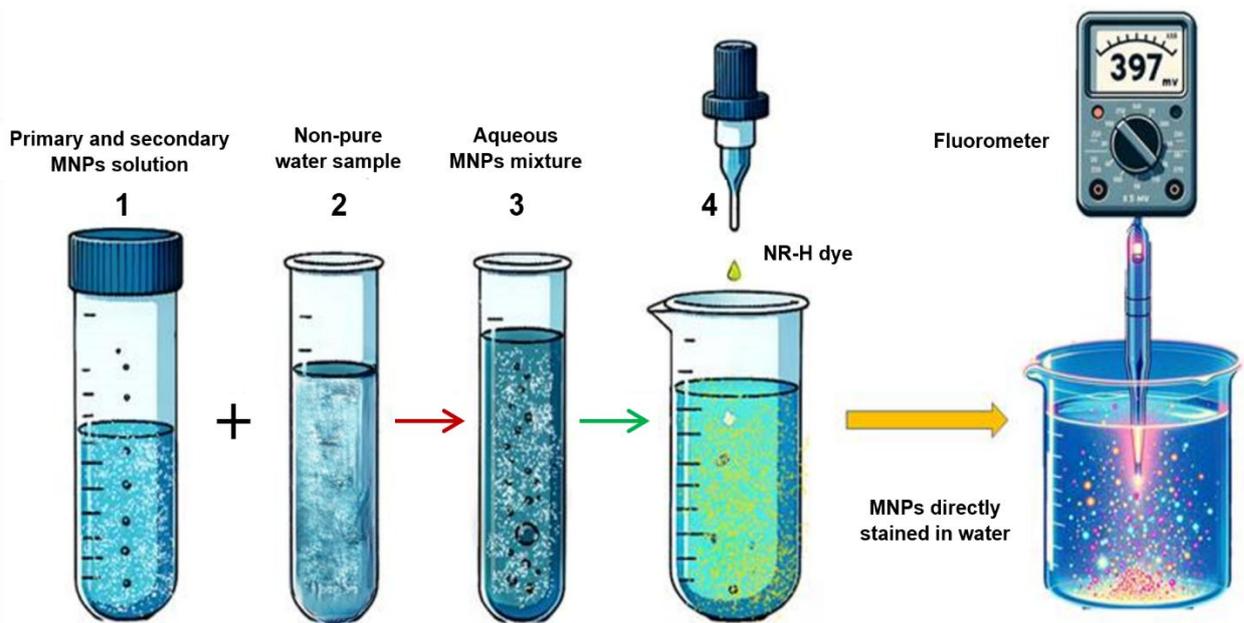


1 **Innovative application of Nile Red (NR)-based dye for direct detection of**
2 **micro and nanoplastics (MNPs) in diverse aquatic environments**

3
4
5 **Graphical Abstract**



6
7
8 **Abstract**

9 This paper presents the results of a research aimed at establishing a novel method for the detection
10 of primary and secondary micro- and nanoplastics (MNPs), by using the fluorescence properties
11 of the dye Nile Red-n-heptane (NR-H). The method has been applied to the detection of
12 laboratory degraded polymers (Polystyrene, PS and Polyethylene Terephthalate, PET) as well as
13 traceable latex microspheres in aqueous environments, showing a remarkable detection capacity
14 and avoiding the prior extraction or processing of MNPs in natural samples, with significant time
15 savings compared to conventional methods. The study has been carried out on various types of
16 water, including samples from wastewater treatment plants, boreholes, seawater and synthesized

17 seawater. The effectiveness of the staining process was evaluated by scanning electron
18 microscopy (SEM), dynamic light scattering (DLS) and optical microscopy. As a result, a novel
19 standardizable protocol for the rapid detection of MNPs has been established, with the potential
20 to improve environmental protection through fast in-situ detection and identification of plastic
21 contaminants. The limitations of the protocol in the quantification of MNPs have also been
22 identified and further studies are proposed to overcome these limitations.

23

24 **Keywords:** Microplastics; nanoplastics; Nile Red staining; environment; fluorescence-
25 fluorometry.

26

27 **1. Introduction**

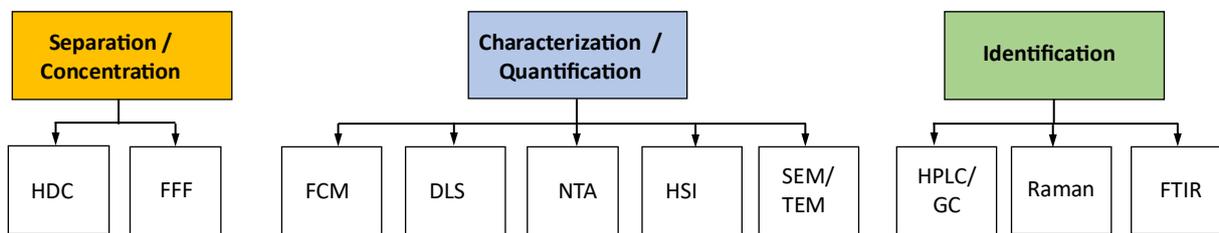
28 The rising issue of plastic waste, particularly from prevalent single-use products, is alarming
29 from an environmental point of view (Ibrahim et al., 2024), with projections indicating that
30 plastic waste in the EU-27 could skyrocket to 17 million tons per year by 2030 (Fan et al.,
31 2022). This situation is exacerbated by environmental factors like ultraviolet (UV) radiation,
32 oxidation, temperature fluctuations mainly (El Hadri et al., 2020), which combined lead to the
33 breakdown of larger plastics, like bottles, into minuscule fragments termed as microplastics and
34 nanoplastics (MNPs), depending on the resulting sizes (0,1 to 3000 m for microplastics, while
35 particles from 1 to 100 nm are considered nanoplastics). Both types of MNPs (Frias & Nash,
36 2019; Gonçalves & Bebianno, 2021; Toussaint et al., 2019) , that intentionally manufactured
37 for their industrial use (primary MNPs) or those resulting from natural degradation of original
38 plastics (secondary MNPs), present substantial ecological dangers and pose serious threats to
39 human health, owing to their ability to permeate food chains (Brennholt et al., 2018; Koraltan
40 et al., 2022).

41 Addressing this multifaceted problem requires innovative, precise, and reliable detection and
42 quantification methodologies. Recent studies (Alvim et al., 2023; Jiménez-Lamana et al., 2023;
43 Pradel et al., 2022) made significant strides in this area, proposing new techniques and
44 approaches using diverse plastic polymers, including varieties like polyethylene (PE),
45 polypropylene (PP), polystyrene (PS), polycarbonate (PC) among others which necessitates a
46 range of methodological approaches for effective analysis. This complexity is particularly
47 evident in aquatic ecosystems, with specific characteristics of various sample types, including
48 drinking water, natural watercourses, foods, sediments, biota tissues, and wastewater treatment
49 plant outputs (Burzio et al., 2022; Tröger et al., 2018). Samples with high organic content may
50 require prior digestion using acidic, alkaline, or enzymatic methods, while simpler samples like
51 drinking water might only necessitate preconcentration. In scenarios involving organic
52 solvents, evaporation techniques, as rotary evaporators under reduced pressure, are commonly
53 used. These specific methods are essential to accurately analyze the wide variety of plastic
54 polymers (Myszograj, 2020) present in different aquatic environments.

55 For detecting MNPs, several advanced technologies are employed (Lee & Chae, 2021; Shruti
56 et al., 2021). These serve for different purposes: separate and concentrate the raw sources to
57 make easier the MNP's detection (Ibrahim et al., 2024; Rezania et al., 2018); detect their
58 presence and characterize them; and finally, identify which plastic molecules comprise the
59 MNP's. Main techniques used for these purposes are summarized in Fig. 1. These include
60 Scanning (SEM) and Transmission (TEM) Electron Microscopy for detailed morphological
61 analysis, as well as Fourier-Transform Infrared Spectroscopy (FTIR) and Raman Spectroscopy
62 (Zhang et al., 2023) for MNPs chemical characterization and identification (Campo et al., 2019;
63 Tirkey & Upadhyay, 2021). Additionally, other analytic methods like Pyrolysis-Gas
64 Chromatography/Mass Spectrometry (Py-GC/MS) and Dynamic Light Scattering (DLS)
65 contribute to a detailed picture of MNPs' compositions, sizes, and degradation patterns. Initial

66 segregation of plastic fragments is done using a down to millimeter sieve. For particles under 1
 67 μm , two separation techniques are utilized: active field flow fractionation (FFF) for complex
 68 environments and passive hydrodynamic chromatography (HDC) based on controlled pore
 69 sizes. Nanoparticle tracking analysis (NTA) assesses particle concentrations in aqueous
 70 suspensions by analyzing, frame-by-frame, Brownian motion. Furthermore, flow cytometry
 71 (FCM) and hyperspectral imaging (HSI) are used for counting MNPs in fluid flows by detecting
 72 reflected light from particles.

73



74

75 **Fig. 1.** Overview of methods for the separation, characterization, and identification of Microplastics and
 76 Nanoplastics in Aquatic Environments.

77 Among those methods, fluorescence is very commonly used in MNPs detection,
 78 characterization and identification. In that sense, Nile Red (NR), a lipophilic fluorescent dye,
 79 has become a prominent tool, particularly in distinguishing various polymer types in
 80 environmental samples. Introduced by Andrady (Andrady, 2011 and 2017) and validated in
 81 numerous studies (Alvim et al., 2023; Bianco et al., 2023; Gagné et al., 2019; Hernández et al.,
 82 2023; Nalbone et al., 2021; Shim et al., 2016; Sturm et al., 2023) NR's application involves
 83 staining microplastics for visualization under a fluorescence microscope. This technique
 84 surpasses traditional optical microscopy in specificity, aided by NR's hydrophobic properties,
 85 making it an asset in MNP's identification for polymers like PE, PP, EPS, PS, and others. NR
 86 hydrophobic interactions and dynamic fluorescence modulation, ensure a strong affinity to non-
 87 polar regions of polymers (Sturm et al., 2021, 2023). Employing NR in MNP detection involves

88 dissolving it in organic solvents to maximize its molecular interactivity, revealing MNP's in
89 diverse environmental matrices. The dye's interaction mechanisms, offer a comprehensive
90 detection approach that is both user-friendly and cost-efficient, even with portable fluorescence
91 imaging tools (Prata et al., 2021).

