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# OpenFOAM vs FLOW-3D: a Comparative Study of Vertical Slot Fishway Modelling

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## Abstract

12 The objective of this study is to make a comparison between two 3D CFD platforms:  
13 OpenFOAM (free and open-source CFD software) and FLOW-3D (closed source commercial  
14 CFD software), focusing on vertical slot fishways, one of the most widespread solutions to  
15 facilitate the fish migration through transversal obstacles in rivers. Considering previous  
16 comparative studies, our initial hypothesis is that both OpenFOAMs' multiphase solver and  
17 FLOW-3D provide good comparable results. In this study, in contrast to previous comparative  
18 studies, turbulence was addressed using LES approach and the volume of fluid method was  
19 used to model the multiphase interface (air-water). Mesh independency was assessed  
20 through LES IQ index and the numerical models' accuracies were evaluated comparing  
21 representative hydraulic variables (velocity, its components, and turbulence kinetic energy)  
22 with ADV experimental data and discussing results in previous studies. Both platform codes  
23 reproduced the scenario under study, concurred with experimental data and offered a  
24 superior performance on flow structure velocity simulation than turbulent kinetic energy.  
25 Results validate the use of the free and open platform OpenFOAM as a viable alternative to  
26 commercial ones in the domain of fishway design and assessment. While OpenFOAM provides  
27 a reliable free alternative, FLOW-3D has a faster setup and makes the simulating experience  
28 apt for beginners.

29 **Keywords:** OpenFOAM, FLOW-3D, 3D hydrodynamic modelling, Fishways, LES

30 **1. Introduction**

31 The use of computational fluid dynamics (CFD) has become an essential tool for engineers and  
32 researchers working in the area of freshwater ecosystems (Bates et al., 2005) for a great  
33 variety of purposes, among others, to assess any intervention on them (e.g. Gisen et al., 2017;  
34 Machado Xavier et al., 2018), for risk assessment (e.g. Bohorquez and García-García, 2016;  
35 Zeng et al., 2020) or to study complex ecological interactions and physical processes (e.g. Gao  
36 et al., 2016; Juras et al., 2018).

37 When facing a CFD problem, one of the most important decisions for engineers and  
38 researchers is which software to use. On the one hand, it is possible to use commercial CFD  
39 software, black boxes that provide a user-friendly working environment, tested accuracy, and  
40 meshing and post-processing toolkits (such as FLOW-3D or ANSYS Fluent). On the other hand,  
41 there are free and open alternatives (such as OpenFOAM (Greenshields, 2015)), which are  
42 usually more complex to use due to the freedom that is given to the user and the need for  
43 third party codes or software for meshing, visualization, and post-processing.

44 This study aims to compare two three-dimensional (3D) CFD software: OpenFOAM vs FLOW-  
45 3D, focusing on vertical slot fishways (VSF). VSF are one of the most widespread mitigation  
46 measures to facilitate the passage of fish from one side to the other in transversal obstacles  
47 to the river (Fuentes-Pérez et al., 2017; Quaresma et al., 2018; Rajaratnam et al., 1986).  
48 Fishways, and in particular VSF, are a perfect test case to perform this comparison, due to: 1)  
49 the numerous hydraulic and biological research studies conducted on them (Puertas et al.,  
50 2004; Quaresma et al., 2018; Romão et al., 2017; among others), which makes possible the  
51 comparison of the results with external sources, and 2) their complex hydraulic performance,  
52 which allows exploring a non-uniform flow pattern in the pools.

53 Our initial hypothesis is that OpenFOAM's multiphase solver (interFoam) is able to match  
54 commercial codes for the CFD simulation in VSF. Previous studies comparing OpenFOAM and  
55 FLOW-3D in water-air multiphase flows have reported good matching results between them,  
56 all using Reynolds-Averaged Navier-Stokes (RANS) turbulence modelling methods (Bayon et  
57 al., 2016; Duguay et al., 2017). However, despite the popularity of RANS methods (Barton et  
58 al., 2009; Cea et al., 2007; Marriner et al., 2014; among others), they neglect the rapid  
59 turbulent structures in the flow as well as small temporal scales (Fuentes-Pérez et al., 2018b).

60 Thus, in this comparative study, Large Eddy Simulation (LES) turbulence modelling techniques  
61 are used. In contrast to RANS, LES includes large-scale turbulent velocity fluctuations and  
62 provides time-resolved flow fields including turbulent structures. Thus, at a higher  
63 computational cost (LES usually requires thinner meshes than RANS), LES methods have the  
64 potential of providing the “missing piece” of information to understand the relation between  
65 fish behaviour and hydraulic conditions within a fishway (Fuentes-Pérez et al., 2018b; Silva et  
66 al., 2012).

