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Efficient recovery and characterization of humic acids from municipal and manure composts: A comparative study --Manuscript Draft--

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Abstract:	<p>The recovery of humic acids from low-quality compost obtained in municipal solid waste treatment plants provides opportunities for its valorization. This study compares the recovery and properties of the humic acids obtained from municipal mixed waste compost (MMWC) and manure compost. The effects of temperature, time, and KOH concentration on the ratio of humic acids in the extracted liquid and the content of organic carbon of the precipitates were investigated by response surface methodology. Optimal conditions were 30°C and 24 h for both composts, with a KOH concentration of 0.53 M for MMWC and 0.25 M for manure compost. The manure compost provided a liquid extract richer in humic acids than MMWC (76.6% vs. 33.7%), but the precipitates presented similar organic carbon contents (38.1% vs. 42.4%). Regarding composition, both humic acids presented higher organic carbon and nitrogen contents than the composts used as feedstock. The extraction and further precipitation of humic acids reduced the concentration of heavy metals. Humic acids from manure compost have a slightly higher average molecular weight (2650 Da) than those from MMWC (1980 Da), while both present similar C/N ratios and degree of aromaticity. Most contaminants of emerging concern present in the original composts were not detected in the humic acids. Thus, it was demonstrated that MMWC constitutes an attractive source of humic acids with properties similar to those obtained from a high-quality compost and, therefore, with potential economic value.</p>

Highlights

- Recovery of humic acids from manure compost and municipal mixed waste compost.
- Optimization of alkaline extraction conditions by response surface methodology.
- Both humic acids show similar physico-chemical characteristics.
- Concentrations of heavy metals below the regulatory limits.
- Removal of emerging concern contaminants due to the recovery process.

1 **Efficient recovery and characterization of humic acids from municipal and**
2 **manure composts: A comparative study**

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21 **Abstract**

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23 waste treatment plants provides opportunities for its valorization. This study
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25 mixed waste compost (MMWC) and manure compost. The effects of temperature,
26 time, and KOH concentration on the ratio of humic acids in the extracted liquid and
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33 organic carbon and nitrogen contents than the composts used as feedstock. The
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36 molecular weight (2650 Da) than those from MMWC (1980 Da), while both present
37 similar C/N ratios and degree of aromaticity. Most contaminants of emerging
38 concern present in the original composts were not detected in the humic acids.
39 Thus, it was demonstrated that MMWC constitutes an attractive source of humic
40 acids with properties similar to those obtained from a high-quality compost and,
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45 **1. Introduction**

46 Humic substances (HS) refer to natural organic compounds formed during the
47 decomposition of plant and animal residues (Shao et al., 2022). HS are found in
48 soil, peat, coal, compost, and other organic materials. HS can be classified into
49 three fractions based on their solubility (Genuino et al., 2017): (1) fulvic acids (FA)
50 are soluble in water at any pH; (2) humic acids (HA) are soluble at higher pH but
51 become insoluble in acidic solutions ($\text{pH} < 2$); and (3) humin is insoluble at all pH
52 conditions. HA is the most interesting fraction due to its chemical properties and its
53 interaction with other compounds (Genuino et al., 2017; Katsumi et al., 2016).
54 HA are widely used in multiple applications, including as a feed additive in poultry
55 diets, for soil remediation, as a plant growth stimulator, and the remediation of
56 environmental pollutants (Zhang et al., 2023). The application of HA in soils
57 increases the availability of nutrients, improving the microbial population, water-
58 holding capacity, texture, and structure of the soil (Ore et al., 2023). It has been
59 proved that the oral consumption of HA by domestic animals could reduce the
60 cholesterol, lipids, and glucose content while increasing the red blood cells and
61 hemoglobin (Sutradhar and Fatehi, 2023). Applications of humic acids in medicine,
62 pharmaceuticals and cosmetics as functional agents in the prevention of diseases
63 have also been reported (De Melo et al., 2016). HA contain different functional
64 groups, mainly carboxylic acids, phenolic groups, and also amino, quinone and
65 methoxy groups, which have a significant influence on their properties (Cheng et

66 al., 2019; Li et al., 2023). Commercial HA is commonly obtained from non-
67 renewable carbon sources, such as leonardite and lignite coals (Huculak-Mączka
68 et al., 2018). High-quality organic compost can also be used as a source of HA.
69 Composting produces stable and complex macromolecules and reduces such
70 impurities as heavy metals and other contaminants (Lashermes et al., 2012). In
71 addition, the production of HA is more sustainable if compost is used as a
72 feedstock, since the mining activities needed to obtain lignite or leonardite are
73 highly energy-consuming (Huculak-Mączka et al., 2018). Humic acids have been
74 extracted from different composts produced from fish waste and sugarcane
75 bagasse (Aranganathan et al., 2019), rice husks (Omar et al., 2021), olive residue
76 (Tortosa et al., 2014), or anaerobic sewage sludge (Cristina et al., 2020). HA can
77 be recovered from these materials using various extraction methods, such as
78 conventional extraction with water, alkali or acid solvents (Fernández-Delgado et
79 al., 2020, Kanmaz, 2019), ultrasound-assisted extraction (Moreda-Piñeiro et al.,
80 2004; Raposo et al., 2016), and microwave-assisted extraction (Fernández-
81 Delgado et al., 2022). However, the most common method to extract HA is the
82 conventional process using strong alkaline solvents such as KOH and NaOH
83 (Genuino et al., 2017). The main advantages are that they are simple, well-known
84 devices, are easy to operate, and have a high efficiency (Li et al., 2014; Rashid et
85 al., 2023). Because of its low cost, NaOH has been widely used as an HA
86 extractant. Aranganathan et al. (2019) achieved the maximum HA recovery from
87 fish waste and sugarcane bagasse co-compost using 0.1 M NaOH. Sarlaki et al.
88 (2019) used lignite as a feedstock to recover HA using 0.5 M NaOH and a
89 membrane purification process, achieving HA with a purity of 97%. However, the

90 use of NaOH has some restrictions (Das et al., 2015), because the addition in
91 excess of sodium to the soil has a detrimental influence on fertility, due to its
92 deleterious effects on permeability and nutrient balance (Aranganathan et al.,
93 2019; Rashid et al., 2023). Moreover, NaOH can result in the partial degradation of
94 the chemical structure of HA and thus contribute to the contamination of the final
95 product. Therefore, KOH is a preferred extraction alternative as it can provide HA
96 with a higher purity and K content (Aranganathan et al., 2019; Cheng et al., 2019;
97 Stevenson, 1994).

98 Low-quality compost, such as municipal mixed waste compost (MMWC), or
99 biostabilized material, has rarely been explored for the recovery of HA. This
100 compost is obtained from the organic fraction of municipal solid waste, recovered
101 from the undifferentiated collection, and can present a higher content of heavy
102 metals and other contaminants, such as Contaminants of Emerging Concern
103 (CECs). CECs include pharmaceutical compounds, plasticizers, personal care
104 products, or flame retardants (Rezaei Adaryani and Keen, 2022). CECs are
105 present in municipal solid waste and remain after composting, with a potential
106 impact on human health and the environment (Langdon et al., 2019). MMWC has
107 restricted applications in agriculture as a fertilizer (European Commission, 2018a).
108 If none is foreseen, the MMWC will be disposed of in landfills (Ribeiro et al., 2017),
109 for which limits will be significantly tightened to reflect the EU's ambitions for the
110 transition to a circular economy. By 2035, the amount of municipal garbage put in
111 landfills should be less than 10% of the entire quantity generated (by weight)
112 (European Commission, 2018b). Thus, developing alternatives for MMWC
113 valorization is a critical social task.