92 However, the use of fluorescence-based methods, as in general, any other method used to
93 identify the presence of MNP's requires a previous preparation of the sample in which we wish
94 to detect the presence of these contaminants. In general, this stage consists of filtering the
95 sample through a suitable membrane that collects possible contaminants, and then applying the
96 staining process and subsequent detection to the result. This obviously slows down the detection
97 process, which also needs to be carried out in a separate laboratory, where the necessary
98 filtration and fixation equipment is available, thus avoiding the possibility of a rapid in-situ
99 control of the presence of MNPs. In order to overcome this problem, the main objective of this
100 work is to develop an experimental protocol based on NR staining of MNPs present in water
101 samples in order to directly and rapidly detect the presence of these contaminants.

102 To achieve this objective, we will start by studying the fluorescent properties of NR, in order
103 to obtain the optimal conditions for the preparation of the dye so that the resulting fluorescence
104 signal is maximized. We will then test the functionality of the optimized dye against test
105 solutions in pure water. In one solution, primary MNPs (modelled in this case by using
106 calibrated latex particles with a narrow size distribution) will be used. In the other pure water
107 solution, secondary MNPs generated in our laboratory from PS and PET will be tested. The
108 choice of calibrated latex particles as primary NPM models is based on several advantages,
109 including the fact that they are readily available in the desired sizes, making it easy to model
110 their behavior in many types of studies. However, they also have certain limitations, which need
111 to be known, in terms of their behavior in terms of dispersion, aggregation kinetics and

112 sedimentation patterns in water, so that they may differ from real primary MNPs (Gigault et al.,
113 2021; Reynaud et al., 2022; Gigault et al., 2018).

114 On the other hand, alongside the latex particles used for calibration and validation purposes,
115 secondary MNPs were obtained following a slow controlled degradation protocol. These
116 particles were subsequently used to test the efficacy of the proposed identification method. As
117 a final step of this study, the use of the NR-H staining protocol for the detection of MNPs in
118 water samples from various natural sources was analyzed. The aim of this part of the study was
119 to check whether the protocol developed was suitable for the detection of MNPs in possibly
120 contaminated water, where there was a possibility of cross-interactions of the dye with other
121 non-plastic contaminants, so that the detection of real MNPs would be masked or minimized.
122 To this end, the protocol was adapted for use with water from different sources, rich in dissolved
123 organic and inorganic matter (sediments, nutrients, solids, biofilms, pathogens, chemicals,
124 minerals, salts...) to which our primary and secondary MNPs were added, and the detection
125 response was tested.

126 This study aims to facilitate the rapid and direct detection and characterization of MNPs,
127 eliminating the need for laborious sample preparation procedures. However, the work presented
128 here, as an initial step in a more in-depth investigation, is being continued with a view to
129 determining the possibilities of this protocol for the quantification of the MNPs present in the
130 samples (an objective that was not addressed in this study), as well as verifying its usefulness
131 in the presence of other types of MNPs commonly found in the polluted waters of our seas and
132 rivers.

133

134 **2. Materials and methods**

135 *2.1. Primary MNPs*

136 For protocol calibration, we employed NIST® (National Institute of Standards and Technology)
137 traceable latex beads procured from Thermo Scientific. These beads are dispensed in dropper-
138 tipped bottles containing 15 ml to ensure precise volumetric delivery and minimize operator
139 variance, thereby enhancing reproducibility. Recognized for their uniformity, stability, and
140 accessibility, these beads are exemplary for instrument calibration. Their meticulously
141 characterized dimensions and near-perfect sphericity render them ideal as surrogates for
142 primary plastics in our studies. We selected beads spanning a size range from 100 μm down to
143 0.05 μm to cover a broad spectrum of particulate dimensions.

144 *2.2. Secondary MNPs*

145 In this study, secondary MNP's were generated from polystyrene (PS) packaging lids often
146 used in packaging protection and delivery. The pieces of PS lids were broken off resulting in a
147 set of small beads, each with a diameter of approximately 1 to 3 mm, with an aggregate weight
148 of 500 grams. Subsequently, the PS beads were placed in an empty, dry water bottle (made of
149 PET) and the bottle was filled up to 5 liters of capacity with ultra-pure water.

150 The bottle containing the PS beads was placed in a weathering chamber (manufactured by
151 Binder GmbH), where it was subjected to accelerated and controlled degradation for 1 year.
152 For this purpose, the temperature in the chamber was kept at 35 °C and the container was
153 illuminated with UV light (320-400 nm) for 12 h every day, in order to mimic the degrading
154 effects of sunlight. Every 10-day, a part of the stored water was siphoned off and passed through
155 a Teflon membrane (Sartorius, 100 μm nominal pore), to prevent any external contamination
156 that might transpire due to degradation. The filtered water was then returned to its PET vessel.

157 After a complete year of systematic degradation, Nanoparticle Tracking Analysis (NTA)
158 technique (NanoSight from Malvern Scientific) and Micro Fourier Transform Infrared
159 Spectroscopy (μFTIR), by means of a Buker Alpha (E-103) instrument, were employed to

160 check resulting MNP's. 10 ml aliquots extracted from degraded reservoir, as well as control
161 and blank sets, were analyzed using NTA's software to check plastic concentration in the final
162 water (big amounts of MNP's was found with concentration ranging from 10^7 to 10^{12}
163 particles/mL depending from zones of the reservoir). Finally, secondary MNPs were subjected
164 to μ FTIR analysis, conducted in the range of 4000 to 600 cm^{-1} (resolution $\Delta k = 4 \text{ cm}^{-1}$).
165 Subsequently results were compared with reference materials. Additionally, carbonyl index
166 (CI) and methyl index (MI) were calculated.

167 *2.3. Nile Red (NR) dye solution*

168 In MNPs detection by using NR-fluorescence, dye solvents as acetone, hexane, and heptane
169 can be selected to optimize dye performance (Shim et al., 2016). The NR's solvatochromism
170 implies resulting color changes in response to different solvents (Maes et al., 2017), allowing
171 Nile Red to produce distinct colors for diverse polymers. In our case, we decided to dissolve
172 NR in acetone to enhance solving, and then adding this solution to n-heptane which serves as a
173 vehicle for staining MNP's in water. For our experiments, we acquired NR powder
174 ($\text{C}_{20}\text{H}_{18}\text{N}_2\text{O}_2 > 95.0\%$), acetone (99% purity) and n-heptane (purity $> 98\%$), all of them
175 acquired from Thermo Fisher, and used as received.

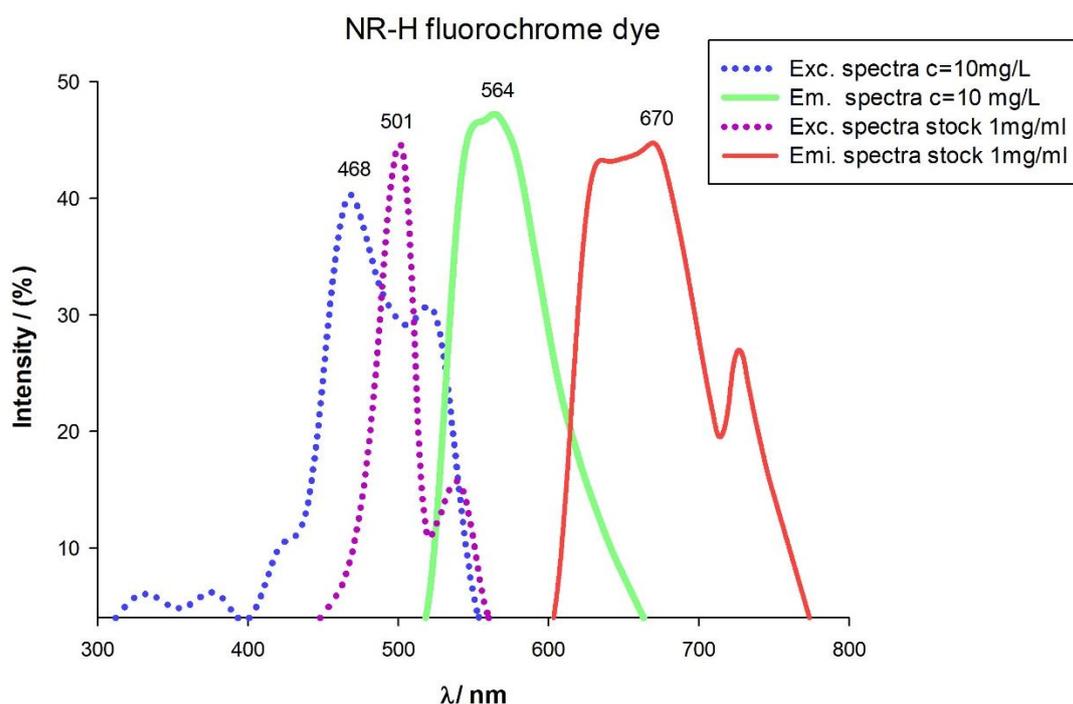
176 Initially, Nile Red (NR) dye was dissolved to a concentration of 1 mg/mL in acetone, a polar
177 solvent, to prepare the stock solution. Subsequently, we developed a specialized fluorochrome,
178 NR-Heptane (NR-H), by incorporating n-heptane as an additional solvent. n-Heptane, a non-
179 polar solvent, enhances the solubility of the hydrophobic Nile Red due to its non-polarity and
180 immiscibility with water. This formulation is specifically designed to stain microplastics
181 directly in aquatic environments, exploiting n-heptane's hydrophobic properties to selectively
182 interact with microplastic particles without dissolving in the aqueous phase.

