

1 **Vertical profiles of black carbon and nanoparticles pollutants measured by a tethered
2 balloon in an urban settlement in the Arctic**

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23

24

Abstract

25

26 Longyearbyen (Spitsbergen) is a moderately polluted Arctic settlement with the major
27 commercial harbour in the Svalbard islands. Airborne meteorological and aerosol
28 measurements have been performed in Longyearbyen in summer 2018, coupling an
29 instrumental aerosol payload with a meteorological radiosonde deployed on a tethered balloon.
30 More than 70 vertical profiles of aerosol and meteorological properties have been recorded up
31 to a maximum altitude of 1.2 km. As a main result, the present work provides a homogeneous
32 gridded dataset of vertical profiles of equivalent black carbon (*eBC*) and nanoparticle (*NP*)
33 concentrations and associated meteorological data, to be employed for future modelling studies
34 of Arctic pollution. Mean values (\pm SD) of *eBC* and *NP* below 500 m were $110 \pm 10 \text{ ng m}^{-3}$ and
35 $1400 \pm 400 \text{ particles cm}^{-3}$, respectively. Mean values above 500 m were $150 \pm 30 \text{ ng m}^{-3}$ and
36 $1000 \pm 350 \text{ particles cm}^{-3}$, respectively. The dataset has been complemented by continuous
37 ground measurements of *eBC*, which recorded an average value of $210 \pm 130 \text{ ng m}^{-3}$ for the entire
38 campaign, with a background value estimated below 100 ng m^{-3} and maximum values in the
39 $1000\text{-}2000 \text{ ng m}^{-3}$ range. Together with aerosol optical depth measurements, these data allowed
40 for a preliminary discussion of two case studies related to high pollutants concentration events.

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44 **1. Introduction**

45 Atmospheric pollution in the Arctic region is a topic of recent and great interest [1] as the Arctic
46 is subject to an amplification of the global warming, with observed temperature increasing
47 almost twice with respect to the global average [2], [3]. Typically, many contaminants are
48 transported towards high latitudes, travelling over long distances in the atmosphere [4]. On the
49 other side, recent anthropization of many Arctic regions poses the question of local,
50 anthropogenic sources of pollutants [5], [6]. These emission sources that include biomass
51 combustion, oil and gas flaring, marine and terrestrial transportation [7] may generate
52 secondary pollutants such as ozone and nitrogen oxides, and hydrocarbons, including volatile
53 organic compounds, carbon monoxide and methane, or aerosols such as black carbon or
54 sulphate aerosols, typically in the nanoparticles size range. Locally emitted pollutants may be
55 dispersed in the atmosphere with different efficiency; therefore, the knowledge of their vertical
56 distribution is of great relevance to assess the local impacts on population and the more general
57 impacts on the Arctic region. In fact, as a general rule, the same type of aerosol can produce
58 different climatic effects and local feedbacks depending on its vertical location [2], [3], [8], [9].
59 The vertical distribution of aerosol pollutants in the Arctic atmosphere is due to temperature
60 stratification and transport of layered pollution, indicating the influence of different sources at
61 different altitudes [10]. Long-range transported aerosols tend to show higher concentrations in
62 elevated air masses, while emerging anthropogenic sources within the Arctic have been causing
63 intense summer plumes confined in the boundary layers and in proximity of the emission
64 hotspot [11].

65 The present work reports the results of an intensive summertime experimental campaign
66 realized in Longyearbyen, the major urban settlement in Svalbard Islands. Specifically, daily
67 tethered balloon soundings have been performed in July and August 2018 to investigate vertical

68 aerosol dispersion over Longyearbyen and provide to the scientific community a homogenized
69 dataset of vertical aerosol profiles for further investigations in the coupled modelling-
70 measurements studies. The atmospheric pollutants target of this work are equivalent black
71 carbon (*eBC*) and nanoparticle (*NP*) concentration due to their importance and emission from
72 Longyearbyen as detailed here below.

73 Experimental studies of *eBC* and *NP* vertical distribution in the Arctic atmosphere are scarce
74 and with inhomogeneous spatial and temporal coverages, if compared with the number of
75 available data collected at ground level [12]. Remote sensing observations, both satellite and
76 ground based, indicate that the highest aerosol concentration in all sectors of the High Arctic
77 and all seasons is observed in the lowest kilometre of the atmosphere [13], [14]. The efficiency
78 of long-range transport of anthropogenic pollutants to Svalbard depends on the position of the
79 Arctic front. In winter and spring, the front shifts southwards and allows for more frequent
80 transport of air masses from mid-latitudes to the Arctic [10], while in summer the front shifts
81 northwards, and the relative importance of local anthropogenic emission sources and their
82 contribution to the total pollution load may increase. Thus, the seasonal peak of aerosol
83 concentration changes as a function of altitude, indicating the influence of different sources and
84 transport at different altitudes [13][15]. If remote sensing observations are fundamental tools to
85 monitor the vertical variability of atmospheric aerosol on large spatial and long temporal scale,
86 in-situ airborne aerosol observations can provide insights on aerosol physics and chemistry.
87 Validation of remote sensing (lidar) data with in-situ (tethered balloons) experiments has been
88 recently proposed [16]. During the Arctic haze period, black carbon concentration tends to
89 increase with altitude already within the first kilometre [17], [18] showing a gradual increase at
90 mid altitudes. In general, lower altitudes were influenced mainly by local Arctic sources, while
91 mid and upper levels were related to transport from eastern Europe, northern and central Asia
92 [19].

93 **2. Experimental methodology**

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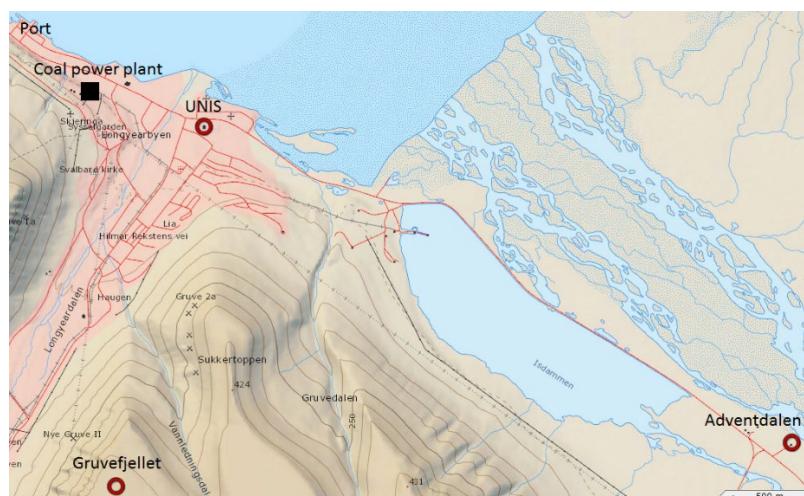
95 **2.1 Tethered balloon launching site**

96 Tethered balloon launches were performed in Longyearbyen (LYB), in the center of Spitsbergen
97 (Figure 1a), specifically from the University Centre in Svalbard (UNIS) CO₂ laboratory located
98 in the valley Adventdalen approximately 5 km to the south-east from Longyearbyen (Fig. 1b)
99 in summer 2018. LYB is the world's northernmost settlement with more than 2000 inhabitants,
100 nowadays is the center of tourism on the archipelago with a notable increase in this activity in
101 the last decades.

a)



b)



102 **Figure 1.** a) Map of Svalbard; b) local map of Longyearbyen (the launching site is marked
103 with the red circle in the Adventalen valley)

104

105 The two biggest settlements in Svalbard, Longyearbyen and Barentsburg, are located in
106 Adventfjorden and Grønfjorden, the eastern and southern branches, respectively, of the wide
107 fjord Isfjorden (Fig. 1a). Although stricter regulations to the quality of the ships' fuel used in
108 Svalbard were applied, so that it was restricted to use heavy fuel oil in Kongsfjorden, Isfjorden
109 remained the area where it was still allowed to use oil with maximum sulphur content 3.5%.

110 The new regulations will prohibit usage of heavy oil in the whole Svalbard territorial waters
111 from January 2022, with exceptions for the traffic of transport ships from and to Longyearbyen
112 and Barentsburg.

113 Local pollution from ships and the power plant may reach the UNIS CO₂ station only if the
114 wind from the north-west is prevailing; the site has been chosen to not interfere with the aircraft
115 traffic in the area. In fact, all vertical profile measurements have been performed in cooperation
116 with the Svalbard airport (LYB) in the hours when no planes or helicopters were arriving or
117 departing from LYB.

118 The tethered balloon used to perform vertical profiles (filled with 3.25 m³ of helium) was used
119 in the hours when ground-based wind speed was below 10 m/s since stronger wind could
120 potentially damage the equipment. In the days when the launch was cancelled due to high wind
121 speed, the wind was in the direction from the Adventdalen valley, therefore, there was no
122 influence of local air pollution from the town on concentrations near the UNIS CO₂ lab. Due to
123 air-traffic and meteorological restrictions, we managed to obtain 78 (39 up and 39 down)
124 vertical meteorological profiles for 52 days of ground-based measurements. 95% of the
125 launches were performed between 12:00 and 18:00 UTC, and only 5% were made from 18:00
126 to 00:00.

127

128 2.2 The aerosol payload

129 The instrumental payload consisted in a Vaisala meteorological sensors recording *T*, *P* and *RH*
130 data, a micro-aethalometer AE51 for *eBC* data [20] and a MiniDISC portable particle counter
131 for *NP* data [17].

132 The AE51 microaethalometer (Aethlabs, USA) is a light portable device that records the light
133 attenuation due to the aerosol loaded on a glass-fiber filter at the wavelength of 880 nm. The
134 equivalent black carbon mass concentration (*eBC*) is derived from standard formulas [21] using

135 a mass attenuation cross-section coefficient of $12.5 \text{ m}^2 \text{ g}^{-1}$, calibrated by the manufacturer. The
136 AE51 was operated with a flux of 200 mL min^{-1} and a time interval of 30 seconds.

