

1 **ENCAPSULATION OF RESVERATROL ON LECITHIN AND  $\beta$ -GLUCANS TO ENHANCE ITS**  
2 **ACTION AGAINST *BOTRYTIS CINEREA***

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13

## ABSTRACT

14  $\beta$ -glucans and soy lecithin were used as encapsulating materials for resveratrol and  
15 tebuconazole, in order to test their antifungal activity against *Botrytis cinerea*. First an  
16 oil-in-water emulsion was formed and afterwards the emulsion was dried by spray-  
17 drying or by particles from gas saturated solutions-drying (PGSS-drying).  $\beta$ -glucans were  
18 precipitated also by supercritical anti-solvent (SAS), but it was not a suitable drying  
19 process for this material. Particles were characterized regarding particle size,  
20 morphology, crystallinity, encapsulation efficiency and *in vitro* activity against *Botrytis*  
21 *cinerea*. Although the emulsions with  $\beta$ -glucans had bigger droplet size than the ones  
22 with lecithin, there was no difference on particle size for each encapsulating material, it  
23 was just dependent on the drying process. For all the materials and drying processes,  
24 completely amorphous particles were obtained, in spite of the crystalline form of the  
25 pure active compounds. Concerning the antifungal activity, [the encapsulation of](#)  
26 [tebuconazole did not improve its action, because it was already very effective](#). Pristine  
27 resveratrol did not reduce fungal growth, but it was inhibited between 50-70% with  
28 encapsulated resveratrol, which implies the production of an effective fungicide against  
29 *Botrytis cinerea* totally from natural origin substances.

30 **Keywords:** resveratrol,  $\beta$ -glucan, [lecithin](#), spray-drying, PGSS-drying, fungicide.

## 31        1. INTRODUCTION

32    *Botrytis cinerea* is a pathogenic fungus causing gray mold, which affects several fruits  
33    and plants all over the world. Once a product is attacked by *Botrytis cinerea*, it cannot  
34    be recovered, so it produces great economic losses (Williamson et al., 2007). Also, one  
35    of the most important problems when handling with *Botrytis cinerea* is that it infects the  
36    plants during the blooming, but it is not noticeable until the ripening (Timperio et al.,  
37    2012). Commonly, chemicals are used to fight against this disease, for instance azoles  
38    (Stehmann and de Waard, 1996), anilinopyrimidines, phenylpyrroles or hydroxyanilides  
39    (Rosslénbroich and Stuebler, 2000). However, resistant strains are developed quickly by  
40    the fungus (Elad et al., 1995; Pappas, 1997). Furthermore, as the treatment against  
41    *Botrytis cinerea* must be applied in full-grown products, or even post-harvest, there is a  
42    tendency towards replacing the use of toxic substances by natural, environmentally  
43    friendly products (Ali et al., 2015).

44    When a plant is attacked by a pathogen, it develops a chemical response which involves  
45    the production of some proteins, phytoalexins and other phenolic compounds  
46    (Langcake and Pryce, 1976; Montero et al., 2003; Timperio et al., 2012) that show  
47    antifungal activity (Mendoza et al., 2013). The main phytoalexin produced is resveratrol,  
48    which is found in high quantities on grape skin (Casas et al., 2010; Zheng et al., 2011).  
49    Further, it has been found to be active against different fungi (Aldred et al., 2008; Santos  
50    et al., 2006), including *Botrytis cinerea* (Adrian et al., 1997). It was observed that, when  
51    resveratrol is produced spontaneously to fight against fungi, it is present within the cell  
52    wall of the plant to be in contact with the pathogen (Adrian and Jeandet, 2012), or it is  
53    even excreted out from the cell in liquid culture (Donnez et al., 2011) to improve the  
54    contact between resveratrol and the fungus. Based on this findings, resveratrol has been

55 selected as promising antifungal of natural origin. Nevertheless, when applied externally  
56 in the plant to fight *Botrytis cinerea*, resveratrol should be encapsulated in a carrier that  
57 reaches easily the cell wall of the fungi and improves its penetration.

58 Encapsulation of active substances into polymeric matrices provides a controlled release  
59 of the compound, since the encapsulating material can act as a carrier for the active  
60 compound into the target, as well as protection against thermal or oxidative  
61 degradation. Recently, some works focused on the treatment of *Botrytis cinerea* with  
62 chitosan (Badawy and Rabea, 2009; Wu et al., 2005; Xu et al., 2007), which is one of the  
63 components of the cell wall of the fungus. Furthermore, the encapsulation of essential  
64 oil into chitosan improved its antifungal action against *Botrytis cinerea* (Mohammadi et  
65 al., 2015). Taking into account that also  $\beta$ -glucans are present on the cell wall of *Botrytis*  
66 *cinerea* (Tenberge, 2007), this polysaccharide is going to be use as carrier in the present  
67 work.  $\beta$ -glucans are composed by D-glucose monomers linked by glycosidic bonds.  
68 Depending on the bonds they have, they present different structure and branching,  
69 which provides them their properties, such as solubility or biological activity (Bae et al.,  
70 2013). To the authors' knowledge, there is just one previous study using  $\beta$ -glucans  
71 against *Botrytis cinerea* (Aziz et al., 2003). In this work, linear  $\beta$ -1,3-glucans from  
72 seaweeds were used as antifungal compound, and they reduced the growth of the  
73 fungus by 50% in 4 days with a concentration of 1 g/L. However,  $\beta$ -glucans have never  
74 been used as encapsulating material, although some studies have demonstrated that,  
75 when they are added to an emulsion, they increase its stability (Burkus and Temelli,  
76 2000; Kontogiorgos et al., 2004).

77 Encapsulation processes based on supercritical fluids techniques have attracted great  
78 interest in the last years, because they allow better control of particle size and  
79 morphology and reduce the contamination of the product by working in an inert  
80 atmosphere or by an improved removal of the organic solvents (Martín and Cocero,  
81 2008). Among the micronization processes with supercritical fluids, particles from gas  
82 saturated solutions-drying (PGSS-drying) and supercritical anti-solvent (SAS) are  
83 performed in this work.

