

1 **Redefining conventional biomass hydrolysis models by including mass transfer**
2 **effects. Kinetic model of cellulose hydrolysis in supercritical water.**

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11

12 **Abstract**

13 Conventional kinetic models of cellulose hydrolysis in supercritical water do not
14 accurately represent the operation with concentrated suspensions since they neglect the
15 mass transfer effects. This work proposes a kinetic model which is able to reproduce
16 cellulose hydrolysis at high concentrations providing the optimum reaction conditions
17 to obtain nanocellulose particles and oligomers of controlled size. The basic idea of the
18 model, which is applicable to other lignocellulosic materials, is that the hydrolysis of
19 the cellulose particles generates an oligosaccharides layer which creates a mass transfer
20 resistance. Therefore, it considers both the diffusion of the water molecules from the
21 bulk phase to the surfaces of the cellulose particles and the superficial hydrolysis
22 kinetics. Experimental points were obtained working with two different cellulose types
23 ($D_p = 75\mu\text{m}$ and $D_p = 50\mu\text{m}$) at 390°C and 25MPa, residence times between 50ms and
24 250ms and initial cellulose suspension concentration from 3% to 7% w/w (1% to 2.3%

25 w/w at the inlet of the reactor). The average deviation between the experimental points
26 and the theoretical values is lower than 10% proving the applicability of the kinetic
27 model. The experimental and theoretical results demonstrated that increasing the total
28 number of cellulose particles, either increasing the initial concentration or decreasing
29 the average particle diameter, reduces the hydrolysis rate.

30

31 **Declarations of interest:** None

32

33 **Keywords**

34 Mass transfer, Shrinking Core Model, particle surface, oligosaccharides layer, covering
35 conversion.

36

37 **1. Introduction**

38

39 Replacing chemical and petrochemical industries by green technologies requires first
40 redefining the conventional synthesis routes of the chemical compounds demanded by
41 the society [1–6]. Adapting the production techniques to bio-based feedstocks implies
42 moving from traditional organic synthesis to hydrolysis [7].

43 Unlike in traditional organic chemistry in which the chemical compounds are
44 synthesized starting from simple molecules and aggregating functional groups, the
45 obtaining of chemicals from biomass is commonly based, as a first step, on its
46 hydrolysis to fundamental compounds [8]. Although biomass already contains all those
47 functional groups, controlling the extension of the hydrolysis reaction, this is the degree
48 of division of the biomass constituents, is still a challenge whose resolution is based on
49 a deep understanding of the hydrolysis mechanisms and on the control of the reaction

50 conditions. An accurate control of the reaction time will allow selecting either the final
51 hydrolysis compounds or the degree of polymerization of the biopolymers obtained
52 from biomass hydrolysis. The combination of hydrolysis bioproducts and biopolymers
53 in different proportions will create new biomaterials whose properties will fit the
54 requirements demanded by the technological applications of today's society.

55 Although the acid and the enzymatic hydrolysis have been always considered the
56 reference techniques in biomass hydrolysis [9,10], some disadvantages have penalized
57 their fully implantation. Apart from the low selectivity, the long reaction times and the
58 consequent increase of the equipment capacities, joined to the generation of residual
59 effluents, demand a robust alternative.[8] In this context, biomass hydrolysis by hot
60 pressurized water provides an opportunity to improve the current biomass hydrolysis
61 standards. While the process selectivity is drastically increased reaching values over
62 90%, the reduction of the reaction time from minutes to milliseconds intensifies the
63 process. Consequently, compared to traditional acid and enzymatic hydrolysis, the
64 reduction of the equipment volumes from cubic meters to cubic centimeters allows
65 delocalizing the process and exploit local biomass. Finally, the generation of residual
66 effluents is greatly limited since only water is used as a reagent. [7,11]

67 The physical properties of supercritical water, water above its critical point (374°C,
68 22MPa), can be finely tuned controlling the reaction conditions [11,12]. While its low
69 dielectric constant, similar to the one of non-polar organic solvents, enhances the
70 solubility of organic compounds, its low viscosity and high diffusivity improve the
71 penetration of the water molecules into the lignocellulosic matrix. Finally, the
72 possibility of easily modifying the dissociation of the water molecules varying the
73 reaction conditions allows promoting either the ionic or the radical reactions and
74 controlling the reaction pathways. [13]

75 Although the final step in the development of the bio-based industry shall be the direct
76 processing of lignocellulosic biomass [14], its complexity joined to the drastic reaction
77 conditions requires first understanding the mechanisms which govern the hydrolysis of
78 its main constituents. Compared with lignin, an unstructured network of phenolic
79 compounds, and with hemicellulose, a polysaccharide created by the combination of
80 different monomeric units, cellulose is the simplest constituent of biomass [8]. Cellulose
81 is a linear polysaccharide consisting on several glucose units linked by β -1,4 glycosidic
82 bonds. Its degree of polymerization, which varies from several hundred to many
83 thousands glucose units, depends on the raw material [12,15]. The aggregation of these
84 saccharides chains, connected by hydrogen bonds created between the OH groups, form
85 a three dimensional structure of fibrils characterized by its toughness and water
86 insolubility [16–21]. Understanding cellulose hydrolysis mechanisms will provide a
87 clear insight of biomass transformation fundamentals.

88 Traditionally, the main challenge linked to cellulose hydrolysis by supercritical water
89 has been the operation with concentrated suspensions. Commonly, when working with
90 cellulose suspensions, clogging problems both in the pumps and in the lines are faced.
91 Overcoming the technical limitations which avoid a robust operation of the hydrolysis
92 plants with concentrated suspensions will upgrade the technology resulting in a
93 reduction of the capital and of the operating costs. Operating supercritical water
94 hydrolysis plants with concentrated suspensions does not only reduces the size of the
95 pieces of equipment involved in the process but decreases the energetic demand of the
96 downstream process [22]. In this context, the majority of cellulose hydrolysis works
97 have been based on low concentrated suspensions [12,23]. Although authors were aware
98 of the fact that the substitution of traditional acid and enzymatic hydrolysis by
99 supercritical water hydrolysis is greatly dependent on increasing the concentration of

100 the initial suspension, in the first hydrolysis works, understanding both the cellulose
101 hydrolysis mechanism and the reaction pathways and obtaining the optimum reaction
102 conditions was a priority. Consequently, because of the operating conditions, these
103 works proposed simple kinetic models which consider that the cellulose particles are
104 instantaneously dissolved and that hydrolysis is performed in a homogeneous phase
105 [24–26]. The main outcome of these works is the definition of the cellulose hydrolysis
106 pathways explaining how cellulose and its subsequent hydrolysis products are
107 transformed when they are subjected to hydrolysis. Once dissolved, cellulose is
108 hydrolyzed to long oligosaccharides chains which are then hydrolyzed to glucose.
109 Finally, if hydrolysis proceeds, degradation products such as acids are obtained from
110 glucose hydrolysis [27,28].

111 Once that the cellulose hydrolysis pathways have been explained and that the optimum
112 reaction conditions have been adjusted, experimental works which analysed the effect of
113 an increase of the cellulose suspension concentration have been performed [15]. These
114 works proved that when the cellulose concentration is increased, *even when the water*
115 *mass concentration remained over 90%*, a solid fraction remains unreacted. *This fact*
116 *demonstrates that the cellulose particles are not always fully dissolved in supercritical*
117 *water*. This evidence disagrees with the bases of the conventional hydrolysis models and
118 explains the divergences between the experimental and the theoretical results found in
119 these works. Therefore, when working with concentrated suspensions, neither
120 dissolution can be considered as instantaneous nor hydrolysis understood as a process
121 performed in a homogeneous phase. These two considerations neglect the cellulose step
122 dissolution and the mass transfer effects. Although the conventional models are not able
123 to predict cellulose hydrolysis at high concentrations, they must be the base to
124 understand how the initial concentration influences cellulose hydrolysis.

125 In this work a kinetic model which accurately predicts cellulose hydrolysis at high
126 concentrations is presented. The model, which considers the mass transfer effects, is
127 based on the idea that the hydrolysis of the cellulose particles generates
128 oligosaccharides which are instantaneously dissolved in the liquid phase and, because of
129 their low diffusion coefficient, they remain as a layer which surrounds the cellulose
130 particles creating a mass transfer limitation. Moreover, it considers that an increase in
131 the cellulose concentration reduces the accessibility of the water molecules to the
132 surface of the cellulose particles because of the higher probability of interaction
133 between the oligosaccharides layers of the different particles (more particles are fed to
134 the reactor) and because of the higher concentration of hydrolysis products in the
135 aqueous phase. These compounds directly interact with the water molecules penalizing
136 their diffusion to the surface of the cellulose particles.
137 Finally, although in the case of compounds such as hemicelluloses and lignin it can be
138 also considered that their hydrolysis products can create a mass transfer resistance,
139 because of their higher complexity, the model would need to be adapted and partially
140 reformulated to represent the hydrolysis of natural biomasses.

