated sludge prior to  
368 the beginning of the study, only a small fraction of the original microbial community was  
369 employed for the transformation of  $H_2$  and  $CO_2$  during the experiment. This fact may  
370 influence stoichiometry as well, especially on the first stages, and molecular biology tools  
371 should be considered in further research in order to elucidate how the evolution of the  
372 microbial community influences the methane yield obtained.

373

374 From a technological point of view, the repercussions that arise from uncoupled growth and  
375 conversion are, at least initially, positive. A bioreactor can be inoculated and biomass  
376 adapted from an anaerobic sludge (treating a different substrate) directly inside the

377 methanogenic bioreactor in a short period (as in this study). A low OLR can be used, and  
378 an important fraction of H<sub>2</sub> and CO<sub>2</sub> will be employed for methanogens growth. Once the  
379 desired biomass concentration is achieved, OLR can be raised, while most of the substrate  
380 will be employed for CH<sub>4</sub> production.

381

382 VFA concentration was very low during the whole experiment. Acetic acid concentration  
383 was under 100mg/L, propionic acid was below 50 mg/L, and only traces of butyric acid  
384 were found. These concentrations are probably the result of microbial decay and  
385 endogenous activity. Acetate might also be produced, to some extent, by homoacetogenic  
386 bacteria, which use H<sub>2</sub> to reduce CO<sub>2</sub> to produce acetate. However, methanogenesis  
387 outcompeted homoacetogenesis in the present study, in contrast to Ju et al. (2008), where a  
388 VFA concentration over 4000mg/L was found in combination with acetoclastic and  
389 hydrogenotrophic methanogenesis.

390

#### 391 4.4 Application of the MBR for biogas upgrading

392 The biomethane concentration in upgraded biogas was simulated by assuming that the  
393 MBR studied here were employed for the upgrading of biogas under the following  
394 conditions:

- 395 (i)  $k_L a_{H_2}$  values at similar volumetric flow rates through the membrane are the same  
396 when feeds of biogas and H<sub>2</sub>, and of pure CO<sub>2</sub> and H<sub>2</sub> are fed, since  $k_L a$  is not  
397 dependent on the concentration of each compound

398 (ii)  $Q_{IN,G} + Q_{RC,G}$  must fall within the range of studied rates so that the  $k_L a_{H_2}$  values can  
399 be calculated with equation 12 ( $Q_{IN,G} + Q_{RC,G} < 6.6\text{m}^3/\text{d}$ ).

400 (iii)  $f_X$  is the same for biogas feed because the additional  $\text{CH}_4$  supplied to the system will  
401 not alter the microbial activity (the concentration of dissolved  $\text{CH}_4$  is that  
402 corresponding to the equilibrium in both cases)

403 (iv) the  $\text{CO}_2$  rate supplied as biogas and the  $\text{H}_2$  rate are the same than those in stage VI of  
404 the experiment (the maximum OLR that could be applied while achieving a 95%  
405 bioconversion efficiency of  $\text{H}_2$ )

406

407 The simulation was carried out using the mass balance equations for gas (equations. 4 and  
408 5) and liquid phases (eqs. 9 and 11), where the unknown variables are  $\dot{m}_{OUT,GCH_4}$  and  
409  $c_{OUT,GH_2} \cdot f_X$  employed was 0.07, the average value found in the experiment after day 60  
410 and  $k_L a_{H_2}$  was calculated with equation 12.

411

412 The volumetric flow rates of biogas that could be upgraded with an equivalent  $\text{CO}_2$  content  
413 to that of stage VI were  $20\text{m}^3 / \text{m}_R^3\text{d}$  (50/50  $\text{CH}_4/\text{CO}_2$ ),  $25\text{m}^3 / \text{m}_R^3\text{d}$  (60/40) and  
414  $34\text{m}^3 / \text{m}_R^3\text{d}$  (70/30). The final  $\text{CH}_4$  concentration as a function of recirculation to feed  
415 ratio was represented in Figure 6. Ratios between 1.75 and 2.25 were required to reach a  
416 95% v. concentration of  $\text{CH}_4$  and this was the maximum concentration achievable to comply  
417 with condition (ii). However, this upgraded biogas fulfills the requirements for grid  
418 injection or for utilization as vehicle fuel in most European countries according to  
419 Petersson et al. (2007).