84 PGSS-drying is a supercritical fluid process suitable for the production of particles, in  
85 which the suspension is saturated with CO<sub>2</sub> at high pressure and temperature prior to  
86 the atomization. The sudden decompression of the fluid in the nozzle promotes the  
87 desorption of the CO<sub>2</sub> from the droplets, breaking them and enhancing the drying  
88 process, so it can be performed at lower temperature than with conventional spray-  
89 drying (SD), which prevents the product from thermal degradation (Rodríguez-Rojo et  
90 al., 2013). Also, all the process is a closed system inerted with CO<sub>2</sub>, so it is appropriate  
91 for drying substances that are oxidized easily (Martín and Weidner, 2010). Few works  
92 used this technology for the production of particles. They were mainly focused on the  
93 encapsulation of antioxidants and essential oils (de Paz et al., 2012; Meterc et al., 2008;  
94 Varona et al., 2013).

95 Alternatively, in SAS, the encapsulating material and the active compound are dissolved  
96 in an organic solvent, and the liquid is introduced through a nozzle in a high pressure  
97 vessel containing CO<sub>2</sub> in supercritical conditions. At these conditions, CO<sub>2</sub> is highly  
98 soluble on organic solvents. When the droplets of the liquid stream are put in contact  
99 with the supercritical CO<sub>2</sub>, the organic solvent is saturated with the CO<sub>2</sub>, so the solubility  
100 of the solute decreases and this promotes its precipitation (Rodríguez-Rojo et al., 2013).

101 The aim of this work is to develop the formulation of a product against *Botrytis cinerea*  
102 based entirely on natural substances, namely resveratrol,  $\beta$ -glucans and soy lecithin. Soy  
103 lecithin has been used as comparison for the  $\beta$ -glucans because it has been  
104 demonstrated that encapsulation essential oils in lecithin can be improved their  
105 bactericidal action since it can form liposomes in aqueous media and interact with cells  
106 (Varona et al., 2013). Besides, it is biocompatible and non-toxic, and thus it is commonly  
107 used in formulation of emulsions in applications related with food processing (de Paz et  
108 al., 2012). First, an oil-in-water emulsion of resveratrol on  $\beta$ -glucan, lecithin or a mixture  
109 of both substances is produced and afterwards it is dried by conventional SD or by PGSS-  
110 drying. Further, tebuconazole, a triazole commonly used in plant protection (Yang et al.,  
111 2014), is used to compare the action of resveratrol. Besides,  $\beta$ -glucan particles are also  
112 obtained by precipitation by SAS. The particles formed by all these methods are  
113 characterized and tested *in vitro* against *Botrytis cinerea*.

114

## 115 2. MATERIALS AND METHODS

### 116 2.1. Materials

117 As encapsulating materials, barley (1-3, 1-4)- $\beta$ -glucans (75% purity; Glucagel, kindly  
118 supplied by DKSH, France) and soybean lecithin (Glama-sot, SOTYA S.A., Madrid, Spain)  
119 were used. A molecular weight of 125 kDa was determined by size exclusion  
120 chromatography at the conditions indicated on Section 2.3.

121 Ethyl acetate (99%) and malt extract agar were purchased from Panreac (Spain), and  
122 dimethylsulfoxide (DMSO) from Sigma-Aldrich (Spain). Resveratrol with 98% purity was  
123 purchased from Pure Bulk (USA). Tebuconazole was kindly supplied by Aragonesas Agro

124 (Spain), both as pure powder (technical grade) and the commercial product Orius 20EW  
125 (an oil-in-water emulsion with 20% w/v of tebuconazole).

## 126 **2.2. Formation of particles**

127 First, an oil-in-water emulsion was formed (IKA Labor Pilot), with the fungicide  
128 (tebuconazole or resveratrol) dissolved in ethyl acetate (7.5 g/L) as organic phase,  
129 whereas the aqueous phase, saturated with ethyl acetate, contained the different  
130 matrixes used (15 g/L):  $\beta$ -glucans, soybean lecithin or a mixture of both of them (50% in  
131 weight). Both liquid phases were mixed in a ratio 1:9 at 750 rpm for 5 minutes and then  
132 the resulted emulsion was fed into a rotor-stator machine (IKA® LABOR PILOT 2000/4),  
133 with 200 mL capacity, and processed at 4200 rpm for 4 minutes. These operating  
134 conditions were selected based on some previous works (Varona et al., 2009), where it  
135 was found a reduction in droplet size with increasing surfactant concentration,  
136 homogenization velocity and time. Afterwards, the organic solvent was removed by  
137 vacuum evaporation (Heidolph) at 60° C, 75 rpm and a vacuum of 0.08 MPa, and finally  
138 the suspensions were dried either by SD or by PGSS-drying.

139 By SD (Gea Niro Mobile Minor), the suspension (1 L/h) was introduced into the drying  
140 chamber through a rotary atomizer (compressed air at 0.6 MPa). Droplets were formed  
141 and water was removed from them by a stream of hot air (130° C at the inlet and 85° C  
142 at the outlet). The dry particles were recovered in a cyclone.

143 By PGSS-drying the suspension was pumped and put into contact with 10 kg/h of  
144 preheated and pressurized CO<sub>2</sub> (Milton Roy membrane pump) at 9.5 MPa and 125° C in  
145 a 150 mm static mixer filled with 4 mm glass beads, so that the liquid was saturated with  
146 CO<sub>2</sub>. Gas to liquid ratio was set at 30 (weight basis). Then this stream was expanded  
147 through a nozzle (Spraying Systems, 500  $\mu$ m) in the drying chamber, which was kept at

148 65-70° C. The conditions selected for the drying process were based on a previous work  
149 (Varona et al., 2011), which contains further details about the experimental device.  
150 Figure 1 shows a simplified diagram of the formation of particles by SD and PGSS-drying,  
151 with the previous creation of the emulsion and suspension.

#### 152 FIGURE 1

153

154 For the production of  $\beta$ -glucan particles by SAS, DMSO containing  $\beta$ -glucans in a  
155 concentration of 2-10 g/L was pumped (HPLC pump, Gilson, model 850) and introduced  
156 in a vessel with CO<sub>2</sub>. Preliminary tests at 20° C showed limited solubility of  $\beta$ -glucans in  
157 ethyl acetate, acetone, ethanol and tetrahydrofuran (lower than 2.6 g/L for every  
158 solvent), and higher in DMSO (14.8 g/L), so it was the solvent selected. Prior to introduce  
159 the solution, the vessel must be conditioned just with CO<sub>2</sub> until the required pressure  
160 and temperature are achieved. Pressure was always set at 10-11 MPa to avoid the  
161 formation of a liquid phase in the precipitation vessel. After all the suspension was  
162 pumped, CO<sub>2</sub> was still passed through the vessel in order to make sure that there was  
163 no remaining DMSO inside. Then, the system was decompressed and the particles  
164 formed were recovered from a filter placed at the outlet of the vessel. A scheme of the  
165 process is shown in Figure 2.