114 This study aims to valorize a low-quality organic waste, such as MMWC, by
115 extracting humic acids. The properties of the organic substances recovered have
116 been compared with those of HA obtained from cow manure compost, a high-
117 quality compost. Differences in the recovered humic acids, based on (i) the
118 composition of both raw materials, (ii) the optimal extraction conditions, (iii) the
119 physicochemical properties of the humic acids, and (iv) the presence of
120 contaminants of emerging concern, were assessed to investigate the feasibility of
121 using MMWC as a source of humic acids. The extraction process was first
122 optimized using a Central Composite Design to analyze the effects of temperature,
123 time and concentration of KOH on the ratio of humic acids in the extracted liquid
124 and the content of organic carbon of the precipitate. To the best of the authors'
125 knowledge, no references on the presence of CECs in MMWC and manure
126 compost have been published. It is of special interest to determine whether the
127 humic acid extraction process can remove CECs that may have an impact on the
128 environment and human health.

129 **2. Materials and Methods**

130 **2.1. Raw Materials**

131 A municipal solid waste treatment plant located in Castilla y León (Spain) kindly
132 donated the MMWC (low-quality compost). The cow manure compost (high-quality
133 compost) was supplied by the Agrarian Technological Institute of Castilla y León
134 (ITACyL). Both samples were stored at -18 °C until use and dried in an oven at 70
135 °C before performing the experimental runs.

136 **2.2. Humic Acid Extraction and Precipitation**

137 The HA extraction process is described as follows: the S:L ratio (10% w/v) was
138 fixed by mixing 10 g of compost and 100 mL of KOH solvent in 250 mL sealed
139 flasks for S:L extraction performed at 200 rpm under the conditions established by
140 the experimental design in an orbital shaker (Incubator Shaker ES-60, Miulab,
141 China). Following extraction, the mixture was centrifuged for 10 minutes at 10000
142 rpm using a Centrifuge Sorvall legend RT (Thermo Fisher Scientific, Spain). The
143 supernatants were filtered (Filter-lab 1300/80 0.45 mm, Filters AOIA S.A., Spain)
144 before being analyzed. All the experiments were carried out in duplicate.

145 The supernatant was acidified with 6 M HCl until pH < 1 was reached, and the
146 sample was stored overnight at 4 °C to ensure complete HA precipitation. After
147 that, the mixture was centrifuged (10 min, 10000 rpm), and the supernatant (fulvic
148 acid fraction) was recovered for further characterization. Then, the HA precipitate
149 was washed and dried in an oven at 105 °C for 24 h until the sample weight was
150 constant in order to determine the mass recovered. Solids were stored for further
151 analysis.

152 **2.3. Response Surface Methodology for Humic Acid Extraction**

153 In this study, a Response Surface Methodology (RSM) with a Central Composite
154 Design (CCD) was selected to analyze and optimize the effect of temperature (X1:
155 30 – 60, °C), time (X2: 4 – 24, h), and KOH concentration (X3: 0.25 – 1, M) on the
156 extraction of HA from MMWC (HA-MMWC) and manure compost (HA-compost).
157 The variable levels were selected based on previous studies (Fernández-Delgado
158 et al., 2021, 2020; Tortosa et al., 2014). The RSM design was performed at an S/L
159 ratio of 10% w/v, based on prior results (Fernández-Delgado et al., 2020).

160 The optimum operating conditions for the HA extraction were predicted based on
161 two response variables optimized simultaneously. These were based on the
162 determination of the total organic carbon (TOC): (1) the HA ratio in the extracted
163 liquid (% $\text{TOC}_{\text{HA}}/\text{TOC}_{\text{extracted}}$), and (2) the TOC content of the precipitate (%
164 $\text{TOC}_{\text{HA}}/\text{precipitate}$). The HA ratio was calculated as TOC (g) in the precipitated
165 solid per 100 g of TOC in the extracted liquid. Furthermore, the TOC content is
166 referred to as TOC (g) in the precipitated solid per 100 g of the precipitate. The
167 response variables were selected to favor the extraction of humic acids rather than
168 other organic fractions (fulvic acids and non-humic organic matter), and to obtain a
169 HA fraction with a high content in organic carbon. A second-order polynomial
170 model was proposed to obtain a correlation between the independent design
171 variables and the selected responses. Eq. 1 is the general second-order
172 polynomial equation used to analyze the experimental data.

$$173 \quad Y = b_0 + \sum_{i=1}^3 b_i X_i + \sum_{i=1}^3 b_{ii} X_i^2 + \sum_{i=1}^2 \sum_{j=i+1}^3 b_{ij} X_i X_j \quad \text{Eq. 1}$$

174 where Y is the response value, b_0 is the offset term, b_i is the linear effect, b_{ii} is the
175 squared effect, b_{ij} is the interaction effect, and X_i and X_j are independent variables.

176 **2.4. Analytical methods**

177 *2.4.1. Elemental composition of the samples*

178 Humidity and volatile solids (VS) contents of the solid samples were determined by
179 gravimetry (at 100 °C and 550 °C, respectively). The elemental composition (total
180 carbon (TC), TOC, and total nitrogen (TN)) was analyzed by the Dumas Method
181 using an elemental analyzer (EuroVector EA3000, Italy). As for the liquid samples,
182 the elemental composition (TC, TOC, TN) was determined by a TOC-V 5000

183 analyzer (Shimadzu, Japan). Macro, micronutrient and heavy metal concentrations
184 were determined by ICP analysis for both solid and liquid samples, as described by
185 Fernández-Delgado et al. (2020).

186 2.4.2. UV-Vis spectroscopy

187 A UV-Vis spectrophotometer (Shimadzu, Japan) was used to determine the
188 absorption spectra of HA. The dissolution of the precipitated HA was accomplished
189 by adding 0.1 N NaOH until a HA concentration of 1 g/L was reached. This method
190 ensures the preparation of HA solutions suitable for subsequent measurements of
191 UV-Vis parameters (Aranganathan et al., 2019; Kumada, 1987). The absorbance
192 was measured at different wavelengths (of 280 nm, 365 nm, 400 nm, 465 nm, 600
193 nm, and 665 nm) to determine the HA properties. After that, the photometric ratios
194 E_2/E_3 (A_{280}/A_{365}) and E_4/E_6 (A_{465}/A_{665}) were calculated. The ratio E_2/E_3 is directly
195 related to the degree of aromaticity (DA) through Eq. 2 (Aranganathan et al., 2019).

$$196 \quad DA = 52.509 - 6.780E_2/E_3 \quad \text{Eq. 2}$$

197 The ratio E_4/E_6 is considered as the humification index (Zbytniewski and
198 Buszewski, 2005). The molecular weight (MW) was estimated with the molar
199 absorptivity at 280 nm by Eq. 3 (Chin and Aiken, 1994).

$$200 \quad MW = 490 + 3.990\varepsilon_{280} \quad \text{Eq. 3}$$

201 Finally, to categorize the HA type, the Kumada Index ($\log(K)$) was determined by
202 $\log(A_{400}) - \log(A_{600})$ (Aranganathan et al. 2019; Kumada and Kawamura, 1968).

203 2.4.3. Fourier transform infrared (FTIR)

204 FTIR (Bruker Alpha, USA) analyzed the functional-group composition of the
205 precipitated HA. The absorbance spectra were measured between 4000 cm^{-1} and
206 400 cm^{-1} in the wavelength range.