420

## 421 **5. Conclusions**

422 The bioconversion of H<sub>2</sub> and CO<sub>2</sub> to CH<sub>4</sub> was feasible at a maximum loading rate of  
423 40.2m<sup>3</sup><sub>H<sub>2</sub></sub>/m<sup>3</sup><sub>R</sub>d while achieving a 95% efficiency in H<sub>2</sub> utilization. Gas sparging through  
424 the membrane resulted in a large capacity of H<sub>2</sub> mass transfer in the range of high-speeds-  
425 stirring lab-scale bioreactors. Methanogens showed higher ratios of conversion when the  
426 load rate was increased, which entails a technological advantage when developing an  
427 efficient methanogenic population during the start-up, at low load rates, while increasing  
428 energy conservation at high load rates. The system could upgrade biogas efficiently  
429 reaching a final concentration of biomethane of 95% v.

430

## 431 **6. Acknowledgements**

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434

## 435 **7. References**

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Table 1

	I					II	III		IV	V	VI	
	a	b	c	d	e		a	b			a	b
$t$ (d)	0	3	7	13	19	27	40	58	75	98	111	124
<i>OLR</i>												
$(m^3_{H_2}/m^3_R d)$			10.1			20.1	30.2		45.2	25.1		40.2
$Q_{RC,G}$ ( $m^3/d$ )	0.10	0.20	0.40	0.80	1.61	1.61	1.61	2.41	4.83	2.17	4.43	4.83

Table 1. Operating conditions applied during the study.