137 The MiniDISC is a miniature diffusion size classifier, a small and portable instrument [22].
138 This device has a d_{50} cutoff at 14 nm, therefore, it underestimates particle number
139 concentrations for particles smaller than 20 nm while, above this threshold, acts as a total
140 particle counter. The instrument was operated at 1 second time resolution and the data were
141 post-processed at 10 seconds with the Java routine supplied by the manufacturer.

142 Both the MiniDISC and AE51 have not been used when the air humidity was too high (relative
143 humidity above 90% was used as a threshold). Moreover, the MiniDISC has been employed for
144 a shorter period of time (6 Jul-11 Aug) than the AE51 (3 Jul-15 Aug). In total, 74 equivalent
145 black carbon (eBC) and 52 nanoparticle number (NP) concentration profiles were obtained.

146

147 *2.3 Vertical profile data post-processing*

148 In order to reduce the noise of concentration data obtained using high time resolutions, post-
149 processing algorithms can be used. This procedure is particularly important for the *eBC* data,
150 which show a high point-to-point variability while *NP* measurements are more stable. The
151 following procedure has been implemented:

152 1) The rate of pressure and temperature change with time dP/dt and dT/dt have been calculated
153 for ascending and descending profiles separately.

154 2) The calculated rates have been checked for normality of distribution using Kolmogorov-
155 Smirnov test in the Matlab software.

156 3) Since the data are not normally distributed, a robust measure to detect outliers has been
157 chosen. The outliers in the dP/dt and dT/dt data have been defined as all points more than three

158 scaled median absolute deviation (MAD) from the median values [23].

159 4) Pressure values for these outlier points are changed to the linearly interpolated value between

160 closest non-outlier pressure points. As the sampling rate is irregular, the interpolation is done

161 considering local time interval between two nearest non-outlier points. This method removes

162 only extreme outliers; it does not smooth the data and the processing result is still close to the

163 original values.

164 5) The height has been calculated from pressure using hypsometric equation [24], which is

165 common to use for radiosonde profiles.

166 6) To compare *eBC* (or *NP*) profiles with the meteorological values, the height, temperature

167 and wind speed have been averaged for 30 sec periods according to the timing at AE51 (or *NP*)

168 sensor.

169 7) *eBC* data smoothing has been performed using 1-2-1 smoothing filter [25]. Even after the

170 smoothing, the AE51 provided few negative values for *eBC*. The proportion of negative values

171 of *eBC* was 11.2 %, considering the raw data at 30 s acquisition time. After the 50 m averages

172 used to grid the dataset (see below) the proportion of negative values reduced to 7.1%. These

173 values are in agreement with other experiments carried out with the AE51 [26].

174 Both instruments were previously tested and compared with ground-based bench instruments

175 in Ny Alesund [17], [27], providing also an assessment of their accuracy and detection limits.

176

177 2.4 Complementary ground-based aerosol measurements and inter-comparison of *eBC* data

178

179 The dataset was complemented with several other measurements within a larger experimental

180 campaign. In particular relevant for the present paper, a seven channels Aethalometer model

181 AE33 (Magee Scientific) was placed in the office on the third floor at UNIS building in LYB,

182 where the inlet of the sampling hose was fixed outside from the window. The data have been
183 accumulated continuously at 1 min time resolution and 5 l/min flow rate for all the field
184 campaign. Meteorological data have also been recorded along the campaign.

185 The AE51 sensor was inter-compared in-situ at the UNIS site with the ground based AE33
186 monitor eight times throughout the campaign with an average calibration period of two hours
187 each time. The temperature, humidity and wind speed range during the calibrations were 4.4°C-
188 14.4°C, 60%-100%, 0 $\text{m}\cdot\text{s}^{-1}$ -7.6 $\text{m}\cdot\text{s}^{-1}$, respectively. AE33 data with 1-minute resolution was
189 compared with 1-minute averaged data from AE51. The worst and the best correlation between
190 the two instruments were obtained the 21/07/2018 and the 01/08/2018 when the mean
191 concentration of *eBC* measured by AE33 was the lowest (191 $\text{ng}\cdot\text{m}^{-3}$) and the highest (1051 $\text{ng}\cdot$
192 m^{-3}), respectively. The correlation between the *eBC* values of the two instruments was
193 calculated for the four groups of data (quartiles). Results of intercomparison show improved
194 agreement in the higher concentration range.

195 The same procedure has been done for quartiles of air temperature, relative humidity and wind
196 speed to check if these values influence the correlation, but no significant difference in
197 correlation coefficients has been found for different groups within the range of meteorological
198 parameters during calibration. The details of the inter-comparison are reported in the
199 Supplementary Material. Since the concentrations of *eBC* measured during soundings in
200 Adventdalen were very low, often within the 1st quartile of AE33 data, high uncertainty in
201 absolute values of *eBC* data measured by AE51 has to be considered. However, since 50m-
202 average values were applied to study profiles' statistics, this averaging eliminated some of the
203 noise. Relative *eBC* concentration profile still carry important information.

204

205 **3 Results and discussion**

206 *3.1 Weather conditions in the study area*

207 Weather conditions in the investigated area (Old Auroral Station; $78^{\circ}12'08''\text{N}$, $15^{\circ}49'42''\text{E}$) are
208 given by the combination of synoptic circulation and local topography. Winds aloft are typically
209 south-westerly or north-easterly [28]. The investigated period saw several low pressure systems
210 approaching from the south-west and advecting warmer air. The orography of the area,
211 characterized by 500 m high plateaus separated by valleys, controls the flows at lower altitudes
212 [29], with wind channelling occurring in the valleys. The study period covers the transition
213 between the summer solstice and the end of the polar day (3 July-15 August, 2021). The surface
214 energy budget is impacted by large solar irradiances and low albedo.

215 Local conditions in the valley Adventdalen were derived using hourly data from the local 10 m
216 weather mast. A weather station located at 464 m a.s.l. on the neighbouring plateau Gruvefjellet
217 was used to gain representative data for the upper parts of balloon vertical profiles. The
218 topography surrounding the balloon site typically induced wind channeling along Adventdalen
219 valley ($\sim 135^{\circ}$ and $\sim 315^{\circ}$), decoupling the lowermost 400-500 m of the Atmospheric Boundary
220 Layer (ABL) from the large scale circulation. A diurnal cycle in winds and temperature in
221 Adventdalen gradually gained importance in August. The wind aloft the plateau was generally
222 southerly or easterly, following the synoptic circulation. The wind inside the valley was
223 typically channelled from Adventfjord towards the station (Fig SM1a). An average wind speed
224 of $3\text{-}4 \text{ ms}^{-1}$ was measured at the mountain and the valley sites, with intensities never dropping
225 below 1 ms^{-1} (Fig SM1b).

226 Three periods were particularly influenced by the synoptic activity: (L) 8-13 July, (L) 22-26
227 July and (H) 2-6 August. During these events, the passage of pressure systems was associated
228 with increased wind speeds and constant wind directions inside and above the valley.

229 The surface temperature at the Old Auroral Station was 7°C on average, with temperature
230 increasing until early August, when the maximum hourly temperature of 12 °C was recorded
231 (Fig SM1c). Temperature at the mountain site was generally lower due to the height difference.
232 Humidity was systematically above 60% (Fig SM1d), with low-level clouds forming above the
233 valley.

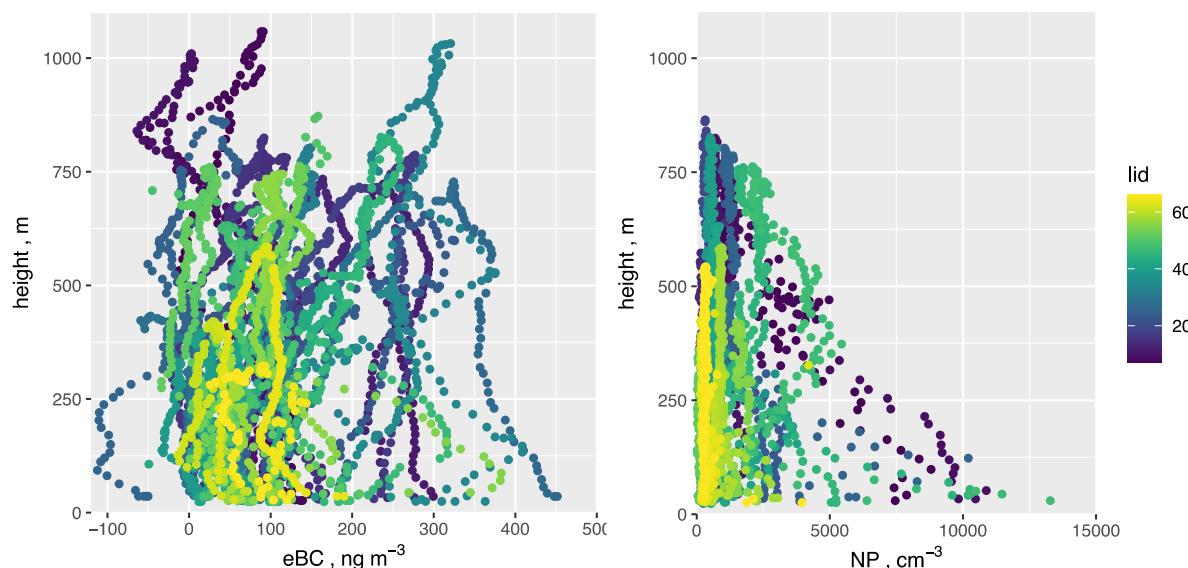
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235 *3.2 Construction of gridded vertical profiles*

236 The pre-processed vertical profiles of eBC and NP are reported in Figure 2.

237

238



239

240 **Figure 2** – Ungridded vertical profiles of (a) eBC and (b) NP . The colour scale identifies the
241 launch id (lid).