183 This specificity is crucial because Nile Red selectively stains non-polar microplastics, and n-
184 heptane does not dissolve polar organic substances which could interfere with fluorescence
185 (Datta et al., 1997). We then prepared the NR-H solution in various concentrations of n-heptane,
186 ranging from 3 to 100 mg/L, using the stock solution, aiming to optimize the conditions for the
187 best fluorometric response. This mixture was mechanically agitated in a laboratory flask to
188 ensure thorough staining of microplastics during vortexing. To ensure stable excitation-
189 emission readings, samples were maintained at a constant temperature of 22°C.

190 Visually, the NR-H dye solution varies in color from yellow-greened to orange. Upon
191 excitation, it emits light in the range of approximately 564 to 600 nm, presenting an orange hue.
192 This shift allows us to choose optimal excitation and emission wavelengths for rapid and precise
193 MNP identification, minimizing interference and quenching in the fluorescence process,
194 presenting characteristics ideally suited for a specially designed submersible fluorometer sensor
195 aimed for detecting colored MNPs (Prata, 2023).

196 To identify the excitation and emission wavelengths of the NR-Heptane dyes, we employed a
197 SAFAS Xenius XC Spectro fluorescence instrument. NR-H dye solutions and aqueous samples
198 of MNPs tagged with NR-H dye was introduced into quartz cuvettes for the measurements.
199 Ultra-filtered water served as blank for these experiments. Excitation was configured to range
200 from 240–800 nm, incremented by 1 nm, while detection spanned 245–828 nm, also
201 incremented by 1 nm, with an integration time set at 0.01 seconds. Fig. 2 shows the excitation
202 and emission spectra for NR solutions in heptane at different concentrations.

203



204

205 **Fig. 2.** Plot of the excitation and emission spectra for a stock solution of Nile Red in acetone. Additionally, it
 206 includes spectra for its diluted in n-heptane, with concentration of 10 mg/L.

207 *2.4. Natural water sources*

208 Various natural water samples, rich in organic and inorganic solutes, were sourced from
 209 locations in the South of France. These aqueous samples were then combined with secondary
 210 micro/nano plastics and with primary latex beds.

211 Three differently contaminated water sources were considered:

- 212 - Firstly, water sample were procured from the wastewater treatment plant (WWTP)
- 213 located at Rouquet, Agen, France. Two different sampling from such plant were
- 214 considered: firstly, effluents drawn from the final stage of primary treatment,
- 215 particularly post the first clarifier phase; the second sampling stems from the secondary
- 216 treatment's subsequent clarifier step.

- 217 - Secondly, groundwater sampling from a place very close to the same WWTP, with a
218 borehole reaching 120 meters length, which reaches the Garonne River phreatic aquifer.
219 This location is aimed for monitoring of potential influences from the WWTP on
220 groundwater quality.
- 221 - Finally, seawater samples collected from the surface layer approximately 5 meters off
222 the Montpellier beach in France. The collected water was carefully transferred to 2-liter
223 glass bottles, which were securely sealed with blue caps. These samples were promptly
224 transported to the laboratory to ensure integrity and freshness. During the collection
225 process, both the temperature and salinity of the seawater were recorded using a mercury
226 thermometer and an ATAGO-USA R-5000 refractometer, respectively.
- 227 - For the sake of comparison with seawater samples, it was prepared synthesized
228 Seawater (International, 2013). Initiating with a liter of ultrapure water in a beaker,
229 adequate quantities of salts were introduced to replicate seawater's typical ionic
230 composition. These included: Sodium chloride (~23.93g), Magnesium chloride
231 (~5.20g), Magnesium sulfate (~4.09g), Calcium chloride (~1.16g), Potassium chloride
232 (~0.66g), Sodium bicarbonate (~0.10g), and Strontium chloride less than 0.05g. All
233 chemicals were supplied by Thermo Fischer (purity > 98%) and used without further
234 purification. For optimal dissolution of the salts, we employed a magnetic stirrer. The
235 solution's pH was subsequently verified and adjusted to fall within the natural seawater
236 range of 7.5 to 8.5. Once all the salts are completely dissolved and the solution is
237 thoroughly mixed, the synthesized seawater was transferred to a storage jug or
238 container. Resulting synthetic seawater only differs from natural one in the absence of
239 organic matter.

240 The main analytical parameters of all 5 five water samples, including Suspended Solids
 241 (SS), Chemical Oxygen Demand (COD), Ammonium (NH₄) and turbidity and salinity,
 242 were monitored over a 1-month period. Resulting range of values are presented in Table 1.

243 Table 1: Analytical parameters of the water sources used for testing of MNP's dyeing.

Water Types	Suspended solids (mg/l)	COD (mg/L)	NH₄ (mgN/l)	Turbidity (NTU)	Salinity (g/L)
Primary raw water	220-350	600-863	50-75	~103	< 5
Secondary Raw water	21-42	18-63	11	< 5	< 5
Borehole water	< 5	< 20	–	<1	<5
Sea Water	1-7	< 5	–	~2-3	~38
Synthesize Sea water	<1	<1	–	<1	~31

244

245 2.5. MNP's staining in water

246 Primary MNP's (latex particles) were initially subjected to gentle agitation, after which they
 247 were meticulously diluted in deionized water. This dilution process was carefully adjusted to
 248 attain a final volume within the range of 250 to 500 milliliters in a glass flask, several drops
 249 that was determined by the respective particle diameter. Following the dilution, we
 250 administered a gentle ultrasonic treatment for the duration of one hour, a crucial step to ensure
 251 the thorough and homogeneous dispersion of the particles. The concentration of these latex
 252 particles in relation to the volume dispensed, and across the spectrum of diameters, was
 253 quantified. The number of particles per milliliter (N_p) was calculated employing a formula

254 provided by the manufacturer, facilitating an accurate and reproducible measurement of particle
255 concentration.

256

$$257 \quad N_p = \frac{Wv(\%) \cdot 6 \cdot 10^{10}}{\pi \rho d^3} \quad Eq. 1$$

258

259 where Wv is the percentage of solid polymer (%), which represents the concentration of latex
260 beads, ρ denotes the polymer density (in g/cm³), and d is the particle size (in μ m). This equation
261 simplifies to $1.828 \cdot 10^{10} / d^3$ particles, according to manufacturer.

262 Regarding secondary MNP's, the degraded mixture (soup) coming from the 5 L bottle was
263 agitated to ensure homogeneity, and then filtered through a 100 μ m membrane, to avoid
264 particles over the Microplastics definition, (Sorensen & Jovanović, 2021).

265 For dye staining efficacy validation, a 50 ml aliquot composed of 25 ml of water (ultrapure or
266 natural, depending) along with 25 ml of solution containing the corresponding MNP's
267 (dissolved latex particles or prefiltered soup), housed in a glass centrifuge flask, was employed.

268 The dyeing capability of the NR-H dye was gauged by administering varying volumes, from
269 0.1 to 10 ml, via an analytical micropipette. After dye application, the microplastics in the
270 sample were subjected to vortex agitation for a total of 40 min, segmented into 10-minute
271 intervals. All aqueous suspensions, whether of MNP's-Lab (secondary) or unidimensional latex
272 beads (primary), once treated with the NR-H dye fluorochrome, were incubated in an oven set
273 at 40°C, selected to avoid higher temperatures affecting the fluorescence intensity (Wang et al.,
274 2021). The procedure was designed to allow any remaining dye (not used in MNP's staining)
275 to settle on the surface of the water, and a period of 24 h was allocated to ensure the thorough
276 drying of the upper phase, which primarily consisted of n-Heptane.

277 The final step involves filtering selected samples through a cellulose nitrate (CN) membrane in
278 preparation for further analysis by an optical microscope, which aims to identify colored MNP's
279 in the water. To assure MNP's retention, the mean pore size of the membranes was selected to
280 be lower than expected particle size. Post-filtration, membranes were preserved in glass Petri
281 dishes and dried in an oven (max. temperature 35 °C) prior to analysis.

282 *2.6. Staining validation*

283 To validate the staining results, especially regarding the structural adsorption of the
284 fluorochrome onto the latex beads, we conducted additional tests using Scanning Electron
285 Microscopy (SEM), Optical Microscopy and Dynamic Light Scattering (DLS). A Hitachi
286 (T3000) SEM device was used working at resolutions around 0,1 µm, enough for a distinctive
287 view of the involved particles. While an Optical microscope (Tech Systems Loupe Microscope)
288 equipped with a digital camera SONY XCD-U100CR, was also used. The microscope,
289 combined with the camera, provided magnifications ranging from 4x to 20x which results in a
290 minimal resolution of roughly 1 µm/pixel on the resulting images.

291 Samples before and after MNPs staining were observed with Visible Light and UV Light:

292 • For Visible light, the primary light source for our observations was a white LED source,
293 whose intensity was finely controlled using advanced photonics optics. Exposure time was set
294 to approximately 40 milliseconds.

295 • While, in the case of UV Light observation, sample was illuminated by a UV lamp
296 (wavelength 340 nm). Exposure time was now adjusted between 300 to 600 milliseconds,
297 ensuring optimal visualization.

298 Following visualization, the captured images underwent processing using Filtrex 12.4.0
299 software, aimed for improving image quality and contrast. In particular, for UV illuminated
300 images, a consistent white background was utilized, crucial in aiding clear visualization. To

301 ensure precise color representation in the images, chromatic balance adjustments were
302 meticulously conducted.

303 Dynamic Light Scattering (DLS) technique was applied to measure the diameters of the latex
304 beads pre- and post-staining. For such, a Zetasizer NanoZS from Malvern Analytical was used.
305 50 ml of distilled water were added to 2 drops of latex particles (0.05 or 0.1 μm , while in the
306 case of 0.8 μm beads, 12 drops were added). Dimensions of these beads were measured with
307 DLS prior to staining protocol. Similarly, after the staining process using NR-H dye (see
308 protocol in section 2.5) DLS was used again to measure the diameters of the beads post-staining.