Figure1

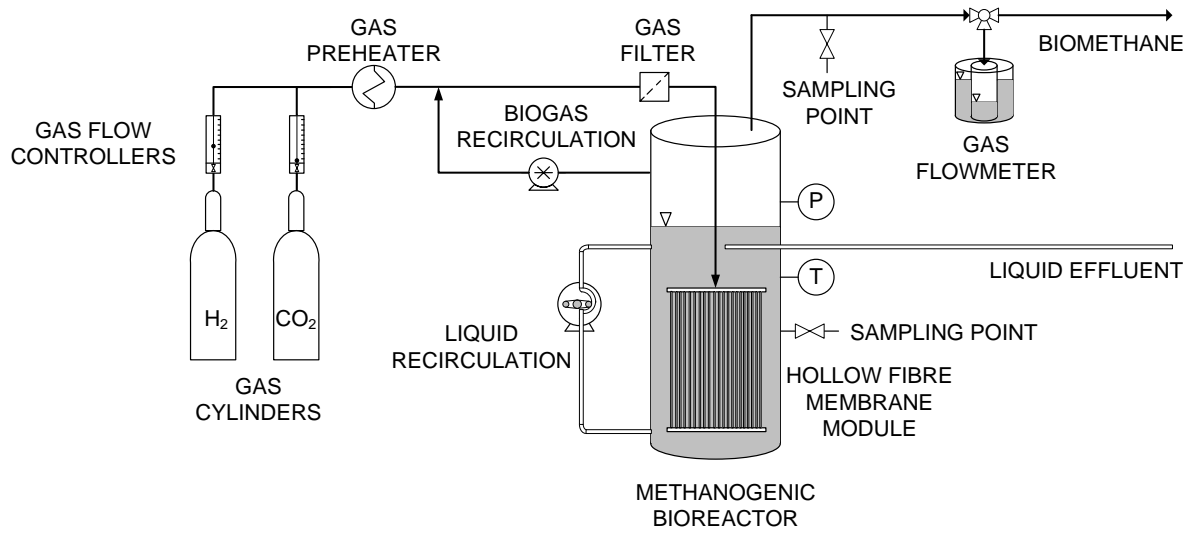


Figure 1. Pilot plant diagram

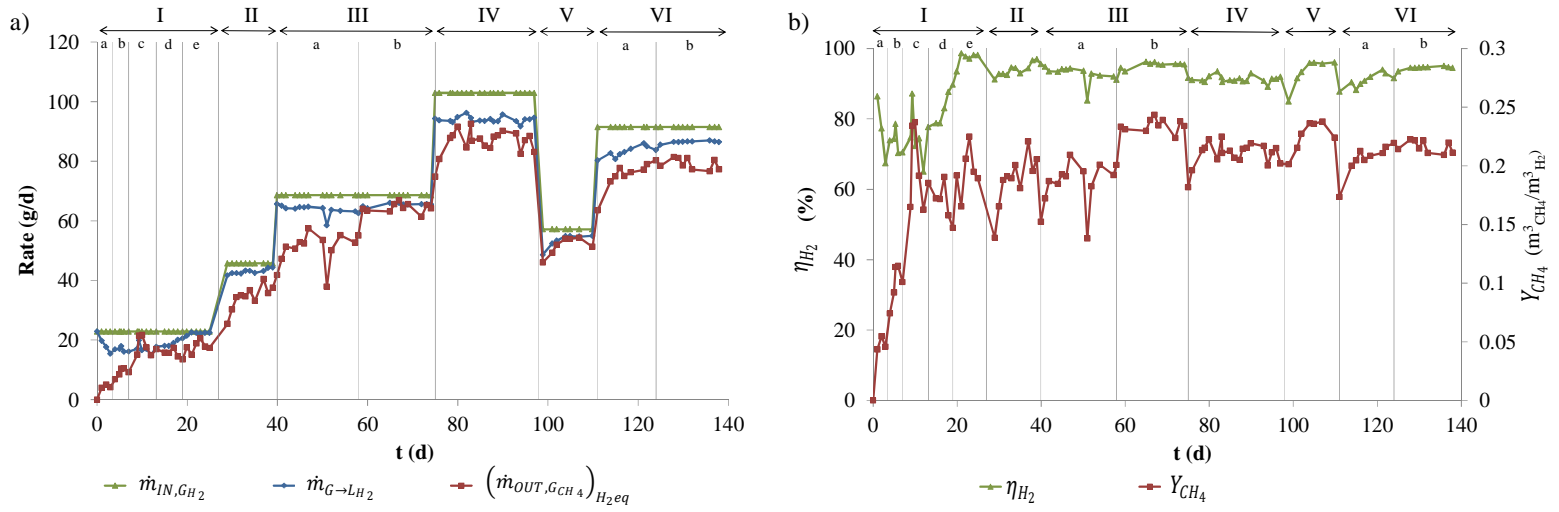
**Figure2**

Figure 2. Performance of the bioconversion throughout the experiment.  $H_2$  and  $CH_4$  as equivalent  $H_2$  mass flow rates (a). Efficiency of  $H_2$  utilization and  $CH_4$  yield (b).

Figure3

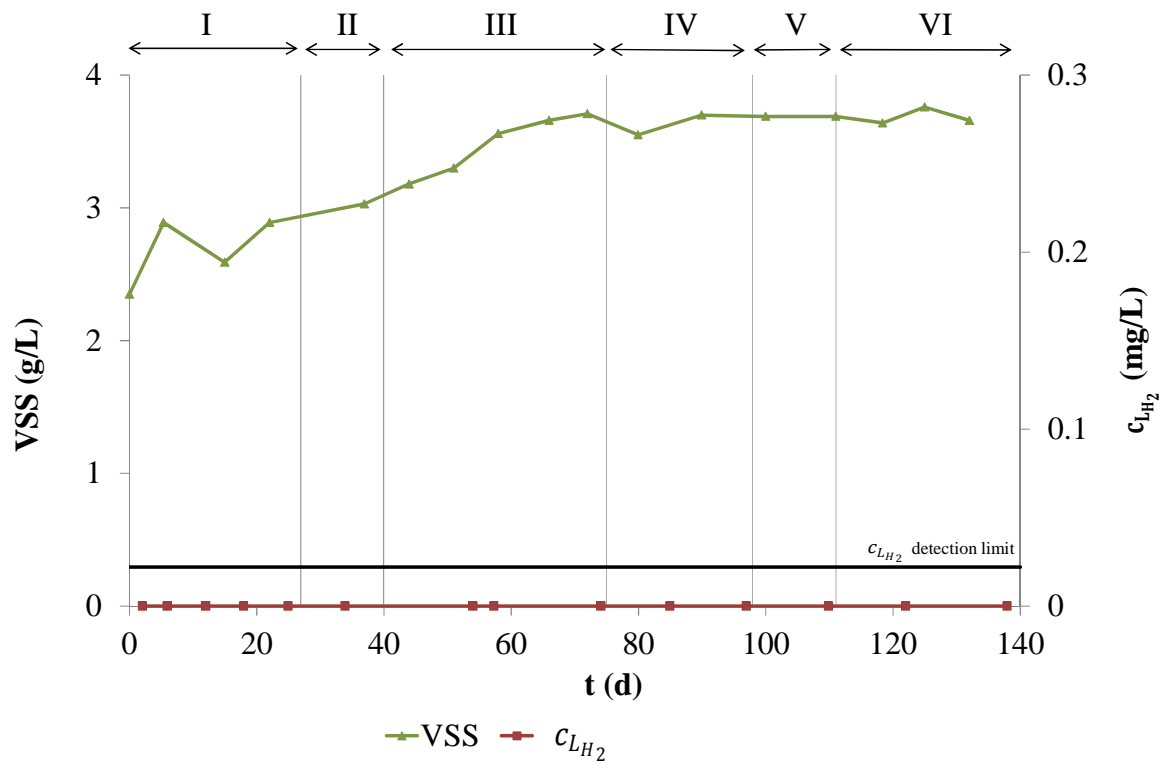


Figure 3. VSS and dissolved H<sub>2</sub> concentrations in the bioreactor.