207 2.4.4. Identification of CECs using UHPLC-MS-QTOF

208 The CECs present in the composts and humic acids were identified by ultra-high-
209 performance liquid chromatography (UHPLC) coupled with mass spectrometry at
210 the Laboratory of Instrumental Techniques (University of Valladolid). UHPLC
211 separation was performed on a Phenomenex Kinetex C18 column (50 × 2.1 mm,
212 1.7µm) held at 40 °C on a SCIEX ExionLC AC System (SCIEX, USA). The mobile
213 phase consisted of water, methanol, and 0.1% formic acid. The flow rate was set at
214 0.3 mL/min. The injection volume was 5 µL and the total LC runtime was 22
215 minutes. MS and MS/MS data were on the SCIEX X500R QTOF System (SCIEX,
216 USA) with SCIEX OS Software 1.5, covering a mass range from 100 to 1000 m/z.
217 An electrospray ionization (ESI) in positive mode was carried out. Before analysis,
218 the solids were subjected to a double-step extraction with water:methanol (1:1),
219 under constant stirring for 3 h at room temperature. The organic phase was
220 separated by a vacuum evaporator (Heidolph VV2000, Germany) and stored for
221 subsequent analysis.

222 2.5. Data analysis

223 The statistical software R (version 4.2.2. – Innocent and Trusting – 2022) was used
224 to plan the CCD and to analyze the experimental data statistically. ANOVA was
225 used to analyze the experimental design, whereas Tukey's multiple-range test
226 analyzed the central points of CCD to find statistically significant differences at a
227 95% confidence level ($p < 0.05$).

228 **3. Results and Discussion**

229 **3.1. Raw material characterization**

230 The compositions of MMWC and manure compost are shown in Table 1. The
231 contents of TOC and nutrients were similar. Regarding TOC, the concentration was
232 263.8 g/kg for MMWC and 270.1 g/kg for manure compost, which represents a
233 difference of 2.3%. These values are within the ranges reported by Stehouwer et
234 al. (2022) for MMWC (197 – 354, g/kg) and for manure compost (149 – 471, g/kg).
235 Furthermore, typical TN content ranges from 11 g/kg to 30 g/kg for MMWC and
236 from 8 g/kg to 29 g/kg for manure compost (Stehouwer et al., 2022). The
237 composting processes are managed to ensure optimal conditions for organic
238 matter decomposition, including proper moisture levels, aeration, and temperature
239 control (Chen et al., 2023; Stehouwer et al., 2022). As a result, both composts
240 employed in this study are a potential source of organic carbon that may be
241 valorized through the recovery of HA.

242 Nevertheless, the concentrations of micronutrients and heavy metals should be
243 considered in the valorization of compost by humic acid extraction, as metals can
244 co-precipitate, thus reducing the quality of the final product (Lee et al., 2023, 2022).

245 As was expected due to its origin, MMWC has a much higher heavy metal and
246 micronutrient content than manure compost, especially copper, lead, nickel, total
247 chromium, and zinc. Heavy metals and micronutrient concentrations in both
248 composts are within the ranges reported by Stehouwer et al. (2022). Compost
249 quality depends on the raw material. Rodrigues et al. (2020) found that plastic in
250 organic waste caused a decrease in nitrogen and phosphorus content and

251 increased levels of heavy metals in the compost. In contrast, compost from
252 biowaste separated at the source presents a higher nutrient content and lower
253 levels of heavy metals. Thus, proper sorting and separation of materials are
254 necessary to ensure the production of high-quality compost.

255 **3.2. Humic acid recovery by conventional extraction**

256 *3.2.1. Extraction model and statistical analysis*

257 Table 2 shows the CCD experimental set and the responses. In all runs, HA
258 predominated in the organic carbon extracted from manure compost (MMWC: 10%
259 – 30% vs. Manure Compost: 20% – 80%). These data indicate that most organic
260 carbon from manure compost corresponds to humic acids. Generally, most runs
261 reached a HA ratio above 60%, except for runs 9 (t = 0 h) and 11 (KOH = 0 M).
262 The TOC content in humic acids commonly ranges between 30% and 70% of solid.
263 HA from MMWC presented 30% – 65% TOC, whereas HA from manure compost
264 showed 20% – 45% TOC. These differences may be due to the extraction
265 conditions (Aranganathan et al., 2019) and the source of the humic acid (De Melo
266 et al., 2016; Jing et al., 2023). Despite the differences in the values obtained, they
267 are within the expected carbon content.

268 A multiple regression analysis was conducted to fit the observed data using a
269 quadratic second-order polynomial model, obtaining four equations (Eqs. 4 – 7).

$$270 \quad Y1 = 28.47 + 0.70X1 + 6.80X2 + 6.28X3 - 2.13X1X2 + 0.90X1X3 - 2.59X2X3$$
$$271 \quad + 1.27X1^2 - 3.82X2^2 - 4.07X3^2 \quad \text{Eq. 4}$$

$$272 \quad P1 = 47.66 - 5.98X1 + 5.35X2 - 2.93X3 - 1.35X1X2 + 3.38X1X3 - 6.00X2X3$$
$$273 \quad + 5.68X1^2 - 5.67X2^2 - 1.05X3^2 \quad \text{Eq. 5}$$

274 $Y_2 = 70.10 + 3.0X_1 + 3.79X_2 - 4.41X_3 - 2.37X_1X_2 + 0.32X_1X_3 + 0.61X_2 * X_3$
275 $+ 0.20 * X_1^2 - 0.92 * X_2^2 - 0.14 * X_3^2$ Eq. 6

276 $P_2 = 28.07 + 2.27X_1 + 1.99X_2 - 7.22X_3 - 0.10X_1X_2 - 0.45X_1X_3 + 0.42X_2X_3$
277 $+ 0.58X_1^2 + 0.36X_2^2 + 1.54X_3^2$ Eq. 7

278 where Y1 and Y2 are the ratio of HA in the extract (% HA) for MMWC and manure
279 compost, respectively; P1 and P2 are the TOC content in HA (% TOC) for MMWC
280 and manure compost, respectively; X1 is the temperature, X2 the time, and X3 the
281 KOH concentration.

282 Table 3 shows the analysis of variance (ANOVA) of the models for MMWC and
283 manure compost, which are based on CCD to determine the fit of the optimization
284 model. Optimization was carried out considering both the HA ratio in the extract
285 and the TOC content of the precipitate. The statistical models were validated
286 through the lack-of-fit test and the P-value. Both statistical parameters confirm that
287 the model fits the observed data well, indicating its robustness and reliability, as
288 the P-value was below 0.05 and the F-values surpassed the threshold for lack-of-
289 fit. What is more, in the case of manure compost, the lack-of-fit value was
290 negligible, indicating the goodness of fit. The R² and the adjusted R² values were
291 higher than 0.96, showing the accuracy of the proposed model. In the case of
292 MMWC, the R² (0.93) and adjusted R² (0.86) values indicate that the model fits
293 reasonably well.

294 Table 3 shows the optimal operation conditions predicted for the model for both
295 composts. The optimum temperature (30 °C) and extraction time (24 h) were
296 similar, whereas the KOH concentration differs (0.53 M for MMWC and 0.25 M for

297 manure compost). Under these conditions, the suggested models can predict the
298 HA ratio and the TOC content. The extraction was then performed in triplicate
299 under optimal conditions to validate the model. As can be seen, the observed TOC
300 content and HA ratio were very close to the predicted data, with a deviation below
301 5%, showing that the proposed models were reliable within the range of operation
302 (Ezzati et al., 2020). The manure compost provides better results under optimal
303 conditions than MMWC for the HA ratio (76.6% vs. 33.7%), but a slightly lower
304 TOC content (38.1% vs. 42.4%), as expected from the experimental results.