#### 166 FIGURE 2

167

### 168 **2.3. Molecular weight of $\beta$ -glucans**

169 Molecular weight of the  $\beta$ -glucans was measured by size exclusion chromatography,  
170 with a guard column (Waters Ultrahydrogel Guard Column), a column (Ultrahydrogel  
171 500, Waters Corporation) and a differential refractive index detector (410, Waters



172 Corporation). The column was kept at 35° C and flow rate of the mobile phase (0.1 M  
173 NaNO<sub>3</sub> and 0.02% NaN<sub>3</sub>) was set at 0.4 mL/min.

#### 174 **2.4. Morphology of the particles**

175 The morphology of the particles was analyzed by scanning electron microscopy (SEM,  
176 JEOL JSM-820, 20 kV, 23-mm working distance) at vacuum conditions. Prior to the  
177 analysis, the samples were covered with gold in an argon atmosphere.

#### 178 **2.5. Particle size distribution**

179 Particle size distribution of the particles and emulsions produced was measured by laser  
180 diffraction (Mastersizer 2000, Malvern). In order to perform the measurement, the  
181 emulsions were suspended on a solution of water saturated with ethyl acetate, whereas  
182 the suspensions were suspended only in water. On the contrary, the dried particles were  
183 measured within a stream of air at 0.2 MPa.

#### 184 **2.6. DSC**

185 Differential scanning calorimetry (DSC) profiles of the particles produced by SAS were  
186 obtained using a Mettler Toledo 822e calorimeter. Heating rate was 10° C/min in the  
187 temperature range of -40 to 280° C. An empty pan was used as reference. The  
188 equipment was calibrated with indium.

#### 189 **2.7. X-ray diffraction**

190 X-ray diffraction (XRD) measurements were performed on a Bruker Discover D8  
191 diffractometer to check the crystallinity of the obtained particles, using the Cu K $\alpha$   
192 radiation ( $\lambda = 0.15406$  nm). The scattering intensities were measured over an angular  
193 range of  $5 < 2\theta < 70$  for all the samples, with a step size of 0.02°.

194

195

## 2.8. Encapsulation efficiency

Quantification of tebuconazole and resveratrol in the final particles was done by HPLC, with a guard column (Bio-Sil C18, Bio-Rad), a column (Symmetry C18, Waters) and a UV detector (at 224 nm for tebuconazole and 306 nm for resveratrol). The column was kept at 40° C or 25° C for tebuconazole and resveratrol, respectively, and flow rate of the mobile phase was set at 1 mL/min for tebuconazole (acetonitrile and water) and 0.8 mL/min for resveratrol (acetonitrile and water with formic acid). For each sample, 3 independent measurements were made.

## 2.9. *Botrytis cinerea* culture

For the in-vitro culture of *Botrytis cinerea*, the fungus was isolated from vines in our university (Campus La Yutera, Universidad de Valladolid, Palencia, Spain) and it was grown on malt extract agar by two different procedures. In the first one, the fungus was placed on the surface of the solid agar, and the fungicide was dissolved in water with 4% v/v ethanol (96%, Panreac, Spain) and applied to the fungi. The second method consisted on pouring the agar in the dishes containing the particles, so once the agar solidified, the particles were entrapped on it. In all cases, 5 replicates were grown for every sample and the growth area was calculated by measuring the diameter in two directions after incubation at 22° C for one week. Once measured, the average value and standard deviation were evaluated, and Student's t-test was performed (unpaired samples, unequal variances) in order to check whether there were significant differences between each sample, with a significance p-value of 0.05.

220 **3. RESULTS AND DISCUSION**

221 **3.1. Formation of particles**

222 Prior to the drying process, droplet size distribution of the emulsions and suspensions  
223 formed was analyzed (Figure 3).

224 **FIGURE 3**

225

226 All of the emulsions (Figure 3 (a)) showed unimodal distribution, although a smaller  
227 second peak towards bigger particle size is noticed in the case of the particles of lecithin.

228 Lecithin droplets were the smallest ones ( $d(0.5) = 7.4 \pm 0.9 \mu\text{m}$ ). On the contrary, the  
229 emulsions created with  $\beta$ -glucans had the biggest droplets ( $d(0.5) = 52 \pm 8 \mu\text{m}$ ). The

230 emulsions formed with a mixture of both substances had an intermediate value of  
231 droplet size between those ( $d(0.5) = 15 \pm 5 \mu\text{m}$ ). The formation of bigger droplets with

232  $\beta$ -glucans is explained by the observation of other authors (Burkus and Temelli, 2000;  
233 Kontogiorgos et al., 2004) that they form a network structure when used as stabilizers

234 of emulsions. Similarly, after removing the organic solvent, the same trend in particle  
235 size is observed for the suspension: smaller sizes for lecithin and bigger ones for  $\beta$ -

236 glucans formulations (Figure 3 (b)), although particle size is reduced and particle size  
237 distribution is broader in all cases.

238 Then, dry particles were obtained from the suspensions both by SD and by PGSS-drying.

239 All of the samples had moisture content below 6% (water evaporation estimated by  
240 weight difference after 24 hours at  $105^\circ\text{C}$ ; results not shown here). Figure 4 contains

241 SEM images for  $\beta$ -glucan particles obtained by SD (a) and by PGSS-drying (b).

242 **FIGURE 4**

243

244 It is observed that the particles obtained by PGSS-drying had more spherical shape,  
245 whereas the ones produced by SD are irregular due to a shrinkage effect caused by the  
246 evaporation of water once the crust has started to being formed. This has been also  
247 observed by other authors when drying at high temperature (de Barros Fernandes et al.,  
248 2014; Porrás-Saavedra et al., 2015; Shi and Zhong, 2015), also oat powder suspensions  
249 containing between 18-33% (w/w)  $\beta$ -glucans at similar drying conditions (80-82° C in the  
250 drying chamber) as the ones used in this work (Chronakis et al., 2004). Neither the  
251 particles obtained by SD, nor the ones by PGSS-drying, show cracks in their surface. The  
252 morphology results of the PGSS-drying particles are in accordance with the reported  
253 ones from previous works (de Paz et al., 2012; Varona et al., 2013). In addition, the  
254 particles produced by PGSS-drying are smaller than by SD, but they form agglomerates  
255 very easily, producing much larger clusters. This is in accordance with the results  
256 reported by other authors (Li et al., 2013), who detected an increase of the  
257 agglomeration of particles when drying at lower temperature. The formation of small  
258 particles by PGSS-drying is a consequence of the desorption of CO<sub>2</sub> from the liquid when  
259 the pressure is suddenly reduced in the nozzle, which breaks the liquid into smaller  
260 droplets. Figure 5 shows particle size distributions of the particles formed with the  
261 different encapsulating materials by both SD and PGSS-drying.