309 *2.7. Submersible fluorometer*

310 A submersible fluorometer, Cyclops 7F, (Turner Designs, San Jose, CA, USA), tailored for lab-
311 based fluorochrome experiments was used in our experiments. It was specifically designed to
312 work in a 400 to 790 nm (with a maximum of Excitation around 460 nm and a maximum of
313 Emission centered on 590 nm), aligning with the excitation-emission spectra of the
314 fluorochrome-NR-H staining shown in Fig. 2. This customization allows the Cyclops 7F to be
315 more effective in the analysis of dyed MNPs. As Turner Designs was asked to supply a special
316 Fluorometer working in a different range from those normally marketed, the company was
317 unable to supply a calibration curve, so the first step was to calibrate the response of the
318 equipment to the presence of Nile Red. For this purpose, a mixture of Nile Red and ethanol
319 (NR-E) was used. This choice was made to tailor the excitation and emission wavelengths to
320 those of Nile Red, while also opting for ethanol as a solvent over acetone to represent the linear
321 response of the device under a fluorescent solvent. Calibration was done dissolving NR powder
322 in ethanol, to get the desired concentration, began with the highest one (100 parts per billion
323 (ppb)) and progressively reducing to as low as 0.2 ppb, closely approaching the instrument's
324 resolution limit. This stepwise approach ensured a thorough calibration across a range of

325 concentrations, establishing the fluorometer's accuracy and linearity in detecting fluorescent
326 substances.

327 Once the response of the equipment to the presence of NR was assured, calibrated latex particles
328 (stained using NR-H) were used to evaluate the possibility of using fluorescence measurements
329 to determine the concentration of MNPs (primary in this case). The experimental setup
330 consisted of a non-fluorescent container, specifically a 500 ml glass beaker, placed on a non-
331 reflective black surface. This arrangement ensures that the sensor is positioned centrally in the
332 container, maintaining more than 3 inches above the bottom and at least 2 inches away from
333 the inner circumference of the beaker. Baseline calibration was done with the Cyclops 7F
334 fluorometer submerged in ultra-pure water. The study was conducted through measurements
335 on a range of latex beads to guarantee the accuracy and consistency of the results.

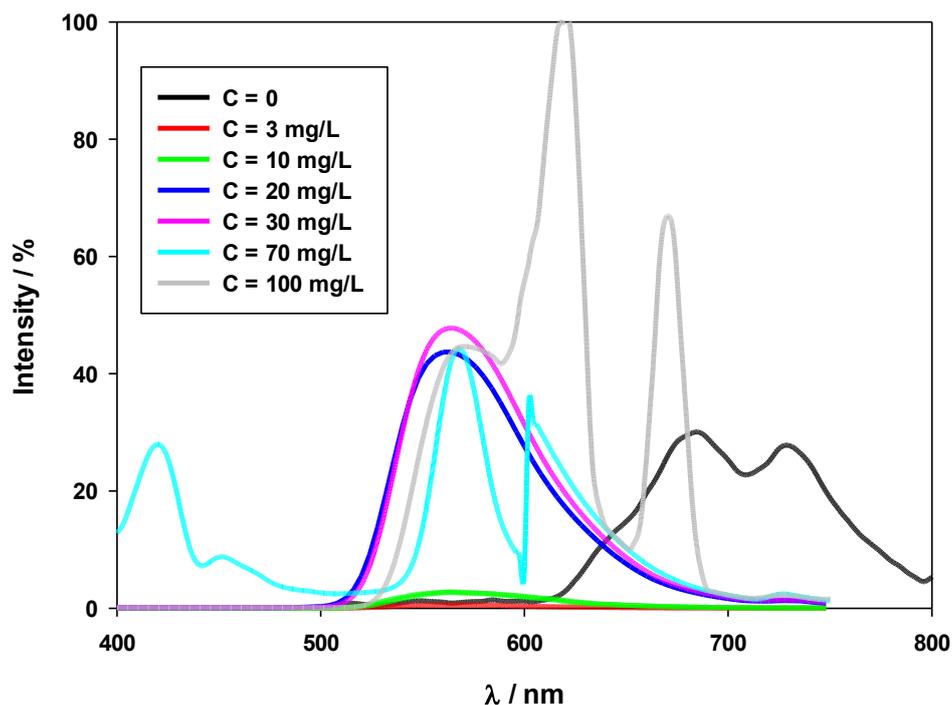
336 **3. Results and discussion**

337 *3.1. Optimum staining conditions*

338 Optimal staining conditions for micro and nanoplastics (MNPs) in water are essential for their
339 detection. Once our selection was Nile Red, previously dissolved in acetone and then, addition
340 of n-Heptane (NR-H), the first step was to determine the optimal concentration of n-heptane
341 which guarantees clear and distinguishable staining.

342 Next figure, Fig. 3, represents the emission spectra obtained with Spectro fluorescence. Same
343 volumes of secondary MNP's, pre-filtered through a 100 μ m membrane, were subjected to the
344 previously commented staining protocol with NR-H solutions at different n-Heptane
345 concentrations and the excitation (not represented here) and emission spectra of these stained
346 MNP's samples were studied in the visible range of 400-800 nm. The line marked as $c = 0$
347 corresponds to the spectrum obtained with NR only dissolved in acetone (what we have named
348 stock solution).

349 Using Nile Red in acetone without n-Heptane ($c = 0$ in the figure), despite achieving high
350 emission intensities and a notable shift towards longer wavelengths due to solvent polarity,
351 resulted in solution precipitation and non-selective water staining, then making it difficult to
352 identify MNPs.



353
354 **Fig. 3.** Dependence of the fluorescence emission signal with the concentration of n-Heptane added to the acetone
355 solution of NR dye.

356 On the other side, it was found that gradually increasing n-Heptane's (a non-polar solvent)
357 concentration, within the Nile Red dye solution, markedly improved the fluorescence emission
358 peaks. Moreover, the emission spectra in the presence of n-Heptane, moved to a single peak
359 around 560-570 nm. This enhancement was especially evident within the 20 to 70 mg/L
360 concentration range for n-Heptane. Here, we noted a stable and marked rise in emission
361 intensity, signifying the dye's effective microplastic staining. This consistency implies that the
362 Nile Red dye achieves optimal interaction with microplastics in this concentration window,
363 yielding a robust and reliable fluorescence signal.

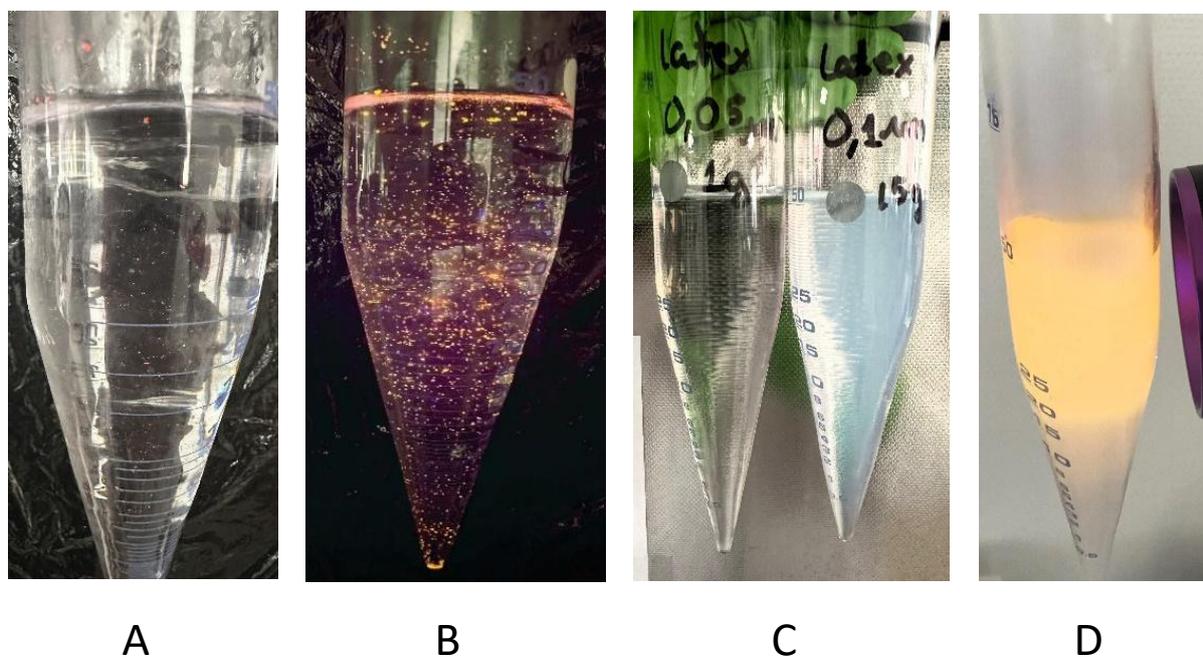
364 However, when n-Heptane concentration arrived (and eventually surpassed) 70 mg/L, the
365 emission spectrum's clarity and definition began to degrade, with the spectrum showing
366 distortion and the absence of a distinct peak. This distortion (clearly seen in the results for c =
367 100 mg/L) suggests that at elevated n-Heptane levels, the solvent's impact on the interaction
368 between dye, plastic, and water might disrupt the fluorescent behavior of Nile Red. This
369 disruption could stem from alterations in the solvent environment surrounding the dye
370 molecules, impacting their capacity to adhere to or interact with microplastic surfaces, thereby
371 modifying the fluorescence characteristics. This observation highlights the critical need to
372 meticulously regulate n-Heptane concentrations to optimize the staining and visualization of
373 Micro-Nano Plastics (MNPs). In our work, a n-Heptane concentration of 20 mg/L was
374 considered optimal as giving maximum single peak fluorescence by using minimum amount of
375 n-Heptane. *3.2. Preliminary results of MNPs (primary and secondary) in ultra-pure water*

376 Directly staining conditions are crucial for the effective detection and analysis of micro and
377 nanoplastics (MNPs) in water, given the pervasive nature of these contaminants in aquatic
378 environments. Both naturally degraded polystyrene (PS) beads and polyethylene terephthalate
379 (PET) particles coming from the also degraded bottle, fluoresced near orange wavelengths, with
380 particles over 40-50 μm visible to the naked eye. Similarly, latex microbeads in variable
381 concentrations ($\sim 10^2$ to 10^{12} particles/ml) showed distinct orange staining, visible without
382 magnification, indicating the protocol's effectiveness for various plastic types and
383 concentrations. These features are clearly seen in Fig. 4.