141

142 **2. Materials and Methods**

143 **2.1 Materials**

144 Deionized water and two different types of high purity microcrystalline cellulose were
145 selected to perform the validation experiments. While the first type of cellulose, with an
146 average particle diameter of 50 μm was purchased from Sigma-Aldrich (Avicel® PH-
147 101), the second one, with an average particle diameter of 75 μm , was purchased from
148 VWR (A17730). The standards used in the high-performance liquid chromatography
149 (HPLC) analysis were: cellobiose (C98%), glucose (C99%), fructose (C99%), erythrose

150 (C75%), glyceraldehyde (C95%), glycolaldehyde dimer (C99%) and 5-
151 hydroxymethylfurfural (C99%) purchased from Sigma. Sulfuric acid (C96%) and
152 calcium carbonate (C99%) supplied by Sigma were used as reagents in the
153 determination of structural carbohydrates. Milli-Q water was also used in this
154 procedure.

155

156 **2.2 Analysis**

157 The solid fraction at the outlet of the reactor, which represents the unconverted
158 cellulose, was determined by gravimetric analysis. This fraction was [immediately](#)
159 separated by centrifugation from the product samples, dried at 60°C during 24 hours and
160 finally weighted. Then, cellulose conversion in the reactor was determined by Equation
161 1:

162

163 Equation 1: $X = \frac{W_0 - W}{W_0}$

164

165 Where X represents cellulose conversion, W_0 the cellulose mass concentration at the
166 inlet of the reactor (g cellulose / g total) and W the cellulose mass concentration at the
167 outlet of the reactor (g cellulose / g total).

168

169 The composition of the liquid product was determined by HPLC analysis. The column
170 used for the separation of the compounds was Shodex SH-1011 at 50°C, using sulfuric
171 acid (0.01 N) as mobile phase with a flow rate of 0.8 ml/min. The Waters IR Detector
172 2414 was used to identify the sugars and their derivatives, and a Waters UV-Vis
173 detector was used to determine the 5-hydroxymethylfurfural (5-HMF) concentration at a

174 wavelength of 254 nm. The concentration of soluble oligosaccharides in the liquid
175 samples was determined by acid hydrolysis to glucose and HPLC determination
176 following a laboratory analytical procedure from NREL (Sluiter et al [29]) as follows.
177 To 10 ml of filtered liquid aliquots, 4 ml of 96 % H_2SO_4 was added. The sample was
178 maintained in an oven at 30°C for 60 min. Then 86 ml of Milli-Q water was added, and
179 the sample was incubated at 121°C for 60 min. Calcium carbonate was added to 20 ml
180 of this sample to neutralize the pH, and finally the supernatant liquid was filtered and
181 analysed by HPLC. Two replicates of each experiment were analysed in order to obtain
182 reliable results.

183 The mass fraction of oligosaccharides in the liquid phase was determined by Equation
184 2, where Col,c and $Ccel,c$ represent the concentration of oligosaccharides and the
185 concentration of cellulose in the liquid phase on a carbon basis. **The monomer was not**
186 **considered as an oligosaccharide and therefore its mass fraction was subtracted.** The
187 carbon factors used to convert the concentrations of oligosaccharides and of cellulose
188 into a carbon basis are 0.4 and 0.444 respectively. While Col,c is determined by HPLC
189 following the Sluiter et al [29] method, $Ccel,c$ is determined by Equation 3:

190

191 Equation 2: $x_{ol} = \frac{C_{ol,c}}{C_{cel,c}}$

192 Equation 3: $C_{cel,c} = C_{cel,o} \cdot X$

193

194 Where $C_{cel,o}$ represents the cellulose concentration at the inlet of the reactor and X the
195 cellulose conversion calculated by Equation 1.

196

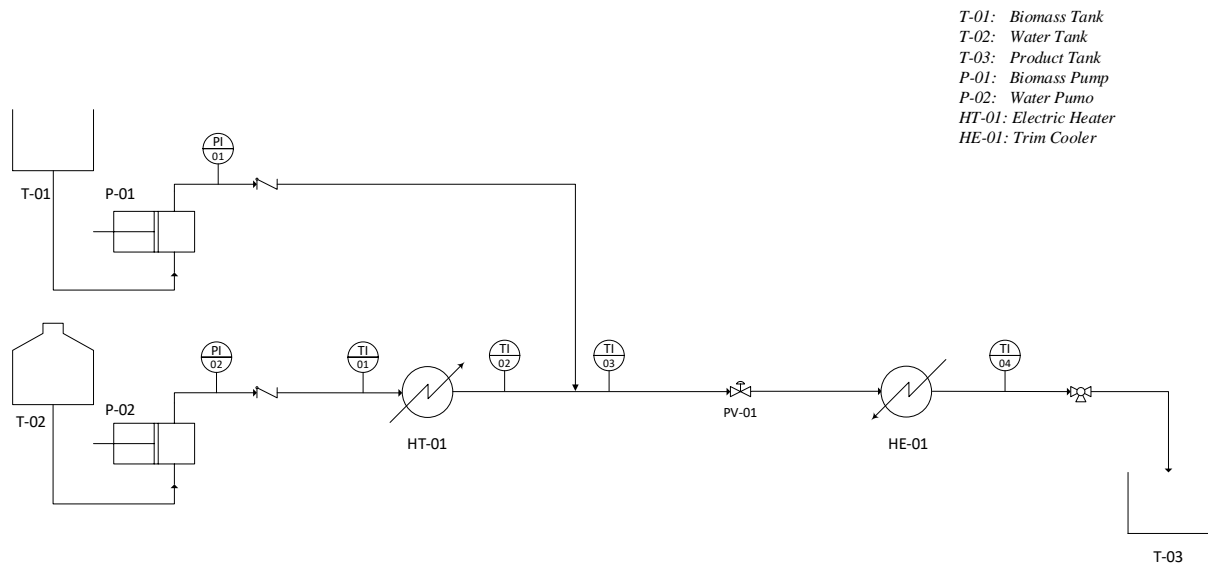
197 Finally, the crystallinity of the samples was determined by XRD (X-Ray Diffraction)
198 using a Bruker Discover D8 diffractometer in the Laboratorio de Técnicas
199 Instrumentales of the University of Valladolid. The X-ray source is a copper tube, Cu
200 $K\alpha$ radiation ($\lambda = 1.5418 \text{ \AA}$), of 2.2 kW power which works at 40kV and 30 mA and
201 which uses an energy dispersive type detector Lynxeye (Bruker) model. The measuring
202 range, 2θ , varied from 10° to 45° with a step size of 0.02° and a time per step
203 parameter of 1s. While the total number of steps was equal to 1713, the total measuring
204 time was approximately equal to 31 minutes.

205

206 **2.3 Experimental Setup**

207 All the experiments were carried out in the FASTSUGARS continuous pilot plant
208 designed and built by our research group [12]. A pressure of 25MPa and a temperature
209 of 390°C were selected as reaction conditions and fixed at the inlet of the reactor. Since
210 the objective of the experiments is the validation of the cellulose consumption model,
211 the residence time was varied from 50ms to 350ms in order to obtain the evolution of
212 the cellulose consumption with the reaction time. Finally, the influence of the initial
213 cellulose concentration was tested processing different cellulose suspension (3%, 5%
214 and 7% w/w, 1%, 1.7% and 2.3% w/w at the inlet of the reactor). The process flow
215 diagram of the pilot plant is shown in Figure 1:

216



217

218 Figure 1: Experimental setup: FASTSUGARS pilot plant

219

220 In the FASTSUGARS pilot plant, two positive displacement pumps (P-01 & P-02)

221 continuously pump from their storage tanks (T-01 & T-02 respectively) the biomass

222 suspension and water up to the reaction pressure (25MPa). The process flows can be

223 controlled modifying the pumped volume of the positive displacement pumps up to 3

224 kg/h in the case of the biomass suspension pump and up to 5 kg/h in the case of the

225 water pump. The water stream is heated over its critical point using an electric heater

226 (HT-01) with a design power of 10kW. After mixing both streams in a tee, the

227 temperature is measured and controlled selecting as set point the reaction temperature

228 (390°C). The controller acts over the power released by the electric heater modifying the

229 water stream temperature. Then the mixture enters in the reactor, which is basically a

230 tube. The reaction time, calculated as the reactor volume divided by the volumetric flow

231 of the inlet stream, is controlled varying either the initial flows or the reactor volume. In