242

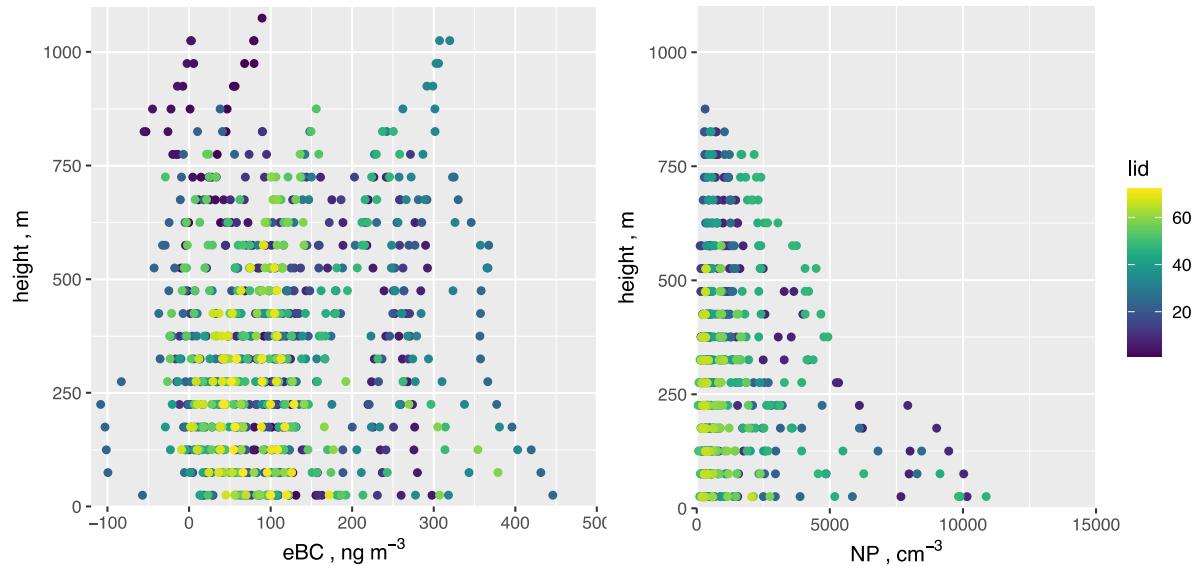
243 Most of the profiles have been obtained in the first 750 m and only six launches reached the
244 elevation of 1 km. eBC profiles showed a larger variability both in magnitude and in vertical
245 trend as compared to the NP profiles.

246 The gridded vertical profile datasets have been constructed by averaging the pre-processed data
247 accumulated in 50 m vertical bins and assigned to the middle of the vertical bin (for example:
248 the first point has been generated at 25 m above ground, including data from 0 to 50 m). Data
249 points in each vertical bin ranged from two to ten. The gridded profiles are presented in Figure
250 3.

251

252

253



254

255 **Figure 3** – Gridded vertical profiles of (a) eBC and (b) NP . The colour scale identifies the
256 launch id (lid).

257

258 Temperature (T), relative humidity (RH), pressure (P) and wind speed (ws) have also been
259 gridded on the same 50 m vertical scale. This allowed to study the general phenomenology
260 and to produce seasonally averaged profiles on a homogeneous vertical grid.

261

262 *3.3 Vertical profiles: general phenomenology*

263 The maximum height of 33% of Adventdalen profiles was less than 500 m, 59 % of the profiles

264 were between 500 and 1000 m, and 8 % above 1000 m. For this group, according to WRS-test,
265 median ground-level wind speed was significantly higher than for the rest of the profiles (5.4
266 m/s vs 4.3 m/s). According to the WRS-test, median profile wind speed and air temperature
267 below 1000 m were significantly higher for the measurements with temperature inversions
268 height, zTb , starting below 500 m, than for those with inversion starting above 500 m (shown
269 in bold in Table 1). The opposite relationship was observed for relative humidity in the two
270 groups. Profiles without temperature inversions had the highest median wind speed and lowest
271 median profile temperature (see Table 1).

272 Synoptic scale meteorological situation for the three groups ((a) without temperature inversions
273 in Adventdalen, (b) with temperature inversions detected below 500 m height and (c) with
274 inversions starting above 500 m) are shown in Figure SM2. Both (a) and (b) group of days were
275 characterized by high-pressure system located to the south-east of Svalbard. However, the
276 south-westerly wind with higher wind speed was prevailing during the (a) group of
277 measurements, while in the (b) group, the wind speed was lower and air masses transported
278 from the south were warmer, since higher air temperatures were over Scandinavia. In the (c)
279 group of days ($zTb > 500$ m), the north-westerly wind with low wind speed was bringing humid
280 air from North Atlantic to Svalbard. Results of wind measurements for the same three groups
281 from Longyearbyen (24 m a.s.l.), Adventdalen (15 m a.s.l.) and Gruvefjellet (464 m a.s.l.) are
282 shown in Figure SM2 (d, e and f.) The mean wind speed observed in Adventdalen was almost
283 the same for the three groups, however, according to the data from the Gruvefjellet station, the
284 wind speed aloft was lower for the days with temperature inversions. The wind direction in
285 Adventdalen was always north-westerly, along the valley axis, while in the days without
286 temperature inversion, the wind direction observed at Gruvefjellet (Fig. SM2d) was similar to
287 the large scale flow (Fig. SM2a). In most cases, north-westerly and westerly wind direction in
288 Longyearbyen was favourable for transport of local pollutants towards Adventdalen valley,

289 where *eBC* soundings were performed, except a few days when south-westerly flow was
290 observed in the town similarly to the measurements made at the Gruvefjellet station.

291 The statistics of vertical *eBC* and *NP* concentration measurements for the three groups, is
292 reported in Table 1. There is a positive statistically significant correlation between the height
293 of maximum *eBC* concentrations and height of minimum wind speed in the profiles ($r=0.44$,
294 $p<0.001$). Indeed, in 92% of all profiles, the height of maximum *eBC* concentration is less or
295 equal to the height of minimum wind speed. On average, maximum *eBC* concentrations could
296 be found around 230m below the height of minimum wind speed. The correlation between the
297 height of maximum concentration and the height of the maximum temperature is not
298 statistically significant.

299 Since the number of profiles with $zTb>=500$ m is very small, the groups with $zTb<500$ m and
300 $zTb>=500$ m have been combined into one group with 31 *eBC* profiles ($n_{BC}=31$) and 16 *NP*
301 profiles ($n_{part}=16$) and compared with a group when no temperature inversions were observed
302 ($n_{BC}=43$, $n_{part}=32$). According to the WRS-test, there is no statistically significant difference
303 between median concentrations for the two groups, while the median concentration of *NP* in
304 the profiles with temperature inversion was significantly higher than in profiles where no
305 inversions were observed ($p<0.001$). Group medians of maximum *eBC* and *NP* concentrations
306 in profiles with temperature inversions were significantly higher. Similarly, median *eBC*
307 concentration measured in Longyearbyen for two hours before the sounding to the time of
308 tethered balloon launch with *eBC* sensor in Adventdalen was higher when temperature
309 inversion was observed ($p<0.001$?).

310 Overall, homogeneous profiles (no temperature inversions) have been observed for 60% of the
311 cases for the present summertime 2018 campaign in Longyearbyen, which can be compared
312 with the 40% homogeneous profiles observed in the summertime 2012 in Ny Alesund [30]. The
313 difference could be attributed to the year-to-year variability as well as to the different orography

314 of the launching sites, which may influence the aerosol mixing in the boundary layer.

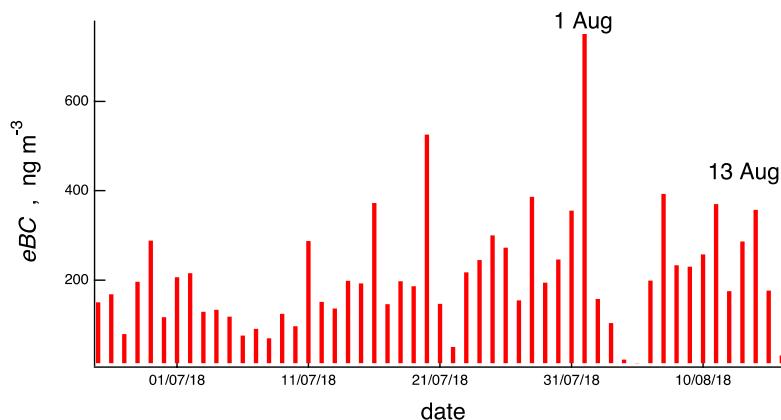
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317 *3.4 An example of use of the gridded dataset: local sources versus long-range transport*

318 The present dataset can be exploited to unveil the relative role of local and long-range sources
319 of pollutants. We discuss here a first simple example to study the influence of local versus
320 remote sources to the *eBC* levels in LYB. The *eBC* general trend is well described by the data
321 from AE33 instruments running in LYB on 1 minute time resolution. A preliminary analysis of
322 this dataset provided background *eBC* values for the summer season in LYB, which were
323 typically lower than 100 ng m^{-3} . The analysis highlighted also the presence of sharp *eBC* peaks
324 lasting from 1 to 10 minutes with *eBC* values in the $1000\text{-}2000 \text{ ng m}^{-3}$ range, which can be
325 connected to the local pollution sources. The overall average value of *eBC* for the campaign
326 was $208\pm130 \text{ ng m}^{-3}$. The daily average of the 1 minutes *eBC* values is reported in Figure 3.
327 Even after averaging, the daily values are significantly higher than the background, with a high
328 day-to-day variability. We investigated two case studies: the 1st August during which the
329 highest *eBC* daily value of the season, 780 ng m^{-3} , was reached, and the 13th of August, with a
330 daily average *eBC* of 286 ng m^{-3} .