384 In that figure there are presented both primary and secondary MNP's before (A and C) and after
385 (B and D) staining. In both cases, stained solutions present clearly distinguishable MNP's
386 particles, when illuminated with UV light.

387 To continue with this study of MNP's staining, both latex bead samples, varying in size from
388 0.05 μm to 100 μm and immersed in an aqueous medium, and also MNPs generated by long-

389 term PS and PET degradation, underwent an extensive examination using SEM. The primary
390 focus of this in-depth analysis was to evaluate any potential defects or irregularities in the
391 fluorochrome's adhesion to the bead surfaces, to validate the efficacy of the staining process
392 across the range of bead sizes.



393 **Fig. 4.** Several images of MNPs and latex beads: A) Secondary PS and PET MNPs (previously filtered) ranging
394 from 100 to 10 μm , suspended in 50 ml of ultra-pure water and viewed under visible light. B) Secondary MNPs
395 stained with NR-H fluorescent dye, in an aqueous solution, examined under UV light (wavelength 365 nm). C) A
396 suspension containing 1 drop of 0.05 μm (left) and 10 drops of 0.1 μm (right) latex beads (primary nanoplastics)
397 in 50 ml of ultra-pure water. D) Fluorescently stained latex beads, size 0.05 μm , in an aqueous medium and
398 observed under a 365 nm UV light.

399 For this visualization, secondary MNP's and latex of different mean sizes were filtered through
400 adequate size CN membranes (mean pore size lower than particles), so that the particles retained
401 in the membrane surface can be observed in SEM pictures of such membranes. Some of the
402 SEM pictures obtained are presented in Fig. 5. For all pictures presented in that figure,
403 diameters and main dimensions of a representative amount of the visible particles has been
404 determined.

405 Regarding the pictures showing latex beds (5A-C), it should be noted the almost perfect
406 spherical shape they show. But most important, the diameters measured on selected spots in the
407 figures, coincide appreciably with the original size of the latex beads, as certified by NIST, but
408 also checked in corresponding SEM images before NR-H staining (not shown here). Therefore,
409 this collection of SEM images not only emphasizes the diverse size ranges of latex beads but

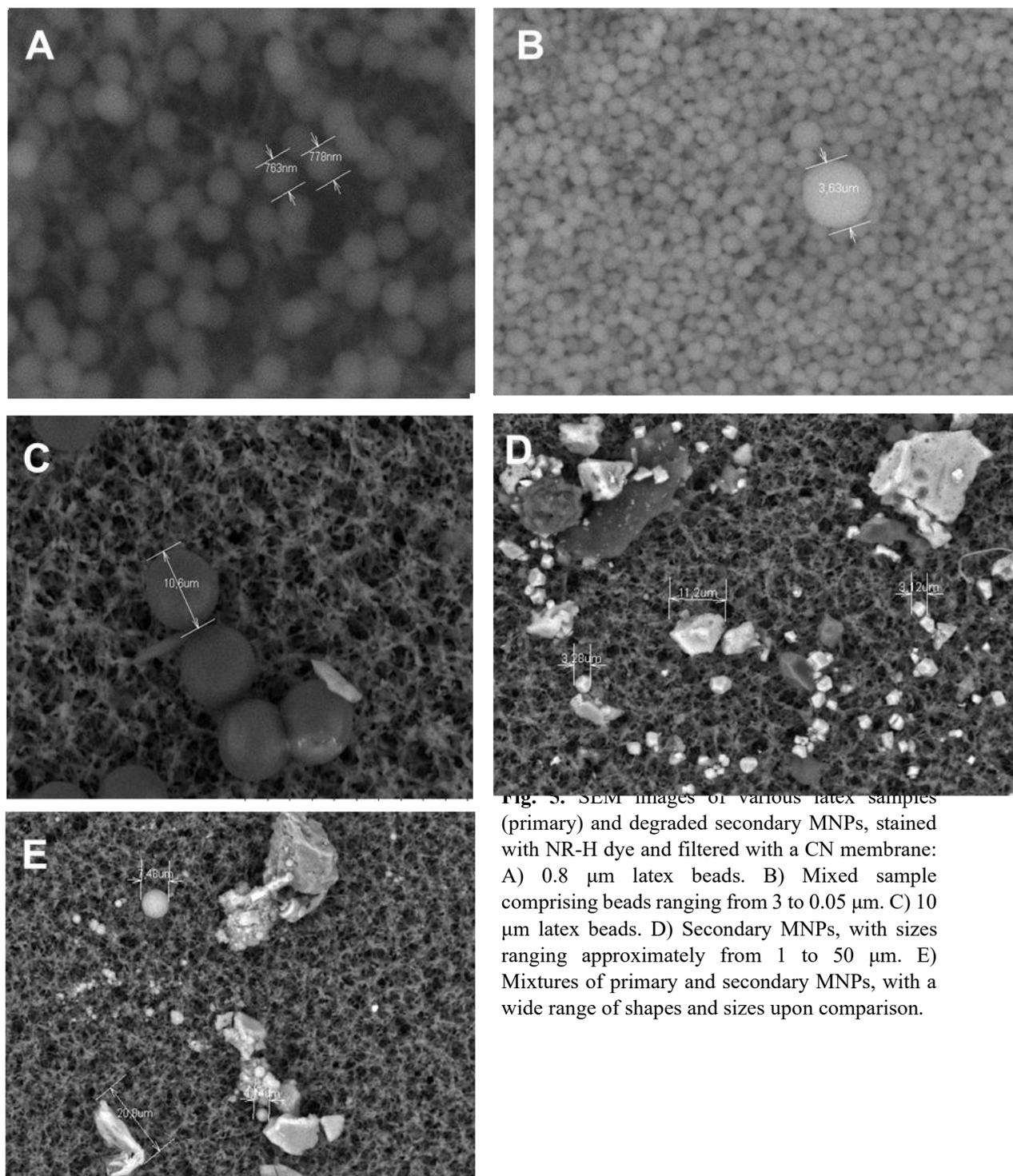


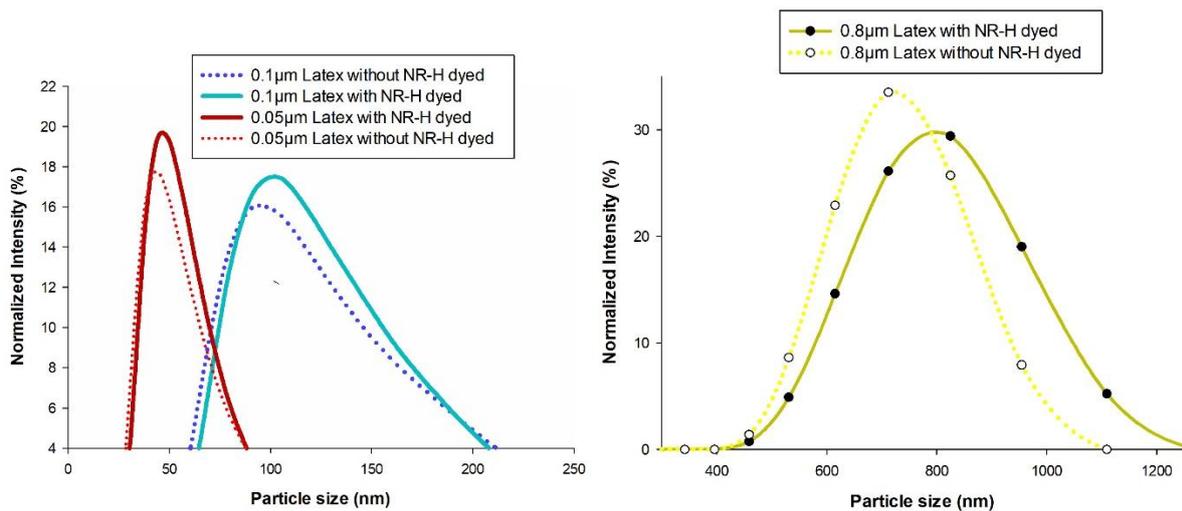
Fig. 5. SEM images of various latex samples (primary) and degraded secondary MNPs, stained with NR-H dye and filtered with a CN membrane: A) 0.8 μm latex beads. B) Mixed sample comprising beads ranging from 3 to 0.05 μm . C) 10 μm latex beads. D) Secondary MNPs, with sizes ranging approximately from 1 to 50 μm . E) Mixtures of primary and secondary MNPs, with a wide range of shapes and sizes upon comparison.

410 also demonstrates that their distinct size characteristics are preserved post-staining with NR-H
411 dye. This series of SEM images confirms that the NR-H dye staining process does not alter the
412 inherent characteristics of the latex beads, even when examined under high-resolution
413 microscopic observation.

414 Similar results were observed for secondary MNPs, see Fig. 5D-E. According to these SEM
415 images, secondary MNPs present a broad distribution of sizes and strong irregularity in their
416 shape. This is clearly expected from the different degree of degradation each particle has
417 achieved through the 1-year period. On the other side, SEM is not able to distinguish which
418 MNPs pieces come from PS pearls, and which are added by the PET bottle degradation.
419 Obviously, in this case, there is no possible to compare the sizes of the particles before and after
420 staining.