#### 262 FIGURE 5

263  
264 According to these results, despite the different droplet size of the emulsions as a  
265 function of the encapsulating material, particle size was just dependent on the drying  
266 process: it was in the range of 10  $\mu$ m for SD and 150  $\mu$ m for PGSS-drying. This confirms

267 SEM results that, even though smaller **primary** particles were created by PGSS-drying,  
268 they formed huge agglomerates.

269 Regarding the formation of particles by SAS, the operating conditions of the different  
270 experiments performed are shown In Table 1:

271 TABLE 1

272

273 Except for experiments B, C and D, which were performed at higher temperature, the  
274 rest of them were done at 10 MPa and 35° C in order to have one single phase for the  
275 mixture of DMSO and CO<sub>2</sub>, according to the data reported by other authors (Andreatta  
276 et al., 2007).

277 By SAS it was not possible to obtain well dried β-glucan particles in the operating  
278 conditions tested. At higher temperature, no solid material was obtained. In the  
279 experiments at lower temperature, the product recovered from the vessel formed a  
280 matrix rather than particles. This is observed with SEM images (figure 4 c and d): there  
281 are melted micro-particles (4c) which conform aggregates with particle size around 1  
282 mm (4d).

283 By DSC it was estimated that after the drying process there was still between 20-30%  
284 (w/w) of DMSO in the final product (DSC results not shown), so it was not completely  
285 extracted with supercritical CO<sub>2</sub>. Several previous studies succeeded on obtaining solid  
286 particles by SAS using DMSO with different biopolymers, as dextrans, poly-  
287 (hydroxypropylmethacrylamide) (HPMA) (Reverchon et al., 2000), cyclodextrins (De  
288 Marco and Reverchon, 2008) or hemicellulosic material (Haimer et al., 2010). However,  
289 in all cases, molecular weight of the biopolymers tested was lower than that of the β-  
290 glucans used in this work. Thus, with β-glucans, DMSO was entrapped into the chains of

291 polymer, the viscosity of the solution increased and it was not possible to extract it with  
292 CO<sub>2</sub> in the selected operating conditions. This problem when precipitating high viscous  
293 solutions of DMSO in supercritical fluids was also observed by other authors (Fernández-  
294 Ronco et al., 2014).

### 295 **3.2. Loading of active compounds in the particles**

296 Results of the loading of tebuconazole and resveratrol for the different encapsulating  
297 materials and drying processes are shown on Table 2.

298 TABLE 2

299

300 The recovery of the active compound is higher for tebuconazole by SD rather than by  
301 PGSS-drying. On the contrary, when using resveratrol PGSS-drying is more effective,  
302 because in PGSS-drying lower drying temperature is required and the product is  
303 protected from oxidation since it is included in an inert atmosphere of CO<sub>2</sub>. Anyway, the  
304 differences between both processes are lower than 10%, except in the case of the  
305 particles of resveratrol encapsulated on β-glucans (recovery 30% higher by PGSS-  
306 drying). These small differences are probably due to the fact that the encapsulation of  
307 the active compound into the carrier is done by emulsification prior to the drying  
308 process, so there is not influence of the latter on the loading of tebuconazole and  
309 resveratrol in the final particles.

310 When comparing the different encapsulating materials, the loading of active compound  
311 is always higher for lecithin than for β-glucans, again with the exception of the case  
312 mentioned above. However, the values are high (above 49%) with both materials,  
313 probably due to the formation of hydrogen bonds between the active compounds and  
314 the encapsulating materials, as pointed out in some previous works for the case of

315 lecithin with antioxidants (Gonçalves, 2015) and polyphenols with  $\beta$ -glucans (Wu et al.,  
316 2011).

317 Furthermore, XRD analysis were performed in order to check whether there were  
318 crystals of fungicide remaining on the surface of the particles or they were completely  
319 encapsulated. In all cases, the peaks corresponding to tebuconazole or resveratrol were  
320 observed in the physical mixtures with the encapsulating material, but they could not  
321 be noticed in the particles obtained either by SD or by PGSS-drying. As an example, figure  
322 6 shows the XRD diagram for the particles of resveratrol and lecithin obtained by SD and  
323 the physical mixture of both compounds with the same composition.

324 FIGURE 6

325

326 The particles obtained do not show any of the peaks corresponding to crystals of  
327 resveratrol. As the structure is totally amorphous, it is assume that resveratrol is  
328 correctly included into the lecithin and there is not unbound crystals of resveratrol in  
329 the sample. In addition, the encapsulated resveratrol is on amorphous state too, since  
330 XRD is a penetrating technique and the corresponding diffraction peaks were not  
331 observed. This same behavior is observed for all the particles produced, and it is in  
332 accordance with previous results of  $\beta$ -glucan complexes with some nutraceuticals  
333 (Veverka et al., 2014).

### 334 ***3.3. Antifungal activity against Botrytis cinerea***

335 According to commercial guidelines of Tebuconazole agricultural products, it is  
336 recommended to be applied at a concentration between 100 and 250 mg/L, so the  
337 antifungal tests were performed at 100 mg/L of tebuconazole. Figure 7 (a) shows the  
338 results of the growth of the fungus when applying pure tebuconazole (Teb), a

339 commercial oil-in-water emulsion (Orius), and the particles of lecithin (Lec), a mixture of  
340 lecithin and  $\beta$ -glucans (LecbG) and  $\beta$ -glucans (bG) produced by SD (SD) or PGSS-drying  
341 (PGSS).