232 these experiments, reactors of an [external](#) diameter of 1/8" and different lengths were

233 used. After the reactor, an expansion valve instantaneously stops the hydrolysis

234 reactions decreasing the pressure from the reaction pressure (25MPa) to the atmospheric

235 pressure which, as a consequence of the Joule-Thompson effect, reduces the
236 temperature instantly to 100°C. Finally, the product stream is cooled down to 25°C in
237 the trim cooler exchanger (HE-01) and stored in the product tank (T-03). A three ways
238 valve located just before the product tank allows taking product samples when desired.
239

240 **3. Kinetic model**

241

242 A recent work on cellulose hydrolysis [15] has demonstrated that when the cellulose
243 concentration is increased over 1.5% w/w at the inlet of the reactor at 400°C and
244 25MPa, a solid residue is obtained after hydrolysis. The model presented in this
245 manuscript is based on two ideas which are in accordance with these results. It
246 considers that the dissolution of a solid particle of cellulose is not an instantaneous
247 process and that cellulose hydrolysis is a heterogeneous process governed by the
248 cellulose dissolution velocity which is lower than the cellulose hydrolysis velocity.
249 Thus, when hydrolysis starts, there is still a fraction of undissolved cellulose in solid
250 state. Therefore, it is concluded that a mass transfer limitation, which becomes more
251 relevant as the initial cellulose concentration is increased, governs cellulose dissolution.
252 Traditional cellulose hydrolysis models [12,23] have been based on the Shrinking Core
253 Model [30]. Specifically, in the approach which considers that once that the liquid
254 molecules reach the solid particles, the reaction is produced on their surface decreasing
255 the solid mass. However, since the dissolution is considered as an instantaneous stage,
256 the mass transfer resistance has been neglected and only the hydrolysis stage has been
257 considered. Therefore, these models consider the hydrolysis as a homogeneous process
258 carried out in the aqueous phase. The model presented in this paper is also based on this
259 approach of the Shrinking Core Model, but considering both the mass transfer and the

260 reaction stages. Cellulose particles are modelled as spheres whose diameter decreases as
261 reaction proceeds. Although the traditional cellulose hydrolysis models [12,23] have
262 modelled the cellulose fibers as cylinders instead of as spheres, in this model spheres
263 have been considered. As demonstrated by Sasaki [23], as the cellulose hydrolysis
264 proceeds, these long fibers are subjected to cleavage generating short cylinders. As the
265 length of a cylinder is reduced, its external surface, parameter which quantifies the
266 exposure of a cellulose particle to the water molecules, approaches to the external
267 surface of a sphere. Moreover, in a short cylinder it is not possible to define whether the
268 reduction in the size is produced in the radial or in the axial direction while in a sphere it
269 is always produced in the radial direction. Therefore, taken into account these two
270 considerations, the cellulose particles are modelled as spheres (although physically they
271 are similar to short cylinders) reducing the complexity of the model.

272 In this model, cellulose hydrolysis is produced on the surface of the particles instead of
273 in the aqueous phase. Then, the hydrolyzed compounds are instantaneously dissolved in
274 the aqueous phase where their hydrolysis proceeds. Since the particle surface, which
275 increases as the initial particle diameter increases, is directly related to the hydrolysis
276 rate, using cellulose varieties with a high initial average particle diameter will increase
277 the hydrolysis rate.

278 If it is considered that once that the water molecules reach the surface of the solid particles
279 the hydrolysis is instantaneously performed, the mass transfer limitation must affect to
280 the diffusion of the water molecules from the bulk phase to the surface of the solid
281 particles. Focusing on the hydrolysis pathway, the first product obtained in cellulose
282 hydrolysis are long oligosaccharides chains [12,31]. In this new model, these
283 oligosaccharides chains are considered to be the responsible of the mass transfer
284 limitation. Because of their high degree of polymerization and consequently, their great

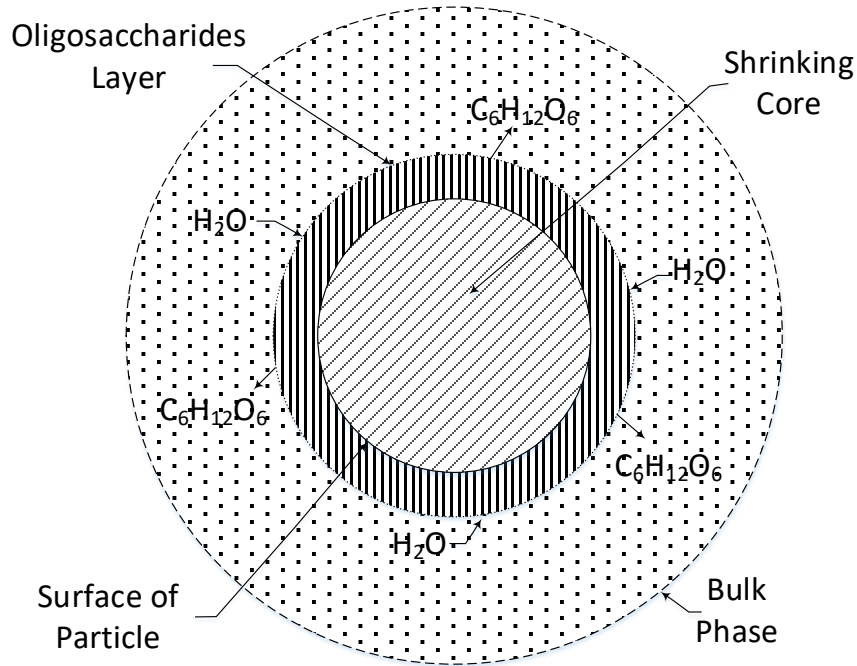
285 length, the diffusion of the oligosaccharides chains to the bulk phase must be greatly
286 limited. These chains are visualized surrounding the cellulose particles and therefore,
287 creating a limitation to the arrival of more water molecules to the surface of the solid
288 particles. Once that the oligosaccharides are hydrolyzed to short monosaccharides which
289 can easily diffuse to the bulk phase, the limitation disappears and the water molecules are
290 able to diffuse again to the solid cellulose particles. However, the cellulose hydrolysis
291 produces new oligosaccharides chains which create again a mass transfer limitation. If
292 the hydrolysis velocity of the solid particles is higher than the oligosaccharides hydrolysis
293 velocity, the solid cellulose will be at some points of the process almost completely
294 covered by oligosaccharides and the hydrolysis of the solid particles will be greatly
295 limited. Although some authors [23] have proven that the viscosity average degree of
296 polymerization of the solid residue after hydrolysis varies between 230 and 50, which can
297 be considered as reference values to define a high polymerization degree, the model is
298 based on the assumption of a low diffusion coefficient of the oligosaccharides chains
299 instead of on the analysis of their effect depending on this parameter. The average degree
300 of polymerization is constantly varying as the hydrolysis proceeds because of the
301 generation of new oligosaccharides of a high polymerization degree and the hydrolysis
302 of the ones which have been already dissolved in the liquid phase.

303 Finally, in this model it has been considered that all the cellulose particles have the
304 same size and that the hydrolysis of one particle is representative of the hydrolysis of all
305 the particles. Moreover, it is assumed that in cases in which the cellulose particle size
306 distribution is not unimodal, the hydrolysis consumption can be modelled by means of
307 the mean particle diameter. Therefore, all the equations presented hereafter are based on
308 the hydrolysis of a single particle. Then, the total rate of cellulose consumption can be

309 easily obtained multiplying the consumption rate of one particle by the number of
310 particles. A descriptive representation of the model is shown in Figure 2:

311

312



313

314

315 [Figure 2: Representation of the cellulose hydrolysis model presented in this paper.](#)

316 Once that the theoretical basis [of the model](#) have been explained, the [main](#) equations
317 [required to calculate the cellulose hydrolysis rate](#) are presented hereafter. An extended
318 [and more detailed mathematical description of the equations](#) can be found in the
319 [Supplementary Material of this work.](#)

320

321 Reaction

322 The cellulose hydrolysis reactions are carried out on the surface of the solid cellulose
323 particles once that the water molecules have diffused from the bulk phase:

324

325 Equation 4: $-\frac{dN_a}{dt} = kr \cdot 4 \cdot \pi \cdot r^2 \cdot C_{ai}$

326

327 Where $-dN_a/dt$ represents the consumption of water on a mole basis, kr the kinetic
328 constant, r the particle radius and C_{ai} is the water molar concentration in the interphase
329 between the solid particle and the aqueous phase.

