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# Neurobiological underpinnings of cognitive subtypes in psychoses: A cross-diagnostic cluster analysis



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# ABSTRACT

Schizophrenia and bipolar disorder include patients with different characteristics, which may hamper the definition of biomarkers. One of the dimensions with greater heterogeneity among these patients is cognition. Recent studies support the identification of different patients' subgroups along the cognitive domain using cluster analysis. Our aim was to validate clusters defined on the basis of patients' cognitive status and to assess its relation with demographic, clinical and biological measurements. We hypothesized that subgroups characterized by different cognitive profiles would show differences in an array of biological data. Cognitive data from 198 patients (127 with chronic schizophrenia, 42 first episodes of schizophrenia and 29 bipolar patients) were analyzed by a K-means cluster approach and were compared on several clinical and biological variables. We also included 155 healthy controls for further comparisons. A two-cluster solution was selected, including a severely impaired group and a moderately impaired group. The severely impaired group was associated with higher illness duration and symptoms scores, lower thalamus and hippocampus volume, lower frontal connectivity and basal hypersynchrony in comparison to controls and the moderately impaired group. Moreover, both patients' groups showed lower cortical thickness and smaller functional connectivity modulation than healthy controls. This study supports the existence of different cognitive subgroups within the psychoses with different neurobiological underpinnings.

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## 1. Introduction

Schizophrenia is likely biologically heterogeneous (Brugger and Howes, 2017; Clementz et al., 2016; Lubeiro et al., 2016), which may explain the lack of a consistent replication of cerebral findings in this disorder. Such heterogeneity may also relate to the fact that schizophrenia can be considered as a syndrome, i.e., a cluster of symptoms and signs that may have different substrates, as it is the case in other branches

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of Medicine. The identification of clusters within it may help in defining biomarkers and personalizing treatments.

One avenue to this aim is the study of the biological and clinical correlates of subgroups defined based on cognitive performance. According to a recent systematic review, schizophrenia spectrum disorders may include three cognitive clusters: relatively intact, intermediate and severely impaired (Carruthers et al., 2019). When attending to studies of mixed diagnostic groups (schizophrenia and mood disorders), another review revealed a 4-cluster solution, differentiating two separate groups with intermediate impairment (Green et al., 2019). However, the study with the largest sample (to our knowledge) yielded a two cognitive clusters solution (Green et al., 2013). Although cognitive

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performance has a dimensional structure in the population, the possibility supported by those results of defining groups with severely and mildly handicapped cognition within schizophrenia may help in advancing to the definition of biologically relevant subgroups in this syndrome. Very low values in general cognitive performance, such as IQ, are indeed accepted as categorically different in spite of their continuity with normal performance.

Regions harboring genes with neurodevelopmental roles and genome-wide association to schizophrenia and related to neurocognitive putative endophenotypes have been reported (Greenwood et al., 2019). Furthermore, metabolic and structural brain heterogeneity across subgroups of schizophrenia patients has been described. In particular, a recent meta-analysis revealed a widespread higher regional heterogeneity of brain structure in schizophrenia compared with healthy controls (Brugger and Howes, 2017). Such heterogeneity is coherent with the description of subgroups characterized by different structural profiles in this syndrome. Lubeiro et al. (2016) revealed the existence of a biologically distinct group within the schizophrenia syndrome characterized by increased mean cortical curvature values, low cortical thickness, reduced thalamic and cingulate metabolism and linked to persistent negative symptoms. Based on white matter abnormalities in first-episode patients, two schizophrenia subgroups were revealed, with more severe negative symptoms in the group with larger abnormalities (Sun et al., 2015). Also in this line, a recent study aimed to identify MRI-based psychosis subtypes revealed the existence of two clusters, one anatomically spared and another with significant anatomical alterations linked to decreased cortical thickness and area values, lower subcortical volumes and higher cortical curvature in some regions (Planchuelo-Gómez et al., 2020). These studies give support to the existence of diverse biologically based clusters within the schizophrenia syndrome. However, the neurobiological underpinnings of cognitive subtypes in schizophrenia have received little attention to date. Woodward and Heckers (2015) compared brain structure between neuropsychologically normal and impaired psychotic patients. The impaired group showed smaller total brain volume, less gray matter (GM) volume in frontal, temporal, and subcortical regions, and widespread white matter volume loss. Clementz et al. (2016) used cognitive, neuroanatomical and neurophysiological variables to define biotypes and reported three subgroups. The first, with a larger schizophrenia proportion, showed worse cognition and widespread GM deficits. Weinberg et al. (2016) compared brain volumes between cognitive clusters of schizophrenia patients and found widespread volumetric reductions in the cognitively more deteriorated group.