342 FIGURE 7

343

344 All the particles obtained produced a great inhibition of the fungal growth (between 75  
345 and 80% compared to the control sample). However, no significant differences were  
346 observed with the commercial tebuconazole products. Therefore, although the  
347 encapsulated particles were very effective against *Botrytis cinerea* growth, they did not  
348 improve the action of powder and oil-in-water commercial tebuconazole, because it is  
349 already very active against this fungus. Figure 7 shows some images of the Petri dishes  
350 of *Botrytis* culture as control (b), with commercial tebuconazole powder (c) and with the  
351 particles of tebuconazole encapsulated on  $\beta$ -glucan obtained by SD (d) and by PGSS-  
352 drying (e). It is noticed that growth is reduced when applying both pure tebuconazole  
353 and the particles, compared to the control dishes, but there are not significant  
354 differences among them.

355 For the activity of resveratrol, it was considered that previous works (Adrian et al., 1997)  
356 reported growth inhibition of *Botrytis cinerea* with resveratrol concentration between  
357 60 and 160 mg/L, so 100 mg/L of resveratrol was chosen for the in vitro tests. Figure 8  
358 (a) reveals the results corresponding to the growth of *Botrytis cinerea* in the presence  
359 of pure resveratrol (Resv), pure soy lecithin (Lec), pure  $\beta$ -glucans (bG) and a mixture of  
360 both substances (LecbG), and the particles created with these encapsulating materials  
361 and pure resveratrol by SD (SD) or PGSS-drying (PGSS).



## FIGURE 8

362

363

364 No effect was observed on fungal growth when applying pure resveratrol, although  
365 growth inhibition at that concentration range was reported in previous works (Adrian et  
366 al., 1997). The main difference is that here it was applied as pure crystals, whereas in  
367 that work it was dissolved in an ethanolic solution. However, the growth was reduced  
368 between 50 and 70% by all the particles of resveratrol produced in this work. This means  
369 that the encapsulation of resveratrol enhanced its antifungal activity. Small differences  
370 were noticed between both drying processes for every encapsulating material, although  
371 particles of lecithin were more effective by SD, while particles of  $\beta$ -glucans resulted  
372 slightly better by PGSS-drying.

373 Figure 8 contains images of the growth in Petri dishes of some samples. Similar growth  
374 is observed between the control sample (b), the one with pure resveratrol powder (c)  
375 and the one with pure  $\beta$ -glucan (d). However, the growth area is smaller for the particles  
376 of resveratrol encapsulated on  $\beta$ -glucans by SD (e), and even smaller for the ones by  
377 PGSS-drying (f).

378 Pure  $\beta$ -glucans did not affect the growth of the fungus, but lecithin reduced it by 40%  
379 (figure 8 (a)). Still, the mixture of both compounds had also no effect on growth  
380 inhibition. Taking this into account, the effectiveness of the particles encapsulated on  
381 lecithin relies greatly on lecithin. Nevertheless, the action of the particles encapsulated  
382 on  $\beta$ -glucans and a mixture of lecithin and  $\beta$ -glucans is related to the synergistic effect  
383 of these substances and resveratrol, because neither of them showed effect when  
384 applied alone, as well as to the change of resveratrol from crystals to amorphous state

385 after processing, determined by XRD. Therefore, the shell material improved the  
386 absorption of resveratrol, which in this way was active against the *Botrytis cinerea*.

387

#### 388 **4. CONCLUSIONS**

389 Resveratrol and tebuconazole were formulated as emulsions with lecithin,  $\beta$ -glucans  
390 and mixtures thereof. Formulations were successfully dried by SD and PGSS-drying.

391 However, SAS was not a suitable process to obtain  $\beta$ -glucan particles in the conditions  
392 tested, because the product had quantities of remaining organic solvent up to 30%

393 (w/w). Final particle size by SD was in the range of 10  $\mu\text{m}$  and in PGSS-drying in the range

394 of 100  $\mu\text{m}$ , showing that the drying processes and not the carrier material determined

395 the final particle size. As first there was an emulsification step, the loading of active

396 compound in the particles was very similar for both drying processes. Furthermore, the

397 interaction of the active compounds with the carriers produced their precipitation in the

398 encapsulated particles in amorphous state instead of their usual crystalline form. This

399 change in crystallinity improved the antifungal activity of resveratrol, which showed no

400 effect against *Botrytis cinerea* as pure crystals, but reduced the fungal growth between

401 50 and 70% with all resveratrol particles. However, pure and commercial emulsion of

402 tebuconazole inhibited fungal growth by 75-80%, so no further effect could be observed

403 with the particles of encapsulated tebuconazole.

404

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- 548
- 549

## FIGURE CAPTIONS

Figure 1. Formation of particles by SD and PGSS-drying.

Figure 2. SAS process.

Figure 3. Droplet size distribution of the emulsions (a) and suspensions (b) of resveratrol with different encapsulating material.

Figure 4. SEM images of  $\beta$ -glucan particles by SD (a), PGSS-drying (b) and by SAS (exp. F: 5 g/L in DMSO, precipitation at 10 MPa, 35° C, 2 mL/min; c and d).

Figure 5. Droplet size distribution of the particles produced by SD and PGSS-drying with different encapsulating material.

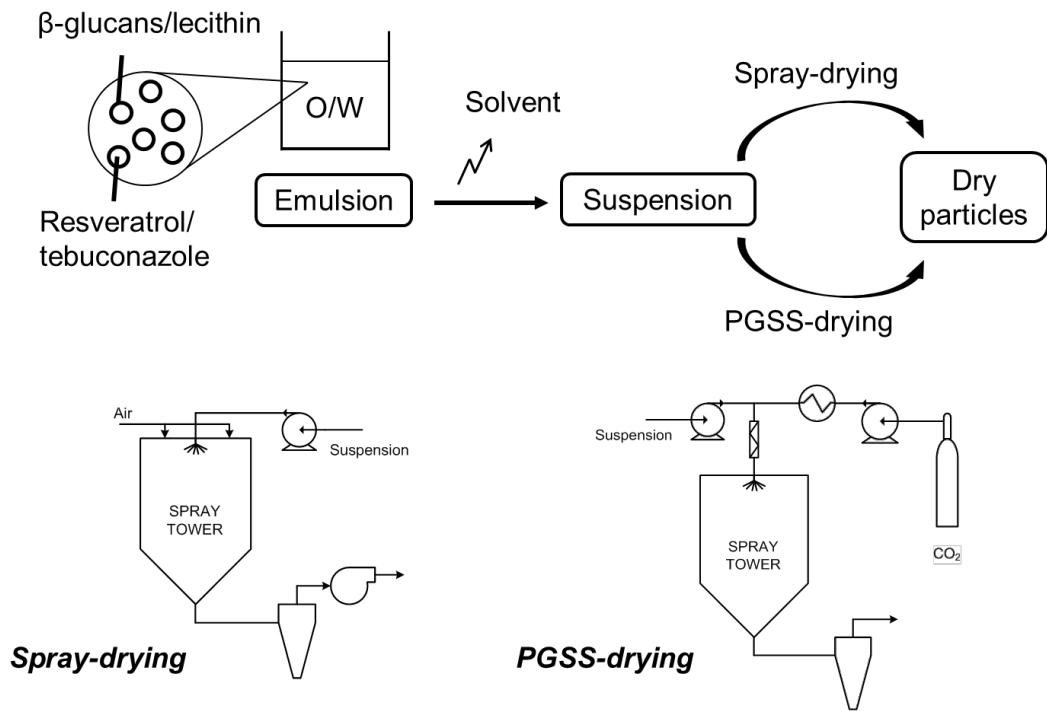
Figure 6. Physical mixture and SD particles of resveratrol and lecithin.