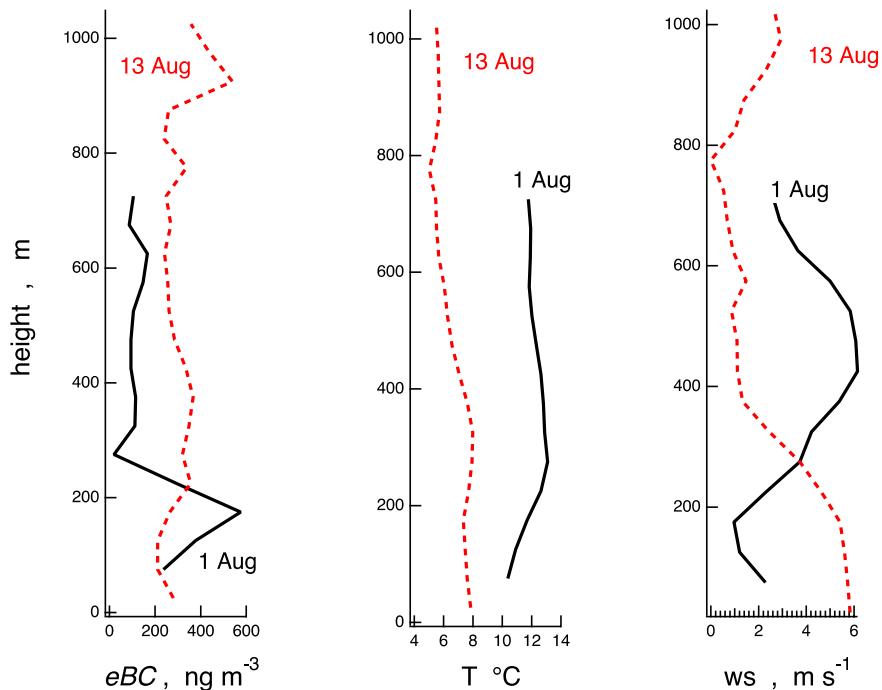
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332

333 **Figure 4** – Daily average *eBC* measured in LYB by the AE33.

334 The vertical profiles of eBC, temperature and wind speed, recorded with the tethered balloon
 335 system on the 1st of August at 15:00 UTC and on the 13 of August at 16:30 UTC are reported
 336 in Figure 5. The profiles on the 1st of August clearly indicate a stratification of *eBC* in the first
 337 200 meters associated with a temperature inversion at approximately 300 m a.s.l. and low wind
 338 speed pointing at an apparent influence of local sources. By contrast, a significant layer of *eBC*
 339 , up to nearly 600 ng m⁻³, was present on the 13th of August around 900-1000 meters and
 340 associated with a high wind speed layer. The median eBC concentrations measured in profile
 341 from soundings in Adventdalen on that day were higher than average (294 ng·m⁻³). There was
 342 no pronounced temperature inversion, probably due to the mixing of the boundary layer due to
 343 high wind speed.



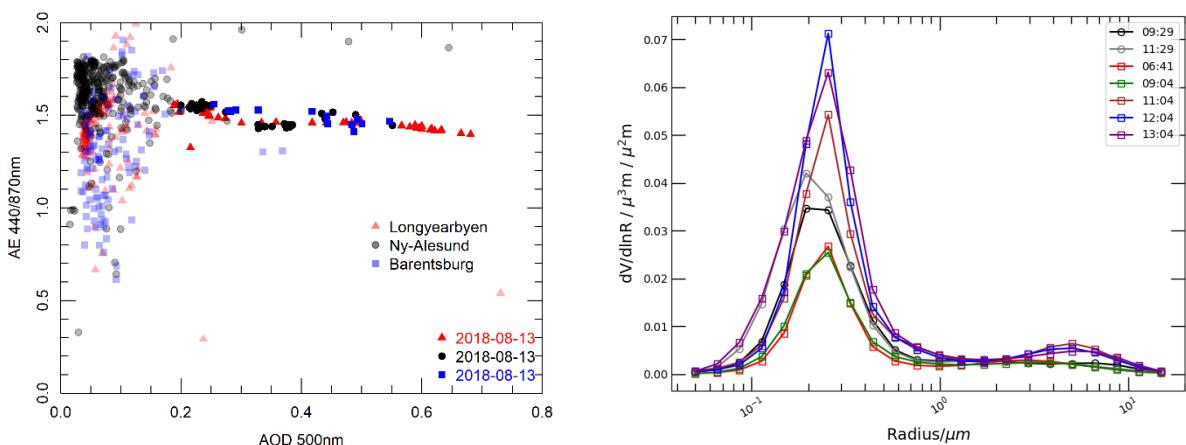
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 345 **Figure 5** – Vertical profiles of eBC, temperature and wind speed registered with the tethered balloon
 346 system on the 1st of August (black continuous lines) and on the 13 of August (red dashed lines).

347

348 This aerosol outbreak event extended to the whole Svalbard archipelago and was also identified

349 in the columnar AOD data from Longyearbyen, Ny-Ålesund, and Barentsburg on the 13th of
 350 August. The two former sites belong to AERONET (Longyearbyen and Ny_Alesund_AWI
 351 sites) and data from version 3 – level 2.0 are presented here. Barentsburg SP-9 sun photometer
 352 measures solar irradiance between 300 and 2200 nm which includes GPS, tracker and cloud
 353 screening. Figure 6a shows the general situation of Ångström Exponent 440/870 nm as a function
 354 of the AOD at 500 nm for the three sites during the campaign. Data from the August 13th are
 355 highlighted in stronger colours. Strong AOD values (at 500 nm) between 0.2 and 0.7 are
 356 reported in the three sites simultaneously. As recorded in the three sites, there is a sharp increase
 357 in AOD values: AOD double its value in less than 4 hours. In spite of the different techniques
 358 and methods no particular variation of the Ångström Exponent is observed in the archipelago
 359 during the aerosol outbreak. Ångström Exponent values are around 1.5 with a slight decrease
 360 up to 1.4, which indicates the predominant presence of small particles in the atmospheric
 361 column.

362 Figure 6b) shows the size distribution inversions for August 13th for Longyearbyen and Ny-
 363 Ålesund (AERONET sites). There is a large concentration of particles with radius below 0.4
 364 μm , so that event is dominated by the fine mode particles. The event is becoming stronger with
 365 time and no significant differences are obtained between Longyearbyen and Ny-Ålesund (112
 366 km apart).



367 **Figure 6 - a)** Angstrom Exponent 440/870 nm as a function of the AOD at 500 nm; b) size

368 distribution inversions for August 13th for Longyearbyen and Ny-Ålesund.

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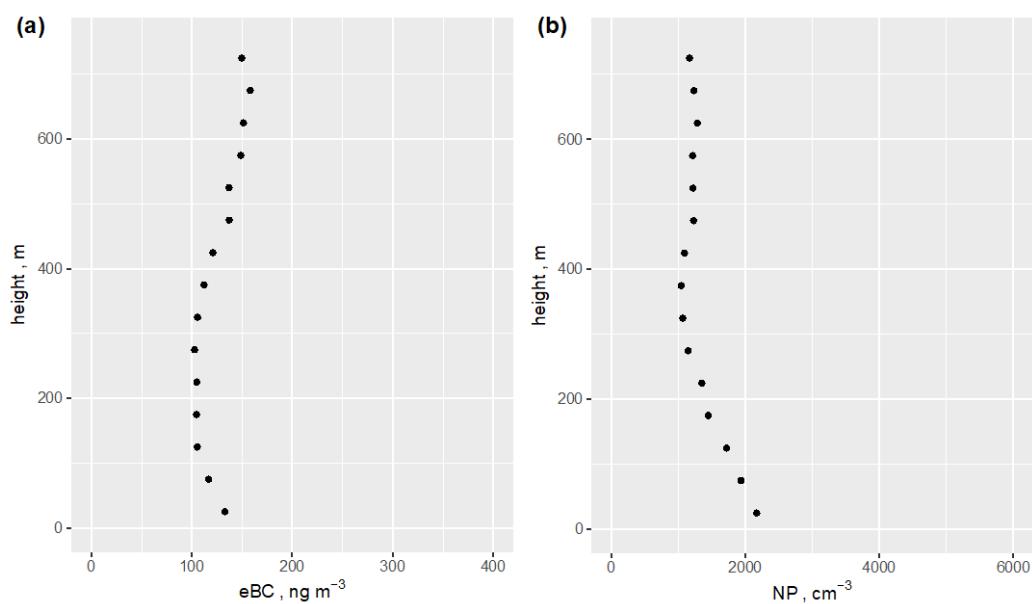
370 It is noteworthy that on the 13th August, the wind speed and temperature profiles (Figure 5)
371 highlight three atmospheric layers: one from ground till 300 m, one between 300 and 800 m
372 and the last one above it. Thus, the higher *eBC* concentration layer can be considered separated
373 from the bottom one ensuring the non-local origin of its source. According to backward
374 trajectories analyses (HYSPPLIT [31]) for the 13th of August, the air masses were arriving from
375 the Northern sectors of Eurasia (see supplementary material), where the probable source of *eBC*
376 is located.

377

378 *3.5 Averaged vertical profiles*

379 The gridded dataset allowed to calculate averaged vertical profiles of the measured properties
380 which represent a description of the summertime Longyearbyen atmospheric column. Results
381 are shown in Figure 7-8 for *eBC*, *NP*, *T*, *RH* and wind speed, respectively.