Figure4

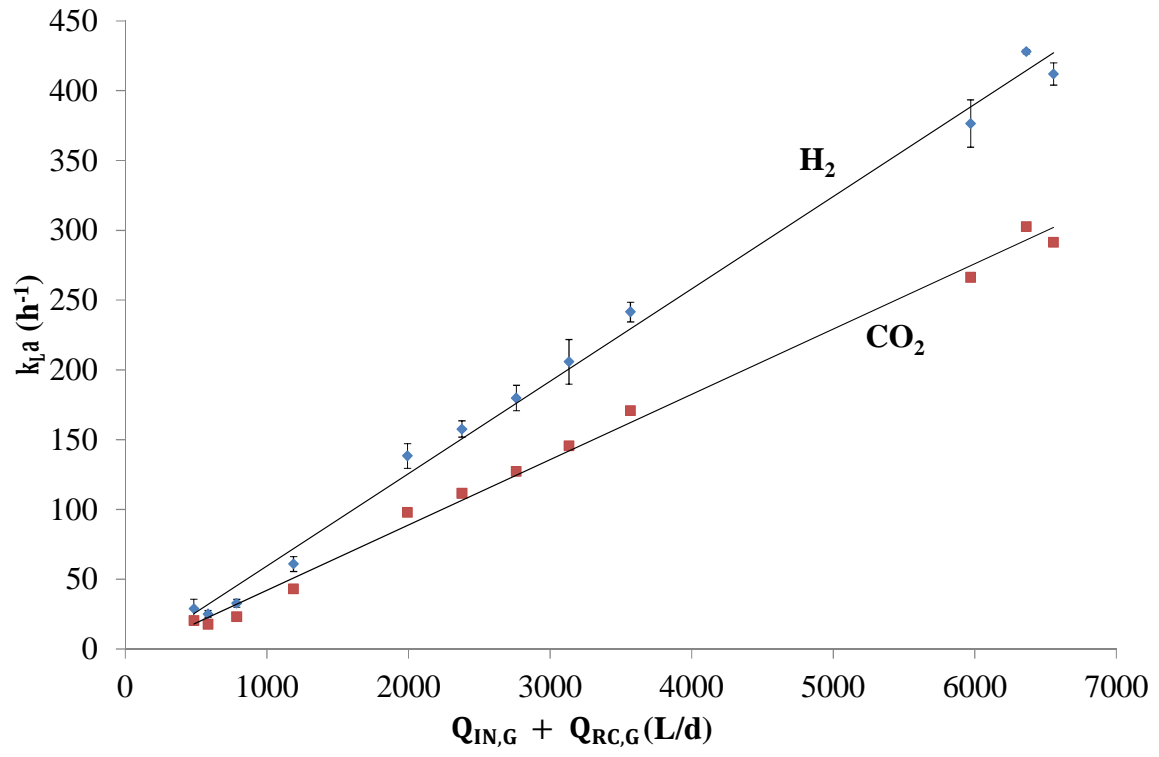


Figure 4. Linear fitting of experimental  $k_L a_{H_2}$  and estimated  $k_L a_{CO_2}$  values.