These studies suggest that cognitive subtypes may help in defining biotypes with differential biological characteristics in the psychotic syndrome. To this end, it is of interest to assess the relation of these putative subgroups with measurements known to be altered in schizophrenia and related to the global brain function, since higher cerebral functions likely involve widespread patterns of cerebral activity (Varela et al., 2001). Based on previous literature, we considered of particular interest structural connectivity based on diffusion magnetic resonance (Zhou et al., 2015) and the modulation of electroencephalogram (EEG) with cognitive activity (Molina et al., 2020). Our hypothesis was that subgroups characterized by different cognitive profiles would show differences in those biological data.

# 2. Materials and methods

In order to define patients' subgroups our sample included 198 patients, 127 with chronic schizophrenia, 42 with first episodes (FE) of schizophrenia and 29 with type I bipolar disorder (BD; of them 20 with psychotic features). Patients were diagnosed by one of the experienced psychiatrists in the group (OMS, JSF and VM) according to the criteria of the Diagnostic and Statistical Manual of Mental Disorders 5th edition, taking into account current mental state, clinical records and relatives' information. We also included 155 healthy controls (HC) to compare the cognitive and biological characteristics of the resulting subgroups. Of them, EEG data were available in 114 patients and 68 controls, and magnetic resonance data (including structural and fractional anisotropy (FA) data) in 81 patients and 32 controls. Finally, social cognition data were available in 85 patients and 32 controls.

This sample overlaps in part (89 patients and 34 HC) with those in a previous report where we looked for MRI-based clusters in schizophrenia and BD (Planchuelo-Gómez et al., 2020).

Exclusion criteria were a) intelligence quotient under 70; b) present or past substance dependence (excluding caffeine and nicotine); c) head trauma with loss of consciousness; d) neurological or mental diagnosis different to schizophrenia or bipolar disorder (patients); e) any current neurological or psychiatric diagnosis (controls); f) any other treatment affecting central nervous system. All participants provided written informed consent after full written information. The local ethics committee endorsed the study. This work complies with the ethical standards of the Helsinki Declaration of 1975, as revised in 2008.

## 2.1. Symptoms assessment

Positive and negative symptoms were scored by using the Positive and Negative Syndrome Scale (PANSS) (Kay et al., 1987) and the Brief Negative Symptoms Scale (BNSS) (Kirkpatrick et al., 2011).

#### 2.2. Cognitive assessment

Cognition was assessed using the Spanish version of the Brief Assessment of Cognition in Schizophrenia (BACS) (Segarra et al., 2011), including performance in verbal memory (list learning), working memory (digit span), motor speed (token motor task), verbal fluency (categories), attention and processing speed (symbol coding) and executive function and problem solving (Tower of London), and the Wisconsin Card Sorting Test (Chelune and Baer, 1986) (WCST; percentage of perseverative errors). Global Intelligence Quotient (IQ) was evaluated with the Wechsler Adult Intelligence Scale III (Fuentes Durá et al., 2010).

# 2.3. Social cognition assessment

We assessed social cognition using the Mayer-Salovey-Caruso emotional intelligence test (MSCEIT) (Mayer et al., 2003) and the Spanish Group for Schizophrenia Treatment Optimization test (*Grupo Español para la Optimización del Tratamiento de la Esquizofrenia*, GEOPTE) (Sanjuan et al., 2003) tools. The MSCEIT scale is a widely used measure of four dimensions of emotional intelligence and the GEOPTE scale evaluates relational and behavioral aspects of social cognition, including scores from a relative.

#### 2.4. Structural data

#### 2.4.1. MRI acquisition

High resolution 3D T1-weighted and diffusion-weighted MRI data were acquired using a Philips Achieva 3 T MRI unit (Philips Healthcare, Best, The Netherlands) with a 32-channel head. For the anatomical T1-weighted images, acquisition parameters were: Turbo Field Echo (TFE) sequence, repetition time (TR) = 8.1 ms, echo time (TE) = 3.7 ms, flip angle = 8°, 256 × 256 matrix size,  $1 × 1 × 1 mm^3$  of spatial resolution and 160 slices covering the whole brain. Diffusion-weighted images (DWI) were acquired using the next parameters: TR = 9000 ms, TE = 86 ms, flip angle = 90°, 61 gradient directions, one baseline volume, b-value = 1000 s/mm², 128 × 128 matrix size,  $2 × 2 × 2 mm^3$  of spatial resolution and 66 axial slices covering the whole brain. T1 and diffusion-weighted scans were acquired during the same session, starting with the T1 scan followed by the diffusion-weighted scan.