67 To achieve our aim and test our hypothesis, a VSF (design #11 defined by Rajaratnam et al.,  
68 1992) was modelled under uniform flow conditions (Duguay et al., 2017; Fuentes-Pérez et al.,  
69 2018b), using the two listed CFD software: OpenFOAM and FLOW-3D. After a mesh  
70 independency analysis using the LES Index of resolution Quality (IQ) (Celik et al., 2005), the  
71 two models were evaluated comparing their output with acoustic Doppler velocimeter (ADV)  
72 experimental data to obtain model performance. Results seem to validate the free and open  
73 software OpenFOAM as a viable alternative to closed commercial alternatives in the domain  
74 of fishway design and assessment. However, both alternatives have pros and cons that  
75 potential users should address before selecting one or the other.

## 76 **2. Methodology**

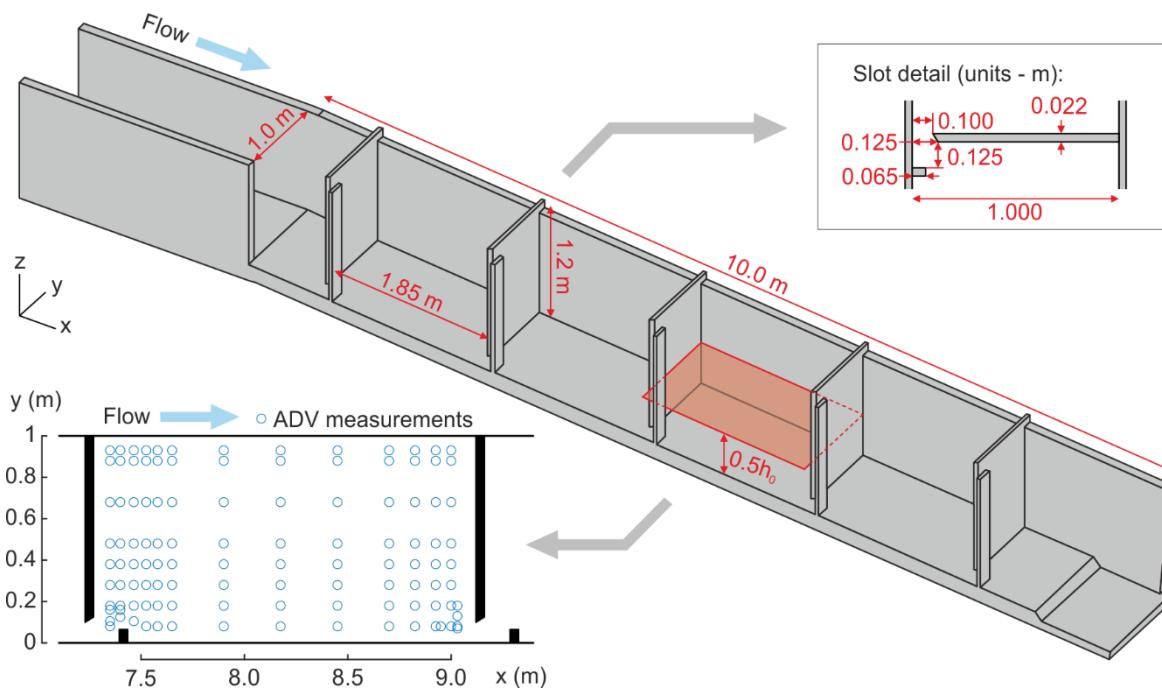
### 77 **2.1. Fishway facility, hydraulic scenario, and measurements**

78 Lab experiments were conducted in an indoor 1:1 scale VSF at the Hydraulics and Environment  
79 Department of the National Laboratory for Civil Engineering (LNEC), in Lisbon, Portugal. The  
80 VSF is a glass-walled open channel 10.0 m long, 1.0 m wide, and 1.2 m high. The VSF type  
81 corresponds to design #11 defined by Rajaratnam et al. (1992). It consists of six pools divided  
82 by five cross-walls with a bottom slope ( $S$ ) of 8.5%. The cross-walls are made of wood 0.022 m  
83 thick ( $e$ ) with 0.125 m wide slots ( $b$ ) measured between baffles. The fish passage performance  
84 of this design has been extensively investigated and validated in specialized references  
85 (Fuentes-Pérez et al., 2018a; Romão et al., 2018, 2017; among others). The facility also  
86 includes an upstream chamber (1.85 m long, 1.0 m wide, and 1.2 m high) and a downstream  
87 tank (4 m long, 3 m wide, and 4 m high) (Figure 1). The discharge ( $Q$ ) is controlled by a pump  
88 frequency converter and measured by an electromagnetic flow meter. The water level in the

89 downstream tank is regulated by a gate, which allows the boundary conditions to be modified  
90 to reach target scenarios.