330

331 Mass transfer

332 The water molecules are transferred from the bulk phase to the surface of the cellulose
333 particles:

334

335 Equation 5: $-\frac{dN_a}{dt} = k_g \cdot 4 \cdot \pi \cdot r^2 \cdot (C_{ag} - C_{ai})$

336

337 As in the reaction term, $-dN_a/dt$ represents the consumption of water on a mole basis,
338 k_g is the mass transfer coefficient, r the particle radius, C_{ai} is the water molar
339 concentration in the interphase between the solid particle and the aqueous phase, and
340 finally C_{ag} is the water concentration in the bulk phase.

341

342 The continuity of the process requires that all the water molecules transferred from the
343 bulk phase to the particle surface are consumed in the hydrolysis reactions. Then,

344 equating Equation 4 and Equation 5:

345

346 Equation 6: $C_{ai} = \frac{k_g}{kr+k_g} C_{ag}$

347

348 Equation 6 allows obtaining the value of the water interphase concentration. Replacing

349 C_{ai} in Equation 4:

350

351 Equation 7:
$$-\frac{dN_a}{dt} = 4 \cdot \pi \cdot r^2 \cdot \frac{kr \cdot kg}{kr + kg} \cdot C_{ag}$$

352

353 Equation 7 allows calculating the water consumption independently of the water

354 interphase concentration. Multiplying both terms of Equation 7 by the molecular weight

355 of water, Equation 7 can be expressed on a mass basis as:

356

357 Equation 8:
$$-\frac{dM_a}{dt} = 4 \cdot \pi \cdot r^2 \cdot \frac{kr \cdot kg}{kr + kg} \cdot \rho_a$$

358

359 Equation 8 quantifies the consumption of water in the cellulose hydrolysis process. [The](#)

360 [relationship between the cellulose consumption and the water consumption is defined](#)

361 [by:](#)

362

363 Equation 9:
$$\frac{dM_a}{dt} = \frac{1}{9} \cdot \frac{dM_c}{dt}$$

364

365 Combining this relationship with Equation 8, it is possible to calculate the cellulose

366 consumption rate on a mass basis:

367

368 Equation 10:
$$-\frac{dM_c}{dt} = 9 \cdot 4 \cdot \pi \cdot r^2 \cdot \frac{kr \cdot kg}{kr + kg} \cdot \rho_a$$

369

370 The evolution of the particle radius during hydrolysis is easily obtained relating the
371 particle mass with its corresponding volume:

372

373 Equation 11:
$$r = \left(\frac{3}{4 \cdot \pi} \cdot \frac{M_c}{\rho_c} \right)^{1/3}$$

374

375 Where ρ_c is the particle density and V_c the particle volume.

376

377 The calculation of the cellulose hydrolysis rate by Equation 10 requires first calculating
378 the values of the kinetic constant kr and of the mass transfer coefficient kg . Regarding
379 the kinetic constant, kr , which is modelled as a pseudo-Arrhenius equation (Equation
380 12), two different correlations which depend on the reaction temperature have been
381 proposed. These correlations are obtained using the kinetic constant of the model as a
382 degree of freedom and adjusting its value to minimize the differences between the
383 results predicted by the model and the results predicted by a conventional model [12].
384 Because of the low working concentrations (lower than 1% w/w at $T=400^\circ\text{C}$ &
385 $P=25\text{MPa}$) which were considered in the development of the conventional hydrolysis
386 models, in this case it is possible to neglect the mass transfer effects.

387 Equation 12:
$$kr = A \cdot \frac{\rho_{a,exp}}{\rho_a} \cdot \exp \frac{Ea}{R \cdot T}$$

388

389 Where kr is the kinetic constant, A is the preexponential factor, Ea is the activation
390 energy, R is the universal gas constant, T the absolute temperature, $\rho_{a,exp}$ the density of
391 water at the reaction conditions used to calculate the value of the preexponential factor
392 and ρ_a the density of water at the desired reaction conditions.

393

394 The values thus obtained for the natural logarithm of the preexponential factor and of
395 the activation energy are shown in Table 1:

T (°C)	LnA	Ea (kJ/mol)
400	70,33	430,3
355	17,87	154,4

396

397 Table 1: Calculation of the kinetic constant kr . Pseudo-Arrhenius equation parameters:
398 natural logarithm of the preexponential factor LnA and activation energy Ea .

399

400 Therefore, in the supercritical zone (temperatures above 375°C), the kinetic constant is
401 defined by:

402

403 Equation 13: $kr = \text{Exp}(70.33) \cdot \frac{608.43}{\rho_{a,T}} \cdot \text{Exp}\left(\frac{-430.3}{R \cdot T}\right)$

404

405 On the other hand, in the subcritical zone (temperatures below 375°C), the kinetic
406 constant is calculated by:

407

408 Equation 14: $kr = \text{Exp}(17.87) \cdot \frac{166.54}{\rho_{a,T}} \cdot \text{Exp}\left(\frac{-154.4}{R \cdot T}\right)$

409 Regarding the calculation of the mass transfer coefficient, kg , the Chilton-Colburn
410 [32,33] analogy shown in Equation 15 has been considered:

411

412 Equation 15: $\frac{f}{2} = \text{St}_h \cdot \text{Pr}^{2/3} = \text{St}_m \cdot \text{Sc}^{2/3}$

413

414 Therefore, relating the momentum transfer with the mass transfer:

415

416 Equation 16:
$$\frac{f}{2} = \frac{kg}{u} \cdot \left(\frac{\mu}{\rho a D_{AB}} \right)^{2/3}$$

417

418 Where ρa , μ , D_{AB} are the density, the viscosity and the water diffusion coefficient

419 calculated at the reaction conditions, u is the fluid velocity, f is the Darcy-Weisbach

420 friction factor [34] and kg the mass transfer coefficient. The friction factor is directly

421 calculated solving the Swamee and Jain equation [35]:

422

423 Equation 17:
$$f = \frac{0.25}{\left[\text{Ln} \left(\frac{\epsilon}{3.7D} + \frac{5.74}{\text{Re}^{0.9}} \right) \right]^2}$$

424

425 Where ϵ is the pipe roughness and D the pipe diameter.

426 Regarding the diffusion coefficient, D_{AB} , the value of this parameter is approached to

427 the self-diffusion coefficient of water.

428 Once that the physical properties of water, the velocity and the friction factor are

429 calculated, the value of the mass transfer coefficient is obtained solving Equation 16.

430

431 Finally, although the values of both the kinetic constant and of the mass transfer

432 coefficient can be directly calculated and replaced in Equation 10, it is first necessary to

433 include the limitation created by the oligosaccharides layer which surrounds each

434 cellulose particle penalizing the water diffusion from the bulk phase to the surfaces of

435 the particles. Consequently a new concept called the “covering mass” has been included
436 and it is presented hereafter:

437 The “covering mass” is the mass of oligosaccharides which almost completely cover the
438 cellulose particles creating a mass transfer resistance which limits their hydrolysis. This
439 parameter depends on the cellulose concentration, the external surface of the particles
440 and the cellulose density.

441 Because of the complexity of experimentally estimating the value of this parameter, in
442 the first set of experiments shown in Section 4, this parameter is used as a degree of
443 freedom. Therefore, its value is adjusted in order to minimize the deviations between
444 the experimental data and the data predicted by the model. Since the mass of a single
445 cellulose particle can be even lower than 10^{-11} kg, in order to facilitate operating with
446 the model, the concept of “covering conversion” has been defined. The covering
447 conversion is simply the relationship between the oligosaccharides covering mass and
448 the initial mass of a cellulose particle:

449

450 Equation 18:
$$X_{cov} = \frac{m_{oligCOV}}{m_{cel0}}$$

451

452 Theoretical relationships between the covering conversions of different scenarios based
453 on the particle concentration and their external surface have been proposed. These
454 relationships allow calculating the value of the covering conversion of a real scenario
455 from a reference value of another scenario. The following relationships have been found
456 to accurately predict the value of the covering conversion within different scenarios:

457

458 ○ Same concentration, different cellulose:

459

460 Equation 19: $X_{cov2} = \frac{D_{p2}}{D_{p1}} \cdot X_{cov1}$

461

462 Where D_{p1} and D_{p2} are respectively the diameter of the particles of the
463 first and of the second scenarios.

464

465 ○ Same cellulose, different concentration:

466

467 Equation 20: $X_{cov2} = \frac{D_{p_{fic1}}}{D_{p_{fic2}}} \cdot X_{cov1}$

468

469 Where $D_{p_{fic}}$ represents the fictitious diameter obtained if it is considered
470 that the cellulose particles are grouped together in a single spherical
471 particle (the total mass of the spherical particle is the sum of all
472 individual particles masses).