#### 2.4.2. MRI processing

From the T1 images, automatic cortical parcellation was performed using FreeSurfer (http://surfer.nmr.mgh.harvard.edu) version 6.0.0 (Dale et al., 1999). From the parcellation, average cortical thickness and subcortical GM volume were extracted, according to the Desikan-Killiany atlas (Desikan et al., 2006). We restricted our analysis to 14 bilateral cortical regions, thalamus, caudate, putamen and pallidum, as in our previous work (Lubeiro et al., 2016). The cortical regions were the caudal anterior cingulate gyrus, caudal middle frontal gyrus, cuneus, inferior parietal cortex, medial orbito-frontal cortex, para hippocampal gyrus, pars orbitalis, pars triangularis, precentral gyrus, rostral anterior cingulate gyrus, superior frontal cortex, superior temporal cortex, hippocampus and insula.

#### 2.4.3. Diffusion Tensor Imaging (DTI) data

FA in connections between pairs of regions was obtained from the diffusion MRI data. The processing pipeline is fully described elsewhere (Lubeiro et al., 2017). Briefly, an anatomically-constrained tractography was performed using the MRtrix software after obtaining five-tissue type images from each T1-weighted volume (Smith et al., 2012), a mask from the diffusion-weighted volumes, and the orientation distribution function from the diffusion data. The FA was calculated from the estimated diffusion tensor. The FA value is considered as an index of fiber myelination in the corresponding white matter tract, thus higher FA values in the tracts linking cerebral regions would imply better structural connectivity between the regions connected by those tracts. The analyzed connections were focused on regions from the prefrontal cortex (rostral middle frontal and superior frontal gyri) and the limbic system (entorhinal cortex, parahippocampal gyrus and hippocampus). Connections in which null values were found in a third (or more) of the subjects were discarded. A total of 46 homolateral connections were analyzed.

#### 2.5. EEG data

EEG data were recorded from 32 sensors during an auditory oddball task following the international 10-10 system. In previous studies we identified a deficit of brain activity modulation with cognition in schizophrenia patients during a P300 task using the Spectral Entropy (SE) parameter (Bachiller et al., 2014; Gomez-Pilar et al., 2018a; Molina et al., 2018). The concept of entropy originally comes from the field of thermodynamics and involves the uncertainty of information in terms of disorder, diversity and discrepancy (Bachiller et al., 2014). In this particular context, SE is a measure of the entropy applied over the EEG power spectrum: it is an estimation of the flatness of the spectral content (Scheeringa et al., 2011). Thus, SE can be considered an index of signal irregularity, since it measures how its spectral components are distributed (Gomez-Pilar et al., 2018a). For example, a signal with a large range of spectral components, e.g. white noise, has a flat power spectral density and, therefore, high values of SE. On the contrary, a signal with few spectral components, e.g. a pure sinusoidal wave, yields minimum SE values. In the present study, the SE was computed from the normalized continuous wavelet transform (CWT), which is a form of timefrequency representation of a signal that is conceptually related to the short-term Fourier transform (Núñez et al., 2017). The CWT allows for better detection of dynamic ERP components due to its balance between frequency and time resolution (Núñez et al., 2017). The time-dependent wavelet-based SE can be defined as follows:

$$SE(t) = -\frac{1}{\log(M)} \cdot \sum_{f} WS(t, f) \cdot \log[WS(t, f)]$$

where SE is the spectral entropy (as a function of time) and WS is the normalized wavelet scalogram. The SE was computed in two windows: baseline (300 ms before stimulus to stimulus onset) and response (150 ms to 450 ms from the stimulus onset, centered around the P300 peak). Afterwards, it was averaged in each of the two windows.

Further details of the well-validated oddball task can be found in our previous studies (Gomez-Pilar et al., 2018b). In addition, in order to

illustrate the behaviour of the SE, a simulation using a synthetic signal was performed (see Fig. S1 in Supplementary material).

SE modulation was computed as the SE difference between response and pre-stimulus windows (Gomez-Pilar et al., 2018b), providing a measure of the degree of the signal regularity change across time. Since a decrease on SE in the response window has been robustly observed as normal behaviour in normal controls, negative SE modulation values are expected in these subjects (Bachiller et al., 2014; Gomez-Pilar et al., 2018a; Molina et al., 2018). EEG data also allowed us to calculate three graph-theory parameters to characterize global connectivity properties of the brain network: network segregation using clustering coefficient (CLC), network integration by means of path length (PL) and connectivity strength by means of network density (D), as in previous work (Gomez-Pilar et al., 2018a). Complete details of its calculation are found in the Supplementary material.

# 2.6. Data analyses

For descriptive purposes, we performed a Principal Component Analysis (PCA) on the cognitive variables described above to summarize their information, excluding the global IQ. We computed the leastsquares fitted linear model, using the first two PCA scores as the response variable and the patient groups as the predictor variable. The model residuals were displayed together for a descriptive analysis in Fig. S2.