305 *3.2.2. Interaction of the extraction parameters*

306 The effect of the operation variables (temperature, time and KOH) was analyzed by
307 a CCD based on RSM. The response surfaces are plotted in Figures 1 (HA-
308 MMWC) and 2 (HA-compost). The 3D graphs facilitate the understanding of the
309 influence of the operating variables on the responses. According to the linear and
310 quadratic model coefficients, the HA ratio was mainly affected by the KOH
311 concentration and operation time. However, all three operation variables influenced
312 the TOC content of the HA.

313 As shown in Figure 1. C, D, E, F, the KOH concentration significantly influences
314 the HA ratio and TOC content of the HA-MMWC, reaching optimum values (TOC
315 content: 42.4%; HA ratio: 33.7%) at an intermediate KOH concentration (0.53 M). A
316 similar behavior pattern was observed for the HA-compost (Figure 2. C, D, E, F). In
317 this case, both responses reached optimum values of TOC content (38.1%) and
318 HA ratio (76.6%) at a lower KOH concentration (0.25 M), probably due to the
319 differences in the composition of the raw material. Lin et al. (2018) indicated that
320 alkaline conditions promote humic material solubilization due to particle size

321 reduction, making organic particles more accessible for hydrolysis. According to
322 Ma et al. (2019), increasing the pH up to 10 promotes the breakdown of organic
323 matter in the form of proteins, HS, and lignin-like compounds. However, an
324 excessive increase in the alkaline solvent dose does not significantly improve
325 humic acid recovery (Li et al., 2014). De Souza and Bragança (2018) reported that
326 the optimal pH for HA extraction ranges from 10 to 11, which is closely related to
327 the KOH concentration. Genuino et al. (2017) optimized the KOH concentration for
328 HA extraction from municipal solid waste biochar, obtaining a concentration of 0.5
329 M.

330 The operation time is another critical variable for HA extraction, reaching maximum
331 values at 24 h for both models (Figures 1 and 2. A, B, E, F), due to the completion
332 of the organic matter solubilization. In addition, an extraction time of longer than 24
333 h did not lead to significant differences in the response variables and could
334 negatively affect the HA ratio and TOC content of humic acids. HA could be
335 decomposed and degraded over longer times (Rashid et al., 2023). The operation
336 time obtained in this study is consistent with the range commonly used for alkaline
337 extraction (12 – 24, h), using such raw materials as municipal solid waste biochar
338 (Genuino et al., 2017), biowaste and manure compost (Zhang et al., 2022).

339 As for the temperature, the proposed model for HA-MMWC and the relative plots
340 (Figure 1. A, B, C, D) did not show significant differences within the range studied
341 (30 – 60, °C). Therefore, the extraction can be performed at 30 °C, as predicted by
342 the statistical model. On the other hand, regarding the HA-compost model, the
343 response surfaces obtained when maintaining the KOH constant (Figure 2. A-B),
344 showed a different temperature for maximizing TOC content (60 °C) and HA ratio

345 (30 °C). However, since there were no significant differences between the results
346 obtained for both temperatures, the model predicts that the optimal temperature to
347 maximize both responses for the manure compost is 30 °C. These results agree
348 with other studies that reported HA extraction from different types of compost at 25
349 °C – 30 °C (Cristina et al., 2020; Genuino et al., 2017; Hanc et al., 2019; Wang et
350 al., 2023).

351 **3.3. Characteristics of humic acids**

352 *3.3.1. Elementary composition and C/N ratio*

353 Table 1 shows the elementary composition of the humic acids (HA-MMWC and
354 HA-compost) obtained under optimal conditions. The HA-MMWC notably reached
355 a slightly higher concentration of organic compounds than the HA-compost (424
356 g/kg vs. 381 g/kg). It should be noted that the carbon content was lower than the
357 values reported for humic acids, above 500 g/kg, produced from co-compost of fish
358 waste and sugarcane bagasse (Aranganathan et al., 2019), or the organic fraction
359 of municipal solid waste (Scaglia et al., 2013). However, they are within the typical
360 TOC content range, as explained in section 3.2. On the other hand, analyzing the
361 composition of total nitrogen in the HA, concentrations were similar (45.6 g/kg for
362 MMWC vs. 39.3 g/kg for the manure compost) and within the order reported by
363 other studies, ranging from 20 g/kg (Hanc et al., 2019) to 50 g/kg (Aranganathan et
364 al., 2019).

365 The C/N ratio of HA was also determined (Table 4) and the results indicated that
366 both samples presented comparable ratios (ranging from 9.3 to 9.7). These values
367 were lower than those reported in previous studies. For example, Hanc et al.

368 (2019) obtained a ratio between 13 – 18 for HA from horse manure. Aranganathan
369 et al. (2019) found 11.7 for HA from co-composting fish waste and sugarcane
370 bagasse. The low C/N ratios indicate that the nitrogenous substances were
371 incorporated into the HA structure (Hanc et al., 2019). A low C/N ratio is better for
372 agriculture and land applications (Sutradhar and Fatehi, 2023).

373 Another crucial aspect concerns the differences in micronutrients and heavy metal
374 composition between the compost and the HA. In general, the concentrations of
375 micronutrients and heavy metals were lower in the HA, which may be due to the
376 extraction and precipitation process. It is important to note that this trend does not
377 apply to copper (Cu) or mercury (Hg) due to the sorption capacity of HA (Lee et al.,
378 2022). If both HA are compared, the micronutrients and heavy metal
379 concentrations present significant differences, mainly for Cu, Cr, Ni and Pb (Table
380 1). Several studies have demonstrated that the presence of improper materials in
381 the composting of biowaste obtained from undifferentiated collection can increase
382 the heavy metal concentration in the final product (Rodrigues et al., 2020).

383 Moreover, HA have a high heavy metal sorption capacity, especially for the above
384 mentioned metals (Lee et al., 2023). According to Lee et al. (2022), municipal solid
385 waste presents a high heavy metal concentration on its surface. During the alkaline
386 extraction, the HA can interact with the heavy metals in the compost forming
387 complexes and chelates (Molaey et al., 2021). Regulation (EU) 2019/1009
388 establishes limit values for concentrations of Cd: 3 mg/kg; Hg: 1 mg/kg, Ni: 50
389 mg/kg, Pb: 120 mg/kg, As: 40 mg/kg, Cu: 600 mg/kg, and Zn: 1500 mg/kg. It
390 should be noted that the heavy metal contents in both HA are below the regulatory
391 limits.

392 3.3.2. *Precipitation ratio*

393 Regarding the precipitation ratio (Table 4), the value obtained for HA-compost was
394 almost 3 times higher than that for HA-MMWC (56 vs. 144, $\text{g}_{\text{HA}}/\text{kg}_{\text{initial solid}}$). Lu et al.
395 (2023) obtained 55 g/kg of HA from cow manure compost. Genuino et al. (2017)
396 reached up to 188 g/kg using municipal solid waste biochar as the raw material.
397 Doskočil et al. (2018) reported values from 30 g/kg to 114 g/kg for HA recovery
398 from lignite. Scaglia et al. (2013) reported a ratio of 87.5 g/kg using municipal solid
399 waste as the raw material. The precipitation ratio of HA is strongly related to the
400 extraction procedure, the affinity of raw materials for alkaline extractants
401 (Aranganathan et al., 2019), and the method selected for purifying the HA
402 (Doskočil et al., 2018). The differences in the precipitation ratio may be related to
403 the HA ratio obtained under optimal conditions (Table 3), which was higher for
404 manure compost.