473

474 The oligosaccharides consumption rate, which is required to calculate the mass of
475 oligosaccharides in the liquid phase, is defined by Equation 21:

476

477 Equation 21: $\frac{dMol}{dt} = F \cdot \frac{dMc}{dt} - k_{ol} \cdot Mol$

478

479 The variation of the oligosaccharides mass is equal to the generation of
480 oligosaccharides, defined as the fraction of cellulose hydrolyzed to oligosaccharides (F)
481 multiplied by the cellulose consumption rate, minus the consumption of

482 oligosaccharides defined as a kinetic constant multiplied by the oligosaccharides mass.
 483 Both the values of F and kol have been already experimentally determined in a previous
 484 work of our research group [12]. In this case the kinetic constant, kol , follows a
 485 conventional Arrhenius equation. The values of F and of the kinetic parameters of kol
 486 are detailed in Table 2:

487

T (°C)	F	LnA	Ea (kJ/mol)
>350	0.8	25.4	135.2

488

489 Table 2: Oligosaccharides production Factor F and Arrhenius equation
 490 parameters: natural logarithm of the preexponential factor LnA and activation
 491 energy Ea . [12]

492 With these two considerations, Equation 16 is redefined again:

493

494 Equation 22:
$$kg = \frac{f}{2} \cdot \frac{u}{\left(\frac{\mu}{\rho \cdot DAB}\right)^{2/3}} \cdot (1 - frac)$$

495

496 Where $(1 - frac)$ models the mass transfer limitation created by the oligosaccharides
 497 layer and the hydrolysis products. " $frac$ " is the relationship between the value of the
 498 oligosaccharides mass (calculated solving Equation 21) and the covering mass. Thus,
 499 when the covering mass is reached, $frac$ is equal to 1 and kg is equal to 0.

500

501 Equation 22 allows calculating the mass transfer coefficient that, in combination with
502 the value of the kinetic constant kr calculated by Equation 13 and Equation 14, provide
503 the cellulose consumption rate.

504

505 **4. Results and Discussion**

506

507 The validation of the model has been performed comparing three sets of experimental
508 data with the results predicted by the kinetic model. The concept of absolute average
509 deviation, defined by Equation 23, has been used to quantify the errors between the
510 experimental and the theoretical values:

511 Equation 23:

$$512 \text{ absolute average deviation} = \frac{1}{N} \cdot \sum_{i=0}^n \left(\frac{\text{Theoretical Value} - \text{Experimental Value}}{\text{Theoretical Value}} \right) \cdot 100$$

513 Where N represents the number of experimental points.

514

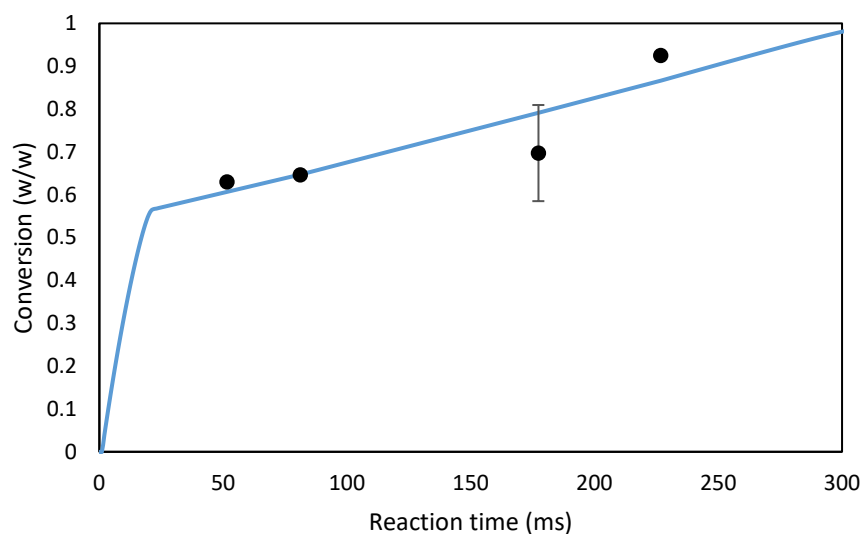
515 **4.1 Covering conversion. Adjustment of a reference value.**

516

517 The application of the kinetic model presented in this manuscript requires as a first step
518 to calculate in each scenario the value of the covering conversion, parameter which
519 depends on the cellulose type and on the initial cellulose concentration. The covering
520 conversion of any working scenario can be easily calculated applying Equation 19 and
521 Equation 20 once that the covering conversion associated with any other scenario
522 (cellulose type and initial concentration) is known. Since the kinetic model has not been
523 previously applied, there is no value of the covering conversion available to be
524 considered as a reference. Therefore, the first set of experimental data has been used to

525 manually adjust the value of this parameter to the one which minimizes the
526 discrepancies between the experimental points and the values predicted by the kinetic
527 model. This covering conversion will later be used as a reference to calculate the values
528 of the covering conversions of the following scenarios. If the theoretical results
529 predicted by the model in the following cases are in agreement with the experimental
530 data, both the kinetic model and the covering conversion adjusted in this section will be
531 validated.

532 In this base case, VWR cellulose was used ($D_p = 75\mu\text{m}$). The initial cellulose
533 suspension concentration was fixed at 5% w/w, 1.7% w/w at the inlet of the reactor,
534 because of the dilution produced when the suspension stream is mixed with the
535 supercritical water stream. In all the experiments performed with this working
536 concentration solid residue was obtained. Therefore, the cellulose dissolution cannot be
537 consider as instantaneous and the model presented in this work was applied. Figure 3
538 compares the evolution of the cellulose conversion predicted by the model once that the
539 covering conversion has been manually adjusted with the experimental data:



540

541 Figure 3: Adjustment of the covering conversion. Initial cellulose suspension
 542 concentration 5% w/w, 1.7% w/w at the inlet of the reactor. Particle diameter, $D_p =$
 543 $75\mu\text{m}$. (●) Experimental results. (—) Theoretical results fitted by the kinetic model
 544 presented in this article.

545

546 The deviations between the experimental data and the theoretical values have been
 547 quantified and are presented in Table 3:

548

Experimental Value	Calculated Value	Abs Avg Dev (%)
0.63	0.61	3.6
0.65	0.65	0.0
0.70	0.79	13.4
0.93	0.87	6.6
Avg Deviation (%)		5.9

549

550 Table 3: Absolute average deviations between the experimental conversion data and the
 551 theoretical values fitted by the kinetic model. Adjustment of a reference value of the
 552 covering conversion.

553

554 As it can be seen from Figure 3 and Table 3, the discrepancies between the experimental
 555 and the theoretical values have been minimized adjusting the covering conversion to
 556 0.44. The absolute average deviation between the experimental and the theoretical
 557 points is lower than 6%. Thus, this working scenario which is summarized as cellulose
 558 concentration equal to 1.7% w/w at the inlet of the reactor, particle diameter, $D_p =$
 559 $75\mu\text{m}$ and covering conversion $X_{cov} = 0.440$, will be used as reference.

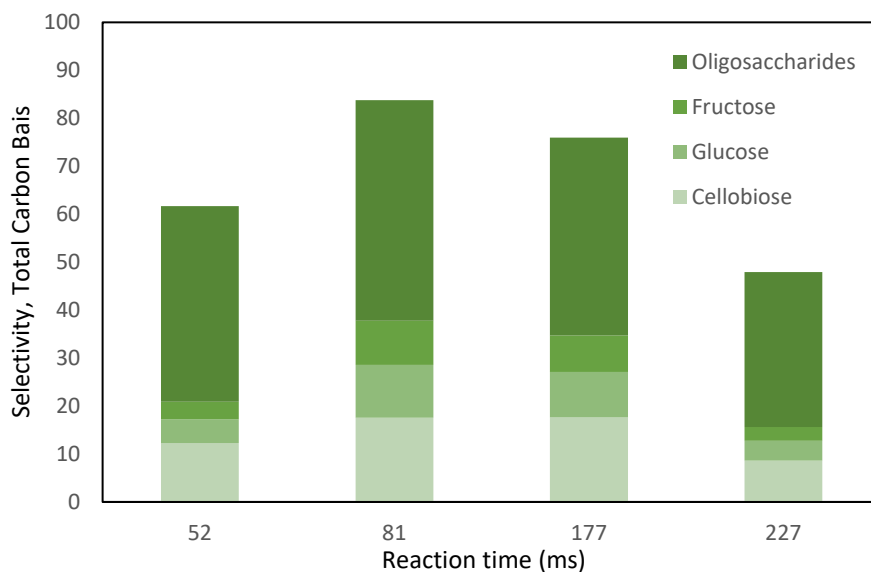
560 As it can be seen from Figure 3, the model predicts **two** different hydrolysis zones. This
561 is the main difference with the conventional cellulose hydrolysis models [12,15,23] in
562 which the cellulose hydrolysis is represented by means of a continuous equation. In the
563 first zone, which are basically the first **twenty five** milliseconds of reaction, the water
564 molecules **are able to easily** react with the cellulose particles on their surface **because of**
565 **the low concentration of** oligosaccharides in the aqueous phase **and consequently, the**
566 **low mass transfer resistance**. In this initial phase, the conversion of the cellulose particle
567 rises **drastically** up to a 60%. Therefore, according to this model, during this initial
568 period of direct reaction between the water molecules and the cellulose surface **there is a**
569 **minimum cellulose conversion value which is limited by the minimum reaction time**
570 **which can be technically achieved**.