421 Complementing the SEM pictures, DLS was also employed for testing latex beads staining. To
422 stabilize the particles and reduce aggregation, some drops of a NaCl suspension (15 g/L) were
423 added to the latex samples. Results of DLS for some latex particles, before and after staining
424 are shown in Fig. 6. The analysis consistently indicates uniform size distribution of the
425 calibrated spheres, as evidenced by their almost overlapping positions on the graph, confirming
426 that the adopted dye-staining method does not significantly alter particle diameter, underscoring
427 its efficacy. From those results, seems clear that NR-H dye doesn't notably alter the bead's
428 diameter. DLS resolution limit was set at 0.3 μm , since the measurement of beads with smaller
429 diameters, less than 0.05 μm encounters challenges.

430 DLS was also used in the analysis of secondary MNPs, but results (not shown here) were
431 strongly worse, due to the broad range of particle diameters of these MNP's inherent to their
432 degradation process, which leads DLS to difficulties in obtaining a particle size distribution.



433

434 **Fig. 6.** Results of Dynamic Light Scattering (DLS) Analysis on latex particles. (a) Left figure shows latex beads
 435 with diameters of 0.05 μm and 0.1 μm, depicted before and after dyeing, respectively; (b) Right side corresponds
 436 to latex beads of 0.8 μm diameter, also before and after the application of dye. The dyeing process here includes
 437 the addition of 2 drops of NaCl solution for each measurement, to assure that latex particles do not coagulate.

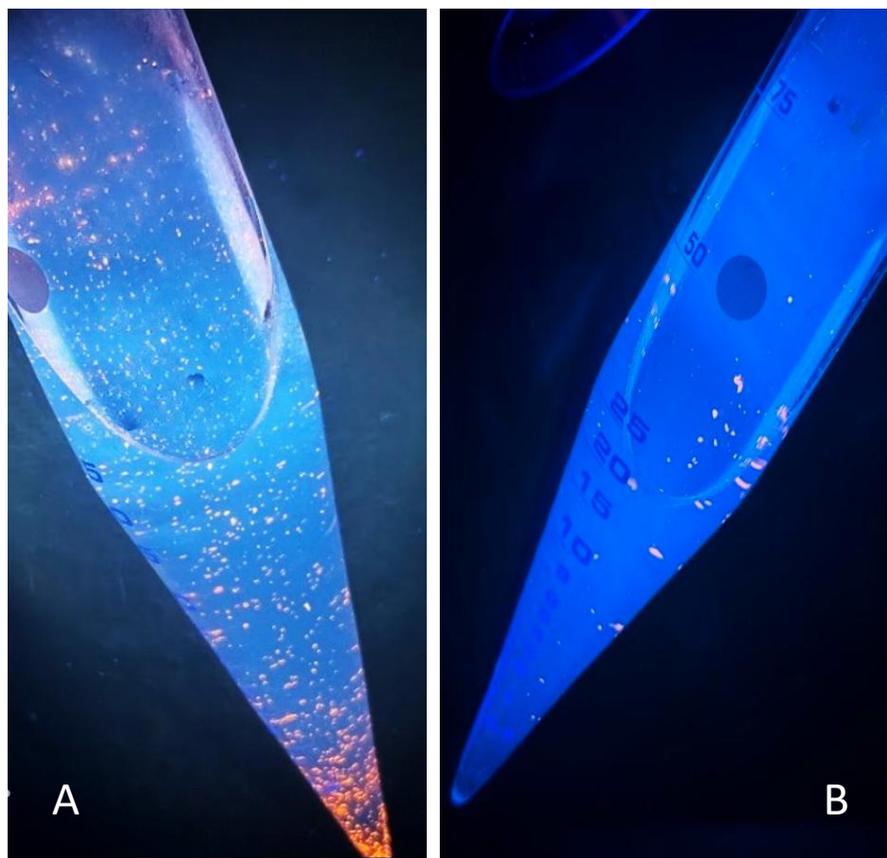
438 *3.2. Preliminary results of MNP's in several water sources.*

439 In experiments aimed at evaluating the dyeing efficiency of NR-H in natural conditions,
 440 samples were prepared by combining primary and secondary MNPs (previously mixed) with
 441 raw WWTP, borehole, sea, and synthetic salted water. Then fluorochrome dye (prepared in the
 442 yet commented manner) was added at such solutions in amounts varying between 1 ml to 10
 443 ml (depending on the water source turbidity). Each sample was agitated using a vortex mixer.
 444 After vigorous mixing, the samples were oven-dried to remove excess dye, so enhancing MNP
 445 visibility in various water samples.

446 Examples of the resulting MNPs solutions are shown in Fig. 7 for two water sources coming
 447 from a WTP. In both pictures, stained MNPs are clearly visible as orange spots when
 448 illuminated with UV light. They are clearly distinguishable from the blue ground of the water.
 449 This blue color is due to the presence of Chromophore Dissolved Organic Matter (CDOM), a
 450 group of organic molecules present in water, arising from decaying plant and animal materials,

451 with a significant contribution from tannins and lignins released during plant decomposition.
452 CDOM re-emits ultraviolet light as visible blue light (approximately 450 to 495 nm). While,
453 NR-H dye, emits primarily in the orange wavelength range, around 540-580 nm, clearly
454 distinguishable from CDOM contribution.

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465 **Fig. 7.** (a) MNPs fluorescence in WWTP inlet water (Primary raw), illuminated under UV light. (b) Similar image
466 from secondary raw water treatment.

467 Therefore, from Fig. 7, we can conclude that the NR-H dye is effective on staining the surfaces
468 of primary and secondary plastic fragments in water containing, presumably, a high
469 concentration of suspended organic matter. However, as pointed out by Shim et al, (Shim et al.,
470 2016), some limitations of NR staining, should be considered. Mainly they found that NR can
471 lead to co-staining of organic matter contained in the natural samples. Shim et al. postulated it
472 is needed, in that case, a previous sample treatment to remove lipids and other organic matter.

473 Certainly, this is a potential limitation to an accurate MNPs staining. In our work, natural
474 samples were mixed with selected concentration of our primary and secondary MNPs, leading
475 to the fluorescence patterns showed in Fig. 7. Nevertheless, when these natural water samples
476 were NR-H stained and then UV illuminated before addition of generated MNPs or latex beads,
477 it was not found any orange spot, that could be result of organic matter staining. Only the
478 already mentioned blue background caused by CDOM, but clearly distinguishable from the
479 orange color of the plastic particles. Anyway, a better understanding of these possible
480 interactions (especially in contexts with abundant organic matter) should be crucial for refining
481 environmental monitoring technique and achieving an accurate method of identification of
482 plastic pollution in aquatic environments.

483 In both natural and synthesized seawater samples, as well as borehole water, the same staining
484 protocol was applied, resulting in similarly visible fluorescent particles.

485 To conclude the study of dye adhesion, samples were observed by Optical microscopy.
486 Representative pictures of such observations are included in Table 2, for both Visual and UV
487 illumination of stained microplastics. The images depict a composite of primary and secondary
488 micro- and nanoplastics that have been filtered using a 5 μm cellulose nitrate membrane, which
489 serves as the backdrop in the photographs.

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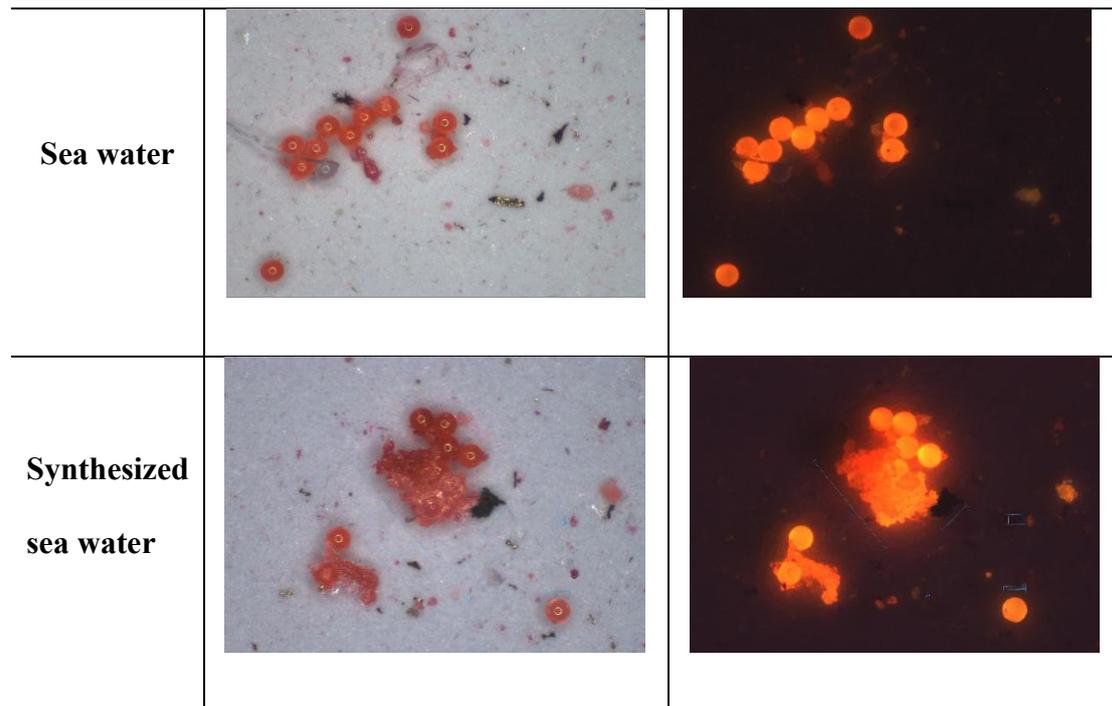
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499 In the pictures can be easily distinguished the latex particles (almost perfect spheres) in light
500 red color (visible) or clearly orange (UV). Along with, some secondary MPs can be observed,
501 whose main distinctive characteristic is a highly irregular shape (see, for example, primary
502 raw water or borehole water pictures). In the water samples, other components such as metal
503 particles and organic matter remain unstained and appear as more or less black spots under
504 visible light and organic fibers are distinctly observable in blue color when viewed under UV
505 light.