91 A uniform scenario (that provides the same mean water depth in all pools and same water  
92 drop ( $\Delta H$ ) in each cross-wall,  $\Delta H = 0.16$  m) was selected to perform the test, with a discharge  
93 of  $0.081 \text{ m}^3/\text{s}$  and a mean water level ( $h_0$ ) in the pools of 0.80 m.

94 The flow field of the VSF was measured using a Vectrino 3D ADV (Nortek AS) in the second  
95 pool from the downstream end of the VSF. In total 112 points were measured. The ADV  
96 sampling interval was 180 s at a rate of 25 Hz. This sampling time was chosen to ensure  
97 convergence of time-averaged values of velocity ( $V$ ) and turbulent kinetic energy ( $k$ ) (Romão  
98 et al., 2017). A parallel profile to the bottom ( $0.5 \cdot h_0$ ) was selected to perform the  
99 measurements and subsequent analyses (Figure 1).



100  
101 *Figure 1. Schematic of the measured and simulated fishway, measurement grid for the ADV, and scenario and simulation*  
102 *details.*

## 103 **2.2. CFD methods**

104 In this study, two 3D models are implemented, one using the open-source numerical code  
105 OpenFOAM (Greenshields, 2015) (release 20.06, [www.openfoam.com](http://www.openfoam.com)) and another using the  
106 commercial software FLOW-3D ([www.flow3d.com](http://www.flow3d.com)). The software selection was driven by the  
107 experience of the research groups involved (UVa: OpenFOAM and CERIS: Flow-3D) and due to

108 the popularity of both software in the fishway modelling community (Duguay et al., 2017;  
109 Fuentes-Pérez et al., 2018b; Quaresma et al., 2018).

110 OpenFOAM is a C++ toolbox that uses a tensorial approach and Finite Volume Method (FVM)  
111 for the resolution of continuum mechanics problems, including CFD (Weller et al., 1998). The  
112 resolution of the transient flow of two fluids separated by a sharp interface can be achieved  
113 with the prebuilt Eulerian solver interFoam (Ubbink, 1997), which is an implementation of the  
114 classical Volume Of Fluid (VOF) method (Hirt and Nichols, 1981) and uses the PIMPLE  
115 algorithm for the pressure-velocity coupling, which combines PISO (Pressure Implicit with  
116 Splitting of Operator) and SIMPLE (Semi-Implicit Method for Pressure-Linked Equations)  
117 algorithms (Higuera et al., 2013). PIMPLE algorithm can be seen as a modification of SIMPLE  
118 algorithm that runs every time step (SimScale, 2021). A complete summary of flow equations,  
119 boundary conditions, and the simulation process applied to fishways can be found in Fuentes-  
120 Pérez et al. (2018).

121 FLOW-3D software also makes use of FVM to solve the governing equations of fluid motion.  
122 One of the major features of FLOW-3D is the Fractional Area/Volume Obstacle Representation  
123 (FAVOR) method (Hirt and Sicilian, 1985). This method is used to represent obstacles through  
124 fractional areas and volumes in a fixed orthogonal grid. Flow Science (2016) presents  
125 additional details regarding the theoretical and numerical fundamentals of FLOW-3D, which  
126 has been used in recent years in multiple fishway research studies (Duguay et al., 2017; Kim  
127 et al., 2012; among others). A detailed summary of the CFD FLOW-3D model here presented  
128 can be found in Quaresma et al. (2018).

129 To solve the turbulence in both implemented models, LES turbulence modelling techniques  
130 have been used (Fuentes-Pérez et al., 2018b; Quaresma et al., 2018). So far, RANS turbulence  
131 modelling techniques have been the most extended alternatives for the 3D modelling of  
132 fishways (Barton et al., 2009; Bombač et al., 2014; Cea et al., 2007; Duguay et al., 2017; Khan,  
133 2006; Marriner et al., 2016, 2014). However, in contrast to RANS, in LES the desired temporal  
134 resolution can be reached, that is to say, temporal velocity fluctuations can be explicitly  
135 resolved, which is crucial to understand and/or model time-dependent biological interactions  
136 on them. In addition, both LES and RANS have been shown to provide acceptable results when  
137 compared to laboratory average velocity measurements (Fuentes-Pérez et al., 2018b).