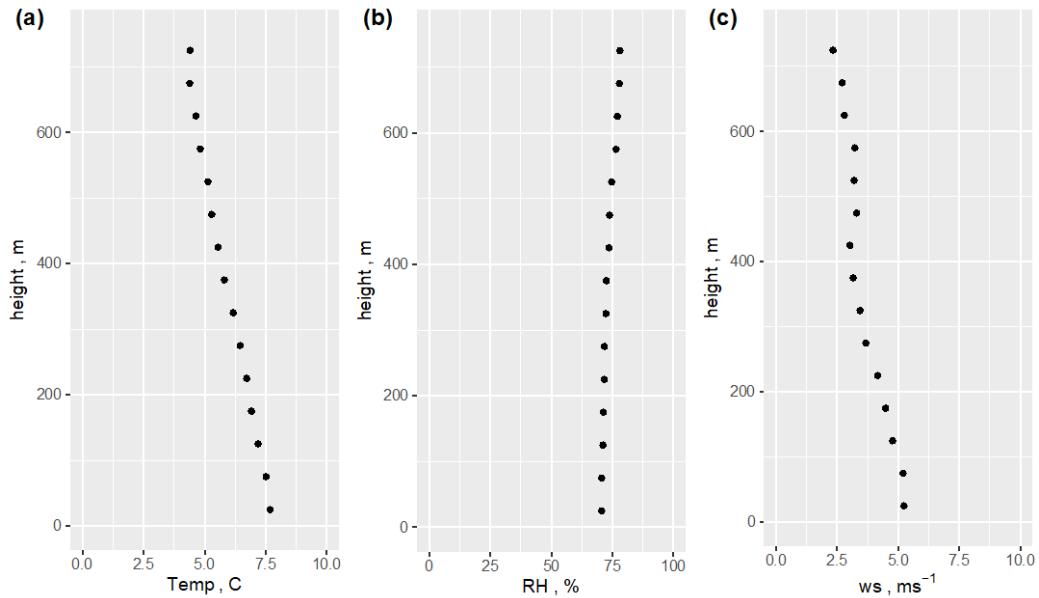
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383

384 **Figure 7** – Summertime averaged *eBC* and *NP* profiles along the atmospheric column over
385 Longyearbyen in 2018. Shaded areas represent the 95% confidence interval of the population.

386



387

388 **Figure 8** – Summertime averaged *T*, *RH* and wind speed (*ws*) profiles along the atmospheric
389 column over Longyearbyen in 2018. Shaded areas represent CI95% intervals.

390

391 The tethered balloon averaged profiles reported in Figures 7-8 highlight the presence of marked
392 aerosol stratification for nanoparticles close to the ground and a higher level of *eBC* at higher
393 altitude (above 500 m). The *eBC* behaviour was in keeping with a higher wind speed around
394 500 m. The aforementioned results describe a situation previously observed in late spring over
395 Ny-Ålesund, in which a plume of nanoparticle (probably of secondary origin) is present close
396 to the ground.

397 In this respect, it has been recently demonstrated that the final vertical aerosol profiles can result
398 from the synergy between the seasonal behaviour of aerosol and the local meteorology [30],
399 [32], [33]. The importance of classifying average aerosol profiles in function of the season and

400 meteorological situation is related to their feedback on climate [12], [17]. An increase of aerosol
401 concentration with altitude can influence the cloud cover (inducing mainly a positive forcing)
402 while aerosol and BC/dust layers located immediately above snow and ice may induce a
403 positive forcing, the opposite of the effect they have when aerosol layer is present at high
404 altitude, especially above clouds.

405

406

407 **4. Conclusion**

408 The main goal of the present work is to generate a homogeneous gridded dataset of aerosol
409 vertical profiles recorded in a summertime long campaign in Longyearbyen (Svalbard Islands).
410 Main aerosol properties, such as equivalent black carbon and total particle concentrations, have
411 been measured with a tethered balloon system in the first kilometre of the troposphere above
412 this anthropized Arctic settlement.

413 Temperature inversions, created by the warm air advection from Scandinavia to Svalbard,
414 promote the conditions favourable for the accumulation of local pollutants in the Arctic
415 boundary layer. However, elevated concentrations may be observed in Longyearbyen even in
416 the absence of the long-range transported pollution. In these days, colder air masses were
417 brought by the large-scale westerly wind. The wind direction changed to north-westerly due to
418 channelling along the Adventdalen valley, and locally polluted air was efficiently transported
419 from the major local emission sources, the coal power plant and ships, to the valley.

420 The vertical structure of summer ABL in Adventdalen (Longyearbyen) was similar to that of
421 Ny-Ålesund [17], [34], with higher median wind speed and lower air temperatures in the
422 profiles without temperature inversions and higher air temperature and lower wind speed in the
423 profiles with inversions at both sites. In the days with temperature inversions, higher maximum
424 *eBC* concentrations and particle concentrations were observed in Adventdalen profiles and by

425 ground-based measurements in Longyearbyen.

426

427

428 **Acknowledgements**

429 Dr. Kim Holmén is gratefully thanked for the invaluable advice on local pollution sources in
430 Ny-Ålesund and Longyearbyen. Research technician Vitaly Dekhtyarev is acknowledged for
431 support with electronic equipment and data processing during the field work in Longyearbyen
432 in 2018. We thank UNIS for providing meteo data. We also acknowledge the AMIS project
433 and the GEMMA center in the framework of the MIUR project "Dipartimenti di Eccellenza
434 2018-2022".

435 **Funding**

436 The Research Council of Norway financed the pilot study in Longyearbyen. The field work
437 was a part of the project "Strengthening cooperation on air pollution research in Svalbard"
438 received the support via Svalbard Strategic Grant (n. 283475).

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TABLES

560

561 **Table 1** - Characteristics of 50m averaged *eBC* and *NP* profiles recorder in Adventdalern.

562 Median concentrations are compared with median values of maximum concentrations (MMC)

563 and MMC height and *eBC* concentration in Longyearbyen (LYB, from AE33). These are

564 calculated for the period of two hours before the sounding to the time of tethered balloon launch

565 with eBC sensor in Adventdalen. *zTb* is the height of the temperature inversion.

Group profiles	Number		Median concentration		MMC		MMC height		LYB Median <i>eBC</i> , ng·m ⁻³
	<i>eBC</i>	<i>NP</i>	<i>eBC</i> , ng·m ⁻³	<i>NP</i> , cm ⁻³	<i>eBC</i> , ng·m ⁻³	<i>NP</i> , cm ⁻³	<i>eBC</i>	<i>NP</i>	
No temp inversion	43	32	94	483	147	644	350	100	158
<i>zTb</i> <500m	25	16	94	1745	210	3080	100	0	181
<i>zTb</i> >=500m	6	0	110	-	194	-	550	-	199

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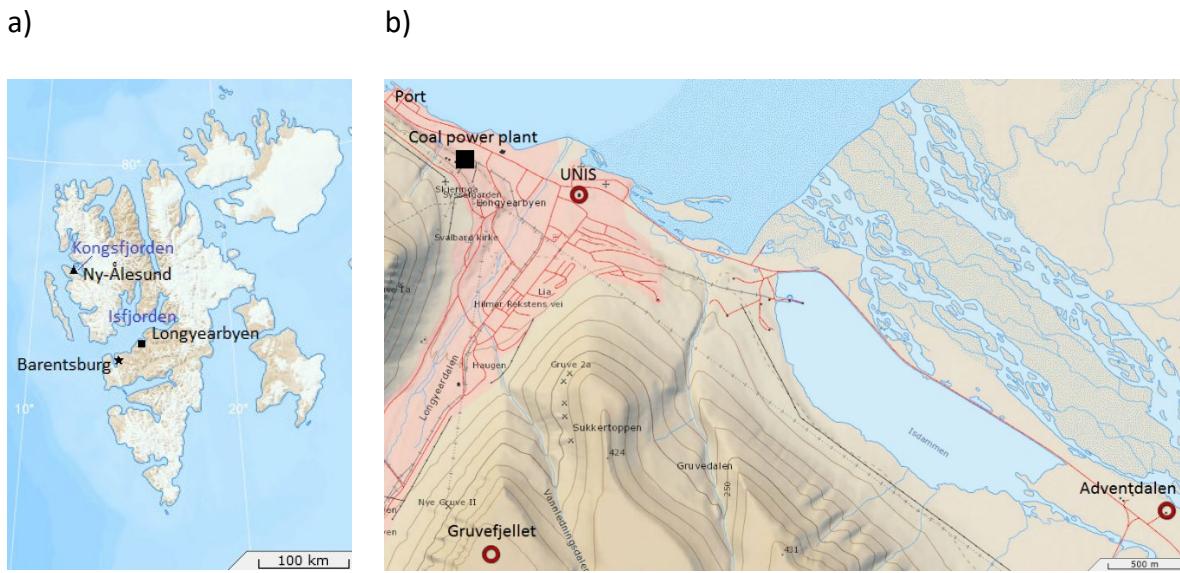
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FIGURES

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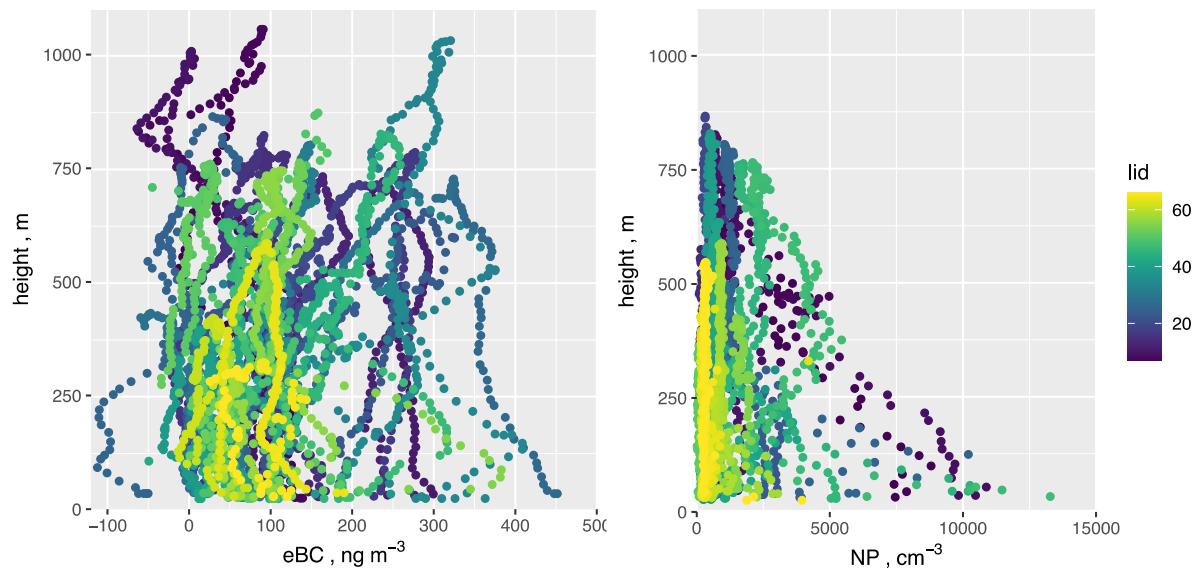
571 **Figure 1.** a) Map of Svalbard; b) local map of Longyearbyen