571 **After these first milliseconds, the cellulose hydrolysis rate is reduced because of the**
572 **increase of the oligosaccharides concentration in the liquid phase**. According to the
573 model, controlling the reaction time in this second zone will allow obtaining
574 oligosaccharides chains of different length. While operating close to the first reaction
575 zone (short reaction times) will provide long oligosaccharides chains, **the operation with**
576 **longer reaction times will provide short oligosaccharides chains**. As the
577 oligosaccharides are **progressively** hydrolyzed and new pathways to the cellulose
578 surface are opened, the cellulose hydrolysis proceeds. Since the hydrolysis of the
579 cellulose particle generates again more oligosaccharides chains, the hydrolysis of the
580 particle is slowed down again until the new oligosaccharides chains are hydrolyzed to
581 sugars and acids which can easily diffuse to the bulk phase. These two processes (first
582 the hydrolysis of the cellulose particle and **the** covering of the particle, and then the
583 hydrolysis of the oligosaccharides chains) reach an equilibrium resulting in a constant
584 hydrolysis rate which lasts until the total consumption of the cellulose particle which in

585 this case is produced after 300ms of hydrolysis. Finally, once that the solid particle has
586 been consumed, the hydrolysis of the oligosaccharides chains and sugar molecules
587 proceeds homogeneously in the aqueous phase and it is accurately modelled by the
588 conventional hydrolysis models [12,15,23].

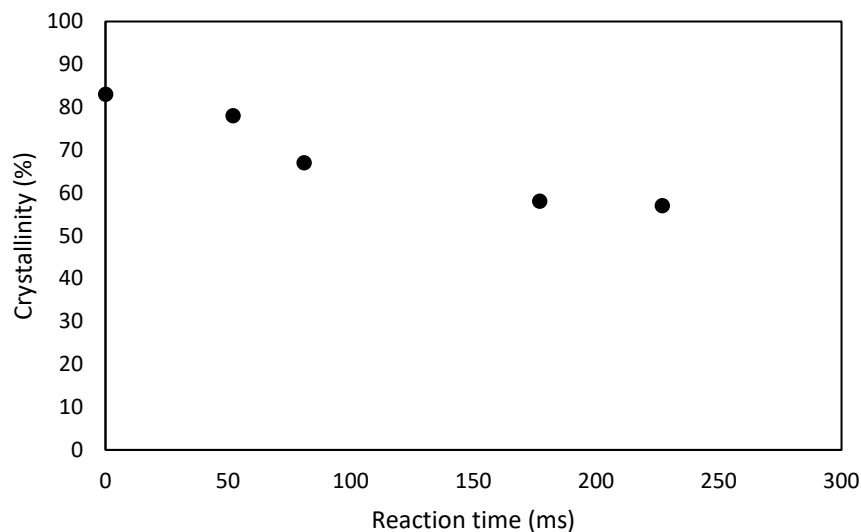
589 The validation of the conclusions extracted from the application of the kinetic model to
590 the first set of experimental data has been performed experimentally determining the
591 [selectivity of the sugars](#) in the liquid phase and the crystallinity of the solid residues
592 obtained after the hydrolysis. On the one hand, the [selectivity of sugars](#) in the liquid
593 phase, which has been obtained by HPLC and it is presented in Figure 4, is used to
594 verify the basic idea of the model which states that [the oligosaccharides chains](#) are the
595 responsible of the mass transfer limitations in cellulose hydrolysis. On the other hand,
596 the crystallinity of the solid cellulosic residue, obtained by XRD and shown in Figure 5,
597 has been determined to confirm whether the solid residue obtained after hydrolysis is
598 crystalline or amorphous cellulose.

599



600

601 Figure 4: Selectivity of sugars in the liquid phase at different reaction times. Initial
602 cellulose concentration 5% w/w, 1.7% w/w at the inlet of the reactor. VWR cellulose:
603 particle diameter, $D_p = 75\mu\text{m}$.
604 As it can be seen from Figure 4, the selectivity of both the total saccharides and of the
605 oligosaccharides increases up to a maximum of 84% in the case of the saccharides and
606 up to 46% in the case of the oligosaccharides after 80 ms of hydrolysis. After this
607 maximum, both selectivities decrease. This behaviour, which is very similar to the one
608 reported by Cantero [36] in a previous work, explains the existence of two different
609 hydrolysis zones. First, when no oligosaccharides have been generated and the effect of
610 the mass transfer resistance is reduced, the cellulose hydrolysis rate is very high. Then,
611 when both the concentration of oligosaccharides and the mass transfer resistance
612 increase, a reduction in the cellulose hydrolysis rate is observed.



613
614 Figure 5: Analysis of the crystallinity of the cellulosic solid residue after hydrolysis.
615 Initial cellulose concentration 5% w/w, 1.7% w/w at the inlet of the reactor. VWR
616 cellulose: particle diameter, $D_p = 75\mu\text{m}$.

617 Finally, regarding the crystallinity of the solid cellulosic residue and according to the
618 results presented in Figure 5, the crystallinity of the solid cellulosic residue decreases as
619 the reaction time increases. The experimental results show that after 230 ms of reaction,
620 which means the almost complete hydrolysis of the cellulose particles, the crystallinity
621 of the solid residue remains at 57%. Considering that the crystallinity of the initial
622 cellulose is equal to 83%, a 31% of the initial crystalline zones have been converted into
623 amorphous zones. Therefore, it is concluded that the obtaining of small cellulose
624 particles has associated a reduction in their crystallinity. Although these results show a
625 surprising tendency in the evolution of the crystallinity (as the hydrolysis proceeds, first
626 the amorphous zones, easily accessible and more reactive, should be consumed
627 increasing the crystallinity of the solid residue), some authors as Sasaki [23] and
628 Deguchi [37] have already reported this behaviour. Moreover, Deguchi proved that
629 around 320°C, the crystalline cellulose I is converted into amorphous cellulose and then,
630 after the hydrolysis, it recrystallizes into crystalline cellulose II. These amorphous
631 transition and later recrystallization phenomena are considered as the base to explain
632 this behaviour.

633

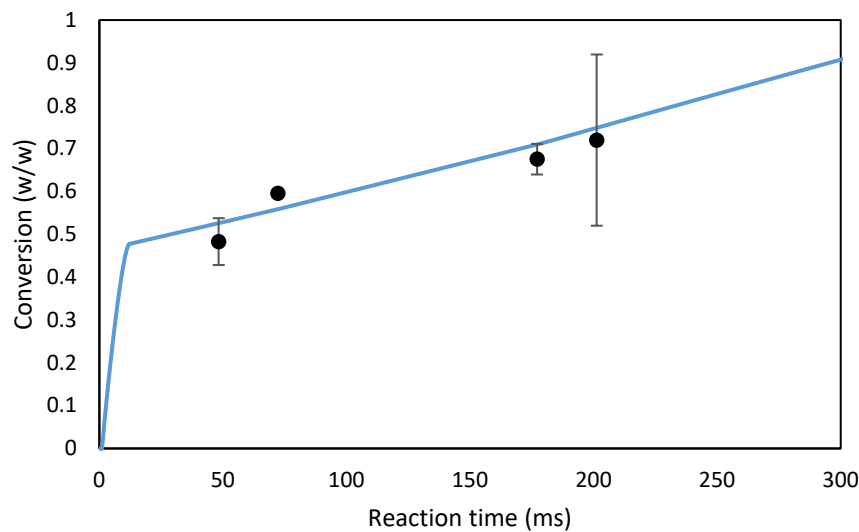
634 **4.2 Model validation. Same cellulose different concentration.**

635

636 In this section, the hydrolysis model presented in this manuscript is validated comparing
637 a second set of experimental data with the theoretical values predicted by the kinetic
638 model. Moreover, the influence of the initial cellulose concentration is analysed
639 increasing this parameter up to 7% w/w, 2.3% w/w at the inlet of the reactor. The value
640 of the covering conversion has been calculated considering as reference the value
641 adjusted in Section 4.1. After calculating the fictitious diameters (considering that the

642 particles are grouped in a single spherical particle whose mass is equal to the sum of the
643 masses of the single particles) and applying Equation 20, the calculated value of the
644 covering conversion is equal to $X_{cov} = 0.376$. In this set of experiments, the same
645 cellulose type as in Section 4.1 has been hydrolyzed (VWR cellulose, $Dp = 75\mu\text{m}$).
646 Figure 6 shows the comparison between the evolution of the cellulose conversion
647 predicted by the kinetic model and the experimental data:

648



649

650 Figure 6: Model validation. Comparison between experimental data and theoretical
651 values. Same cellulose, different concentration. Covering conversion equal to 0.376.
652 Initial cellulose suspension concentration 7% w/w, 2.3% w/w at the inlet of the reactor.
653 Particle diameter, $Dp = 75\mu\text{m}$. (●) Experimental results. (—) Theoretical results
654 predicted by the kinetic model presented in this article.