138 Additional information on discretization, boundary conditions, and initial conditions can be  
139 found in Quaresma et al. (2018) and Fuentes-Pérez et al., 2018b.

140 **2.3. Mesh and time sensitivity analysis**

141 To achieve a time-independent solution, velocity, flow rate, and water levels within the  
142 fishway were monitored during the simulation process, by plotting the difference between  
143 consecutive time steps and ending the simulation when an asymptotic behaviour was reached  
144 (100 time steps were used for the analysis). In all developed models, the same behaviour was  
145 observed: the differences between the monitored variables in each time step were reduced  
146 progressively until an oscillatory behaviour was reached.

147 To verify the numerical model quality and mesh resolution, the LES IQ proposed by Celik et al.  
148 (2005) was used. According to Pope (2001), a good LES should have a LES IQ greater than 0.8,  
149 which means that 80% of the  $k$  is resolved. Celik et al. (2005) consider that a LES IQ of 0.75 to  
150 0.85 may already be considered adequate for most engineering applications that typically  
151 occur at high Reynolds numbers. To perform this analysis and select an optimal resolution (*i.e.*  
152 the coarsest mesh able to solve the  $k$ ) different mesh resolutions were compared (0.04 m,  
153 0.02 m, and 0.01 m), finally selecting those with a LES IQ greater than 0.8. For both models, a  
154 0.02 m grid size mesh provided an adequate LES IQ (0.81 for FLOW-3D and 0.94 for  
155 OpenFOAM).

156 However, it should be noted that this index is a verification index that only assesses mesh  
157 resolution quality (Quaresma et al., 2018). To assess model accuracy, a comparison with  
158 experimental data is still necessary.

159 Spatial discretization was achieved by dividing the study volume into orthogonal grids of target  
160 resolution (cubes) and applying refinements in each of the cross-walls (Fuentes-Pérez et al.,  
161 2018b; Quaresma et al., 2018).

162 **2.4. Data treatment and validation**

163 ADV data were post-processed for despiking and noise reduction (Quaresma et al., 2018,  
164 2017). Spikes were removed using phase-space threshold despiking method (Goring and  
165 Nikora, 2002) and replaced by linear interpolation. Doppler noise reduction was then applied  
166 through the method of Hurther and Lemmin (2001).

167 Time-averaged velocity ( $V$  [m/s]) and turbulence kinetic energy per unit mass ( $k$  [ $\text{m}^2\text{s}^{-2} = \text{J/kg}$ ])  
 168 were used for data comparison as both variables have been pointed as fundamental in the  
 169 analysis of fishway performance (Fuentes-Pérez et al., 2018a; Quaresma et al., 2018; Silva et  
 170 al., 2011). Eq. 1 and Eq. 2 show the formulation adopted for the calculus of these variables.

171

$$\bar{V} = \frac{\sum_{j=1}^n \sqrt{u_j^2 + v_j^2 + w_j^2}}{n} \quad (1)$$

172

$$k = \frac{1}{2} \left( \bar{u'^2} + \bar{v'^2} + \bar{w'^2} \right) = \frac{1}{2} \left( \frac{1}{n} \sum_{j=1}^n (u_j - \bar{u})^2 + \frac{1}{n} \sum_{j=1}^n (v_j - \bar{v})^2 + \frac{1}{n} \sum_{j=1}^n (w_j - \bar{w})^2 \right) \quad (1)$$

173 where,  $u_j$ ,  $v_j$  and  $w_j$  are the velocity components in a cell or a measured point during the time  
 174 step  $j$  in m/s,  $n$  is the total number of time steps, and in Eq. 2  $\bar{u'^2}$ ,  $\bar{v'^2}$  and  $\bar{w'^2}$  are the variances  
 175 of velocity components fluctuations and  $\bar{u}$ ,  $\bar{v}$  and  $\bar{w}$  are the mean value of velocity  
 176 components.

177 CFD data from FLOW-3D was visualised and exported into comparable text format with FLOW-  
 178 3D user interface, while CFD data from OpenFOAM was visualised and exported to comparable  
 179 text format with Paraview software (version 5.8.0). Final analysis, visualization and  
 180 comparisons were performed in Matlab R2019a.

181 The comparative study of the models and the experimental data was carried out by plotting  
 182 model results against experimental data and calculating the distance of the scattered points  
 183 to a 1:1 line, using squared Pearson correlation (coefficient of determination,  $R^2$ ) as an index.  
 184 Likewise, contour analysis was performed to investigate differences between profiles. The  
 185 triangulated natural neighbour interpolation method was used to plot the contours. To  
 186 compare the performance between models, mean absolute errors (MAE) were computed for  
 187 each data-point measured with the ADV with regards to 3D models; MAE distribution  
 188 differences between models and ADV were tested using Mann-Whitney  $u$ -test for two  
 189 samples. In addition, to detect differences between models MAE and squared Pearson  
 190 correlations (MAE<sub>Models</sub> and R<sup>2</sup><sub>Models</sub>) were also computed between models.