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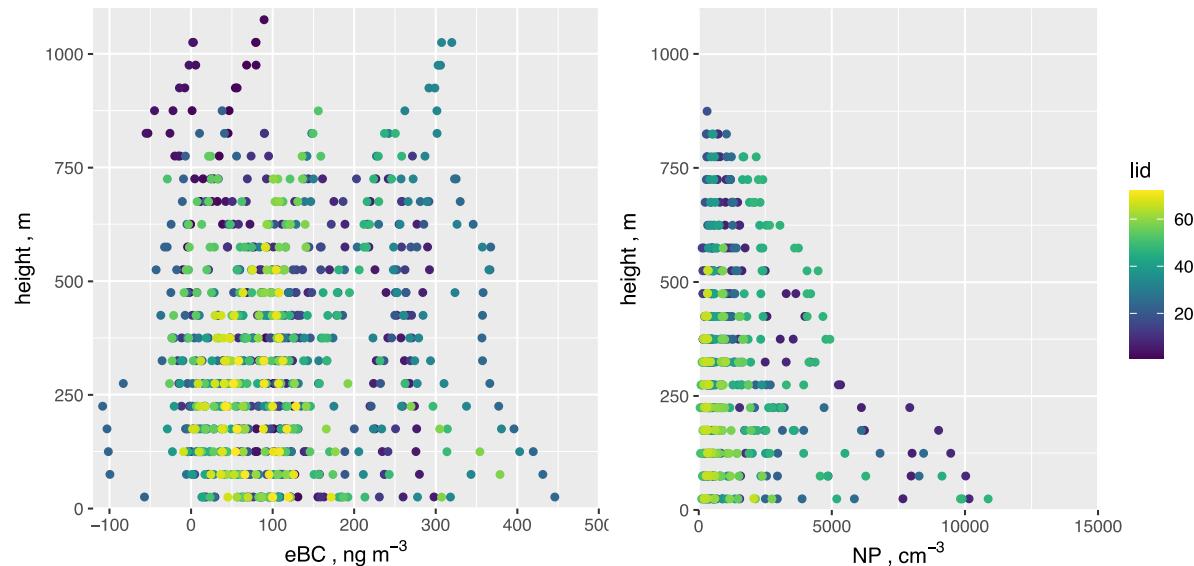
577 **Figure 2** – Ungridded vertical profiles of (a) eBC and (b) NP . The color scale identifies the
578 launch id (lid).

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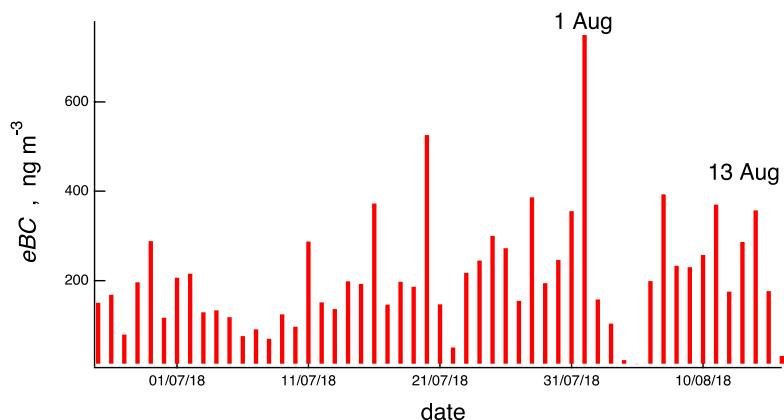
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584 **Figure 3** – Gridded vertical profiles of (a) eBC and (b) NP . The color scale identifies the
585 launch id (lid).

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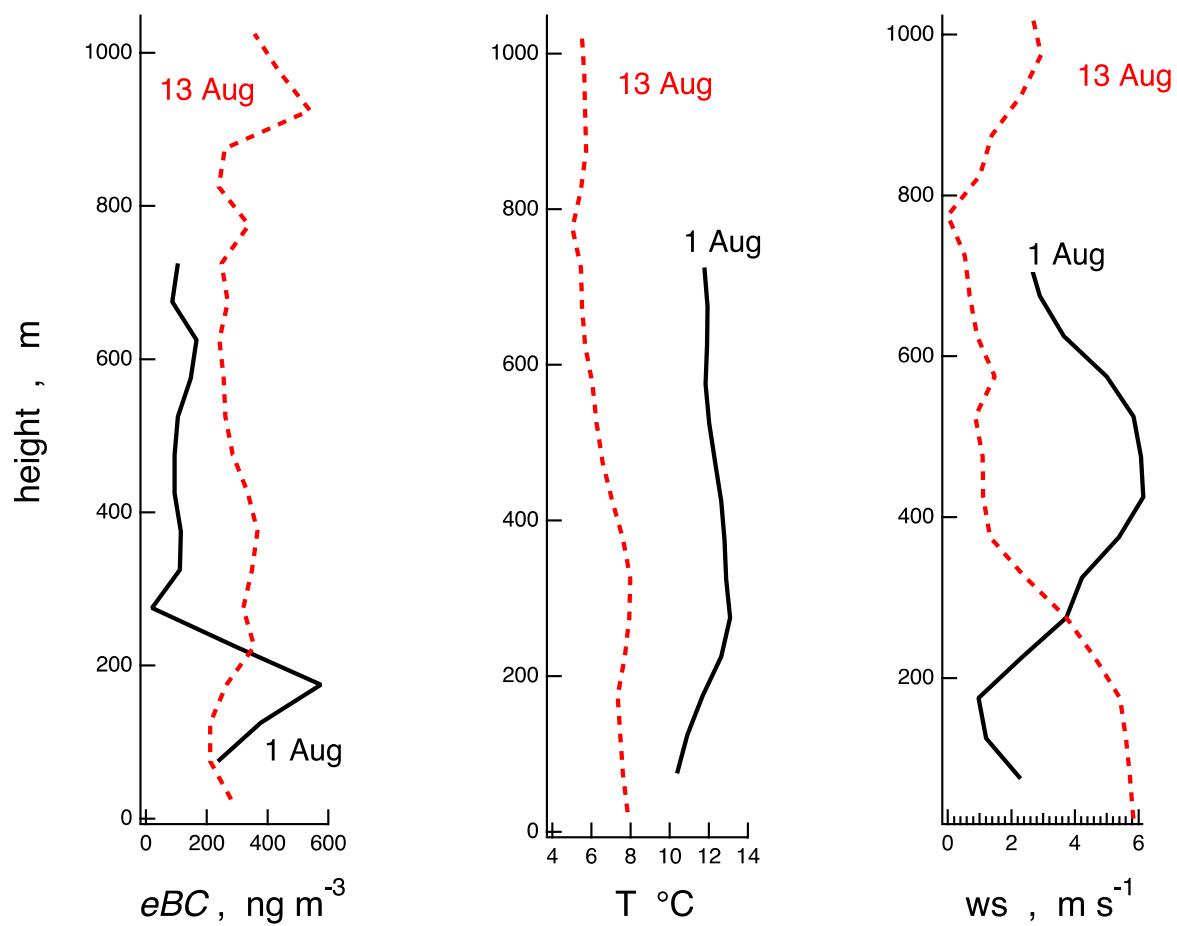
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Figure 4 – Daily average eBC registered in LYB by the AE33.

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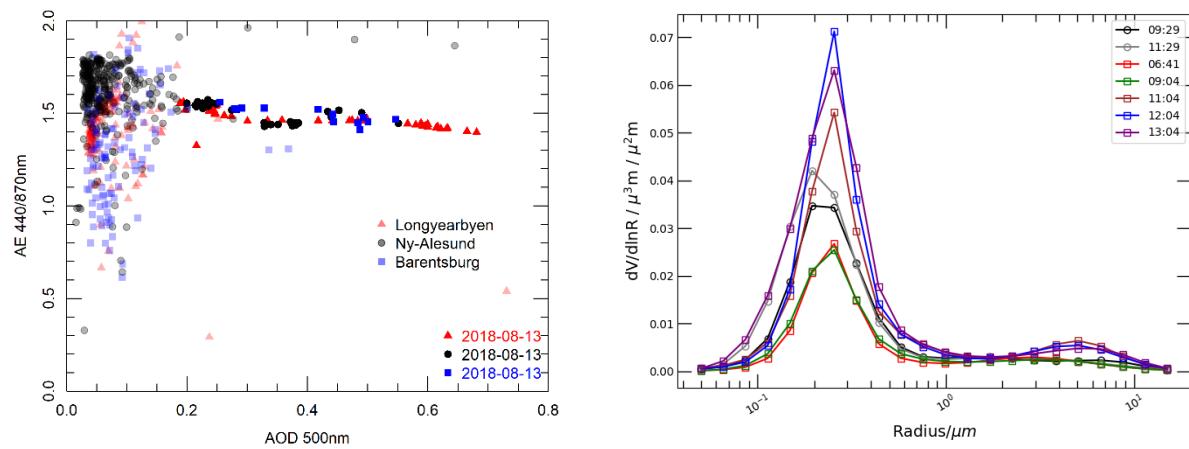
596 **Figure 5** – Vertical profiles of eBC, temperature and RH registered with the tethered balloon system

597 on the 1st of August (black continuous lines) and on the 13th of August (red dashed lines).