For the clustering, we employed the K-means cluster algorithm using the original cognitive variables, i.e., the PCA scores were not used in this process. The silhouette method was used to obtain the optimal number of clusters (Rousseeuw, 1987), comparing the silhouette width for a number of clusters between 1 and 10 (both included). To assure the number of clusters, we employed the values from 25 additional indices available on the NbClust package (Charrad et al., 2014). For a specific number of clusters, K-means was performed using 50 initial random centroids and the centroid with the best silhouette profile was chosen. To verify the clusters obtained with the silhouette method, we also employed the hierarchical clustering technique. This clustering process was implemented in R statistical software, version 3.5.2. Clusters (using K-means results) characterization on demographic and clinical variables was determined using analysis of variance (ANOVA), or *t*-test when applicable or chi squared for categorical comparisons. Cognitive and biological variables were analyzed using analysis of covariance (ANCOVA) adjusting the results by age, considering the possible impact of age in cognition, especially in patients. Post-hoc tests with Bonferroni adjustment were conducted in order to compare Clusters of patients with HC. In the ANCOVA analyses, to consider the effect of age on two-by-two comparisons, Tukey-Kramer post-hoc tests were performed. Since cortical thickness, SE modulation and frontal connectivity included many different variables potentially colineal, these variables were reduced to principal components using PCA. The number of factors retained was determined by scree plot examination. These data analyses were performed using SPSS statistical software, version 23 for Windows (IBM). A database with the main data supporting the present results is available (Mendeley Data doi:10.17632/bmxs325v88.1).

# 3. Results

# 3.1. Cluster solutions

The analysis yielded two groups based on their neurocognitive profile, i.e. severely impaired (Cluster 1 from here on) and moderately impaired (Cluster 2), integrated of 93 and 105 patients respectively. According to the majority rule, the optimal number of clusters was also two, being this number of clusters obtained in 11 out of 26 indices, including the silhouette index. Regarding the second clustering method, the hierarchical clustering, 92.93% (184/198) of the subjects were equally classified with respect to the silhouette technique. Each

#### Table 1

Demographic and clinical characteristics by Clusters.

	Control	Cluster 1	Cluster 2	Test statistic	p value
Age, years	37.84 (9.41)	41.31 (11.44)	36.91 (10.95)	F(2,339) = 4.622	0.010*,a,b,d
Sex, M/F	86/69	56/37	67/38	$\chi^2 = 1.850(2)$	0.397
Education level, years	17.31 (5.27)	11.52 (3.69)	14.23 (4.20)	F(2,237) = 32.021	<0.0001*,a,b,c,d
Illness duration, months	-	175.30 (144.114)	128.77 (124.558)	t = 2.019	0.045 <sup>*,d</sup>
Diagnoses S/FE/BD	-	74/17/7	58/25/22	$\chi^2 = 9.543(2)$	0.008 <sup>*,d</sup>
Lifetime hospitalizations	-	3.89 (5.65)	3.29 (6.68)	t = 0.501	0.617
CPZ equivalents	-	618.17	370.33	t = 1.866	0.064
PANSS positive	-	13.61 (5.44)	11.60 (4.72)	t = 2.393	0.018 <sup>*,d</sup>
PANSS negative	-	19.56 (8.60)	14.04 (6.42)	t = 4.450	<0.0001*,d
PANSS total	-	64.24 (22.93)	49.21 (19.31)	t = 4.289	<0.0001*,d
BNSS total	-	31.13 (16.29)	19.07 (16.48)	t = 3.278	0.002 <sup>*,d</sup>

Data are given as mean (standard deviation).

S, chronic schizophrenia.

\* p < 0.05.

<sup>a</sup> Significant post hoc Bonferroni-adjusted test results.

<sup>b</sup> Healthy controls significantly different from Cluster 1.

<sup>c</sup> Healthy controls significantly different from Cluster 2.

<sup>d</sup> Significant differences between both patients' Clusters.

diagnosis was represented in both Clusters, although not evenly distributed (see Table 1). The comparison of the optimal number of clusters with diverse indices is shown in Fig. S3. The complete cluster classification comparing the silhouette and the hierarchical clustering techniques is shown in Table S1. The average silhouette width for diverse number of clusters and the clusters silhouette plot for two clusters can be seen in Figs. S4–5. In Fig. 1 the residuals plot with the first two PCA scores (summary of the cognitive variables employed in the analysis) and the identified Clusters are shown.