405 3.3.3. *Spectroscopic characterization*

406 3.3.3.1. Degree of Aromaticity and Humidification Index

407 Table 4 presents the results of the characterization of HA. Similar results were
408 obtained when comparing the absorption ratios E2/E3 (2.1 – 2.2). This ratio
409 commonly assesses the degree of aromaticity of the HA, presenting similar values
410 (about 38%, Table 4). Results were comparable to those obtained by
411 Aranganathan et al. (2019), who reported a degree of aromaticity of 38% for HA
412 recovered from sugarcane bagasse compost. The ratio E4/E6 is related to the
413 degree of aromaticity and the molecular weight of HA. The E4/E6 ratio depends
414 mainly on the molecular weight of the sample and is correlated with the
415 concentration of free radicals and contents of O, C, CO_2H , among other factors

416 (Chen et al., 1977). Low E4/E6 ratios are related to high molecular structures and
417 more condensed aromatic groups (Boguta and Sokołowska, 2014). The ratio in the
418 HA samples was 9.4 – 10.3 (Table 4). Similar values were obtained by Hanc et al.
419 (2019) for HA from horse manure compost (E4/E6 ratio of 8.5). In both cases, the
420 E2/E3 ratio is low (~ 2), and the E4/E6 ratio is high (~ 10), which indicates that the
421 number of aliphatic groups is greater than that of the aromatic groups (Boguta and
422 Sokolowska, 2014; de Melo et al., 2016; Li et al., 2013). This agrees with the
423 degree of aromaticity (38%). He et al. (2008) concluded similar results for HA
424 extracted from river sediments, obtaining a degree of aromaticity of around 30% –
425 40% and a degree of aliphaticity of around 60% – 70%.

426 3.3.3.2. Molecular weight

427 The molar specific absorption (ϵ_{280}) indicates the presence of aromatic compounds.
428 Table 4 shows that the humic acids from manure compost have higher molar
429 absorption than those from MMWC (542 vs. 373, L (cm·molCO)⁻¹). Absorption is
430 directly related to the apparent molecular weight (Eq. 3). So, HA-compost has an
431 average molecular weight of 2650 Da, whereas HA-MMWC has an average
432 molecular weight of 1980 Da. If the MMWC and the manure compost contain
433 impurities and aliphatic groups, as pointed out in the previous section, the
434 molecular weight decreases. The values obtained (Table 4) are within the range of
435 HA molecular weight distribution reported by Mackie et al. (2022), which varied
436 from 1136 to 7180 Da for HA in rivers and municipal wastewater.

437 3.3.3.3. Kumada Index

438 The Kumada Index ($\Delta\log K$) was calculated to identify the HA type. Both samples
439 presented a $\Delta\log K > 0.8$, confirming that the precipitated HA belongs to "type Rp"

440 (Kumada, 1987). Rp-type HA is assumed to be generated during the rotting of
441 plant wastes and farmyard manures during the initial stage of humification in
442 composting (Suzuki & Kumada, 1972). This would also explain the low molecular
443 weight obtained and the low degree of aromaticity (38%) in both HA. Usually, Rp-
444 type HA has a relatively low molecular weight compared to other types of humic
445 acid, with a range typically between 1000 Da and 10000 Da (Kumada, 1987).

446 3.3.4. FTIR analysis

447 The Fourier transform infrared (FTIR) spectroscopy technique is commonly used to
448 study the functional groups in humic acids (Tahiri et al., 2016). Figure 3 shows the
449 FTIR spectra of HA recovered from MMWC and manure compost. The use of FTIR
450 spectroscopy to identify the functional groups and structural characteristics of HA
451 at various vibrations is widespread. From the 4000 cm^{-1} to 500 cm^{-1} range,
452 aromatic substitutions, aliphatic structure, hydrogen bond areas, and O-containing
453 groups may be confirmed by this analysis (Rashid et al., 2023, Wang et al., 2017).
454 The peaks between 2800 cm^{-1} and 3000 cm^{-1} represent the vibration of methoxy
455 groups relative to saturated aliphatic chains (C-H). The methyl group ($-\text{CH}_3$)
456 stretching vibration linked to the oxygen atom may cause this peak. The carboxyl
457 groups are commonly found in the FTIR spectrum as a broad band at $1700\text{-}1600$
458 cm^{-1} . This band is caused by the carbonyl group's stretching vibration ($\text{C}=\text{O}$) and
459 the carboxylate group's asymmetric stretching vibration ($-\text{COO}-$). The presence of
460 carboxyl groups in humic acid is also verified by a strong peak at 1393 cm^{-1} caused
461 by the carboxylate group's symmetric stretching vibration. Upon analysis of the
462 peaks at 1710 , 2850 , and 2920 cm^{-1} (Figure 3), it can be observed that HA-MMWC
463 contains a higher concentration of aliphatic and carboxylic functional groups than

464 HA-compost, as indicated by the more pronounced peaks. The low-intensity peaks
465 at the 1700–1500 cm^{-1} region represent vibrations in aromatic structures and
466 double bonds, with significant peaks relating to C=O linkages attached to the
467 aromatic ring (C_6H_4) ($\sim 1600 \text{ cm}^{-1}$), N-H bending of amides and other nitro groups
468 ($\sim 1550 \text{ cm}^{-1}$), and aromatic C=C bonds (1510 cm^{-1}). In addition, the peaks
469 between 1180 and 1250 cm^{-1} indicate the deformation of carboxylic groups
470 (C=O). The peaks at 1025 and 811 cm^{-1} indicate the C-O stretching due to the
471 presence of polysaccharides and amines (R-H_2) of a different order (Aranganathan
472 et al., 2019; Genuino et al., 2017; Rashid et al., 2023). Finally, the peaks below
473 700 cm^{-1} (e.g., 465 cm^{-1}) indicate that inorganic and mineral impurities are present
474 in the solid (Hanc et al., 2019). HA-MMWC has more pronounced peaks in this
475 region (Figure 3), so it contains more impurities than the HA-compost.

476 **3.4. Tentative identification of organic contaminants by UHPLC-QTOF** 477 **analysis**

478 UHPLC-MS-QTOF analysis of both composts and HA allowed the tentative
479 identification of 47 CECs (Table 5), which were determined based on the accurate
480 mass data, an area over 1×10^5 , and the retention time (RT) (when the standard
481 was available). These compounds were classified according to their primary use.
482 When comparing the composts, it becomes evident that MMWC exhibits a higher
483 concentration of CECs than manure compost, especially pesticides and drugs. This
484 difference may stem from various factors, including the origin of the organic matter
485 (municipal organic waste or cow manure, respectively) and the lack of testing for
486 pesticides in commercial composts (O'Connor et al., 2022). However, manure

487 compost presents a higher number of industrial chemicals, which are associated
488 with medicines for animals, food moisturizers and plasticizers. Finally, both
489 composts contain surfactants such as PEGs, which are usually additives in
490 cosmetic products, personal hygiene and cleaning products. As far as the authors
491 know, this is the first tentative identification and comparison of CECs in high and
492 low-quality compost and in the HAs recovered from them. In this case, it becomes
493 apparent that, except for surfactants, the presence of CECs in the HA diminishes
494 drastically due to the recovery process. This implies that the recovery of HA higher-
495 quality products than the original raw materials.

496 **4. Conclusions**

497 This study compared two types of compost, MMWC and cow manure compost, as
498 raw materials to recover humic acids. The optimization of the process conditions
499 resulted in 24 h and 30 °C for both composts and a KOH concentration of 0.25 M
500 for manure compost and 0.53 M for MMWC. Regarding the elementary
501 composition, HA-MMWC and HA-compost presented similar concentrations of
502 organic compounds and nutrients. HA-MMWC presented higher heavy metal
503 impurities due to the chelating properties of humic acids, but the composition is
504 within the legal requirements of the EU. Spectroscopic and FTIR analyses also
505 effectively identified the differences between the recovered humic acids. The
506 UHPLC-QTOF analysis identified 47 CECs in the composts and the HAs. MMWC
507 exhibited a higher number of CECs (especially drugs and pesticides), but the HA
508 recovery process can remove them, emphasizing the potential for producing high-
509 quality organic products. In conclusion, this study confirms that humic acids can be

510 successfully extracted from a low-quality compost, such as MMWC, with properties
511 comparable to those extracted from a high-quality compost like cow manure
512 compost. The findings demonstrate the potential of reusing low-quality composts to
513 produce high-quality products such as humic acids. Future research could explore
514 ways to increase process yield and to reduce the concentration of heavy metals
515 and other impurities in humic acids to improve their quality for broader applications.