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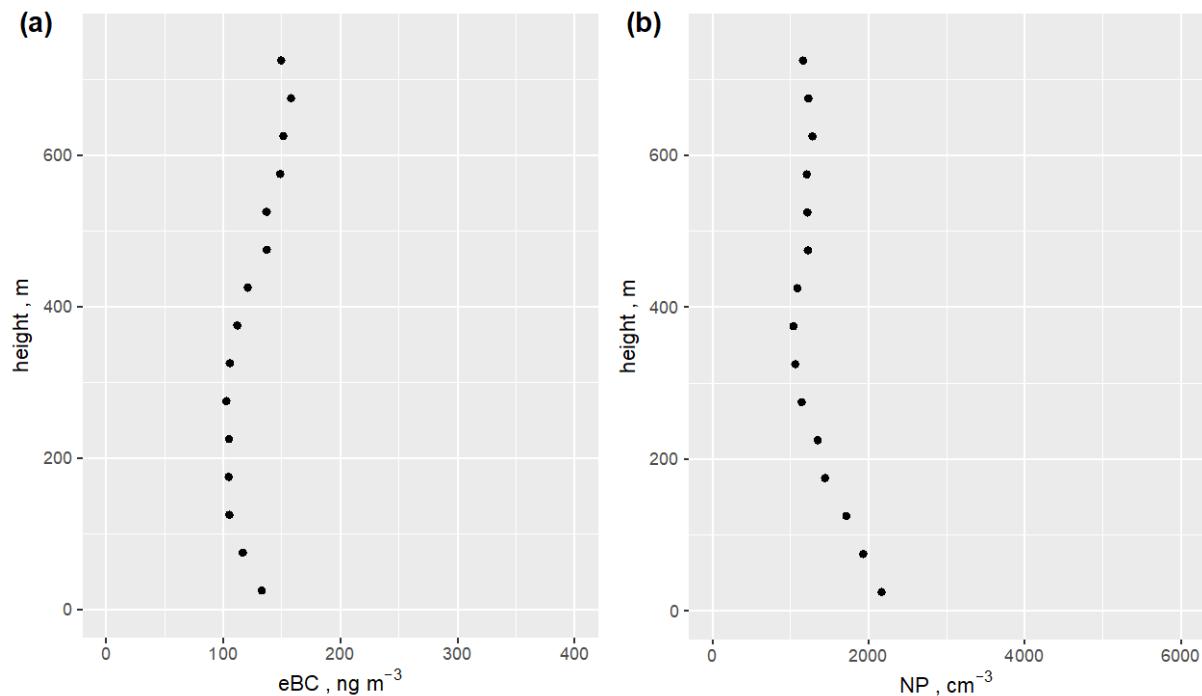
601 **Figure 6 - a)** Angstrom Exponent 440/870 nm as a function of the AOD at 500 nm; b) size
602 distribution inversions for August 13th for Longyearbyen and Ny-Ålesund

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608 **Figure 7** – Summertime averaged *eBC* and *NP* profiles along the atmospheric column over
609 Longyearbyen in 2018. Shaded areas represent one SD of the population.

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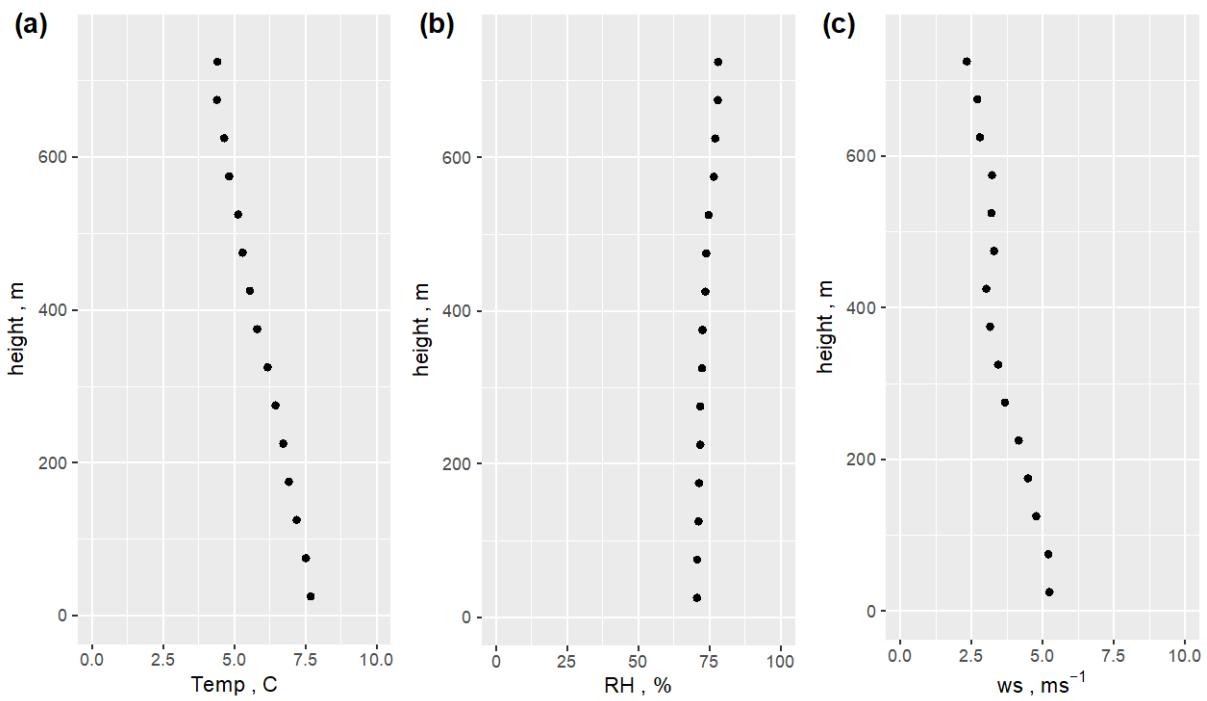
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618 **Figure 8** – Summertime averaged T , RH and wind speed (ws) profiles along the atmospheric
619 column over Longyearbyen in 2018. Shaded areas represent one SD of the population

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SUPPLEMENTARY MATERIAL FOR THE PAPER

629 **Vertical profiles of black carbon and nanoparticles pollutants measured by a tethered 630 balloon in an urban settlement in the Arctic**

631 David Cappelletti^{a,i*} Chiara Petroselli^b, David Mateos^c, M. Herreras^c, Luca Ferrero^d, Asta

632 Gregorić^e, Claudia Frangipani^a, Gianandrea La Porta^a, Michael Lonardi^f, Alena

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634

635 Index

636 **1. Intercomparison between AE51 and AE33 aethalometers**

637 **2. Table SM1** - Calibration results between AE51 and AE33

638 **3. FIGURE SM1** - Weather conditions in LYR during the study period.

639 **4. Figure SM2** (a-f) Meteorological conditions for the three groups of Adventdalen
640 profile data described in Table 1

641 **5. Figure SM3** – Air masses backtrajectories (HYSPPLIT) arriving in LYB at 50, 500 and
642 1500 endpoints, the 1st of August at 00 UTC.

643 **6. Figure SM4** – Air masses backtrajectories (HYSPPLIT) arriving in LYB at 50, 500 and
644 1500 endpoints, the 13th of August at 12 UTC.

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651 **1. Intercomparison between AE51 and AE33 aethalometers**

652

653 The relationship between the eBC concentrations measured with the AE51 and AE33, and
654 correlation coefficient is not linear. We have divided all the calibration AE33 and AE51 data
655 into 4 groups of almost equal size (~300 values in each) according to calculated AE33 eBC
656 concentration quartiles: eBC values below $143 \text{ ng} \cdot \text{m}^{-3}$, from $143 \text{ ng} \cdot \text{m}^{-3}$ to $297 \text{ ng} \cdot \text{m}^{-3}$, from
657 $297 \text{ ng} \cdot \text{m}^{-3}$ to $610 \text{ ng} \cdot \text{m}^{-3}$ and above $> 610 \text{ ng} \cdot \text{m}^{-3}$. As high time resolution eBC data is often
658 noisy, especially at lower concentrations [35], [36], post-processing ONA-algorithm for noise
659 reduction suggested by [37] was implemented on 30-seconds AE51 data before 1-minute
660 averaging. The noise for AE51 1-minute averaged original data and data processed using
661 ONA-algorithm was calculated for each group using the formula suggested by [37] and
662 relative deviation of AE51 data from AE33 data was calculated using equation [36]. One can
663 see that the correlation between AE51 and AE33 data increases rapidly for the eBC
664 concentrations exceeding a 4th quartiles' limit, while relative deviation is the lowest for the
665 same group (Table SM1).