#### 3.2. Demographic comparison by Clusters

There were no significant differences between patients and HC in sex distribution. Groups differed significantly on age. Cluster 1 patients were significantly older than Cluster 2 patients (adjusted p = 0.012) and HC (adjusted p = 0.042). Patients in Cluster 2 did not differ in terms of age with HC. Cluster 1 patients had lower educational attainment than HC and Cluster 2 patients. Cluster 2 had also lower educational attainment than HC (Table 1).

## 3.3. Clinical characteristics by Clusters

Cluster 1 patients showed longer illness duration. Both Clusters did not differ in number of lifetime hospitalizations nor in daily chlorpromazine equivalent dose (Table 1), neither in the use of benzodiazepines ( $\chi^2 = 1.299$ , df = 1, p = 0.254), anticonvulsant drugs ( $\chi^2 = 0.704$ , df = 1, p = 0.401), lithium ( $\chi^2 = 0.417$ , df = 1, p = 0.471) nor antidepressants ( $\chi^2 = 0.030$ , df = 1, p = 0.863). Cluster 1 showed significantly higher positive, negative and total PANSS and BNSS scores.

# 3.4. Cognitive characteristics by Clusters

All cognitive scores showed significant differences between patients Clusters and HC (Table 2 and Fig. 2). Cluster 1 performed significantly worse on all cognitive domains than Cluster 2 and HC (all adjusted  $p_s$ < 0.0001). Furthermore, Cluster 2 performed significantly worse on all cognitive domains than HC except motor speed and problem solving.

Correlations between antipsychotic doses with BACS scores were non-significant (-0.151 < r < 0.140, p = n.s.), and marginally significant with percent of perseverative errors (r = -0.227, p = 0.057).

# 3.5. Social cognition

When compared with healthy controls, both patients' subgroups showed significantly worse social cognition without differences between them (Table 2).

# 3.6. EEG modulation by Clusters

The first principal component for SE modulation accounted for 55% of variance (eigenvalue 15.95). All sensors positively correlated with this factor (Table S2). Thus, higher factor scores represent lesser decrease in SE from pre-stimulus to response windows, i.e., lesser modulation. Both Clusters showed significantly smaller SE modulation than HC,



Fig. 1. Scatter plot of the distribution of the values of the two first principal components (PC) for the cognitive variables in the identified clusters. Circles represent patients from Cluster 1 (Cl1) and triangles patients from Cluster 2 (Cl2). The big circle and triangle represent the centroids for each Cluster. The ellipsoids have a radius of one standard deviation.

#### Table 2

Cognitive characteristics by Clusters.

	Control	Cluster 1	Cluster 2	Test statistic	p value
Verbal memory	52.25 (8.67)	30.31 (9.25)	41.51 (9.28)	F (2,338) = 173.55	<0.0001*,a,b,c,d
Working memory	22.55 (4.28)	13.95 (5.05)	18.46 (3.93)	F(2,338) = 106.432	<0.0001*,a,b,c,d
Motor speed	74.01 (14.77)	42.12 (13.14)	70.84 (12.19)	F(2,338) = 158.964	<0.0001*,a,b,d
Verbal fluency	27.29 (5.70)	16.65 (4.54)	21.99 (5.54)	F(2,338) = 109.094	<0.0001*,a,b,c,d
Processing speed	62.83 (12.15)	30.03 (10.43)	48.78 (10.56)	F(2,338) = 252.10	<0.0001*,a,b,c,d
Problem solving	17.65 (3.29)	12.66 (5.25)	17.26 (2.87)	F(2,338) = 53.23	<0.0001*,a,b,d
Executive function (WCST %pe)	10.65 (6.15)	21.02 (10.57)	15.92 (9.81)	F(2,338) = 41.15	<0.0001*,a,b,c,d
Total IQ	115.84 (11.48)	84.94 (13.38)	97.46 (13.26)	F(2,165) = 82.64	<0.0001*,a,b,c,d
MSCEIT total	122.59 (11.93)	91.86 (24.21)	101.92 (20.54)	F(2,112) = 18.37	<0.0001*,a,b,c
GEOPTE total	19.99 (2.57)	34.31 (7.89)	30.71 (10.66)	F(2,81) = 16.615	<0.0001*,a,b,c

Data are given as mean (standard deviation).

WCST % pe, percentage of perseverative errors in the Wisconsin Card Sorting Test.

\* p < 0.0001.

<sup>a</sup> Significant post hoc Tukey-Kramer test results.

<sup>b</sup> Healthy controls significantly different from Cluster 1.

<sup>c</sup> Healthy controls significantly different from Cluster 2.

<sup>d</sup> Significant differences between both patients Clusters.

without differences between them (Fig. S6). Table 3 summarizes biological data.