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749 <https://doi.org/10.1016/j.biortech.2022.128060>
750

751 Table 1. Composition of MMWC, manure compost and the recovered humic acids.

Parameters ^(a)	Units	MMWC	Manure compost	HA- MMWC	HA- Compost
Organic compounds					
TC	g/kg	285.1	279.1	427.6	384.1
TOC	g/kg	263.8	270.1	424.3	380.9
Principal and secondary nutrients					
TN	g/kg	21.7	31.3	45.6	39.3
P	g/kg	4.4	4.5	0.3	1.1
K	g/kg	8.0	18.1	81.7	109
Ca	g/kg	56.0	46.5	1.5	5.7
Mg	g/kg	7.6	11.4	0.6	1.0
Na	g/kg	4.7	1.2	2.0	1.6
Micronutrients and heavy metals					
Fe	g/kg	9.9	3.5	2.0	0.5
As	mg/kg	2.5	1.5	0.8	0.9
Cu	mg/kg	101	15	418	43
Mn	mg/kg	169	155	13	16
Zn	mg/kg	279	78	47	19
Pb	mg/kg	54.4	2.5	8.0	0.9
Total Cr	mg/kg	106	13.4	9.2	1.3
Ni	mg/kg	46.1	5.8	7.0	2.8
Hg	mg/kg	0.16	0.05	0.5	0.2
Cd	mg/kg	0.8	0.05	0.1	0.03

752 Note: Data are shown as the mean value with less than 5% of relative error.

753

754

755 Table 2. Central Composite Design (CCD): HA ratio and TOC content obtained
 756 under different extraction conditions from MMWC and manure compost.

Run	X ₁ : Temperature (°C)	X ₂ : Time (h)	X ₃ : KOH (M)	Y1: HA ratio (% HA in extract)		Y2: TOC content (% TOC in HA)	
				MMWC	Manure Compost	MMWC	Manure Compost
1	30	4	0.25	9.5	67.9	48.3	30.6
2	60	4	0.25	10.5	75.3	32.3	40.2
3	30	24	0.25	23.8	77.1	63.5	37.7
4	60	24	0.25	22.0	77.8	52.3	44.4
5	30	4	1	19.1	54.4	47.7	19.9
6	60	4	1	29.4	67.2	45.2	22.5
7	30	24	1	32.2	69.2	49.1	23.8
8	60	24	1	28.3	70.0	41.2	28.9
9	45	0	0.5	0.0	0.0	0.0	0.0
10	45	36	0.5	27.4	75.0	37.5	36.5
11	45	12	0	2.2	21.5	22.0	30.0
12	45	12	1.25	29.1	61.4	46.4	19.8
13	45	12	0.5	24.7	70.1	45.8	29.2
14	45	12	0.5	26.0	70.5	45.5	29.7
15	45	12	0.5	27.2	71.9	47.2	30.8
16	45	12	0.5	25.3	70.8	49.8	29.3
17	45	12	0.5	25.0	69.2	44.1	28.5
18	45	12	0.5	22.4	69.5	50.3	29.5
19	45	12	0.5	26.4	71.1	48.9	31.9
20	45	12	0.5	22.8	72.1	45.4	32.3
21	45	12	0.5	22.9	72.0	46.5	31.4

757 Note: Data are shown as the mean value with less than 5% of relative error.

758 MMWC: Municipal Mixed Waste Compost: Low-Quality Compost

759 Manure compost: Cow manure Compost: High-Quality Compost

760

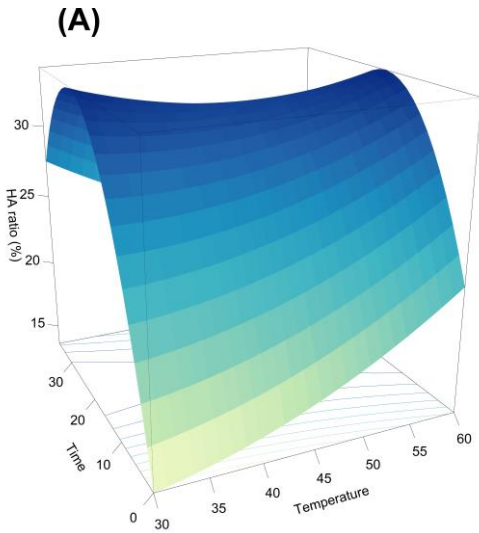
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762 Table 3. Analysis of variance, optimal operation conditions, predicted and observed
 763 results.

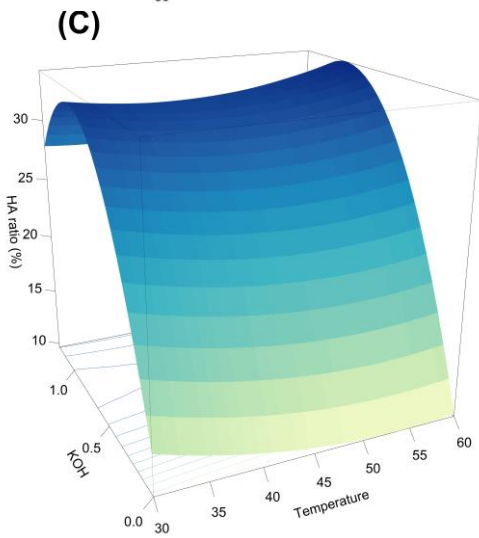
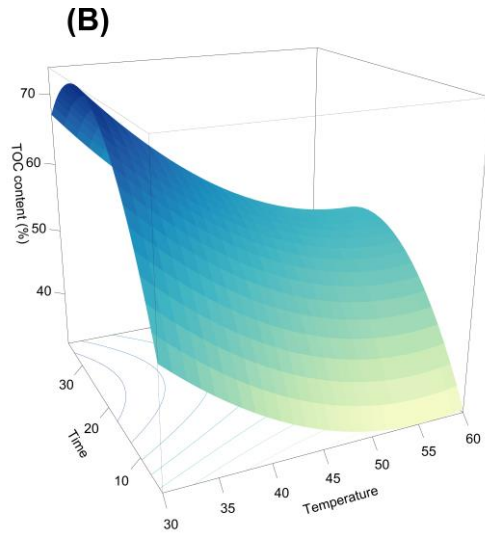
	MMWC	Manure compost
Analysis of Variance		
R ²	0.9261	0.9787
Adjusted R ²	0.8595	0.9674
Lack of Fit (F-Value)	12.76	0.93
F-Value	13.93	86.69
P-Value	< 0.001	< 0.001
Optimal Operation Conditions		
X1: Temperature (°C)	30	30
X2: time (h)	24	24
X3: KOH (M)	0.53	0.25
Predicted Results		
TOC content	45.5	42.0
HA ratio	32.6	77.2
Observed Results		
TOC content	42.4	38.1
HA ratio	33.7	76.6