655

656 The deviations between the experimental data and the theoretical values have been
657 quantified and are presented in Table 4:

658

Experimental Value	Calculated Value	Abs Avg Dev (%)
0.48	0.53	8.9
0.60	0.56	6.2
0.68	0.71	5.1
0.72	0.79	9.6
Avg Deviation (%)		7.4

659

660 Table 4: Absolute average deviations between the experimental data and the theoretical
661 values predicted by the kinetic model. Validation example, same cellulose, different
662 concentration.

663

664 Since the average deviation is lower than 8%, it is proved that the theoretical results are
665 in agreements with the experimental values. Therefore, the kinetic model, the value of
666 the covering conversion adjusted in Section 4.1 and the relationship proposed in
667 Equation 20 are validated. Comparing these results with the ones obtained in the base
668 case presented in Section 4.1, the kinetic model predicts the same two hydrolysis zones
669 but in this case, the value of the covering conversion is lower (0.376 vs 0.440) because
670 of the influence of the initial cellulose concentration. Although one of the premises of
671 this model is that the hydrolysis of a single particle is representative of the hydrolysis of
672 the rest of the particles, this does not contradict the fact that the hydrolysis of each
673 particle is influenced by the hydrolysis of the rest of the particles. Since the increase in
674 the number of particles produces an increase in the total number of oligosaccharides
675 chains and hydrolysis products in the aqueous phase, the diffusion of water molecules to
676 the surface of the cellulose particles is more penalized because of the presence of these
677 molecules and the interaction between the oligosaccharides chains of the different

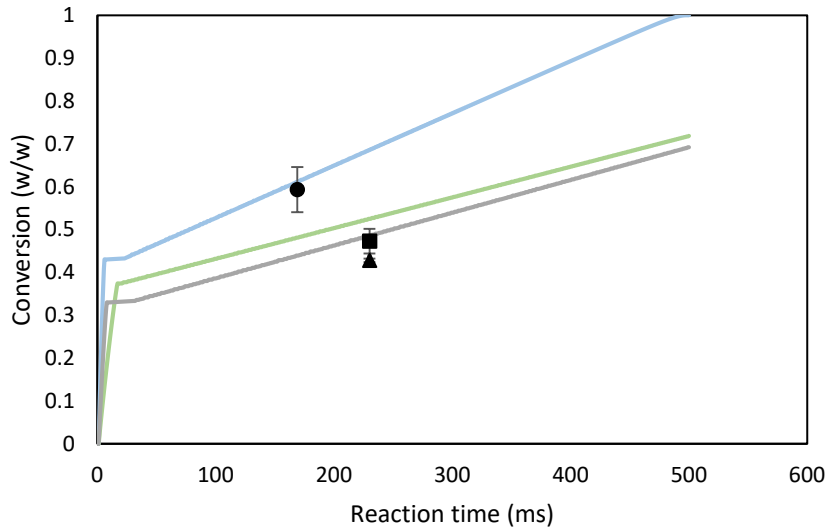
678 particles. Thereby, the oligosaccharides generated in the hydrolysis of one particle also
679 interact with the surrounding particles. This phenomenon explains the reduction in the
680 value of the covering conversion. Finally, since the initial cellulose concentration has
681 been increased, the total cellulose hydrolysis time is higher than in the base case.

682

683 **4.3 Model validation. Different cellulose type.**

684

685 While in Section 4.2 the influence of the initial cellulose concentration has been
686 analysed, in this section the influence of the cellulose type is studied. In this case,
687 Avicel cellulose type, $D_p = 50\mu\text{m}$, has been selected to perform the experiments. Three
688 different experiments at [suspensions](#) concentrations of 3% w/w, 5% w/w and 7% w/w,
689 [1%, 1.7% and 2.3% w/w at the inlet of the reactor](#) have been carried out. Therefore, the
690 respective covering conversions are calculated applying Equation 19 and Equation 20.
691 After calculating the [relationships between the particle diameters and the fictitious](#)
692 [diameters](#), the values of the covering conversions obtained are equal to [0.327](#) in the case
693 of 3% w/w [suspension](#), [0.293](#) in the case of 5% w/w [suspension](#) and [0.250](#) in the case of
694 7% w/w [suspension](#). Figure 7 shows the comparison between the evolution of the
695 cellulose conversions predicted by the kinetic model and the experimental data:



696
 697 **Figure 7:** Model validation. Comparison between experimental data and theoretical
 698 values. Different cellulose type. Particle diameter, $D_p = 50\mu\text{m}$. (\bullet , \blacksquare , \blacktriangle) Experimental
 699 data. Initial cellulose suspension concentration equal to 3% w/w (1% w/w at the inlet of
 700 the reactor), 5% w/w (1.7% w/w at the inlet of the reactor) and 7% w/w (2.3% w/w at
 701 the inlet of the reactor) respectively. (--- , --- , ---) Theoretical results predicted by the
 702 kinetic model. Initial cellulose suspension concentration equal to 3% w/w (1% w/w at
 703 the inlet of the reactor), 5% w/w (1.7% w/w at the inlet of the reactor) and 7% w/w
 704 (2.3% w/w at the inlet of the reactor) respectively. Covering conversions equal to 0.327,
 705 0.293 and 0.250 respectively.

706
 707 The deviations between the experimental data and the theoretical values are presented in
 708 Table 5:

Concentration (%)	Experimental Value	Calculated Value	Abs Avg Dev (%)
3	0.59	0.61	3.0
5	0.47	0.52	11.0
7	0.43	0.49	13.4
Avg Deviation (%)			9.1

709

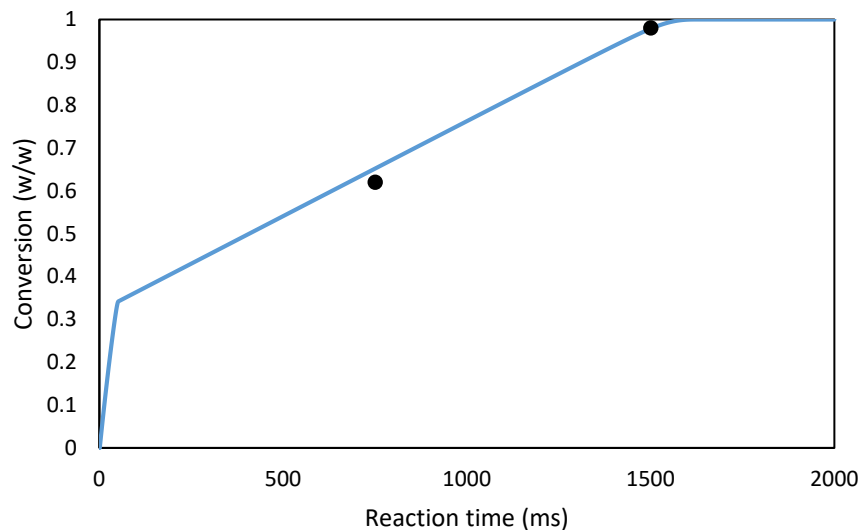
710 Table 5: Absolute average deviations between the experimental data and the theoretical
711 values predicted by the kinetic model. Validation example, different cellulose.