Figure5

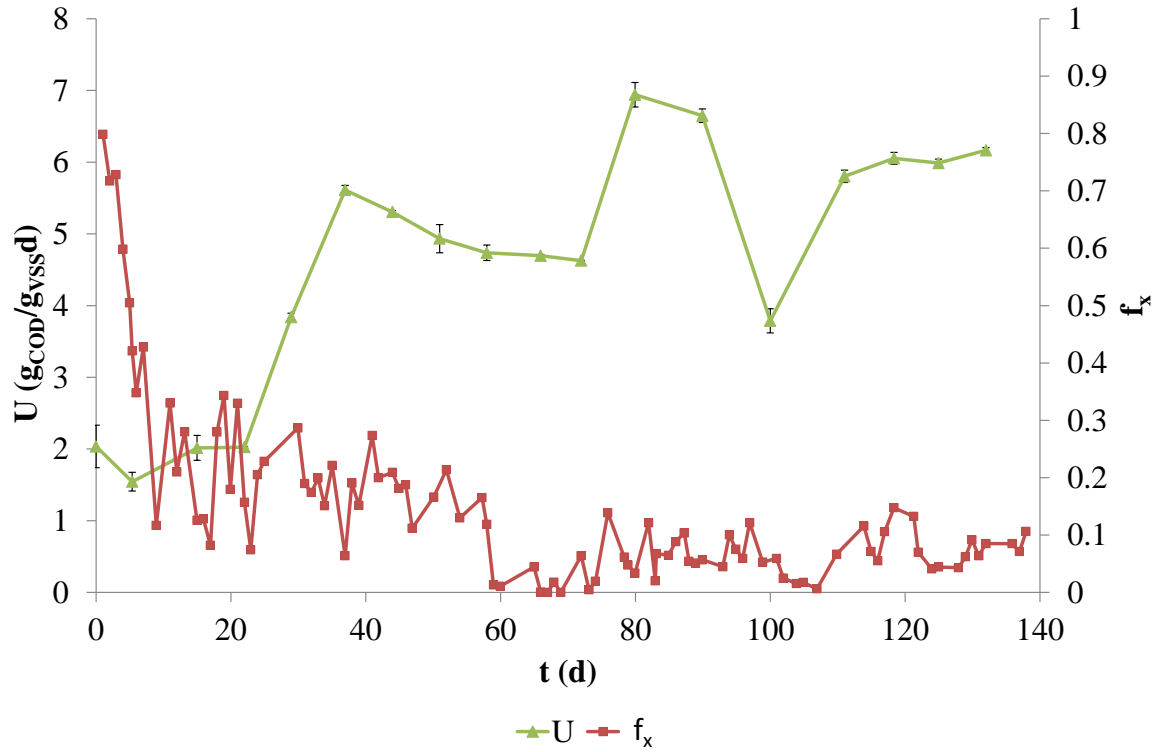


Figure 5. Specific H<sub>2</sub> utilization rate ( $U$ ) and fraction of H<sub>2</sub> employed for microbial growth during the experiment.

Figure6

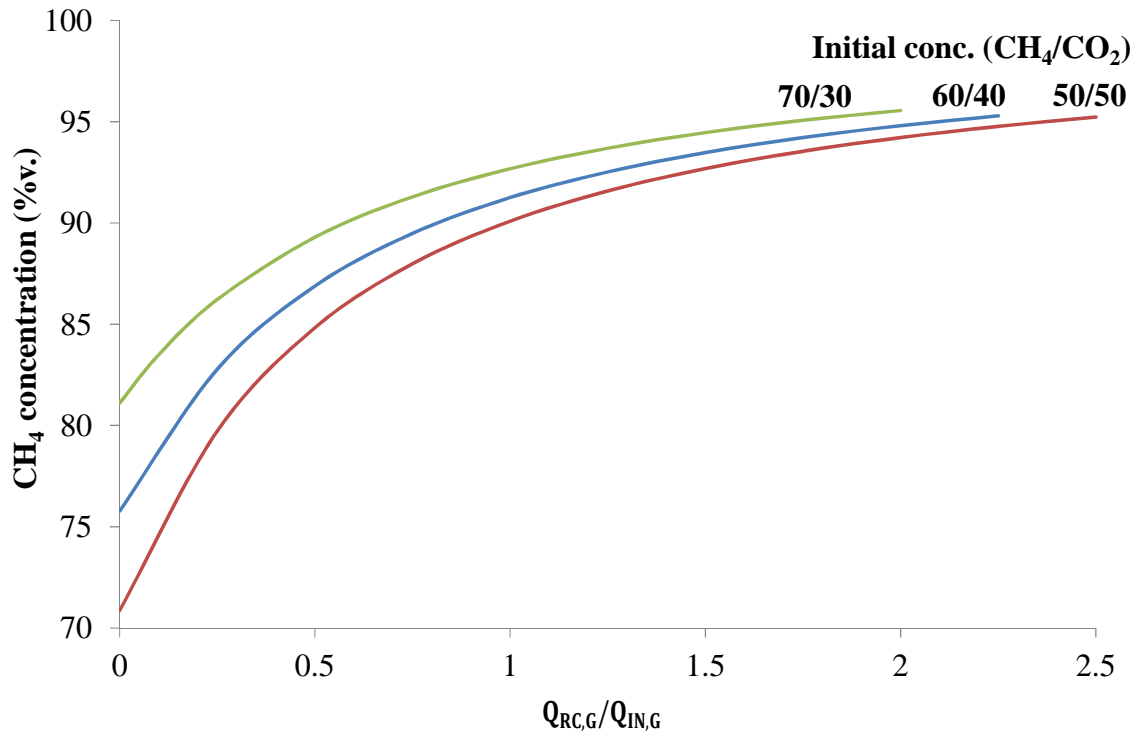


Figure 6. Simulation of the final CH<sub>4</sub> concentration in upgraded biogas for equivalent CO<sub>2</sub> rates to those of the study.