#### 3.7. MRI parameters by Clusters

The first principal component of cortical thickness accounted for 49.25% of variance (eigenvalue 13.79), with all regions directly related to this factor except for caudal anterior cingulate cortex and parahippocampal cortex (Table S3). Thus, higher values in this factor represent larger global cortical thickness. Both Clusters showed significantly lower thickness than HC, without differences between them (Table 3 and Fig. S7). Regarding to frontal structural connectivity, the PCA yielded two principal components which accounted for 36.08% and 8.12% of variance (eigenvalues 10.82 and 2.44), respectively. The first component reflected the FA of white matter tracts connecting medial frontal cortex with caudate, insula and anterior cingulate cortex. The second was contributed by FA of tracts connecting superior frontal cortex with hippocampus, thalamus, caudate and insula (Table S4). Cluster 1 showed significant lower values of the first component than Cluster 2 and HC (i.e., lower FA values in the corresponding tracts).

This was also found in Cluster 2 patients when compared with HC (Table 3 and Fig. S8).

# 3.8. Functional connectivity

We found significantly higher pre-stimulus connectivity strength (CS) in Cluster 1 compared to both Cluster 2 and HC, thus a hypersynchronic state. We also found a higher increase from prestimulus (i.e., a higher CS task-related increase) in HC compared to both patients' subgroups (Table 3 and Fig. S9A and B).

## 3.9. Subcortical volumes

Cluster 1 patients showed bilaterally lower thalamus volumes compared to Cluster 2 patients and HC. There were no significant differences between the latter. Additionally, Cluster 2 showed higher left caudate volume than Cluster 1 and HC, and higher right caudate volume than Cluster 1. Finally, Cluster 2 showed higher bilateral putamen volume than Cluster 1 (Table 3 and Fig. S10).



Fig. 2. Cognitive profiles for HC, Cluster 1 and Cluster 2 patients.

#### Table 3

Biological characteristics by Clusters.

	Control	Cluster 1	Cluster 2	Test statistic	p value
EEG modulation	-0.39 (1.35)	0.26 (0.59)	0.22 (0.63)	F(2,177) = 8.682	0.0002*,a,b,c
Cortical thickness	0.55 (0.83)	-0.40(0.97)	-0.10 (0.98)	F(2,109) = 11.76	<0.0001*,a,b,c
Fractional anisotropy					
PC1	0.603 (0.859)	-0.631 (0.983)	0.041 (0.861)	F(2,106) = 16.51	<0.0001*,a,b,c,d
PC2	0.035 (1.033)	-0.169 (1.034)	0.085 (0.966)	F(2,106) = 0.691	0.503
PC3	0.133 (1.173)	-0.069 (0.850)	-0.034(0.990)	F(2,106) = 0.360	0.699
Pre-stimulus					
Clustering coefficient	1.0071 (0.0042)	1.0095 (0.0077)	1.0072 (0.0045)	F(2,177) = 2.755	0.066
Path length	1.1017 (0.0262)	1.1084 (0.0453)	1.1002 (0.0223)	F(2,177) = 0.781	0.460
Density	0.3524 (0.0357)	0.3772 (0.0486)	0.3571 (0.0363)	F(2,177) = 5.157	0.007*,a,b,d
Modulation					
Clustering coefficient	0.0050 (0.0060)	0.0015 (0.0043)	0.0012 (0.0039)	F(2,177) = 12.114	<0.0001*,a,b,c
Path length	0.0178 (0.0315)	0.0034 (0.0243)	0.0070 (0.0190)	F(2,177) = 5.049	0.007*,a,b,c
Density	0.0639 (0.0451)	0.0119 (0.0273)	0.0187 (0.0236)	F(2,177) = 41.637	<0.0001*,a,b,c
Subcortical volumes					
L thalamus	7702.72 (820.55)	6741.42 (813.75)	7398.57 (879.59)	F(2,109) = 13.16	<0.0001*,a,b,d
R thalamus	7233.56 (730.39)	6472.23 (662.94)	7027.81 (832.49)	F(2,109) = 10.14	<0.0001*,a,b,d
L hippocampus	4110.22 (477.01)	3692.98 (404.71)	4109.92 (432.67)	F(2,109) = 11.29	<0.0001*,a,b,d
R hippocampus	4240.73 (436.51)	3831.05 (463.05)	4216.48 (405.86)	F(2,109) = 10.30	<0.0001*,a,b,d
L caudate	3309.05 (443.34)	3303.95 (522.95)	3575.12 (635.35)	F(2,109) = 4.57	0.012*,a,c,d
R caudate	3423.02 (454.94)	3413.16 (463.53)	3673.26 (643.71)	F(2,109) = 4.156	0.018*,a,d
L putamen	4823.74 (631.94)	4625.43 (617.29)	5059.27 (703.50)	F(2,109) = 5.928	0.004*,a,d
R putamen	4806.52 (648.56)	4609.96 (609.79)	5002.35 (695.47)	F(2,109) = 4.821	0.010*,a,d

Data are given as mean (standard deviation).