191 **3. Results**

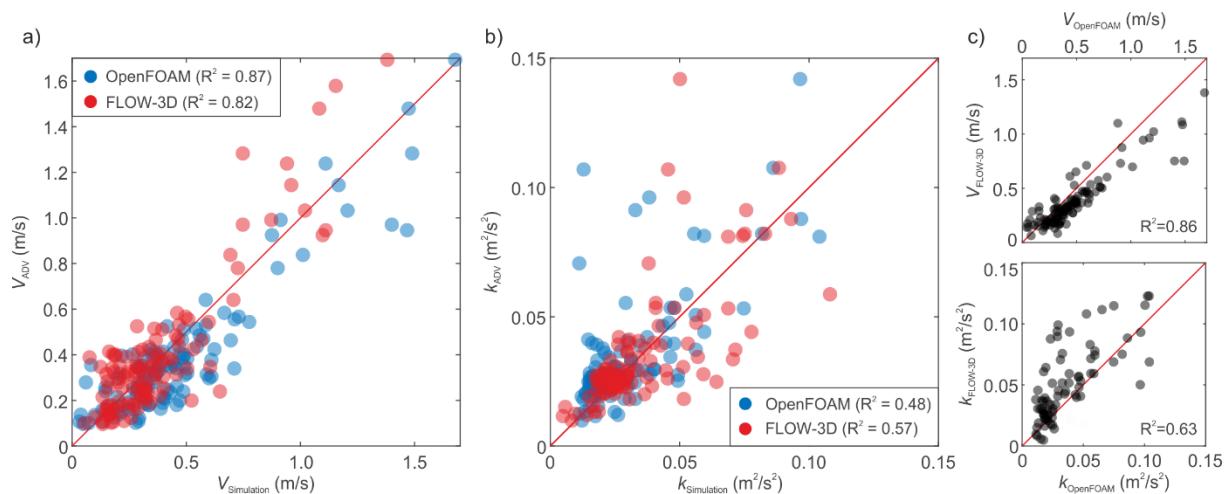
192 Regarding discharge ( $Q_{openFOAM} = 0.081 \text{ m}^3/\text{s}$ ;  $Q_{FLOW3D} = 0.080 \text{ m}^3/\text{s}$ ) and mean water depth ( $h_0$ ,  
 193  $openFOAM = 0.80 \text{ m}$ ;  $h_0, FLOW-3D = 0.81 \text{ m}$ ), both models showed the capacity of achieving the target

194 scenario with marginal differences. Table 1 shows the final computation details for both  
 195 simulations. The main difference are software related, FLOW-3D only considers active cells for  
 196 simulation (cells that contain water) which reduces the number of needed computations for  
 197 its time step. Likewise, OpenFOAM allows to establish a dynamic time discretization in a single  
 198 simulation, that is to say to use thicker time step until certain degree of equilibrium has been  
 199 reached and after select a thinner one to report final results, reducing the final computational  
 200 time.

201 *Table 1. Computation details for both simulations.*

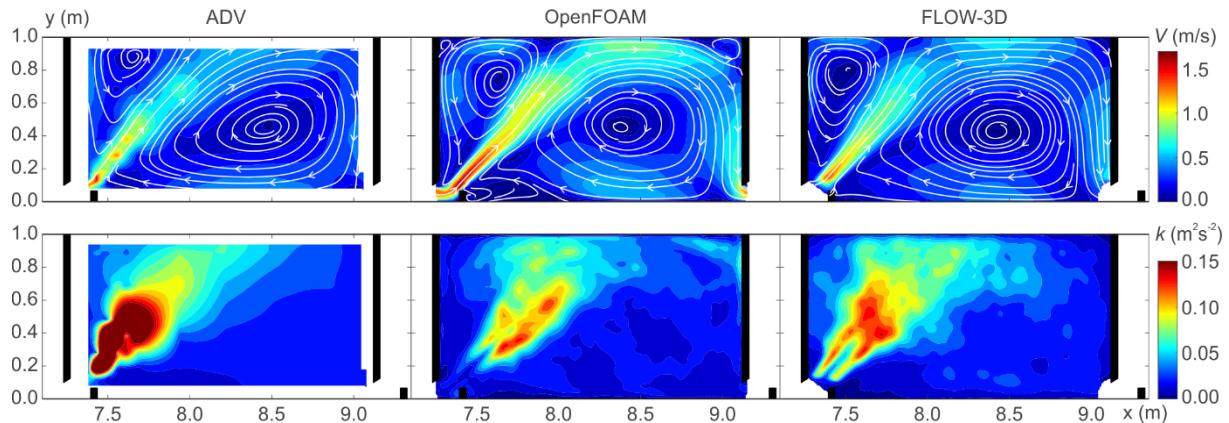
Software	Final cells	Processor	Time
OpenFOAM	1786930	i7-4710 MQ CPU @ 2.50 GHz x 8 cores	7 h per 10 seconds of simulation time
FLOW-3D	1333545	i7-3770 CPU @ 3.40 GHz x 8 cores	15 h per 10 seconds simulation time