712 Since the absolute average deviation is lower than 10% the good agreement between the
713 experimental results and the theoretical values validates the model, the covering
714 conversion adjusted in Section 4.1 and the relationships proposed in Equation 19 and
715 Equation 20. Since the particle diameter of the Avicel cellulose type is lower than that
716 of the VWR type (50 μ m vs 75 μ m), a higher number of cellulose particles is expected.
717 Consequently, as in the case presented in Section 4.2, the influence of the hydrolysis of
718 one particle on the surrounding particles will be higher than in the base case reducing
719 the value of the covering conversion. In this scenario, although the cellulose
720 conversions after the first hydrolysis phase are lower than in the base case presented in
721 Section 4.1 (45%, 35% and 30% with inlet suspension concentrations equal to 3% w/w,
722 5% w/w and 7% w/w respectively versus 60% in the base case), since the covering
723 conversions are lower (0.327, 0.293 and 0.250 with inlet suspension concentrations
724 equal to 3% w/w, 5% w/w and 7% w/w respectively versus 0.440), the second
725 hydrolysis phase is longer in all cases. Because of the reduced value of the covering
726 conversions, the particles are easily covered by the oligosaccharides layer, decreasing
727 the cellulose consumption rate and increasing the total hydrolysis time.

728 Comparing the three cases presented in this section, as in Section 4.1 and Section 4.2 in
729 which VWR cellulose has been used, increasing the initial cellulose concentration
730 reduces the value of the covering conversion. Furthermore, in Figure 7 it is possible to
731 appreciate the influence of the temperature in the hydrolysis model. While the
732 temperature in the first experiment (suspension of 3% w/w) was equal to 396.3°C, in the
733 second and third experiments (suspensions of 5% w/w and 7% w/w) it remained at

734 384.8°C and at 390.8°C respectively. Increasing the temperature increases the kinetic
735 constants kr and kol increasing the cellulose consumption rate. This reason explains the
736 reduced separation between the curves of 5% w/w and 7% w/w (1.7% and 2.3% w/w at
737 the inlet of the reactor) and the larger separation between the curves of 3% w/w and 5%
738 w/w (1% and 1.7% w/w at the inlet of the reactor).

739 Finally, two additional experimental points available in literature [23] have been
740 considered to validate the model with a different cellulose variety and a different
741 reaction temperature (375°C versus 390°C). In this last case, the experimental points
742 were obtained working with Merck cellulose, $Dp = 50\mu\text{m}$, and selecting a cellulose
743 concentration at the inlet of the reactor equal to 2% w/w. Figure 8 shows the
744 comparison between the evolution of the cellulose conversions predicted by the kinetic
745 model and the experimental data:



746 Figure 8: Model validation. Comparison between experimental data and theoretical
747 values. Different cellulose type. Reaction temperature equal to 375°C. Particle diameter,
748 $Dp = 50\mu\text{m}$. Cellulose concentration at the inlet of the reactor equal to 2% w/w. (●)
749 Experimental data [23]. (—) Theoretical results predicted by the kinetic model.
750 Covering conversion equal to 0.264.

752 The deviations between the experimental data and the theoretical values are presented in
753 Table 6:

Experimental Value	Calculated Value	Abs Avg Dev (%)
0.62	0.65	5.2
0.98	0.98	0.2
Avg Deviation (%)		2.7

754
755 Table 6: Absolute average deviations between the experimental data and the theoretical
756 values predicted by the kinetic model. Validation example, different cellulose, reaction
757 temperature equal to 375°C.

758
759 As it can be seen from Figure 8 and Table 6, the good agreement between the
760 experimental and the theoretical values validates the model when a different cellulose
761 type and a different reaction temperature are considered. As the reaction temperature
762 decreases, the values of the cellulose hydrolysis and oligosaccharides hydrolysis kinetic
763 constants are reduced. Therefore, the cellulose hydrolysis rate decreases and the
764 reaction time increases. As in the previous scenario and compared to the base case
765 presented in Section 4.1, since the particle diameter is reduced from 75 μ m to 50 μ m and
766 the cellulose concentration at the inlet of the reactor is increased from 1.7% w/w to 2%
767 w/w, a higher number of particles is expected. Consequently, the covering conversion is
768 reduced from 0.440 to 0.264 as well as the cellulose conversion after the first hydrolysis
769 zone which decreases from 60% in the base case to 35% in the present case.

770
771 Finally, the arithmetic average of all the absolute average deviations shown in the three
772 subsections of Section 4 has been calculated. Since this value is lower than 10% it is
773 proved that the kinetic model presented in this manuscript is able to accurately

774 reproduce the cellulose hydrolysis process even when it is performed at a high initial
775 cellulose concentration and consequently, that it can be used to predict the optimum
776 reaction conditions required to obtain nanocellulose particles and oligomers of
777 controlled size.

778

779 **5. Conclusions**

780

781 The conventional models of cellulose hydrolysis in supercritical water are limited to the
782 processing of low concentrated suspensions since they neglect the dissolution of the
783 cellulose particles and the mass transfer effects. In this work, a kinetic model which
784 accurately represents cellulose hydrolysis at high concentrations providing the optimum
785 reaction conditions to obtain nanocellulose particles and oligomers of controlled size
786 was presented. The model considers that the hydrolysis of the cellulose particles
787 generates oligosaccharides layers which create a mass transfer resistance.

788 The experimental and the theoretical results demonstrated that increasing the total
789 number of cellulose particles, either increasing the initial concentration or using a
790 cellulose variety with a smaller particle diameter, reduces the hydrolysis rate.

791 The kinetic model predicts two clearly differentiated hydrolysis zones. The first zone,
792 characterized by a fast cellulose hydrolysis rate and directly related with the low
793 oligosaccharide concentration, predicts that there is a minimum conversion value which
794 is limited by the minimum reaction time which can be technically achieved. On the
795 other hand, in the second hydrolysis region, the kinetic model predicts a lower cellulose
796 hydrolysis rate because of the higher oligosaccharides concentration.

797

798 **Acknowledgements**

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 800 financial support Projects CTQ2013-44143-R, CTQ2016-79777-R, and VA040U16.

801

802 **Nomenclature**

Abbreviation	Name	Units
Dp	Particle Diameter	m
pp	Particle Density	kg/m ³
G	Gibbs Free Energy	J
H	Enthalpy	J
T	Temperature	K
S	Entropy	J/K
X	Conversion	dimensionless
Wo	Initial Cellulose Mass	kg
W	Cellulose Mass	kg
xol	Oligosaccharides Mass Fraction	dimensionless
Col,c	Oligosaccharides Concentration, Carbon Basis	ppm
Ccel,c	Cellulose Concentration, Carbon Basis	ppm
Ccel,o	Initial Cellulose concentration	ppm
Na	Water Moles	mol
t	Time	s
-dNa/dt	Water Consumption, Molar	mol/s
kr	Kinetic Constant	m/s
r	Particle Radius	m
Cai	Water Concentration, Interphase, Molar	mol/m ³
kg	Mass Transfer Coefficient	m/s

C_{ag}	Water Concentration, Bulk Phase, Molar	mol/m^3
M_a	Water Mass	kg
$-dM_a/dt$	Water Consumption Rate, Mass	kg/s
M_c	Cellulose Mass	kg
$-dM_c/dt$	Cellulose Consumption Rate, Mass	kg/s
M_g	Glucose, Mass	kg
dM_g/dt	Glucose Production Rate, Mass	kg/s
ρ_a	Water Density	kg/m^3
$\rho_{a,exp}$	Water Density in the calculation of A	kg/m^3
ρ_c	Cellulose Density	kg/m^3
V_c	Cellulose Volume	m^3
k	Kinetic Constant, Conventional Model	1/s
A	Preexponential Factor	dimensionless
E_a	Activation Energy	kJ/mol
R	Universal Gas Constant	J/mol·K
f	Darcy-Weisbach Friction Factor	dimensionless
St_h	Stanton Heat Number, $Nu/Re \cdot Pr$	dimensionless
Pr	Prandtl Number	dimensionless
St_m	Stanton Mass Number, $Sh/Re \cdot Sc$	dimensionless
Sc	Schmidh Number	dimensionless
Nu	Nusselt Number	dimensionless
Re	Reynolds Number	dimensionless
Sh	Sherwood Number	dimensionless
u	Velocity	m/s
ρ	Water Density	kg/m^3
μ	Water Viscosity	kg/m·s

D_{AB}	Water Diffusion Coefficient	m^2/s
ϵ	Pipe Roughness	m
D	Pipe Diameter	m
Xcov	Covering conversion	dimensionless
$m_{oligcov}$	Oligosaccharides Covering Mass	kg
m_{cel0}	Initial Cellulose Particle Mass	kg
N_p	Particles Number	dimensionless
$D_{p_{fic}}$	Fictitious Particle Diameter	m
Mol	Oligosaccharides Mass	kg
$-dMol/dt$	Oligosaccharides Consumption Rate, Mass	kg/s
F	Cellulose Fraction Hydrolyzed to Oligosaccharides	dimensionless
k_{ol}	Oligosaccharides Consumption Kinetic Constant	1/s
frac	Oligosaccharides Mass / Covering Mass	dimensionless
N	Number of Experimental Points	dimensionless

803

804

805

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