EEG Modulation and Cortical Thickness are expressed as first principal component values. Volumes are expressed in mm<sup>3</sup>.

PC1, PC2, PC3, first, second and third principal components respectively; L/R, left, right, respectively.

\* p < 0.05.

<sup>a</sup> Significant post hoc Tukey-Kramer test results.

<sup>b</sup> Healthy controls significantly different from Cluster 1.

<sup>c</sup> Healthy controls significantly different from Cluster 2.

<sup>d</sup> Significant differences between both patients Clusters.

Cluster 1 patients also showed bilaterally lower hippocampal volumes that HC and Cluster 2 patients. This mentioned here because the FreeSurfer tool groups it with subcortical structure despite being allocortical.

For a summary of post-hoc comparison results in all variables see Table S5.

# 4. Discussion

Our cluster analysis of cognitive data revealed a severely impaired and a moderately impaired patients group, supporting the possibility of defining subgroups within the psychotic syndrome (including schizophrenia and bipolar disorder, at least) which might have some biological validity. The existence of these groups could help explaining the co-occurrence of functional and anatomical cerebral abnormalities in schizophrenia and BD and its lack of sensitivity and specificity to characterize these diagnoses. The higher heterogeneity of many structural findings in schizophrenia as compared to HC (Brugger and Howes, 2017) is coherent with the coexistence of several biotypes within this syndrome, as suggested by our findings.

Our results are coherent with previous studies. Woodward and Heckers (2015) classified 101 schizophrenia or schizoaffective and 30 BD patients according to predefined criteria into neuropsychologically normal or impaired (divided into compromised and deteriorated according to premorbid IQ estimations), and assessed its structural differences. Interestingly, impaired patients showed GM decreases in hippocampus, thalamus and frontal and temporal lobes, similarly to our Cluster 1. In this line, Weinberg et al. (2016) carried out a study in order to identify cognitive subtypes of schizophrenia and subsequently compared brain volumes among subtypes, also finding reduced hippocampal and gray matter volumes in the patients who made up the cognitive severely deteriorated cluster.

Our data are also in line with the inverse relation between Brodmann's area 9 GM volume and performance on the WCST and part B of the Trail Making Test (Bonilha et al., 2008), as well as with the relations in FE of schizophrenia between deficits in hippocampal volume and working memory and orbitofrontal volume and executive functions (Guo et al., 2014). Verbal memory was associated in schizophrenia but not in healthy controls to hippocampal volume, according to a recent meta-analysis (Antoniades et al., 2018). In the context of our data and others (Woodward and Heckers, 2015), these results might be coherent with the coexistence of groups within the schizophrenia syndrome with high and low cognitive deficits respectively associated to large and small anatomical alterations. Moreover, our findings suggest these alterations could already be present in some FE patients, since the severely impaired group included a proportion of them. This is in line with other studies revealing similar impaired cognitive profiles in FE and multiple-episode patients (Sauvé et al., 2018).

One potential limitation of data-driven methods to classify cognitive subgroups in psychosis is the use of different neurocognitive measures between studies. For example, using the MATRICS Consensus Cognitive Battery (Kern et al., 2008) a 3-cluster solution was reported in a large sample (Van Rheenen et al., 2017). The difference with our 2-cluster solution may relate to the inclusion of social cognition and visual learning in the MATRICS but not in the BACS. However, other authors have used a partially similar approach to ours by including BACS scores and other cognitive and neurophysiological measurements in cluster definition on a large sample of affective and non-affective psychoses (Clementz et al., 2016). They reported three biotypes, two with a significant cognitive impairment and one cognitively spared. Remarkably, the two first biotypes showed a higher proportion of non-affective psychoses and prominent gray matter reductions in cortex, basal ganglia and thalamus, similar to our findings.

While most groups aiming to identify neuropsychological subgroups reported a 3-groups solution, including a cognitively preserved cluster, our solution only reveals one moderately and one severely impaired Cluster. Data inspection suggests that a proportion of our patients have a cognitive performance like that of HC. Therefore, a 3-cluster solution might arise with a higher sample size. This however does not invalidate the identification of a Cluster with large cognitive deficit and associated biological characteristics. According to our data some of these traits reported in schizophrenia, such as hippocampal or thalamic volume deficits (Haukvik et al., 2018; Okada et al., 2016; Penadés et al., 2019; Van Erp et al., 2016) or increased global functional connectivity (Cea-Cañas et al., 2020) may instead be found only in cognitively impaired patients.