Figure 7. Growth area of *Botrytis cinerea* for the products of tebuconazole (a) and images of the growth area in the Petri dishes: control (b), commercial tebuconazole (c), tebuconazole and  $\beta$ -glucan particles by SD (d) and by PGSS-drying (e).

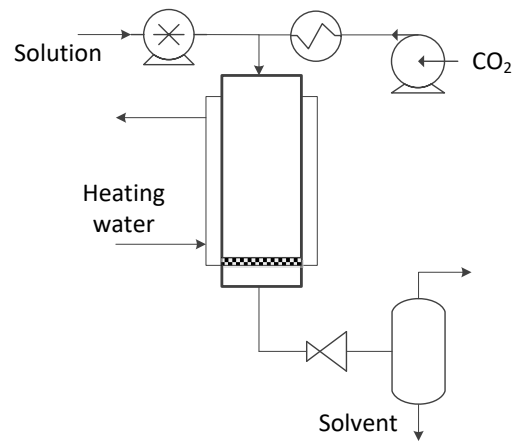
Figure 8. Growth area of *Botrytis cinerea* for the products of resveratrol (a) and images of the growth area in the Petri dishes: control (b), pure resveratrol (c),  $\beta$ -glucans (d), resveratrol and  $\beta$ -glucan particles by SD (e) and by PGSS-drying (f).



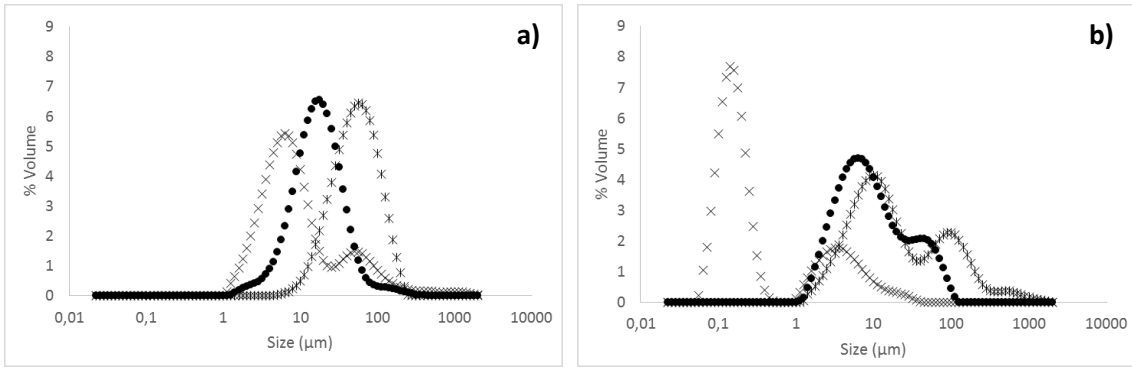
**FIGURES**



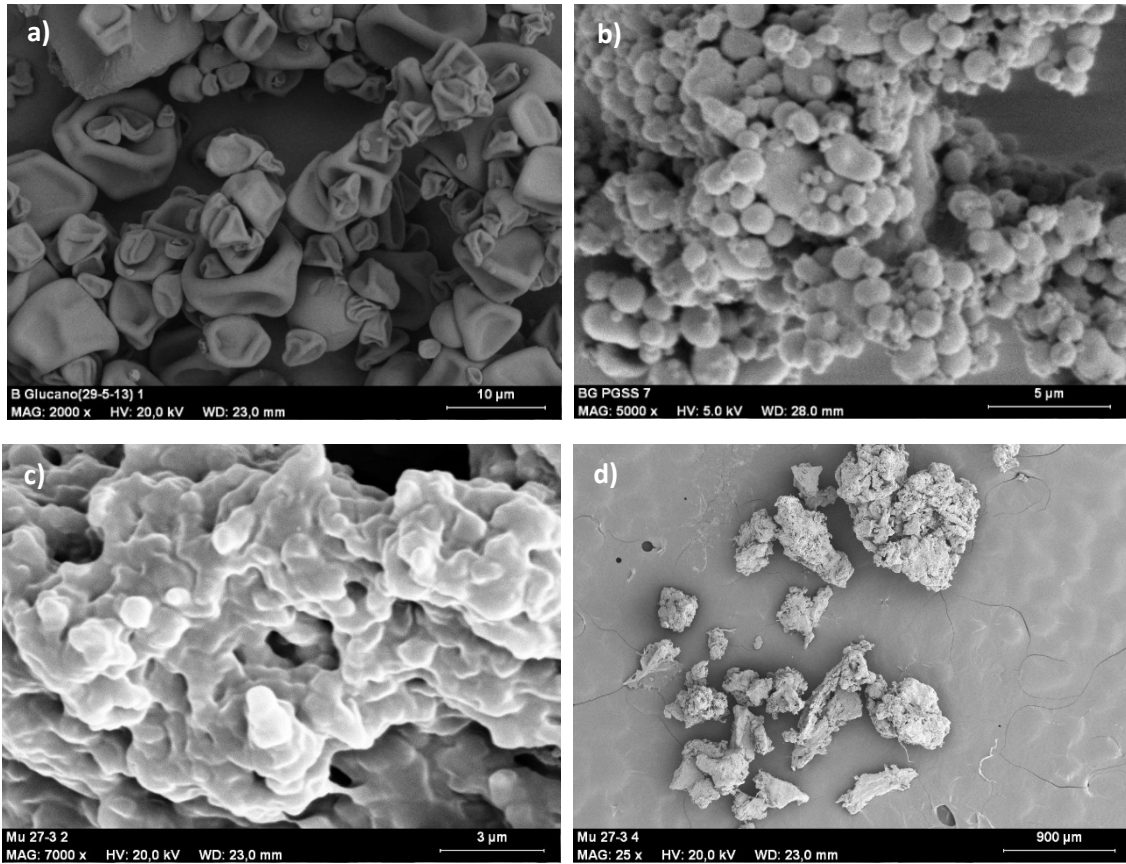
**Figure 1.** Formation of particles by SD and PGSS-drying.