202  
 203 Figure 2a illustrates the modelled mean velocities against ADV measurements in each of the  
 204 measuring locations (Figure 1). For both models, the agreement with measurements was high,  
 205  $R^2$  of 0.87 and 0.82 for OpenFOAM and FLOW-3D respectively. Regarding  $k$  (Figure 2b), the  
 206 modelled-measured distribution had lower accuracy,  $R^2$  of 0.48 and 0.57 for OpenFOAM and  
 207 FLOW-3D respectively. For both variables ( $V$  and  $k$ ), the agreement was higher between  
 208 modelled results (Figure 2c).



209  
 210 *Figure 2. Scatter plot of ADV measurements against model results. a) V scatterplot. b) k scatterplot. c) CFD model comparison.*  
 211 Figure 3 illustrates the spatial pattern of the flow and hydrodynamic variable distribution of  
 212 the  $V$  and  $k$  measured with ADV and modelled with OpenFOAM and FLOW-3D. All showed  
 213 similar flow patterns, with two main recirculation areas separated by a jet. The spatial

214 distribution of variables was similar for all of them, while the magnitudes differed in  
 215 accordance with Figure 2.



216  
 217 *Figure 3. Contour plot of  $V$  and  $k$  for ADV measurements and the two CFD models under study.*

218 Table 2 shows the squared Pearson correlation coefficients ( $R^2$ ) and mean absolute errors  
 219 (MAE) for considered variables, between models and ADV measurements ( $R^2_{\text{ADV}}$  and  $\text{MAE}_{\text{ADV}}$ )  
 220 and between models ( $\text{MAE}_{\text{Models}}$ ). Mann-Whitney  $u$ -test shows that there is not enough  
 221 evidence to reject the null hypothesis, i.e. there are non-significant differences in  $\text{MAE}_{\text{ADV}}$  for  
 222 all variables ( $p$ -values  $> 0.05$ ).

223 *Table 2. Experimental scenario against modelled scenarios,  $R^2$ , MAE and Mann-Whitney  $u$ -test results.*

Variable	Model	$R^2_{\text{ADV}}$	$\text{MAE}_{\text{ADV}}$	$p$ -value	$R^2_{\text{Models}}$	$\text{MAE}_{\text{Models}}$
$V$ (m/s)	OpenFOAM	0.87	0.12	0.218	0.86	0.13
	FLOW-3D	0.82	0.11			
$k$ (m <sup>2</sup> /s <sup>2</sup> )	OpenFOAM	0.48	0.03	0.196	0.63	0.01
	FLOW-3D	0.57	0.03			
$u$ (m/s)	OpenFOAM	0.87	0.12	0.307	0.94	0.10
	FLOW-3D	0.85	0.10			
$v$ (m/s)	OpenFOAM	0.89	0.10	0.758	0.87	0.11
	FLOW-3D	0.78	0.12			
$w$ (m/s)	OpenFOAM	0.32	0.05	0.232	0.36	0.05
	FLOW-3D	0.65	0.04			

224

#### 225 4. Discussion

226 The major drivers when choosing CFD software are cost and usage. In this sense, it is possible  
 227 to find:

228 1) Licensed or paid alternatives, such as FLOW-3D, optimised to solve free-surface  
 229 flow problems, with customer support and an intuitive Graphical User Interface

230 (GUI) that includes meshing, setup, simulation monitoring, visualization, and post-  
231 processing in a single software.

232 2) Free alternatives (no license cost), such as OpenFOAM, a C++ toolbox, without a  
233 GUI but with coded tools for meshing, setup, parallel running, monitoring, post-  
234 processing, and visualization although no customer support (but big community  
235 support and on-line resources).

236 For a new user, it will take minutes to set up a simulation with FLOW-3D but days or months  
237 (depending on the initial knowledge of coding and CFD) in OpenFOAM. However, OpenFOAM  
238 will provide the user freedom for experimenting with and dive through the code and problem  
239 formulation.