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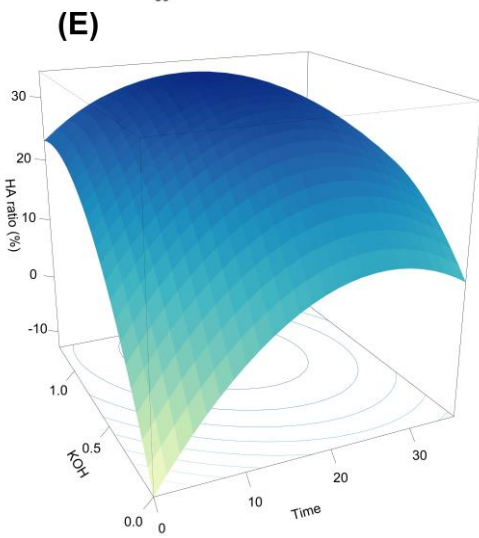
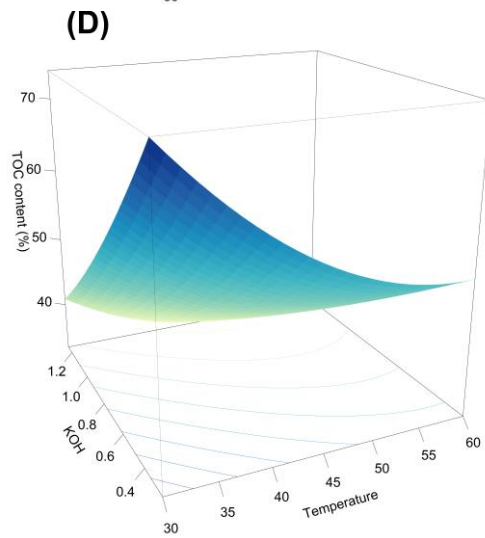
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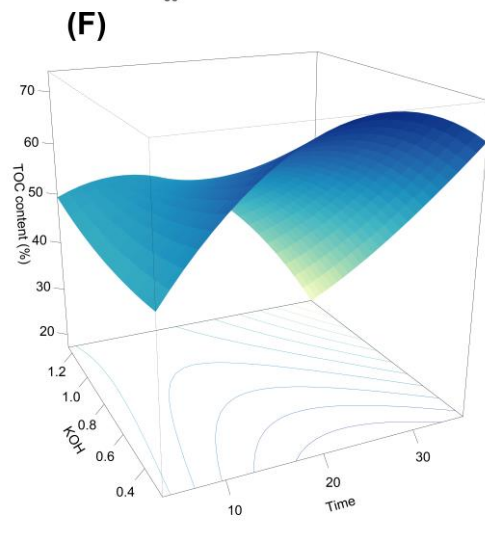
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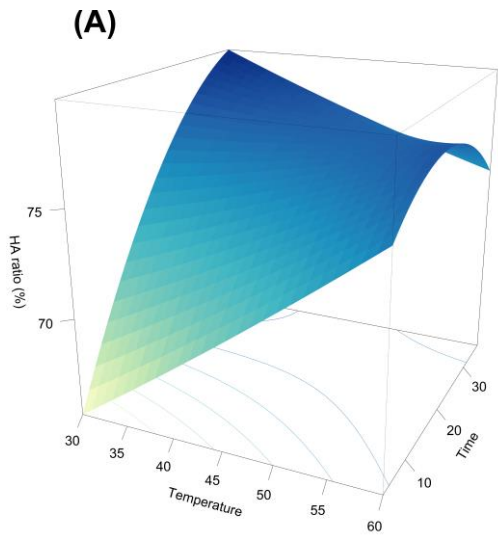
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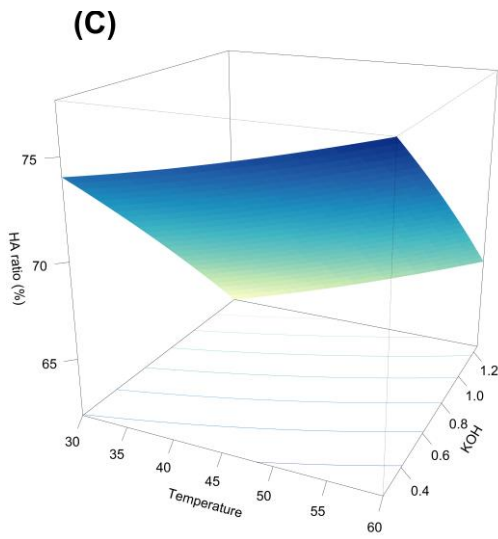
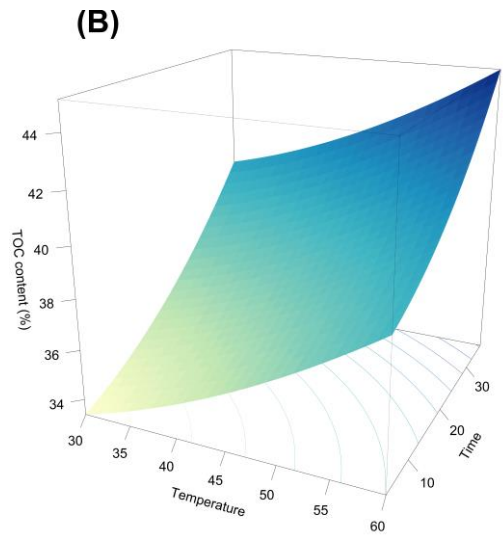
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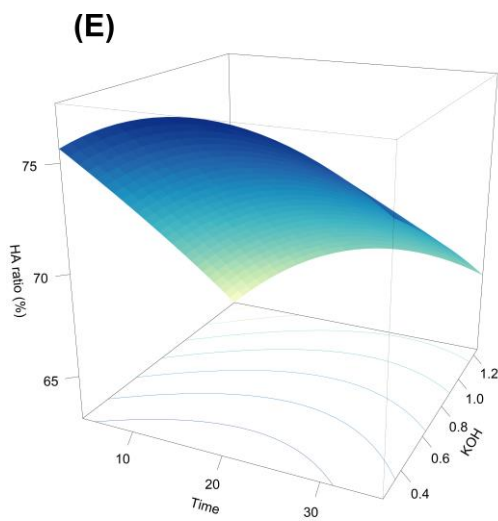
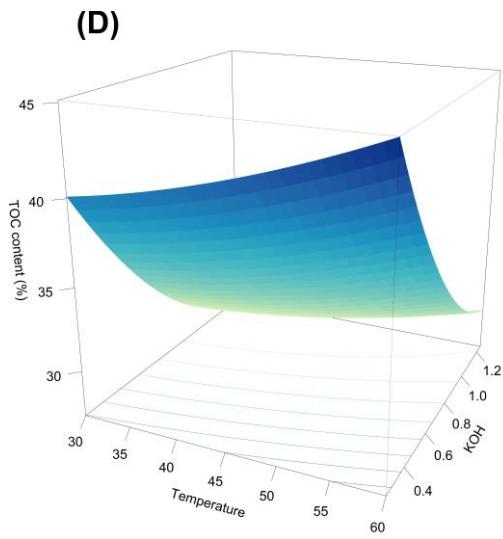
769 Figure 1. Response surface plots showing the effect of operating variables on the
770 HA ratio (%) and TOC content (%) for the MMWC. (A) and (B): the KOH was
771 constant at 0.53 M. (C) and (D): the time was constant at 24 h. (E) and (F): the
772 temperature was constant at 30 °C.