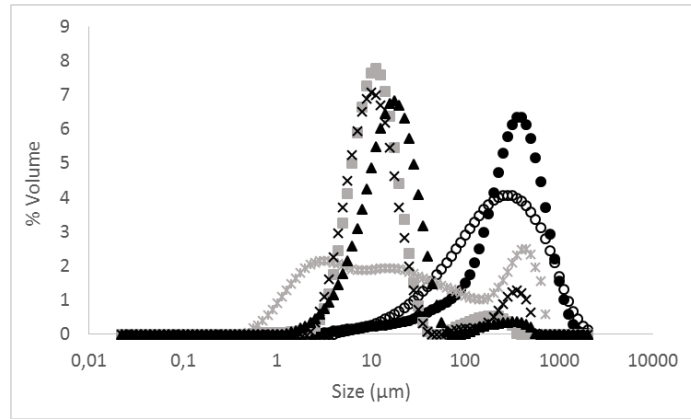
**Figure 2.** SAS process.



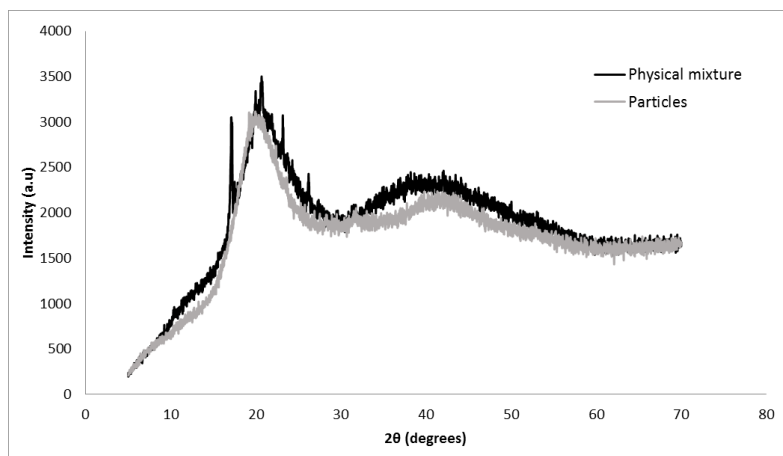
**Figure 3.** Droplet size distribution of the emulsions (a) and suspensions (b) of resveratrol with different encapsulating material. X: lecithin; x: β-glucan; ●: lecithin and β-glucan.



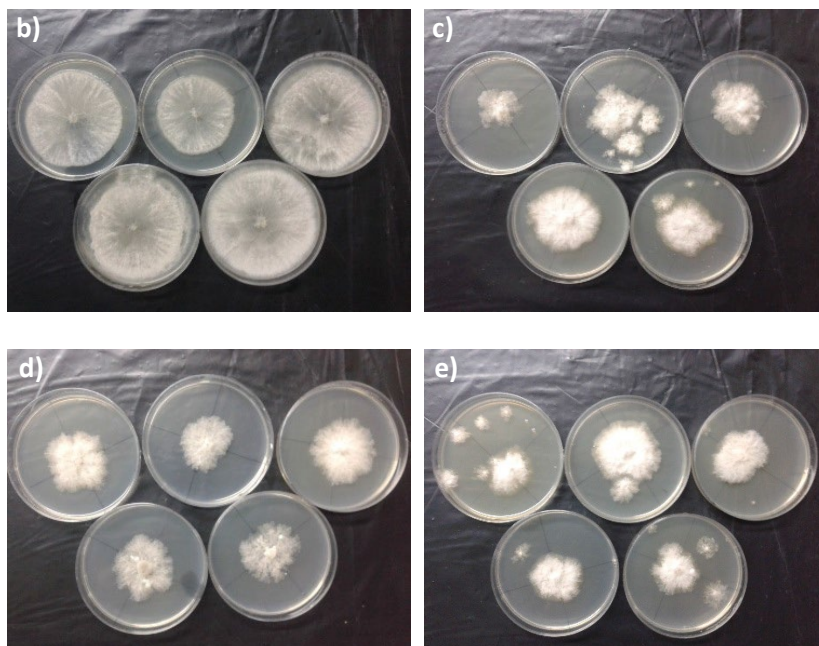
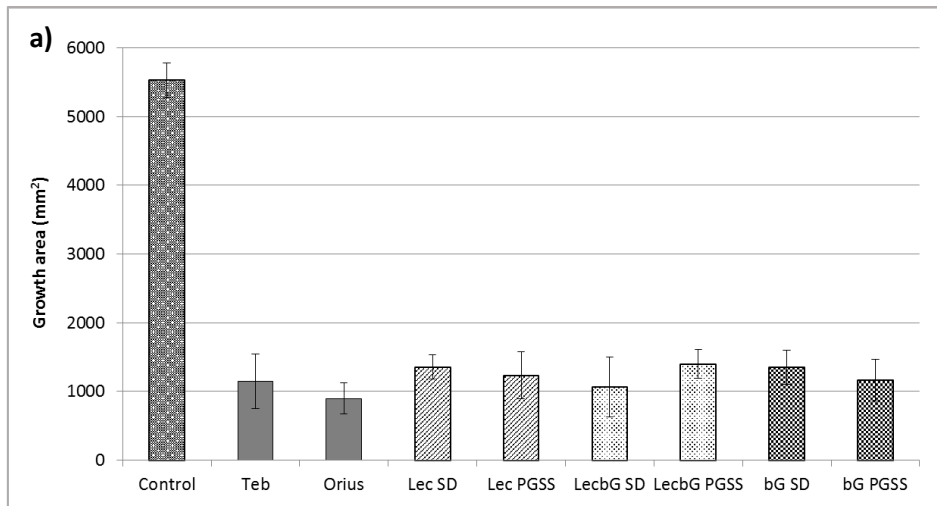
**Figure 4.** SEM images of  $\beta$ -glucan particles by SD (a), PGSS-drying (b) and by SAS (exp. F: 5 g/L in DMSO, precipitation at 10 MPa, 35° C, 2 mL/min; c and d).