506 Certainly, not all latex particles, and surely not all secondary MNPs exhibit a complete, high-
507 intensity, staining. This phenomenon can be attributed to insufficient dye volume for smaller
508 diameter beads or irregular shape for secondary ones, suggesting a correlation, worthy of study,
509 between bead size and staining efficiency. So, the wide variation in size might affect the
510 uniformity of the staining process. Secondly, there might be limitations in the dyeing process
511 when it comes to irregularly shaped or fractal parts of secondary MNPs, particularly those
512 smaller than 1µm. These particles, due to their unique shapes and sizes, may not absorb the dye

513 as effectively as more uniformly shaped particles, leading to less pronounced or uneven
514 staining. Nevertheless, it appears as even for highly irregular particles, there is a substantial part
515 of them correctly stained, enough to clearly detect such particles in the water source.
516 Additionally, our observations reveal significant some trend to form agglomerations between
517 primary and MNPs (see borehole and synthesized sea water pictures, for example), indicative
518 of complex interactions within the aquatic environment.

519 This aspect of our research indicates a need for further investigation. Future studies should
520 focus on refining the staining process for secondary MNPs, especially for those with complex
521 shapes and smaller sizes (Stanton et al., 2019). Such research could involve developing more
522 advanced or targeted staining techniques that can more effectively and uniformly dye MNPs of
523 various shapes and sizes. By addressing these potential limitations, we aim to enhance the
524 accuracy and consistency of our methodology for detecting and analyzing primary MNPs,
525 contributing to a deeper understanding of their characteristics and behavior in various
526 environments.

527 *3.3. Preliminary results of fluorescence spectroscopy and fluorometry in secondary MNPs*

528 We employed the SAFAS Xenius XC Spectrofluorometer to measure the fluorescence intensity
529 of stock solutions containing secondary microplastics, which had been filtered through a 50 μm
530 filter prior to analysis. These stock solutions were subjected to a series of 2-fold dilutions,
531 ranging from the undiluted stock solution (n=0) to a 1/1024 dilution (n=10). Fluorescence
532 spectra for each dilution were recorded, as shown in Figure 8.

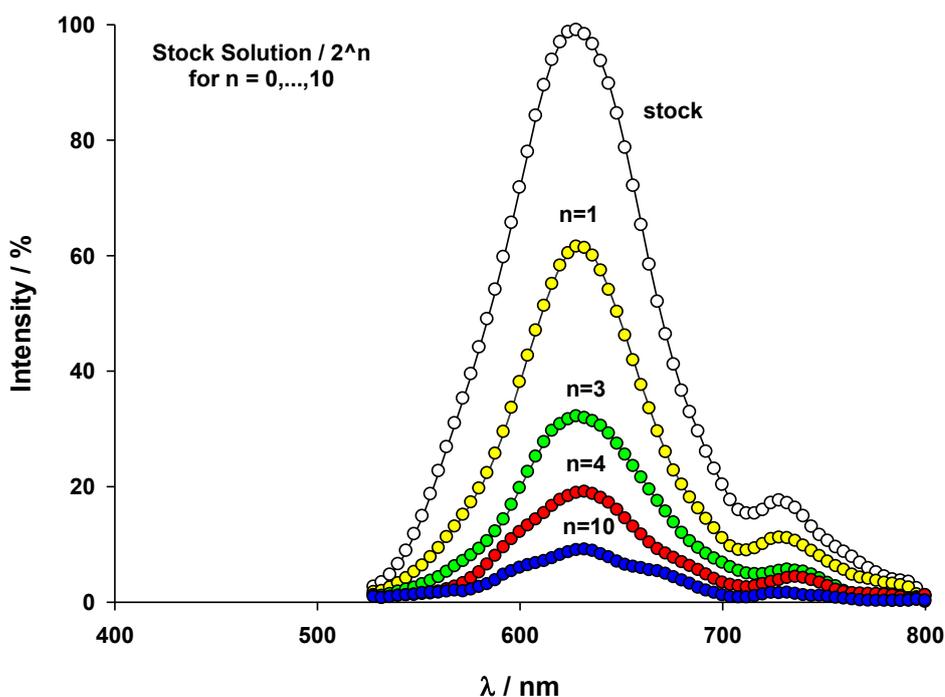
533 Key Observations:

534 *1. Intensity-Dependent Quantification: The fluorescence intensity systematically*
535 *decreased with increasing dilution, demonstrating a clear correlation between*
536 *microplastic concentration and fluorescence signal intensity. The highest fluorescence*

537 intensity was observed in the undiluted stock solution, with progressively lower
538 intensities in the diluted samples.

539 2. Effective Detection Range: The spectrofluorometer effectively detected microplastics
540 across a wide concentration range. The solvatochromic effect of NR caused a shift of
541 the fluorescence towards higher wavelengths with increasing polarity of the polymers
542 (e.g., PS and PET), due to binding to the particle surface or incorporation into the
543 polymeric network (Sturm et al., 2021). The distinct peaks observed around 600 nm
544 correspond to the fluorescence emission of the NR-H dye bound to the microplastics,
545 indicating successful staining and detection.

546 3. Consistency and Accuracy: The consistency of the fluorescence peaks across different
547 dilutions underscores the reliability of the NR-H staining protocol and the accuracy of
548 the spectrofluorometer calibration. This reliability is crucial for the accurate
549 quantification and characterization of microplastics in various aqueous media.

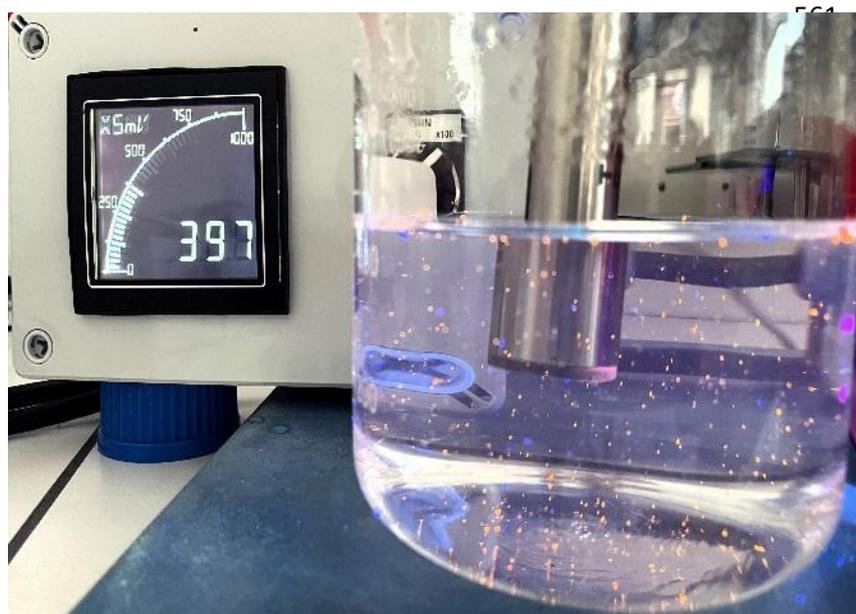


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551 **Fig. 8.** Observation of secondary MNPs by using the Cyclops 7F fluorometer. The resulting signal reading is
552 shown in the device screen.

553

554 As part of our protocol validation, we conducted a calibration test on the Cyclops 7F
555 fluorometer to ensure its accurate response within the desired wavelength range of 400 to 790
556 nm. This calibration meticulously covered concentrations from 100 parts per billion (ppb) down
557 to 0.1 ppb, near the instrument's resolution threshold. The resulting calibration curve
558 demonstrated a distinct linear relationship between the concentration of the NR-H mixture and
559 the fluorometer's voltage readings, expressed in millivolts (mV), with a correlation coefficient
560 of 0.999, affirming the reliability of our measurements.



568 **Fig. 9.** Observation of secondary MNPs by using the Cyclops 7F fluorometer. The resulting signal reading is
569 shown in the device screen.

570 Once the linear response of the fluorometer was demonstrated, we tested its utility in detecting
571 MNPs in aqueous media. Fig. 9 illustrates the setup for fluorometer measurements, with the
572 Cyclops 7F fluorometer immersed in a glass beaker containing the water sample to which

573 secondary MNPs had been added. The fluorometer screen showed that the technique is sensitive
574 to the presence of MNPs in the water, resulting in appreciable signal readings.

575 Despite its sensitivity to NR-H, the technique does not allow for discrimination of the
576 concentration or origin of secondary MNPs (i.e., whether particles originate from PS beads or
577 PET bottles). However, the presented protocol ensures the presence of MNPs in suspected
578 contaminated water sources without the need for pre-treatment to remove substances that may
579 lead to false positives.

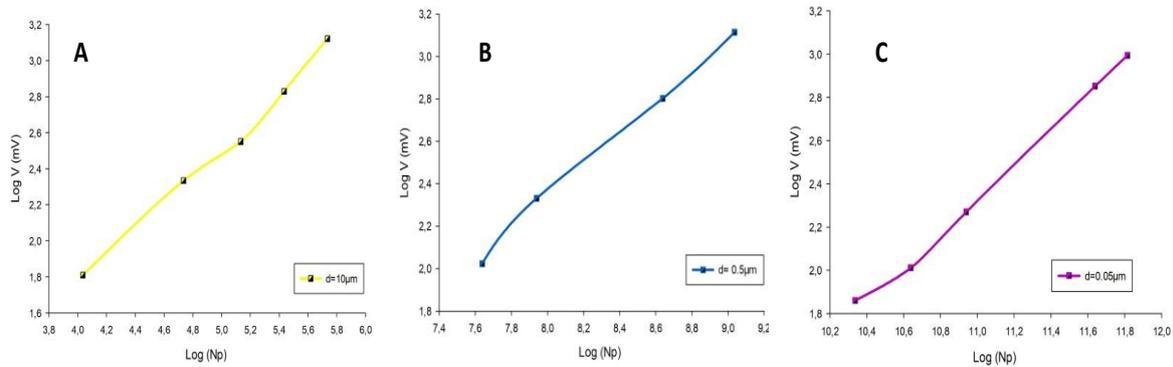
580 The systematic decrease in fluorescence intensity with dilution confirms that our staining
581 protocol, combined with the Cyclops 7F submersible fluorometer, provides a robust method for
582 quantifying secondary microplastics based on fluorescence intensity. The method's sensitivity
583 and dynamic range are validated by the clear, consistent peaks observed in the spectra.