666

667

668 **Table SM1 - Calibration results between AE51 and AE33**

Concentration group	Noise AE51, ng/m ³	Noise AE51-ONA, ng/m ³	Relative deviation (A51 and AE33)	Relative deviation (AE51-ONA and AE33)	Pearson r (A51 and AE33)	Pearson r (A51-ONA and AE33)
BC<q25	90	45	0.9±3.5	1.25±4.34	0.29	0.27
q25<=BC<q50	132	96	0.27±0.74	0.3±0.69	0.38	0.36
q50<=BC<q75	174	169	0.1±0.49	0.06±0.49	0.33	0.33
BC>q75	401	375	-0.04±0.33	-0.05±0.33	0.78	0.77
Total	220	166	0.31±1.83	0.39±2.26	0.87	0.87

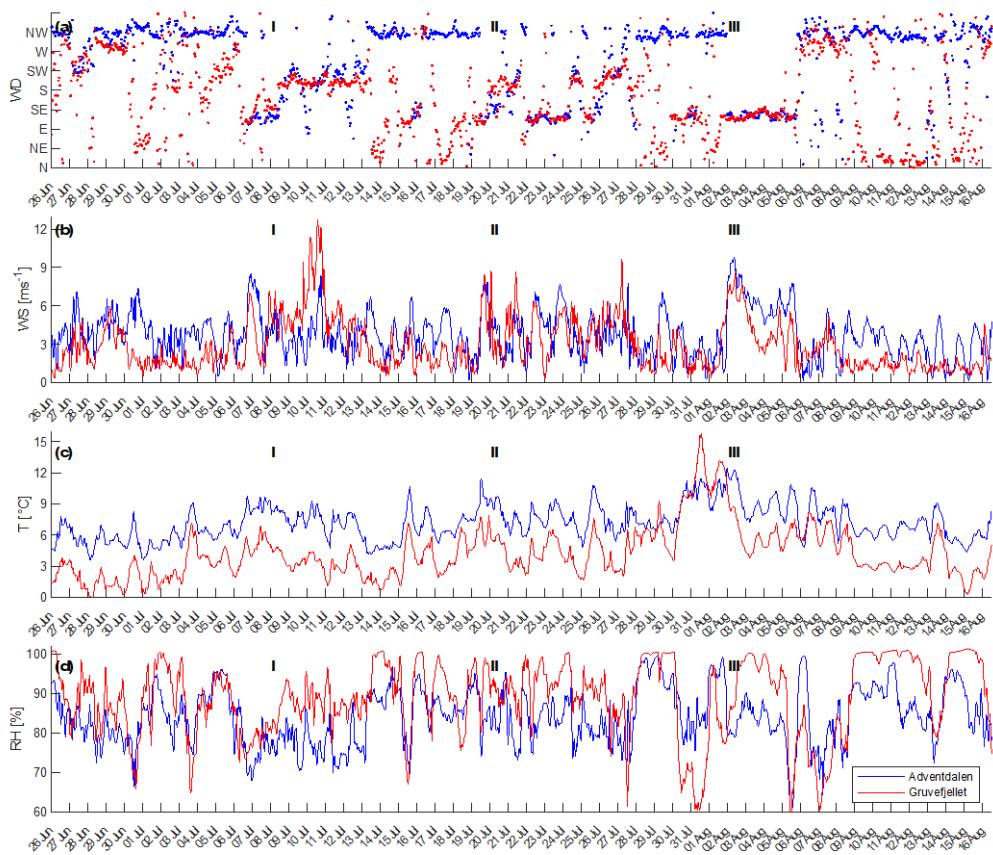
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FIGURE SM1 - Weather conditions in LYR during the study period.



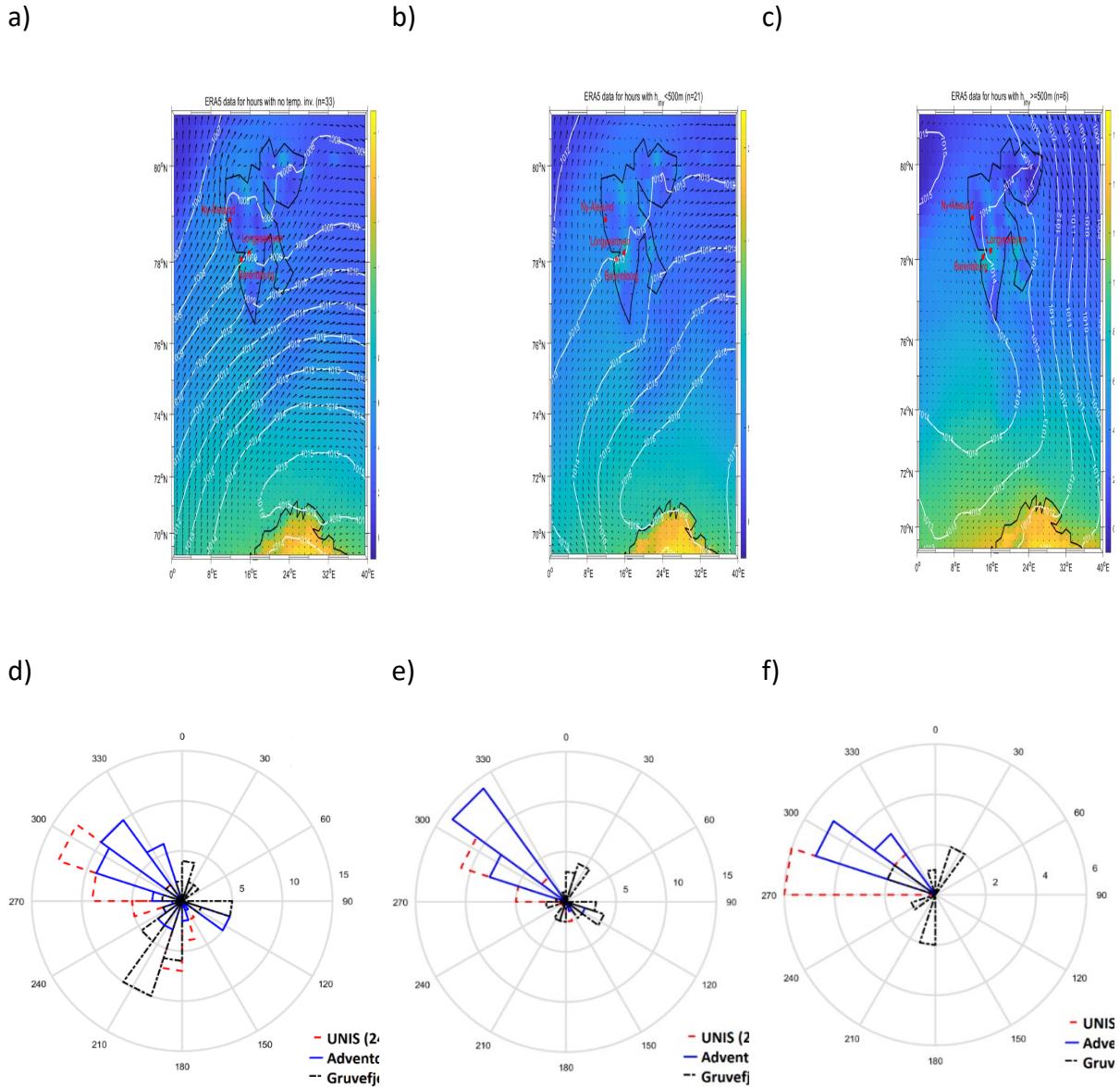
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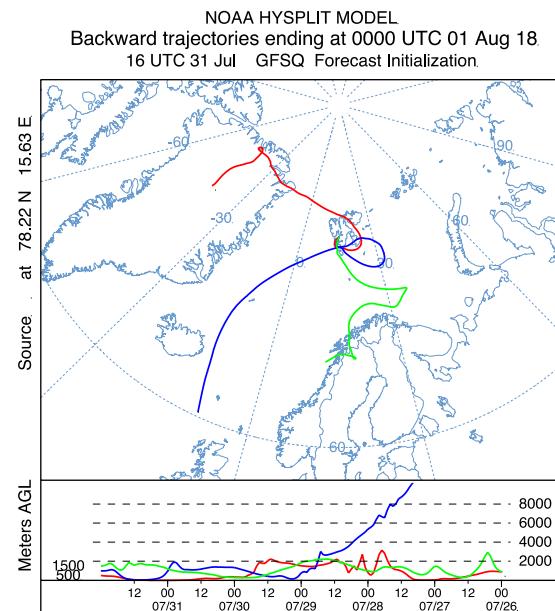
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678 **Figure SM2** a), b), c) Meteorological conditions for the three groups of Adventdalalen profile

679 data described in Table 1: mean air temperature in °C (colour scale), wind direction
680 (black arrows) and mean sea-level pressure in hPa (white lines). (d), (e), (f) Wind
681 measurements for the same three groups from Longyearbyen (UNI, 24 m a.s.l.),
682 Adventdalalen (Adv, 15 m a.s.l.) and Gruvefjellet (Gru, 464 m a.s.l.).

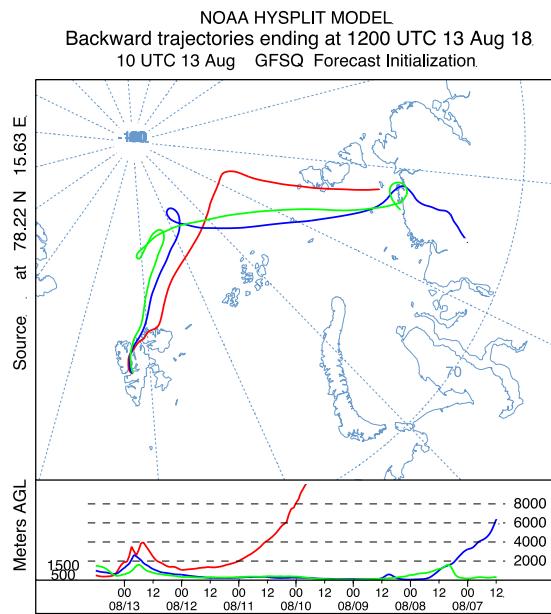
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686 **Figure SM3** – Air masses backward trajectories (HYSPLIT) arriving in LYB at 50, 500 and
687 1500 endpoints, the 1st of August at 00:00 UTC.

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690 **Figure SM4** – Air masses backward trajectories (HYSPLIT) arriving in LYB at 50, 500 and
 691 1500 endpoints, the 13th of August at 12:00 UTC.

692