240 Both software alternatives have been used in the study of fishway hydraulics. FLOW-3D (e.g.  
241 Duguay et al., 2017; Kim et al., 2012; Quaresma et al., 2018) together with ANSYS FLUENT (e.g.  
242 Andersson et al., 2012; Marriner et al., 2016) have been the most common commercial  
243 alternatives for 3D modelling while the usage of OpenFOAM has been still marginal (Duguay  
244 et al., 2017; Fuentes-Pérez et al., 2018b), although it is the most common open alternative.

245 In published comparisons, both codes have demonstrated adequate performance under RANS  
246 turbulence modelling techniques (Bayon et al., 2016; Duguay et al., 2017). According to  
247 Fuentes-Pérez et al. (2018b) RANS turbulence modelling techniques provide similar results to  
248 LES technics when comparing averaged values of hydrodynamics variables at a lower  
249 computational cost. However, LES is superior when fish are involved in the analysis, as LES has  
250 a higher potential for correctly displaying instantaneous changes in turbulence, necessary to  
251 reach a better understanding between fish behaviour and hydraulic conditions inside a  
252 fishway. Considering the results of this study, we confirm similar performances for OpenFOAM  
253 and FLOW-3D using LES turbulence modelling techniques in VSF.

254 In both models, the estimation of  $V$  is more accurate than the estimation of  $k$  (Figure 2) when  
255 comparing to ADV data. This is in accordance with other performed studies, where models  
256 usually under predict TKE values (Duguay et al., 2017; Fuentes-Pérez et al., 2018b). The  
257 observed  $R^2$  for  $V$  data validates both simulations. Despite OpenFOAM model predicts overall  
258 higher velocities in the jet region when comparing to ADV measurements, the observed  
259 pattern seems more accurate than the one predicted by FLOW-3D. In contrast, FLOW-3D

260 models' predicted magnitudes are smaller than OpenFOAMs' ones and nearer to ADV  
261 measurements. This small difference can be related to the lower discharge and higher pool  
262 depth provided by FLOW-3D model when comparing with OpenFOAM model, with the latter  
263 closer to the target scenario conditions. The velocity vector components analysis for both  
264 models reveals that  $u$  (x direction) and  $v$  (y direction) are more correlated with ADV  
265 measurements than  $w$  component (z direction). This can be related to the lower magnitude of  
266  $w$  in vertical slot fishways, where even some references have suggested the possibility of using  
267 2D modelling techniques for their modelling (Cea et al., 2007). However, under natural  
268 scenarios (variable boundary conditions) or when trying to relate to fish preference patterns,  
269 it seems essential to consider their full 3D performance (Fuentes-Pérez et al., 2019b, 2018b).

270 Regarding model result comparison (OpenFOAM vs FLOW-3D) it can be seen that, although  
271 they are highly correlated between them (in some cases showing even higher correlation than  
272 with ADV ( $k$  or  $u$ )), as expected (due to their different internal coding) the magnitude of error  
273 ( $MAE_{Models}$ ) is similar to those observed when comparing ADV data with the models.

274 When it comes to the observed flow patterns (Figure 3) both models are in agreement with  
275 the ADV measurements, as well as, specialized references (Rajaratnam et al., 1986; Wu et al.,  
276 1999). In addition, an interesting finding, which needs to be further investigated, are the  
277 secondary small recirculation areas predicted by OpenFOAM model in the corners of the  
278 pools. These areas showed a high probability of fish presence in previous spatial preference  
279 analysis of Iberian barbel (Fuentes-Pérez et al., 2018a), but they have not been previously  
280 measured or predicted. Under non-uniform scenarios, which correspond to those field  
281 scenarios that trigger different water depths and water drops between fishway pools (due to  
282 a modification of design boundary conditions (Fuentes-Pérez et al., 2019a)), these small areas  
283 may evolve and became essential to explain the spatial selection of fish inside the fishway  
284 pools (Fuentes-Pérez et al., 2018a). Non-uniform scenarios are the most frequent scenarios  
285 on fishways under field conditions due to the natural river hydrological variability and they  
286 have the potential of increasing (non-uniform drawdown profiles – M2) or decreasing (non-  
287 uniform backwater profiles – M1) the magnitude of the variables inside the fishway (Fuentes-  
288 Pérez et al., 2016, 2019a) and therefore, to modify the fish passage rate or time of the fishway.