584 These results validate the efficacy of our NR-H staining protocol and the spectrofluorometric
585 detection method, providing a reliable approach for the identification and quantification of
586 secondary microplastics in environmental water samples. Future work will focus on refining
587 this method to enhance specificity and sensitivity, as well as adapting it to a broader range of
588 polymer types and environmental conditions.

589 *3.4. Preliminary results of fluorometry in primary MNPs*

590 As for primary MNPs, taking advantage of the fact that particles of very well-defined sizes are
591 available, a study of the fluorometer response to NR-H stained latex beads was carried out. For
592 this purpose, the response to different concentrations of latex particles of different sizes was
593 measured. Fig. 10 shows these fluorometric signal measurements for various latex sizes (10,
594 0.5 and 0.05 μm). These results are presented in double logarithmic scale, where the
595 concentrations have been translated into number of particles present by using equation (1). It
596 can be seen how, for each latex particle size, there is a clear linearity, which translates into an

597 increase in the detected signal as the number of particles present increases. These plots could
598 be used as a calibration curve to determine the concentration of particles in each sample
599 assuming that only particles of a single size are present.

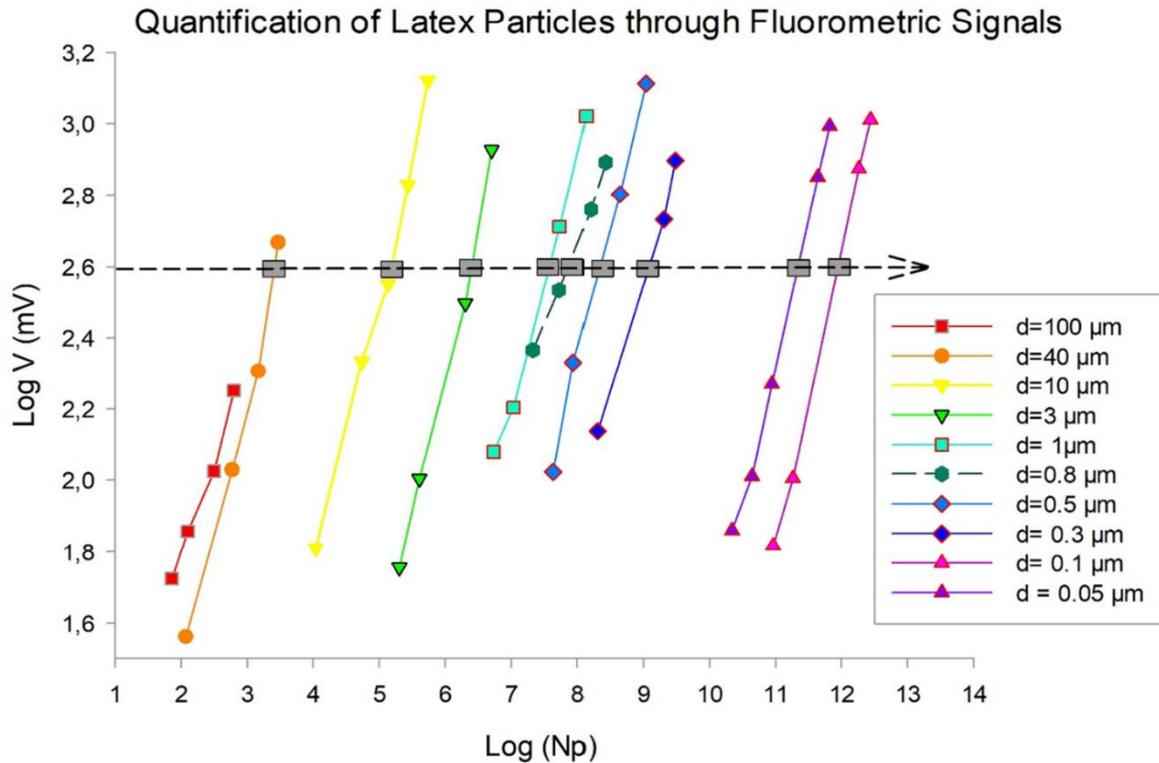


600

601 **Fig. 10.** Double logarithmic plot of the fluorometer response vs the number of latex particles for different latex
602 sizes (A: 10 µm; B: 0.5 µm; C: 0.05 µm).

603 On the other side, when comparing results in plots A, B and C, it is also remarkable (and
604 otherwise expected) that larger particle sizes need less concentration to give a measurable
605 fluorometric signal.

606 Unfortunately, when dealing with latex beds of different sizes, a single fluorometer reading
607 does not allow us to decide simultaneously on the size and concentration of the particles present.
608 This is clearly seen in the following figure, (Fig. 11) where the response curves of the
609 fluorometer to the different latex particles available are represented. Thus, for a given reading
610 (400 mV = $10^{2.6}$), the graph lacks univocity, so that it could correspond to 10^3 particles of 40
611 µm, 10^{12} of 0.1 µm, or even to a combination of different quantities from various sized particles.



612

613 **Fig. 11.** Quantitative correlation of fluorometric signal intensity (mV) with concentration of latex beads across a
 614 spectrum of particle sizes.

615 Particularly noteworthy is the presence of overlapping data points across different particle sizes,
 616 suggesting a limitation in using fluorometry response as a sole metric for determining particle
 617 size or concentration.

618 This limitation can be attributed to the fact that different particle sizes with varying surface
 619 areas could adsorb the dye differently, resulting in a similar fluorescence intensity.
 620 Consequently, the surface adsorption characteristics of Nile Red may introduce ambiguity in
 621 the interpretation of the fluorescence data when used as a standalone method.

622 In conclusion, while the fluorometric analysis is clearly useful in an initial detection of MNPs
 623 presence, its limitations suggest the use of an analytical strategy combining fluorometry with
 624 other characterization techniques, like NTA, DLS, SEM or vibrational spectroscopy among
 625 others. These methods can offer in-depth insights into the size, shape, and distribution of the
 626 particles, providing a more complete picture than fluorometry alone.

627 **4. Conclusion**

628 This study has significantly advanced the detection and characterization of micro- and nano-
629 plastics (MNPs) in various aqueous media. We developed and validated a staining protocol that
630 minimizes pre-processing steps, enabling the direct identification and potential quantification
631 of MNPs in water from multiple sources. The protocol proved effective on both commercially
632 available latex particles with well-defined sizes (primary MNPs) and secondary MNPs created
633 through controlled degradation of common polymers like PS and PET.

634 The key findings of this research can be summarized as follows:

635 A) Staining Efficacy: Our direct staining method with NR-H has demonstrated high efficiency
636 in marking MNPs, eliminating traditional sampling and separation processes. It has shown
637 effectiveness in both controlled laboratory conditions and real-world water samples with
638 varying levels of contamination. However, variations in water quality—such as pH,
639 temperature, and ionic strength—significantly influence staining efficiency, indicating the need
640 for further study on these factors.

641 B) Validation Techniques: The reliability of our staining results has been corroborated through
642 the use of advanced analytical techniques, including Scanning Electron Microscopy (SEM),
643 Dynamic Light Scattering (DLS), and optical microscopy, which have provided precise
644 characterizations of the MNPs.

645 C) Fluorometric Detection: The Cyclops 7F submersible fluorometer, specially calibrated to
646 align with the NR-H dye, has been instrumental in detecting stained MNPs effectively. This
647 tool's calibrated use, especially with Nile red in ethanol, has confirmed its accuracy and
648 consistency.

649 D) Quantitative Analysis: Analysis of NR-H stained latex particles has established a linear
650 relationship between particle quantity and detected signal, although distinguishing particle size
651 and concentration in complex mixtures remains challenging.

652 E) Secondary MNP Detection: While effective in detecting secondary MNPs, their irregular
653 shapes and diverse sizes necessitate the use of additional characterization methods for precise
654 quantification.

655 We consider the proposed methodology to be a significant step forward in the field, offering a
656 streamlined, cost-effective approach for the rapid identification and characterization of MNPs.
657 This approach is poised to enhance monitoring efforts across various aquatic systems, from
658 oceans to groundwater, supporting interventions to reduce plastic pollution. The potential
659 implications of our findings are vast, affecting environmental monitoring, water treatment
660 processes, marine biodiversity, public health, and industrial practices.

661 The research presented proposes a rapid and reliable protocol for the identification of MNPs in
662 aqueous samples, fulfilling the initial objectives. However, it also opens new questions and
663 perspectives requiring further investigation. Open areas for future research include:

664 1. Methodological Extension: Expanding the protocol to include all types of natural
665 samples will allow rigorous testing of the efficacy and robustness of the NR-H staining
666 protocol under real conditions. Collaboration with environmental research groups will
667 facilitate testing in a wide range of contaminated waters, ensuring results accurately
668 reflect various ecological scenarios.

669 2. Adaptation for Diverse MNP Polymers: Continuing to adapt our staining techniques for
670 various MNP polymer types (primary and secondary) is essential. Our current approach
671 focuses primarily on polar microplastics, and we seek to address a broader spectrum of

672 polymer chemistries to develop a truly inclusive MNP detection protocol directly in
673 water.

674 3. Refinement of Quantification Capabilities: Ongoing studies aim to refine the
675 quantification capabilities of our methods and explore the sensitivity limits of our
676 fluorometric techniques for various polymers and concentrations.

677 4. Addressing Organic Particulate Interference: Addressing organic particulate
678 interference in natural samples remains a key concern. Future research will integrate
679 advanced spectroscopic techniques, such as fluorescence lifetime imaging (FLIM) and
680 hyperspectral imaging, to differentiate MNPs more accurately from background
681 fluorescence. These improvements are expected to significantly increase the specificity
682 of the NR-H staining method, reduce the likelihood of false positives, and improve the
683 reliability of our quantitative analyses.

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