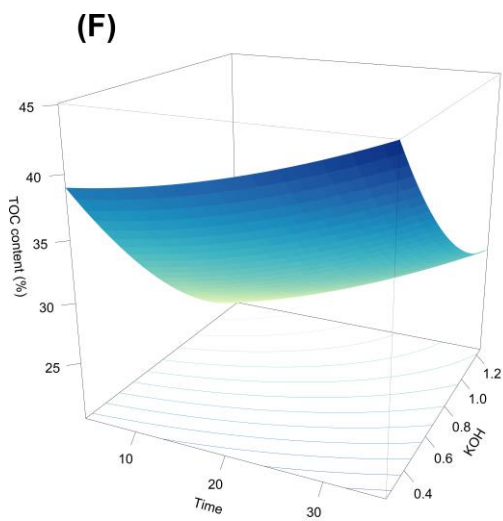
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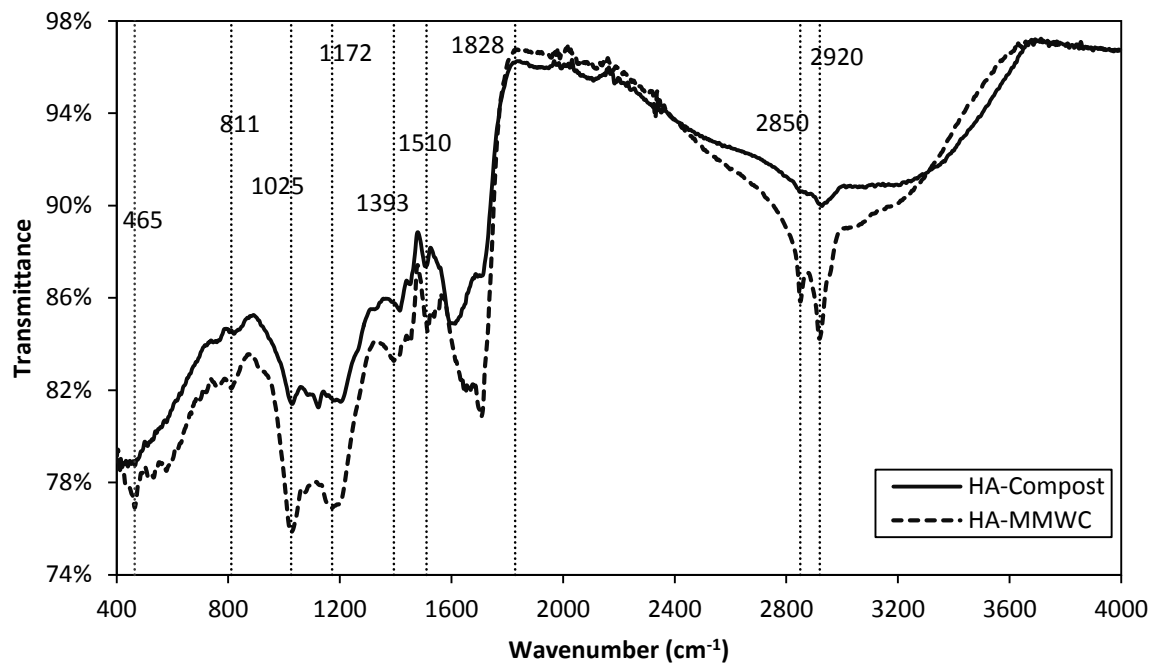
776 Figure 2. Response surface plots showing the effect of operating variables on the
777 HA ratio (%) and TOC content (%) for the manure compost. (A) and (B): the KOH
778 was constant at 0.25 M. (C) and (D): the time was constant at 24 h. (E) and (F): the
779 temperature was constant at 30 °C.
780

781 Table 4. Physical properties measured for the HA obtained from both composts.

Properties	Units	HA-MMWC	HA-Compost
Precipitation ratio	$\text{g}_{\text{HA}}/\text{kg}_{\text{initial solid}}$	56	144
C/N		9.3	9.7
Molecular weight	Da	1980	2650
Degree of Aromaticity	%	38.4	37.8
$\Delta\log K$		0.97	1.1
ϵ_{280}	$\text{L}(\text{cm}\cdot\text{molco})^{-1}$	373	542
E2/E3		2.1	2.2
E4/E6		9.4	10.3

782

783



784

785 Figure 3. FTIR spectra of HA obtained from MMWC and cow manure compost.

786

787 Table 5. Compounds identified in the composts and the precipitated humic acids.

Compound	RT (min)	MMWC	HA-MMWC	Manure Compost	HA-Compost
<i>Drugs and Pharmaceuticals</i>					
Adenosine	0.72	-	-	+	-
2-Piperidinone	1.16	-	-	+	-
8-Hydroxyquinoline	5.92	+	-	-	-
Acetaminophen	1.47	+	-	-	-
Acetophenone	0.69	-	-	+	-
Amphetamine	3.09	+	-	-	-
Benzoyllecgonine	7.47	+	-	-	-
Caffeine	6.53	+	-	-	-
Diethyl Tartrate	2.96	+	-	-	-
Ibuprofen lysine	3.95	-	-	+	-
Indoleacrylic acid	1.95	+	-	+	-
Mepylcaine	9.79	+	-	-	-
Metformin	8.92	+	-	-	-
Molsidomine	5.89	+	-	-	-
Morphine-d6	9.79	+	-	-	-
Naproxen	10.72	+	-	+	-
Nicotine	0.64	+	-	-	-
Pivagabine	7.89	+	-	-	-
Salmeterol	12.02	-	-	+	-
Stachydrine hydrochloride	0.57	+	-	-	-
Ursodeoxycholic acid	12.56	+	-	-	-
<i>Industrial Chemicals</i>					
3-Chloro-2,2',4-trifluorobiphenyl	0.41	-	-	+	-
4-(Trifluoromethyl)benzyl cyanide	11.75	-	-	+	-
4-Chlorotetrafluorophenol	0.41	-	-	+	-
Decylurea	6.58	+	-	-	-
Diocetyl phthalate (DNOP)	14.07	-	-	+	-
N-Isopropylsalicylamide	3.95	+	-	-	-
<i>Pesticides, Fungicides and Herbicides</i>					
Benalaxyl	11.71	+	-	-	-
Boscalid	10.92	-	-	+	-
Butylate	8.86	+	-	-	-
Carbendazim	3.48	+	-	-	-
Diphenylamine	5.62	+	-	+	-
Dodine	12.83	+	-	-	-
Fenpropimorph	13.48	+	-	-	-
Formetanate	8.59	+	-	-	-

Inabenfide	12.96	+	-	-	-
Metrafenone	11.92	-	-	+	-
Terbutryn	7.04	+	-	-	-
Surfactants					
Acetyl tributyl citrate	12.61	+	-	-	-
Dioctyl phthalate	14.07	+	-	-	-
Lauramide	12.16	+	-	+	-
PEG-10mer Ammonium adduct	8.47	+	+	+	+
PEG-11mer Ammonium adduct	8.65	+	+	+	+
PEG-12mer Ammonium adduct	8.82	+	+	+	+
PEG-7mer Ammonium adduct	7.62	+	+	+	+
PEG-8mer Ammonium adduct	7.99	+	+	+	+
PEG-9mer Ammonium adduct	8.25	+	+	+	+

788 Note: Positive "+" means that the chemical was detected in the solid.

789 Negative "-" means not detected in the solid.

Graphical Abstract

Municipal Mixed Waste Compost (Low-quality compost)

Manure Compost (High-quality compost)

ALKALINE EXTRACTION

Conventional Extraction (KOH)

HUMIC ACID RECOVERY

Acid Precipitation (HCl)

PRODUCT: HUMIC ACID (HA)

HA - Municipal Mixed Waste Compost

HA - Manure Compost

Response Surface Methodology

Central Composite Design

Study Variables

Responses

Optimal Conditions

Time

Temperature

KOH concentration

Extraction Yield

TOC content



Elementary Composition



Emergent Contaminants Composition



Precipitation Ratio



Spectroscopic Characterization



FTIR