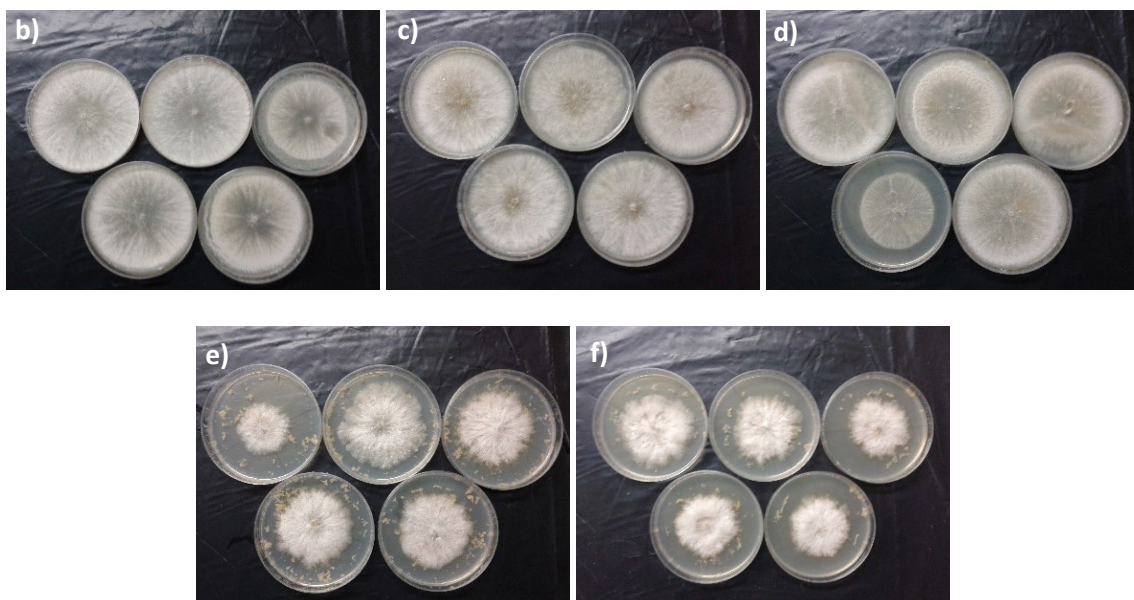
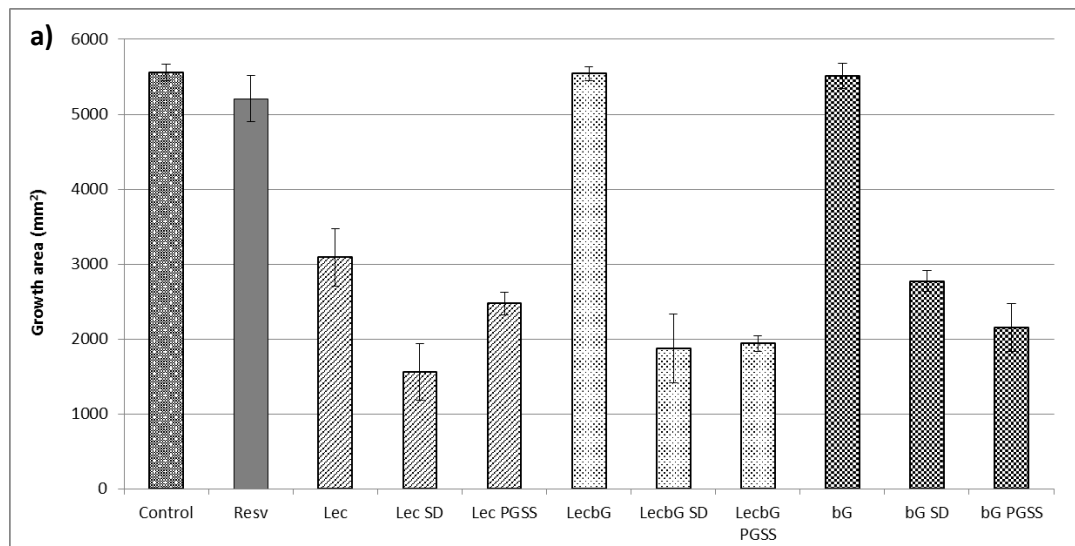
**Figure 5.** Droplet size distribution of the particles produced by SD and PGSS-drying with different encapsulating material. By PGSS-drying: ○ : with lecithin; ● : with lecithin and β-glucans; X: with β-glucans; and by SD ■ :with lecithin; ▲ : with lecithin and β-glucans; and X: with β-glucans.



**Figure 6.** Physical mixture and SD particles of resveratrol and lecithin.



**Figure 7.** Growth area of *Botrytis cinerea* for the products of tebuconazole (a) and images of the growth area in the Petri dishes: control (b), tebuconazole (c), tebuconazole and  $\beta$ -glucan particles by SD (d) and by PGSS-drying (e).



**Figure 8.** Growth area of *Botrytis cinerea* for the products of resveratrol (a) and images of the growth area in the Petri dishes: control (b), pure resveratrol (c),  $\beta$ -glucans (d), resveratrol and  $\beta$ -glucan particles by SD (e) and by PGSS-drying (f).



## **TABLE CAPTIONS**

Table 1. SAS experiments.

Table 2. Fungicide loading for the different materials and drying processes.

**TABLES****Table 1.** SAS experiments.

Exp	$\beta$ -glucan concentration (g/L <sub>DMSO</sub> )	Dissolution flow (mL/min)	CO <sub>2</sub> flow (kg/h)	T (°C)
A	2	2	2.5	34-35
B	5	2	2.5	37
C	5	2	2.5	38
D	5	2	2.5	50
E	5	2	2.5	34-35
F	5	2	5	34-35
G	5	4	2.5	34-35
H	10	2	2.5	34-35

**Table 2.** Fungicide loading for the different materials and drying processes.

% loading	Tebuconazole		Resveratrol	
	SD	PGSS-drying	SD	PGSS-drying
Lecithin	86.4 ± 1.1	79.1 ± 1.9	71 ± 4	75.3 ± 0.2
Lecithin and β-glucans	82.6 ± 1.6	72 ± 9	65 ± 7	67 ± 2
β-glucans	57 ± 2	49 ± 14	61.3 ± 1.2	94 ± 2