Higher functional connectivity strength in Cluster 1 is coherent with an increased cortical excitation, which would translate in an increased average synchrony of EEG signal. This may be in turn consistent with a deficit of inhibitory activity as described for schizophrenia in the cortex and proposed to underlie cognitive deficits in this syndrome (Gonzalez-Burgos and Lewis, 2012; Lewis et al., 2012). Again, our data may suggest that this deficit might be characteristic of a patient's subpopulation. The higher synchrony during task performance (i.e., higher CS) in Cluster 1 patients is coherent with the finding of an increased hippocampal activity during successful encoding in a memory task in schizophrenia patients (Pirnia et al., 2015). Both Clusters showed a similar deficit in EEG activity modulation during a cognitive task. This is a replicated trait in different schizophrenia populations (Bachiller et al., 2014; Molina et al., 2020, 2018), and its presence in both Clusters suggests that different cerebral mechanisms may contribute.

Our data may also be coherent with the genetic evidence supporting an association between cognitive phenotypes and genetic variants. Genetic risk factors in schizophrenia and BD are multiple (Horwitz et al., 2019). Considering our data in the context of the association described between cognitive phenotypes and genetic variation in risk genes (Greenwood et al., 2019), genetic variation might underpin patients' Clusters.

In a previous study in a completely different population, we used neuroanatomical data to generate patients' clusters in schizophrenia and BD (Lubeiro et al., 2016). Although cognitive data were not available there, some similarities with the present results could be mentioned. A group with higher cortical curvature and lower cortical thickness, as well as with smaller thalamic volume and activity was identified. Since cortical curvature seems associated to cortico-cortical FA (Lubeiro et al., 2017), this subgroup may overlap with the cognitively impaired cluster in the present study. Similarly, in a completely different sample overlapping in part with the present one, we described that a cluster could be found in schizophrenia and BD primary characterized by global cortical thinning associated to cognitive deficit (Planchuelo-Gómez et al., 2020).

Although not statistically significant, Cluster 1 patients received higher antipsychotic doses than Cluster 2 patients, likely an effect of the higher positive symptoms scores in the former. However, this is not likely to be the cause driving patients' classification, since correlations between cognitive performance and antipsychotic dose were non-significant. Moreover, antipsychotics, at least in this range, are unlikely to affect cognitive performance (MacKenzie et al., 2018).

The present study has several limitations. First, a larger sample would be of great interest in order to reveal further valid clusters. In addition to a larger sample size, we did not replicate our findings in an independent sample to assure the generalization of the two clusters that we identified. Anyway, we obtained similar classification results employing two different clustering methods, which supports our results. Additionally, MRI and EEG data were available only for a subset of cases. Although it would have been desirable to have these data available in all subjects, the consistency of our results with previous studies examining cerebral differences in cognitive subtypes of psychotic patients (Weinberg et al., 2016; Woodward and Heckers, 2015) suggests that a larger sample would not have lead into different results.

# 5. Conclusions

In conclusion, our study supports segregating at least two groups within the schizophrenia syndrome based on cognitive performance and with different biological underpinnings. This would mean that not all schizophrenia patients but some cases within them may be characterized by biological substrates previously ascribed to this syndrome, such as hippocampal volume reductions or, perhaps, inhibitory dysfunction. It would be important to better clarify cognitive subgroups in terms of disease outcome profiles, response to treatment and stability over the time, purposes for which longitudinal studies would be appropriate. Further studies are necessary to replicate and refine these findings.

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# **CRediT authorship contribution statement**

V. Molina and I. Fernández-Linsenbarth were responsible for the study design, statistical analysis and drafting, editing and final approving of the manuscript. I. Fernández-Linsenbarth, A. Arjona-Valladares, A. Díez, J. Benito-Sánchez, A. Pérez-Laureano, D. González-Parra, C. Montes-Gonzalo, R. Melero-Lerma and S. Fernández-Morante were responsible for data acquisition. A. Planchuelo-Gómez and R. de Luis conducted cluster and DTI analysis. A. Planchuelo-Gómez was also responsible for drafting and revising some parts of the manuscript. J. Gómez-Pilar and P. Núñez-Novo were responsible for the spectral entropy analysis of EEG Data. V. Molina, O. Martín-Santiago and J. Sanz-Fuentenebro were responsible for accessing the patients sample.

#### **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi. org/10.1016/j.schres.2020.11.013.

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#### I. Fernández-Linsenbarth, Á. Planchuelo-Gómez, Á. Díez et al.

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Schizophrenia Research 229 (2021) 102–111

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111

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