289 Turbulence has a direct influence on fish behaviour and consequently in the usage of fishways.  
290 It influences swimming behaviour (Lacey et al., 2012), stability (Silva et al., 2012), or path and

291 spatial selection (Fuentes-Pérez et al., 2018a; Goettel et al., 2015). Thus, its consideration is  
292 important for fishway assessments. In this comparative study,  $k$  has been chosen for  
293 assessment. According to the results, the spatial distribution of measured  $k$  correlates well  
294 and similarly with the simulations in OpenFOAM and FLOW-3D, a high  $k$  region in the jet region  
295 that is attenuated fast when it approaches the sidewall (Figure 3). However, magnitudes  
296 measured with ADV were much higher. Despite similar observations have been reported in  
297 studies such as Duguay et al. (2017) in pool-weir type fishways, studies such as Fuentes-Pérez  
298 et al. (2018b) reported a good agreement between ADV measurements and RANS and LES  
299 turbulence modelling techniques using OpenFOAM in VSF (design #3, Rajaratnam et al.  
300 (1986)).  $k$  is a sensitive parameter for measuring in the field, which may be influenced by  
301 vibrations produced in the ADV by the water flow (ADV-flow interaction) or water recirculation  
302 pump, as well as the resolution of the measuring grid.

303 In general, the results provided by both modelling techniques are in agreement, which seems  
304 to validate both software, OpenFOAM and FLOW-3D. However, both show deviations when  
305 comparing to ADV data, which must be further investigated. For now, it seems necessary to  
306 encompass CFD with real measurements to validate its results.

## 307 **5. Summary and Conclusions**

308 This study aims to compare simulations of VSF using LES turbulence modelling techniques by  
309 means of OpenFOAM and FLOW-3D software platforms. The results confirm our initial  
310 hypothesis “OpenFOAMs’ multiphase solver (interFoam) is able to match commercial codes”.  
311 Considering the couple of comparative studies already published using RANS techniques and  
312 the present study, it is possible to conclude that both, OpenFOAM and FLOW-3D are viable  
313 alternatives for 3D modelling of fishways.

314 While the user-friendly GUI of FLOW-3D makes the simulating experience easier and apt to  
315 beginners in the field of CFD, OpenFOAM offers the possibility of simulating with already  
316 existing coded solvers (such as interFoam) and turbulence modelling techniques (e.g. LES:  
317 Smagorinsky, or RANS:  $k$ - $\varepsilon$ , among many others), but also to program new solver and  
318 turbulence modelling techniques.

319 The computational time between both software differs, however it is directly related to the  
320 strategies used to reach the equilibrium. On the one hand, in both software, the user can pre-

321 define as the initial condition results from a thicker mesh which would directly influence the  
322 timing for reaching the equilibrium. On the other hand, time discretization can be dynamic,  
323 using thick time steps at the beginning and using thin time steps to reach final results.  
324 Therefore, reported results should be considered indicative as different strategies could  
325 provide different computing times for each software without influencing the final results,  
326 moreover considering the improvements of CPUs in recent years.

327 One of the main drawbacks of OpenFOAM when comparing with commercial alternatives is  
328 the meshing utilities. Meshing is one of the most important steps when modelling, while in  
329 FLOW-3D it is a semi-automatic process (the user just needs to have the 3D model of the  
330 structure (*stl* file) and select the grid size of the model), OpenFOAM requires the use of third  
331 party software or pre-existing tools (*e.g. blockMesh* and *snappyHexMesh*) which require a  
332 steep-learning process. Fortunately, today there are innumerable online resources  
333 (OpenFOAM, 2020), published examples (Bayon et al., 2016; Duguay et al., 2017; Fuentes-  
334 Pérez et al., 2018b), and a big user community that will initiate and guide gradually beginners  
335 through this process.

336 This preliminary study has raised three main future research paths: 1) the comparison of  
337 different LES turbulence modelling techniques including the analysis of the temporal domain  
338 of the simulated flow structure (to find the best modelling technique for fishway simulation)  
339 2) the comparison of modelling results increasing the ADV mesh resolution or by means of  
340 alternative measuring techniques such as Particle Image Velocimetry (to reduce possible  
341 interactions of the measuring instrument with the flow) and 3) the re-analysis of fish spatial  
342 preferences in fishways under non-uniform conditions (Fuentes-Pérez et al., 2018a)  
343 considering the advantages of the spatial resolution offered by 3D simulations in comparison  
344 with ADV measurements. Additionally, it would be of interest to increase this software  
345 comparison including other software alternatives, such as ANSYS-Fluent, which is also a  
346 common alternative in the fishway 3D modelling community, as well as, to test studied  
347 software in more challenging or complex scenarios such as natural-like or Denil fishways.

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